

Sterile Neutrinos for Warm Dark Matter in Flavor Symmetry Models

CIAS, Paris, 08 June 2012

Contents:

- Neutrino masses and mixing
- Phenomena of light sterile neutrinos ν_s
- Mechanisms for light ν_s
- Models based on flavor symmetry & Froggatt-Nielsen mechanism

He Zhang

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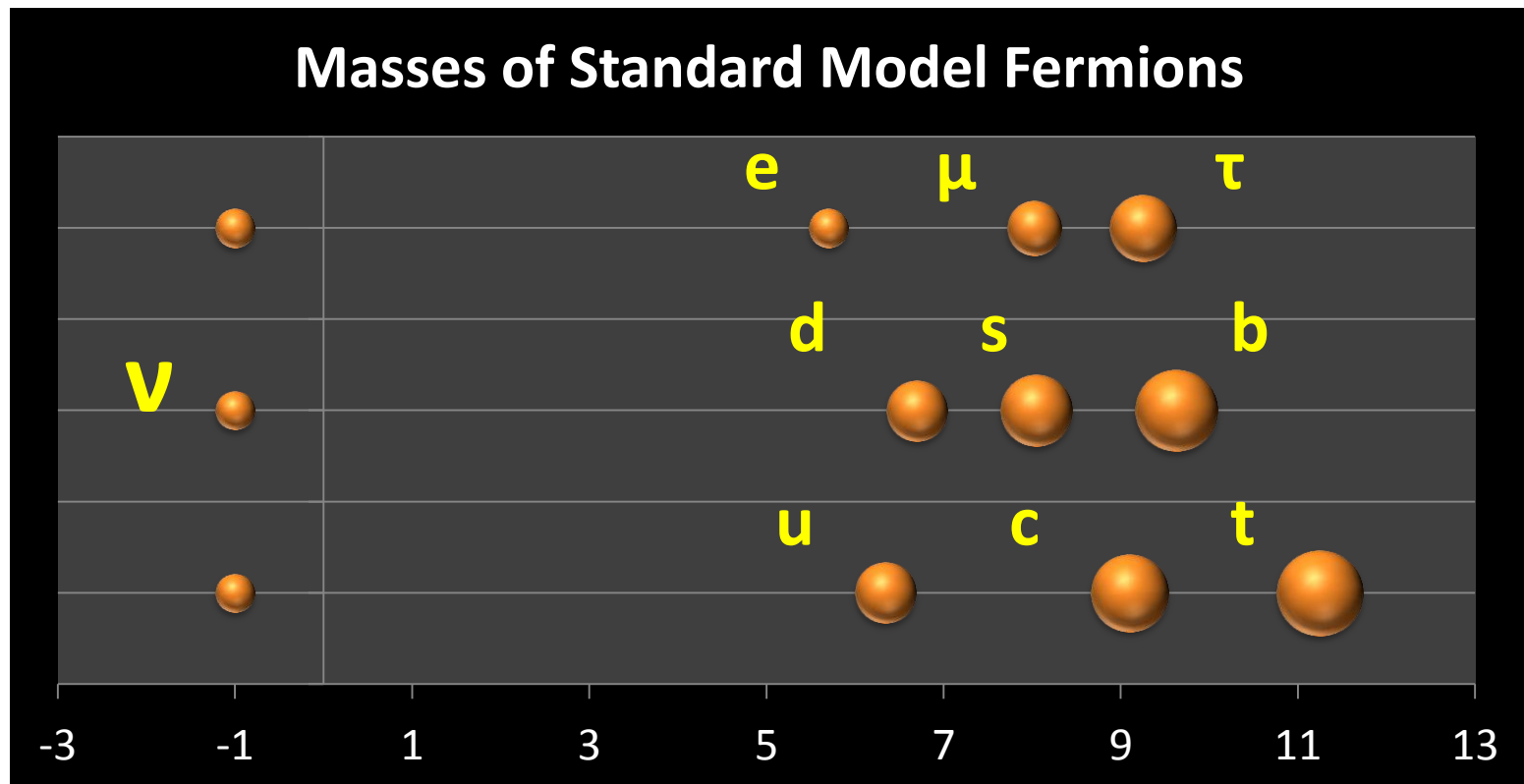


In collaboration with **J. Barry** and **W. Rodejohann**

Based on **JHEP07 (2011) 091**; arXiv:1110.6382, arXiv:1110.6838

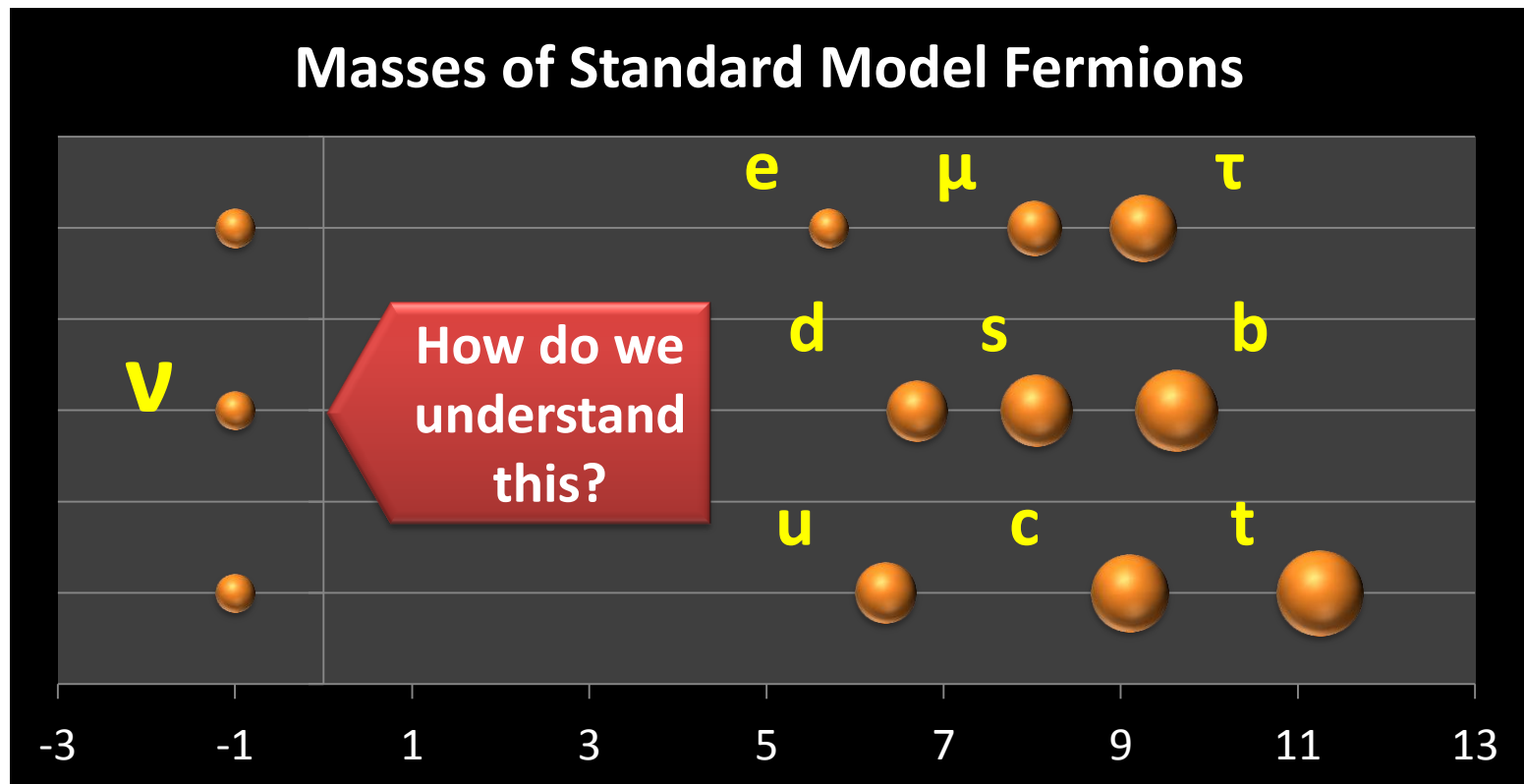
Neutrinos are **massless** in the SM as a result of the model's simple structure:

- $SU(2)_L \times U(1)_Y$ **gauge symmetry** and **Lorentz invariance**;
Fundamentals of the model, mandatory for its consistency as a QFT.
- Economical **particle content**:
No right-handed neutrinos --- a **Dirac** mass term is not allowed.
Only one Higgs doublet --- a **Majorana** mass term is not allowed.
- **Renormalizability**:
No dimension ≥ 5 operators --- a **Majorana** mass term is forbidden.



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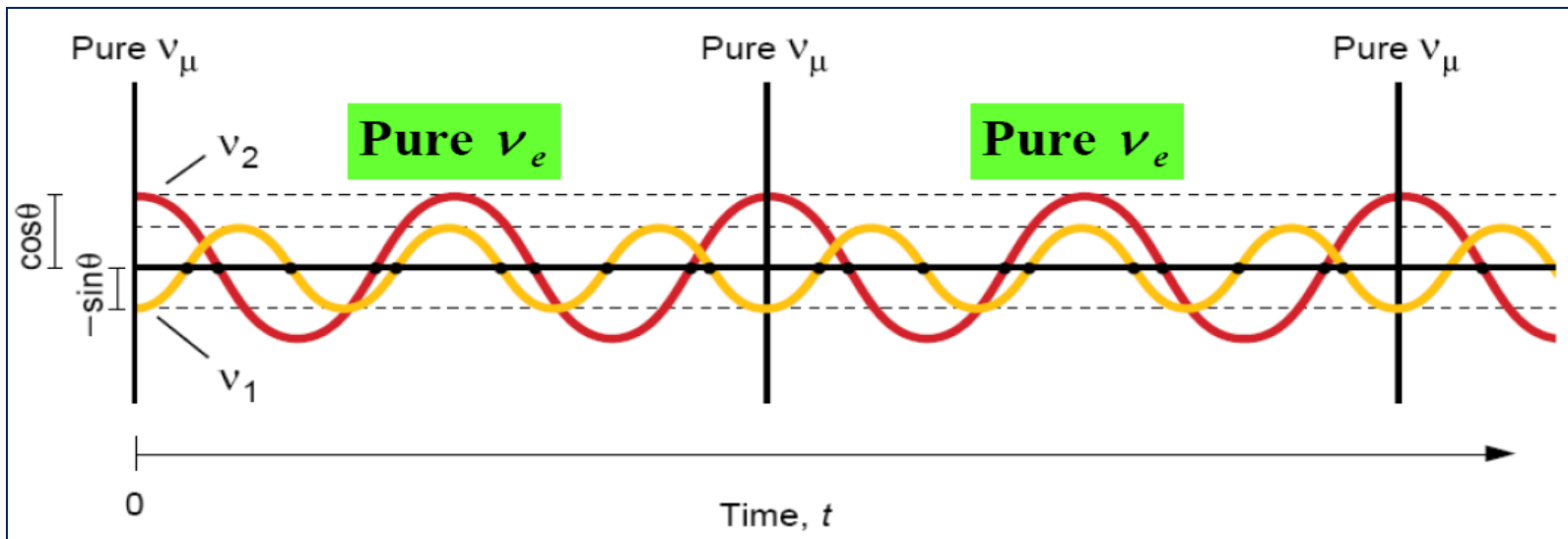


Neutrino mixing: *two flavors*

Neutrinos have (different) masses $\Rightarrow \Delta m^2 = m_1^2 - m_2^2$

The **Weak Eigenstates** are a mixture of **Mass Eigenstates**

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$



$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta \sin^2 \frac{1.27 \Delta m^2 L}{E}$$

Lepton flavor mixing: three flavors

Weak
interaction
eigenstates

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} V_{e1} & V_{e2} & V_{e3} \\ V_{\mu1} & V_{\mu2} & V_{\mu3} \\ V_{\tau1} & V_{\tau2} & V_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Mass
eigenstates

Standard parametrization

Majorana CP-violating phases

$$V_{MNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ \sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\rho} & 0 & 0 \\ 0 & e^{i\sigma} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Dirac CP phase

δ

Neutrino oscillation parameters

TABLE I: Results of the global 3ν oscillation analysis, in terms of best-fit values and allowed 1, 2 and 3σ ranges for the 3ν mass-mixing parameters. We remind that Δm^2 is defined herein as $m_3^2 - (m_1^2 + m_2^2)/2$, with $+\Delta m^2$ for NH and $-\Delta m^2$ for IH.

Parameter	Best fit	1σ range	2σ range	3σ range
$\delta m^2/10^{-5} \text{ eV}^2$ (NH or IH)	7.54	7.32 – 7.80	7.15 – 8.00	6.99 – 8.18
$\sin^2 \theta_{12}/10^{-1}$ (NH or IH)	3.07	2.91 – 3.25	2.75 – 3.42	2.59 – 3.59
$\Delta m^2/10^{-3} \text{ eV}^2$ (NH)	2.43	2.34 – 2.50	2.26 – 2.58	2.15 – 2.66
$\Delta m^2/10^{-3} \text{ eV}^2$ (IH)	2.42	2.32 – 2.49	2.25 – 2.56	2.14 – 2.65
$\sin^2 \theta_{13}/10^{-2}$ (NH)	2.45	2.14 – 2.79	1.81 – 3.11	1.49 – 3.44
$\sin^2 \theta_{13}/10^{-2}$ (IH)	2.46	2.15 – 2.80	1.83 – 3.13	1.50 – 3.47
$\sin^2 \theta_{23}/10^{-1}$ (NH)	3.98	3.72 – 4.28	3.50 – 4.75	3.30 – 6.38
$\sin^2 \theta_{23}/10^{-1}$ (IH)	4.08	3.78 – 4.43	3.55 – 6.27	3.35 – 6.58
δ/π (NH)	0.89	0.45 – 1.18	—	—
δ/π (IH)	0.90	0.47 – 1.22	—	—

Fogli, Lisi, Marrone, Montanino, Palazzo, Rotunno, [1205.5254](#)

global-fit $\sin^2 \theta_{12} \approx 0.31$ $\sin^2 \theta_{23} \approx 0.40$ $\sin^2 \theta_{13} \approx 0.024$

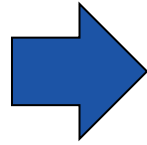
Questions to be answered:

1. Sterile neutrino?
2. Sign of Δm_{31}^2
3. Dirac or Majorana ?
4. Absolute mass scale
5. Leptonic CP violation?
6. Non-standard interactions?

Neutrino mixing

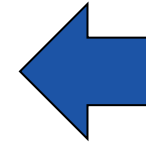
Tri-maximal

$$\begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ \frac{\omega}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{\omega^2}{\sqrt{3}} \\ \frac{\omega^2}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{\omega}{\sqrt{3}} \end{pmatrix}$$



Tri-bimaximal

$$\begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$



Bi-maximal

$$\begin{pmatrix} \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} & 0 \\ -\frac{1}{2} & \frac{1}{2} & \frac{\sqrt{2}}{2} \\ \frac{1}{2} & -\frac{1}{2} & \frac{\sqrt{2}}{2} \end{pmatrix}$$

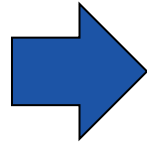
Harrison, Perkins, Scott, 01; Xing, 01

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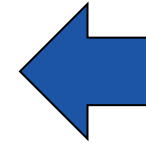
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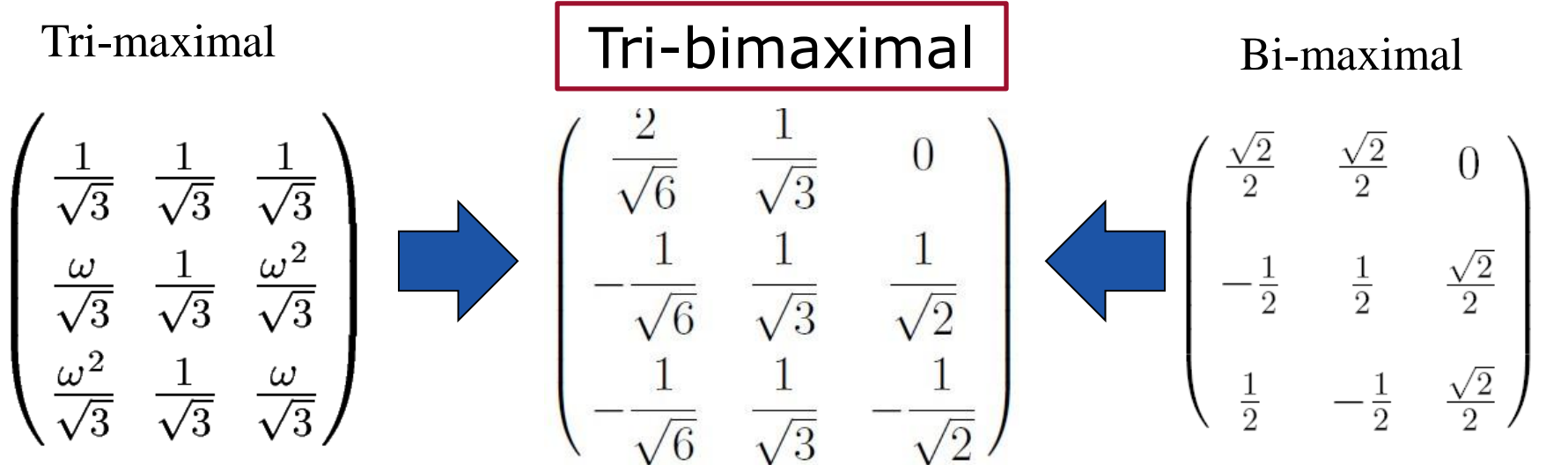
$$\sin^2\theta_{12} = 0.33$$

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Neutrino mixing



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global-fit
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Is there a **flavor symmetry** behind the **TBM**?

- $\mu - \tau$
 Z_2
 A_4
 S_4
 D_n
 Δ_{27}
 Q_6
 ...

Neutrino masses: Dirac neutrinos

Neutrinos are Dirac particles

ν_R + a pure Dirac mass term

Extremely tiny Yukawa coupling $\sim 10^{-11}$, (hierarchy puzzle)

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \left\{ Y \bar{l}_L \nu_R \tilde{\phi} + \text{h.c.} \right\}$$

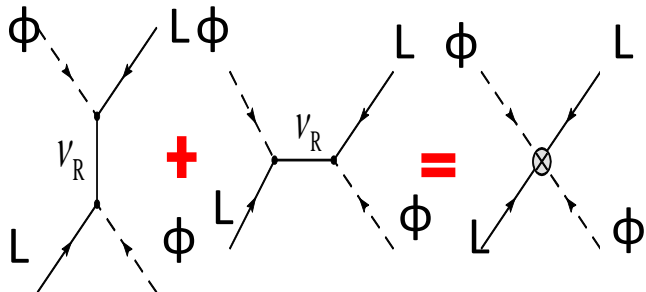
Neutrino masses: Seesaw

Neutrinos are Majorana particles

ν_R + Majorana & Dirac masses + seesaw
 Natural description of the smallness of ν -masses

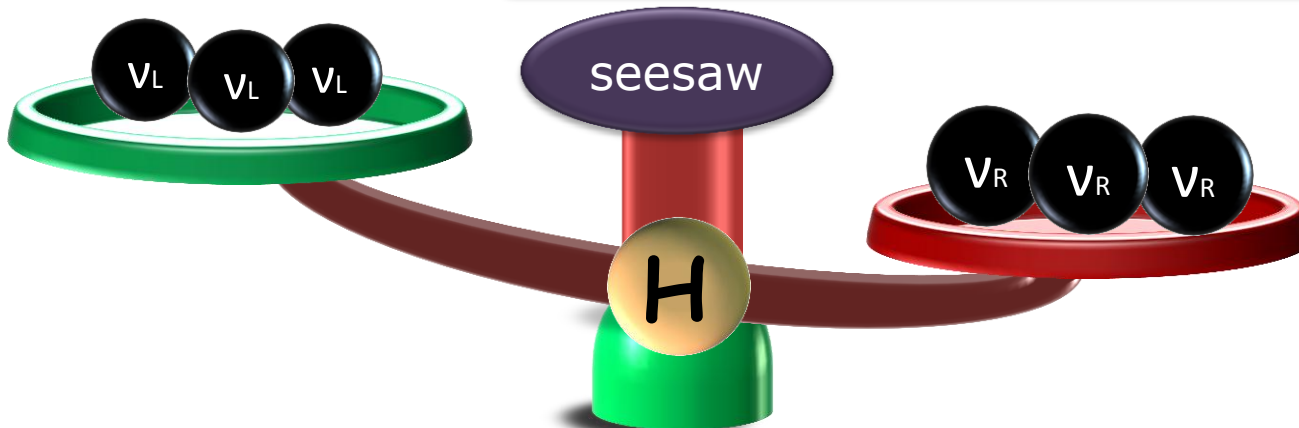
Integrate out right-handed neutrinos

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \left\{ Y \bar{L}_L \nu_R \tilde{\phi} + \frac{1}{2} M_R \bar{\nu}_R \nu_R^c + \text{h.c.} \right\}$$



$$-iY^T \frac{\not{p} + M_R}{p^2 - M_R^2} Y (\varepsilon_{cd} \varepsilon_{ba} + \varepsilon_{ca} \varepsilon_{bd}) P_L = iK (\varepsilon_{cd} \varepsilon_{ba} + \varepsilon_{ca} \varepsilon_{bd}) P_L$$

$$p^2 \ll M_R^2 \Rightarrow Y^T M_R^{-1} Y = K \Rightarrow m_\nu = -m_D^T M_R^{-1} m_D$$

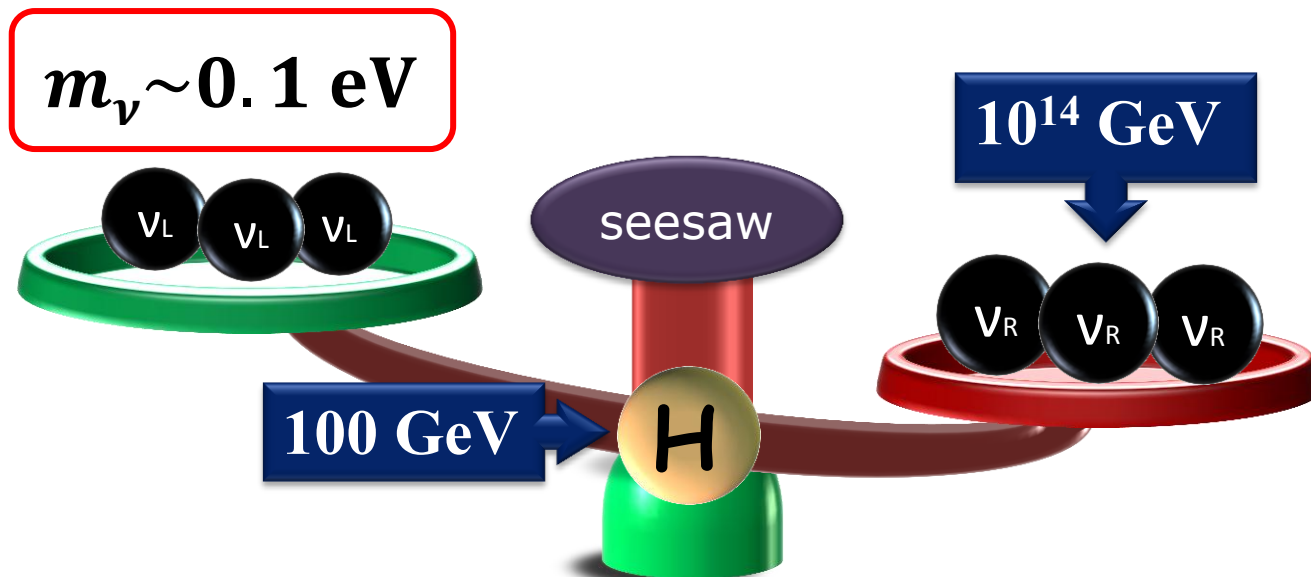


Neutrino masses: Seesaw scale

Typical choice of the seesaw scale:

$$M_R \sim \Lambda_{\text{GUT}} \gg \Lambda_{\text{EW}} \quad \& \quad M_D \sim \Lambda_{\text{EW}}$$

Baryogenesis via Leptogenesis



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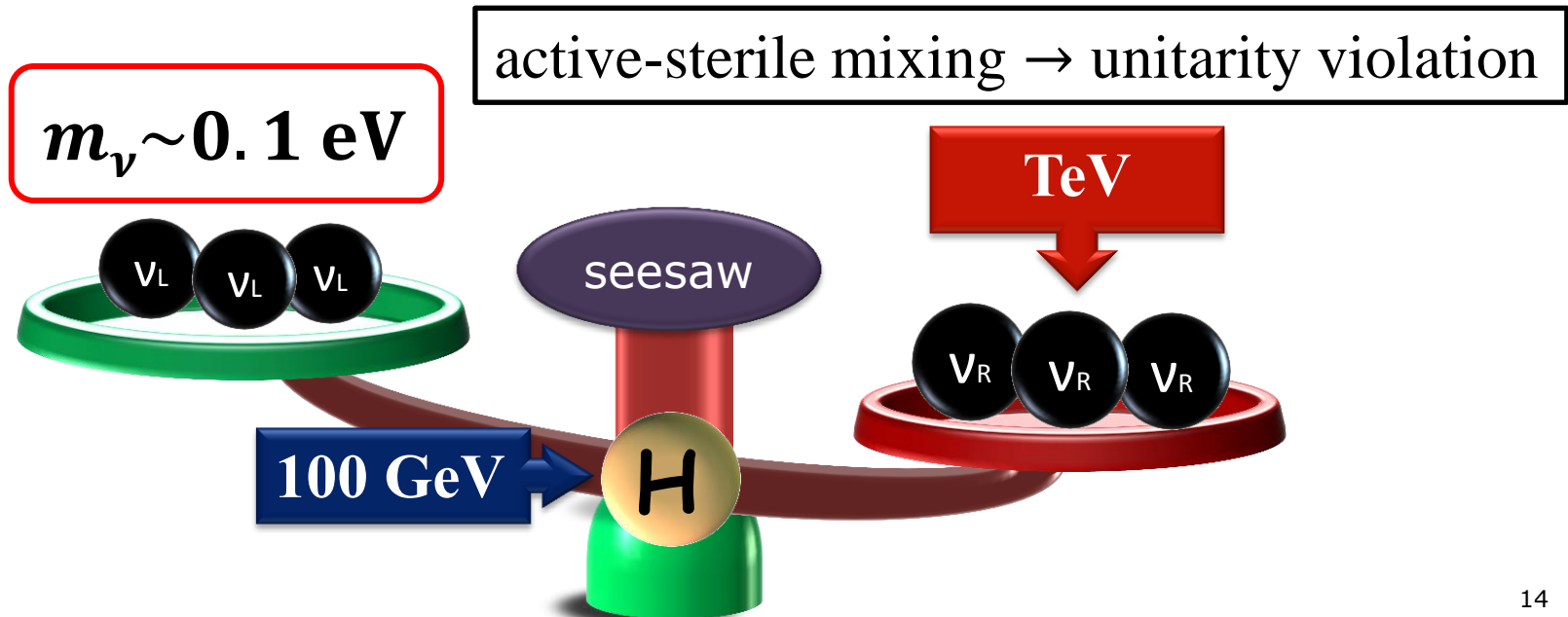
Low-scale ($\sim \text{TeV}$) seesaw

$$M_R \sim \Lambda_{\text{EW}}$$

$$m_\nu = M_D M_R^{-1} M_D^T$$

(Buchmueller, Greub 91; Ingelman, Rathsman 93; Heusch, Minkowski 94;; Kersten, Smirnov 07)

Rich phenomena at colliders and ν -osci. experiments



Neutrino masses: Seesaw scale

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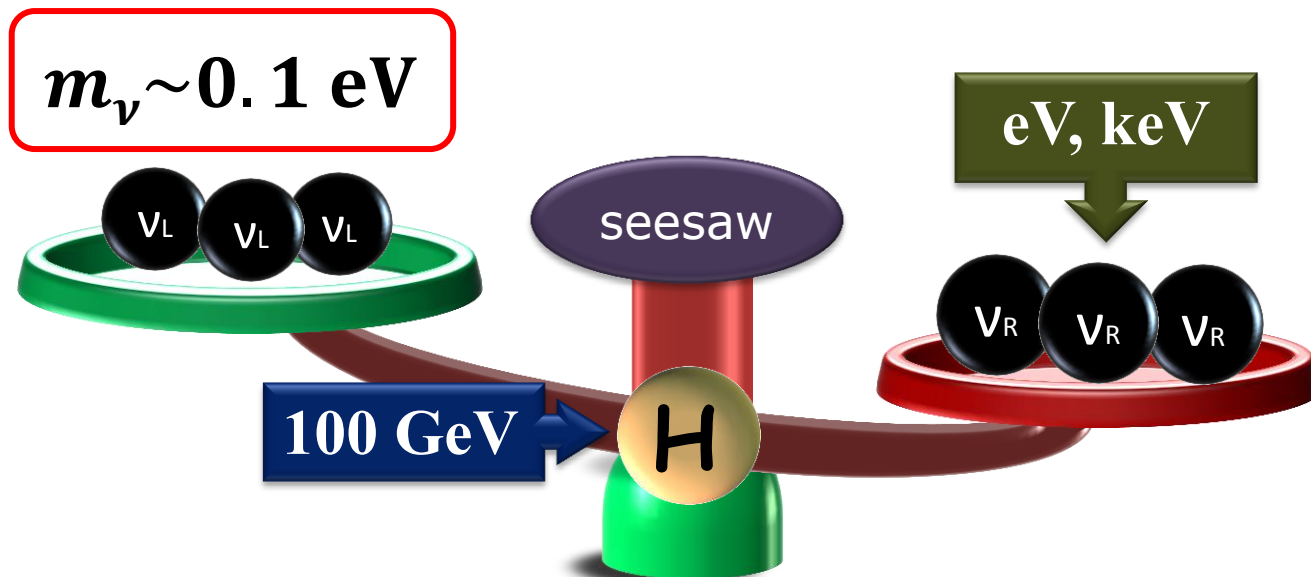
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$$m_\nu \sim 0.1 \text{ eV}$$



seesaw

100 GeV

H

sterile neutrinos: ν_s

eV, keV



Neutrino mixing matrix: with sterile neutrinos

$$4 \times 4 \text{ case: } U = R_{34} \tilde{R}_{24} \tilde{R}_{14} R_{23} \tilde{R}_{13} R_{12} P$$

$$R_{34} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & c_{34} & s_{34} \\ 0 & 0 & -s_{34} & c_{34} \end{pmatrix} \quad \tilde{R}_{14} = \begin{pmatrix} c_{14} & 0 & 0 & s_{14} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -s_{14} & 0 & 0 & c_{14} \end{pmatrix}$$

$$P = \text{diag}\left(1, e^{i\alpha/2}, e^{i(\beta/2+\delta_{13})}, e^{i(\gamma/2+\delta_{14})}\right)$$

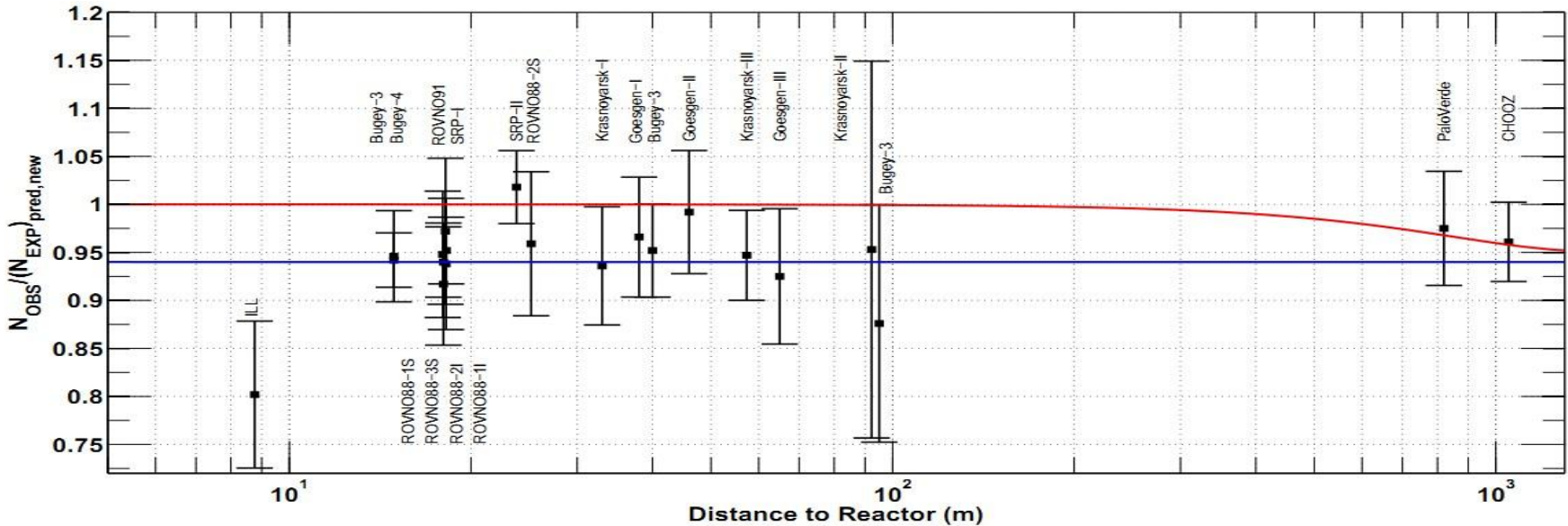
six mixing angles + 3 Dirac phases + 3 Majorana phases

$$5 \times 5 \text{ case: } U = \tilde{R}_{25} R_{34} R_{25} \tilde{R}_{24} R_{23} \tilde{R}_{15} \tilde{R}_{14} \tilde{R}_{13} R_{12} P$$

Active-sterile neutrino mixing

Reactor neutrino anomaly

Mention, Fechner, Lasserre, Mueller, Lhuillier, Cribier, Letourneau, [11](#)



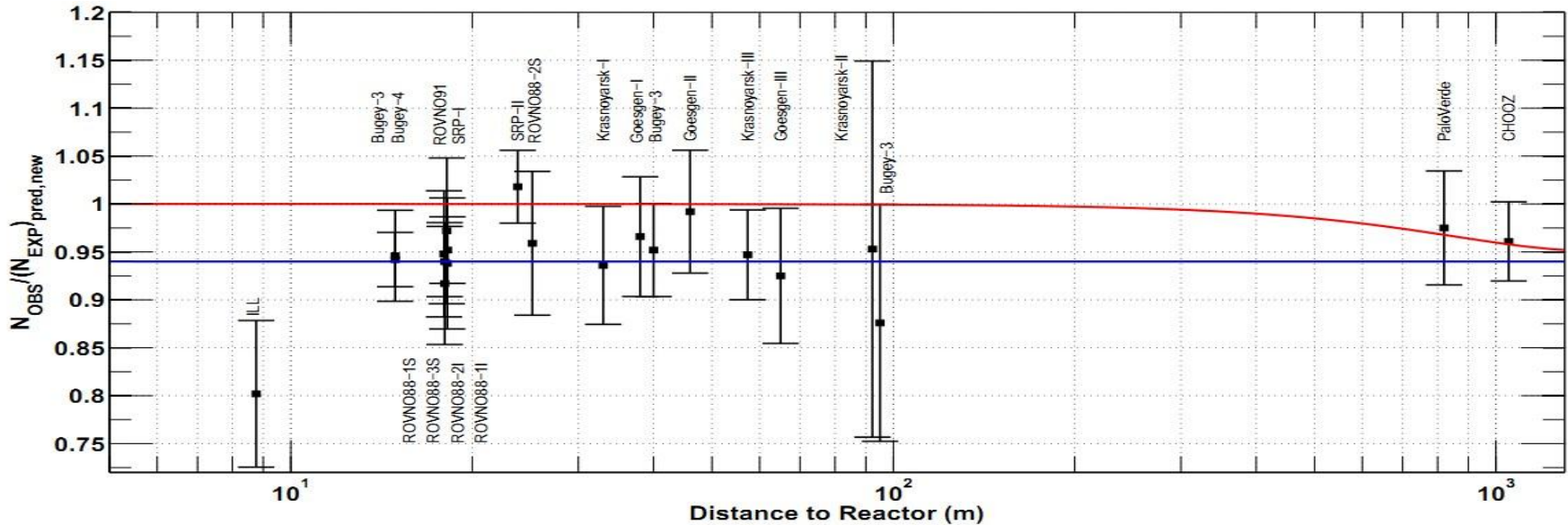
Recent re-evaluation of the anti-neutrino spectra of nuclear reactors indicates increased fluxes, which be explained by additional sterile neutrinos with masses at the eV scale

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} \simeq 1 - 4 |U_{e4}|^2 \sin^2 \left(\frac{\Delta_{41} L}{4E} \right) = 1 - \sin^2 (2\theta_{14}) \sin^2 \left(\frac{\Delta_{41} L}{4E} \right)$$

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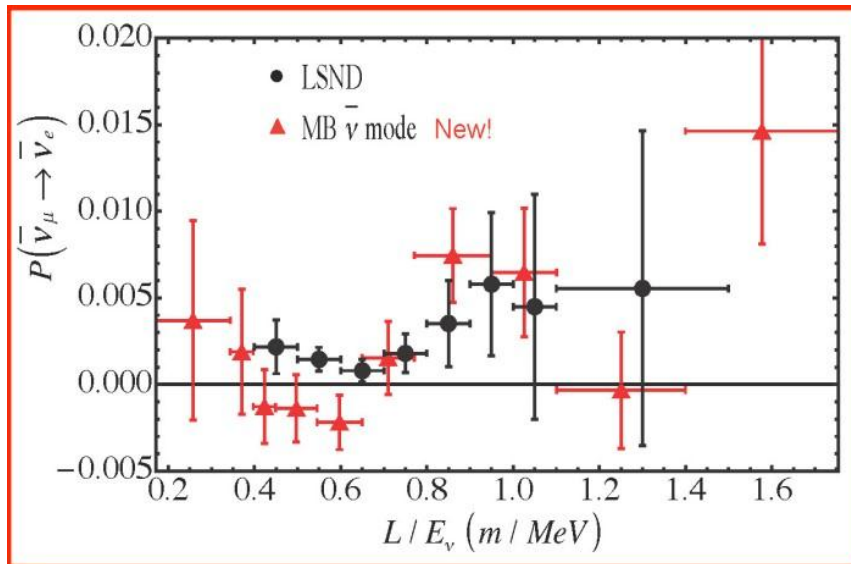
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Short-baseline expts:
LSND and MiniBooNE



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Short-baseline expts:

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$$P_{\bar{\nu}_\mu \rightarrow \bar{\nu}_e} \simeq 4|U_{e4}|^2|U_{\mu4}|^2 \sin^2 \left(\frac{\Delta_{41}L}{4E} \right) + 4|U_{e5}|^2|U_{\mu5}|^2 \sin^2 \left(\frac{\Delta_{51}L}{4E} \right) \\ + 8|U_{e4}U_{\mu4}U_{e5}U_{\mu5}| \sin \left(\frac{\Delta_{41}L}{4E} \right) \sin \left(\frac{\Delta_{51}L}{4E} \right) \cos \left(\frac{\Delta_{54}L}{4E} + \delta \right)$$

Active-sterile neutrino mixing

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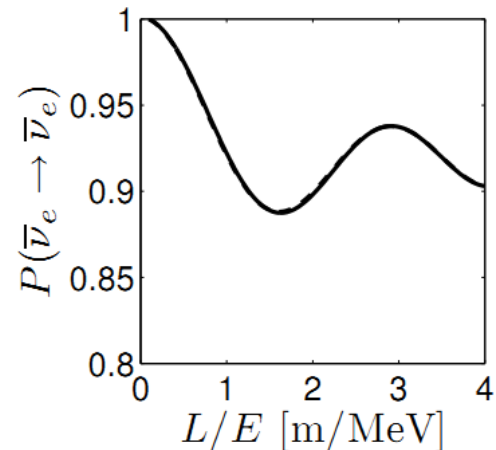
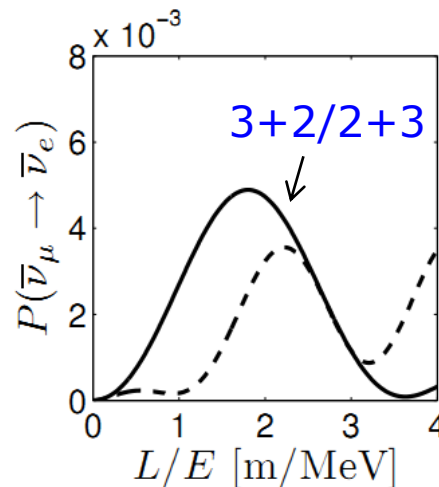
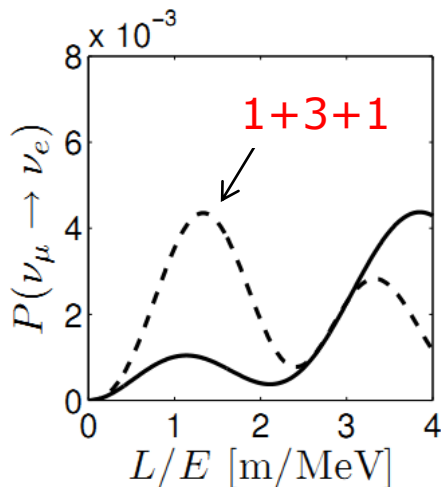
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Active-sterile neutrino mixing

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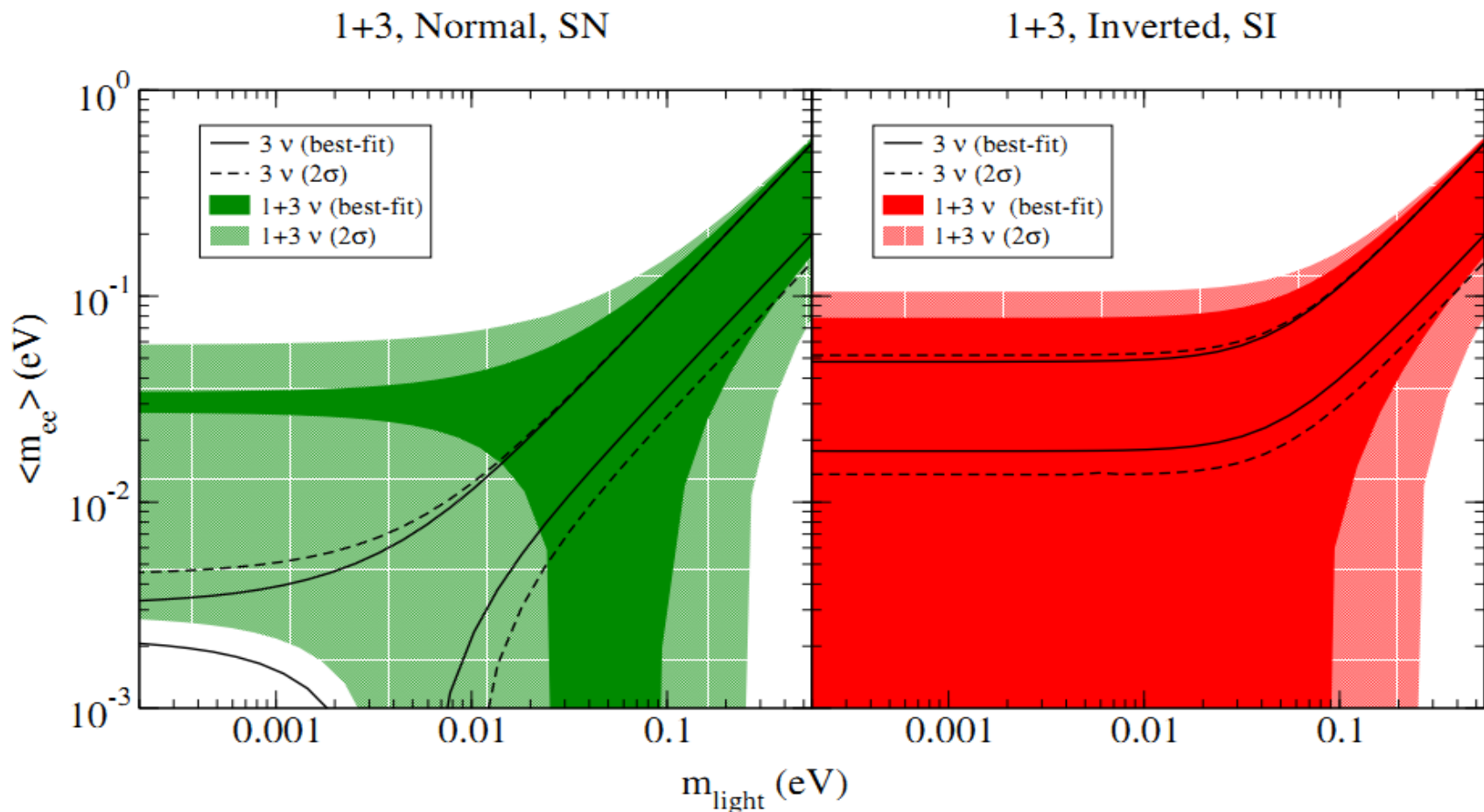
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Active-sterile neutrino mixing

neutrino-less double beta decay



The allowed ranges in the $\langle m_{ee} \rangle - m_{\text{light}}$ parameter space

Best-fit and estimated 2σ values of the sterile neutrino parameters.

Kopp, Maltoni, Schwetz, [1103.4570](#)

	parameter	Δm_{41}^2 [eV]	$ U_{e4} ^2$	Δm_{51}^2 [eV]	$ U_{e5} ^2$
3+1/1+3	best-fit	1.78	0.023		
	2σ	1.61–2.01	0.006–0.040		
3+2/2+3	best-fit	0.47	0.016	0.87	0.019
	2σ	0.42–0.52	0.004–0.029	0.77–0.97	0.005–0.033
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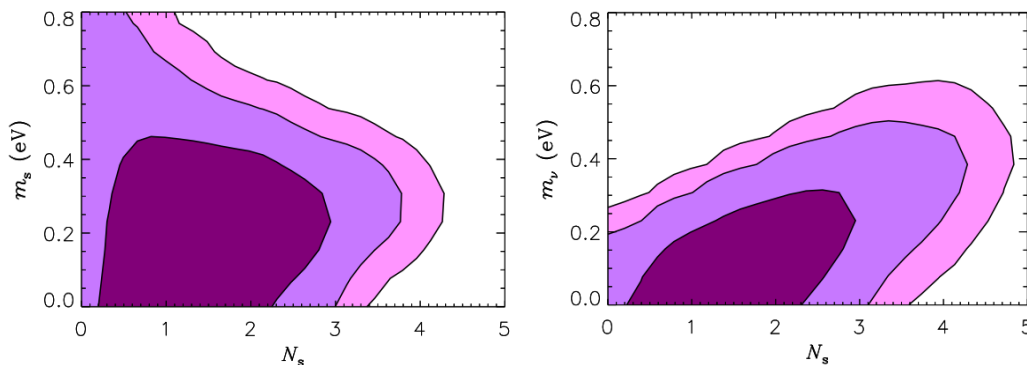
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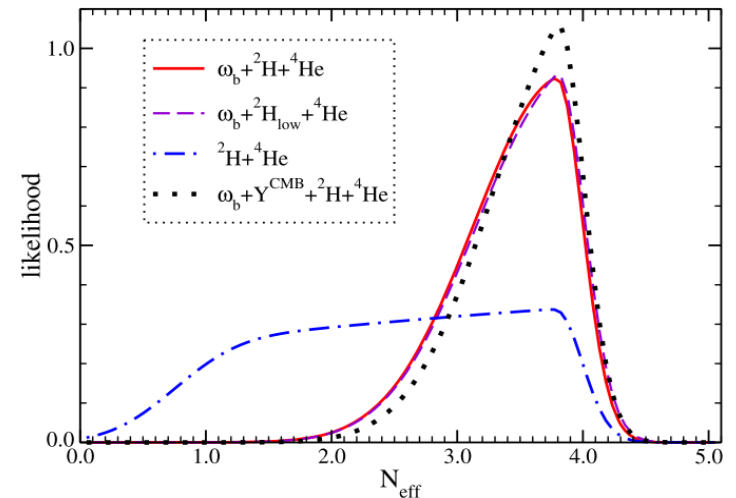
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Constraints from cosmology

CMB J. Hamann et al, [1006.5276](#)



BBN



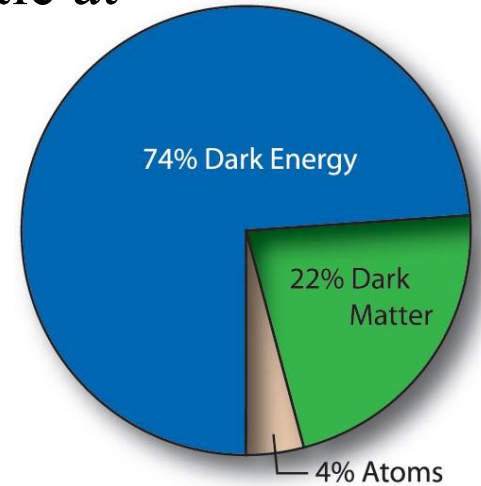
Mangano, Serpico, [1103.1261](#)

Phenomenology: ν_s Warm Dark Matter

WDM – relativistic at decoupling, non-relativistic at radiation to matter dominance transition

reduces small scale structure:

- smoother profiles
- less Dwarf Satellites



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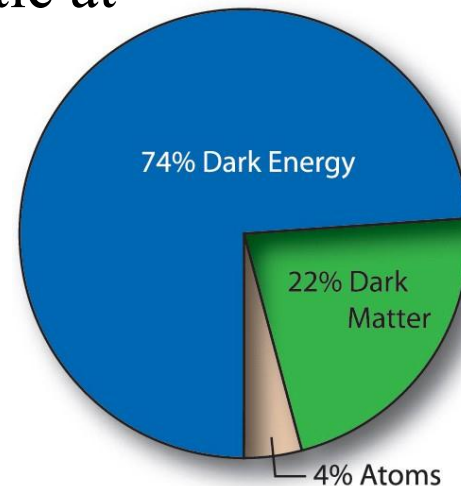
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keV **sterile neutrino WDM**: works very well

- Right-handed neutrinos probably exist (**seesaw**)
- $M_R \approx \text{keV}$
- Only one light ν_s is enough, the other two could still be heavy (**thermal leptogenesis**)



The ν MSM

Asaka, Blanchet, Shaposhnikov, 05 Asaka, Shaposhnikov, 05

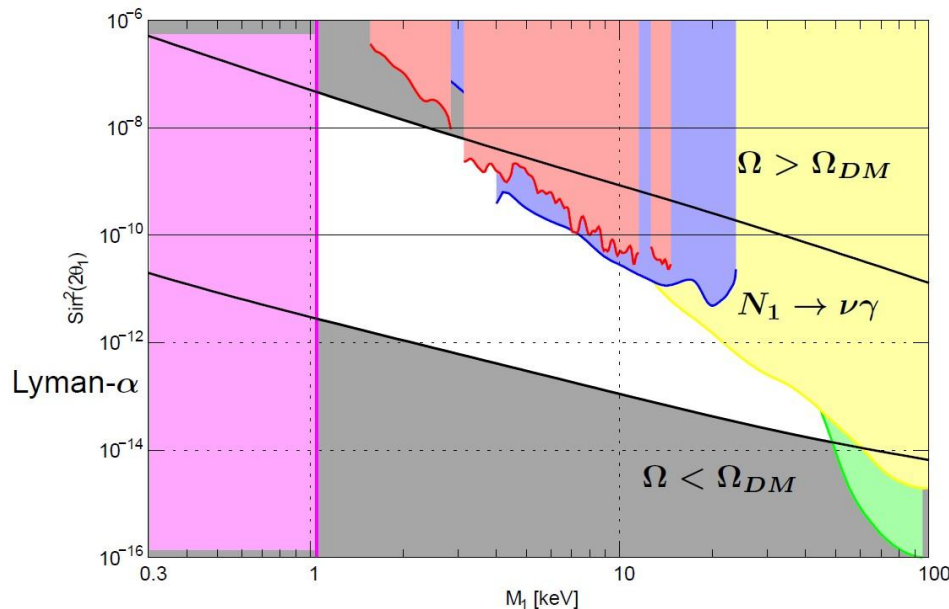
- A keV ν_{R1} can be WDM Dodelson, Widrow '93,...
(production: active-sterile oscillation, etc)
- GeV-scale ν_{R2} & ν_{R3} generate light neutrino masses via seesaw
- Decay of heavy right-handed neutrinos account for the Baryon Asymmtry of the Universe

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Asymmtry of the Universe



- ❖ Weak mixing between ν_{R1} and active neutrinos
- ❖ ν_{R2} and ν_{R3} are quasi-degenerate
- ❖ one massless active ν
- ❖ mass splitting between right-handed neutrinos

The ν MSM

Asaka, Blanchet, Shaposhnikov, 05 Asaka, Shaposhnikov, 05

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Alternative scenarios: ν_R charged under BSM gauge group

Bezrukov, Hettmannsperger, Lindner, 09

- ❖ $SU(3) \times SU(2)_L \times SU(2)_R \times U(1)_{B-L}$
- ❖ thermal production of WDM
- ❖ entropy productions due to the decay of heavy ν_R
- ❖ rich collider phenomena (**signatures at the LHC?**)

The ν MSSM

Asaka, Blanchet, Shaposhnikov, 05 Asaka, Shaposhnikov, 05

- A keV ν_{R1} can be WDM Dodelson, Widrow '93,...
(production: active-sterile oscillation, etc)
- GeV-scale ν_{R2} & ν_{R3} generate light neutrino masses via seesaw
- Decay of heavy right-handed neutrinos account for the Baryon Asymmetry of the Universe

Alternative scenarios: ν_R charged under BSM gauge group

Bezrukov, Hettmannsperger, Lindner, 09

- ❖ $SU(3) \times SU(2)_L \times SU(2)_R \times U(1)_{B-L}$
- ❖ thermal production of WDM
- ❖ entropy productions due to the decay of heavy ν_R
- ❖ rich collider phenomena (**signatures at the LHC?**)

✓ A **mechanism** is needed to make ν_s light

How to realize low-scale ν_s

$$m_\nu = M_D M_R^{-1} M_D^T$$

0.1 eV

1 keV

- ❖ Why are they so light?
 - ❖ Why do they not form the Dirac particles as heavy as the charged fermions?
-

How to realize low-scale ν_s

$$m_\nu = M_D M_R^{-1} M_D^T$$

0.1 eV

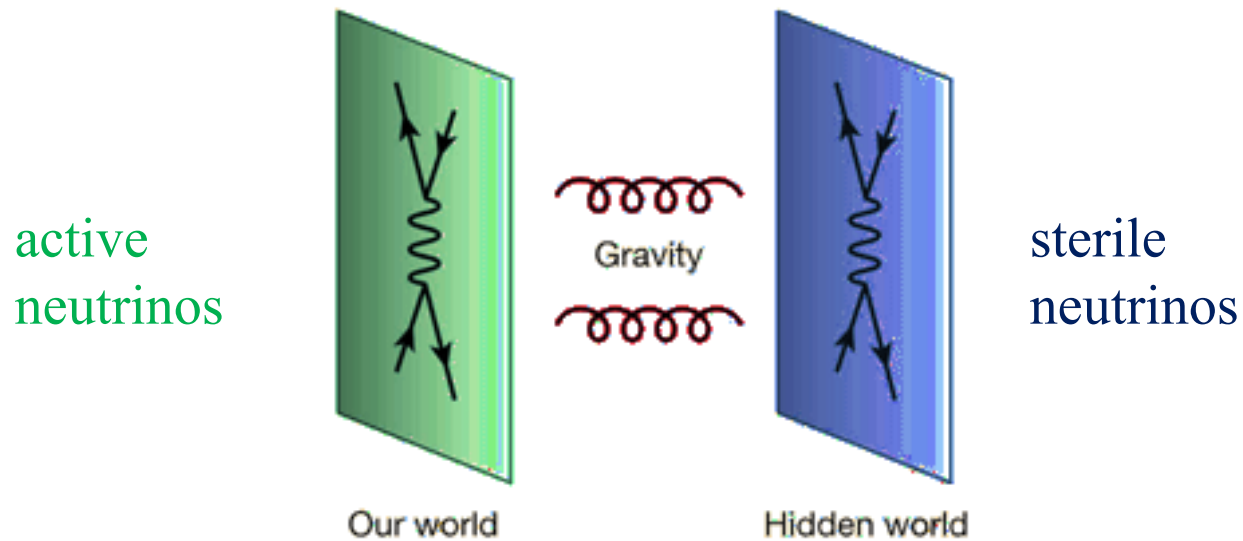
1 keV

- ❖ both M_R and M_D are suppressed by symmetries

How to realize low-scale ν_s

Extra dimension theories (Kusenko, Takahashi, Yanagida, **10**)

- Splitting between the SM brane and a **hidden brane**



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$$S = \int d^4x dy M (i\bar{\Psi}\Gamma^A\partial_A\Psi + m\bar{\Psi}\Psi)$$

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zero mode with an exponential profile in the bulk

$$\underline{\Psi_R^{(0)}(y, x) = \sqrt{\frac{2m}{e^{2m\ell} - 1}} \frac{1}{\sqrt{M}} e^{my} \psi_R^{(4D)}(x)}$$

How to realize low-scale ν_s

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$$S = \int d^4x dy \left\{ M \left(i\bar{\Psi}_{iR}^{(0)} \Gamma^A \partial_A \Psi_{iR}^{(0)} + m_i \bar{\Psi}_{iR}^{(0)} \Psi_{iR}^{(0)} \right) + \delta(y) \left(\frac{\kappa_i}{2} v_{B-L} \bar{\Psi}_{iR}^{(0)c} \Psi_{iR}^{(0)} + \tilde{\lambda}_{i\alpha} \bar{\Psi}_{iR}^{(0)} L_\alpha \phi + \text{h.c.} \right) \right\}$$



$$M_{Ri} = \kappa_i v_{B-L} \frac{2m_i}{M(e^{2m_i l} - 1)} \quad \lambda_{i\alpha} = \frac{\tilde{\lambda}_{i\alpha}}{\sqrt{M}} \sqrt{\frac{2m_i}{e^{2m_i l} - 1}} = \tilde{\lambda}_{i\alpha} \sqrt{\frac{M_{Ri}}{\kappa_i v_{B-L}}}$$

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$$(m_\nu)_{\alpha\beta} = \left(\sum_i \frac{1}{\kappa_i} \tilde{\lambda}_{i\alpha} \tilde{\lambda}_{i\beta} \right) \frac{\langle \phi^0 \rangle^2}{v_{B-L}}$$

How to realize low-scale ν_s

Flavor symmetries

$L_e - L_\mu - L_\tau$ symmetry:

	L_{eL}	$L_{\mu L}$	$L_{\tau L}$	e_R	μ_R	τ_R	N_{1R}	N_{2R}	N_{3R}	ϕ	Δ
\mathcal{F}	1	-1	-1	1	-1	-1	1	-1	-1	0	0

$$\mathcal{M}_\nu = \left(\begin{array}{ccc|ccc} 0 & m_L^{e\mu} & m_L^{e\tau} & m_D^{e1} & 0 & 0 \\ m_L^{e\mu} & 0 & 0 & 0 & m_D^{\mu2} & m_D^{\mu3} \\ m_L^{e\tau} & 0 & 0 & 0 & m_D^{\tau2} & m_D^{\tau3} \\ \hline m_D^{e1} & 0 & 0 & 0 & M_R^{12} & M_R^{13} \\ 0 & m_D^{\mu2} & m_D^{\tau2} & M_R^{12} & 0 & 0 \\ 0 & m_D^{\mu3} & m_D^{\tau3} & M_R^{13} & 0 & 0 \end{array} \right)$$

(Lindner, Merle, Niro, **10**)

How to realize low-scale ν_s

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(Lindner, Merle, Niro, **10**)



$$\left(\begin{array}{cccccc} \lambda_+ & 0 & 0 & 0 & 0 & 0 \\ 0 & \lambda_- & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \Lambda_+ & 0 & 0 \\ 0 & 0 & 0 & 0 & \Lambda_- & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right)$$

two heavy + one
massless right-
handed neutrinos

$$\Lambda_\pm = \pm\sqrt{2}M_R$$

$$\lambda_\pm = \pm\sqrt{2}[m_L - m_D^2/M_R]$$

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(Lindner, Merle, Niro, **10**)

light sterile neutrino from
symmetry breaking



$$\left(\begin{array}{cccccc} \lambda_+ & 0 & 0 & 0 & 0 & 0 \\ 0 & \lambda_- & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \Lambda_+ & 0 & 0 \\ 0 & 0 & 0 & 0 & \Lambda_- & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right)$$

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$$\Lambda_\pm = \pm\sqrt{2}M_R$$

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$$\begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \updownarrow M_3 \approx M_2 \\ \text{---} \\ \text{---} \\ \text{---} \\ \updownarrow M_2 \gtrsim \text{GeV} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \quad M_2 = M_3 \gtrsim \text{GeV}$$

$$L_e - L_\mu - L_\tau$$

~~$$L_e - L_\mu - L_\tau$$~~

$$\begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \uparrow M_1 \sim \text{keV} \\ \text{---} \\ \text{---} \\ \text{---} \\ \uparrow M_1 \equiv 0 \end{array}$$

$$m_s \sim S \sim \text{keV}$$

$$\Delta M = M_3 - M_2 \sim m_s$$

drawback:
maximum
solar mixing!
43

How to realize low-scale ν_s

Flavor symmetries

Friedberg-Lee symmetry:

R.Friedberg & T.D.Lee, 2006

Neutrino mass operator is **invariant** under the transformation

$$\nu_e \rightarrow \nu_e + z; \quad \nu_\mu \rightarrow \nu_\mu + z; \quad \nu_\tau \rightarrow \nu_\tau + z;$$

$z \rightarrow$ Grassmann number

How to realize low-scale ν_s

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$$\overline{M} = \begin{pmatrix} b+c & -b & c \\ -b & a+b & a \\ c & a & c+a \end{pmatrix}$$

❖ Rank 2 \rightarrow one massless eigenstate

How to realize low-scale ν_s

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- ✓ Applied to the right-handed neutrino sector
- ✓ One massless sterile neutrino before symmetry breaking

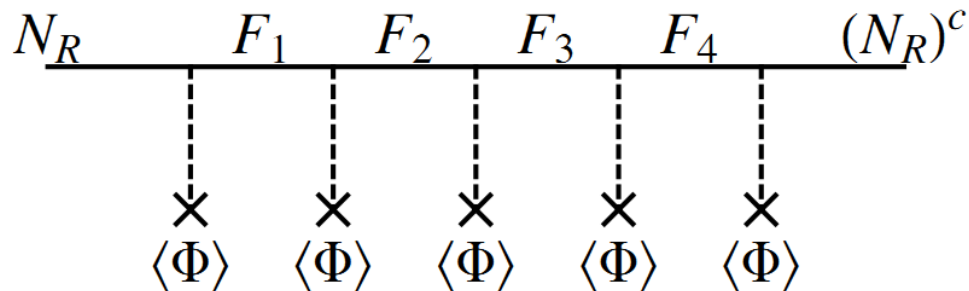
He, Li, Liao, 2009

How to realize low-scale ν_s

Froggatt-Nielsen mechanism

- Fermion flavors are differently charged under a $U(1)_{\text{FN}}$ symmetry
- Right-handed neutrino masses receive a suppression factor

$$M \rightarrow M \lambda^{2F} \quad (\lambda = \frac{\langle \phi \rangle}{\Lambda} < 1)$$

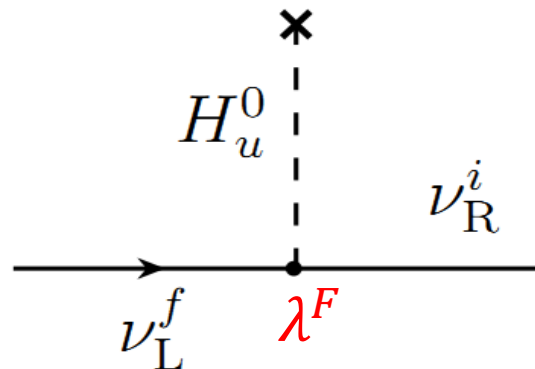
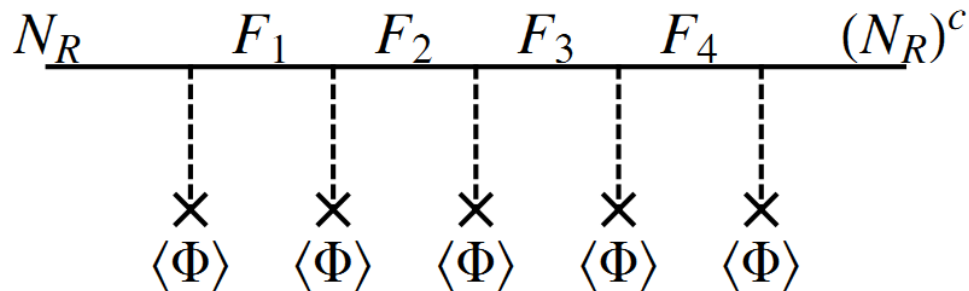


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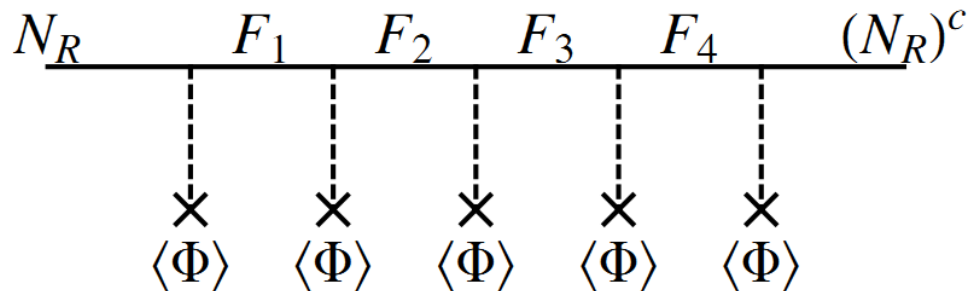


How to realize low-scale ν_s

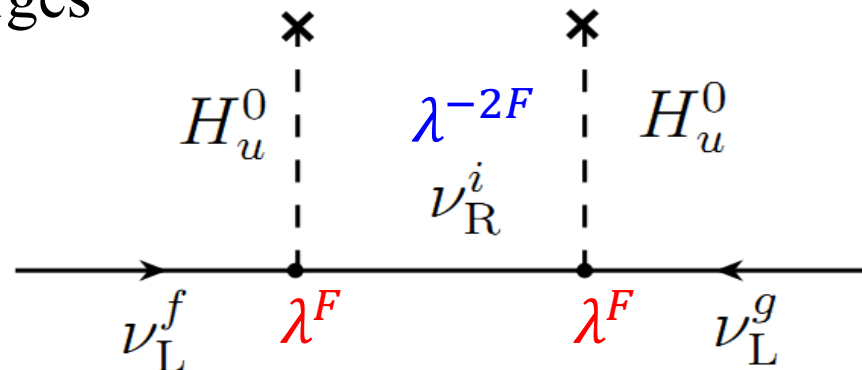
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- Seesaw formula and the active neutrino masses are **not** affected by the FN charges

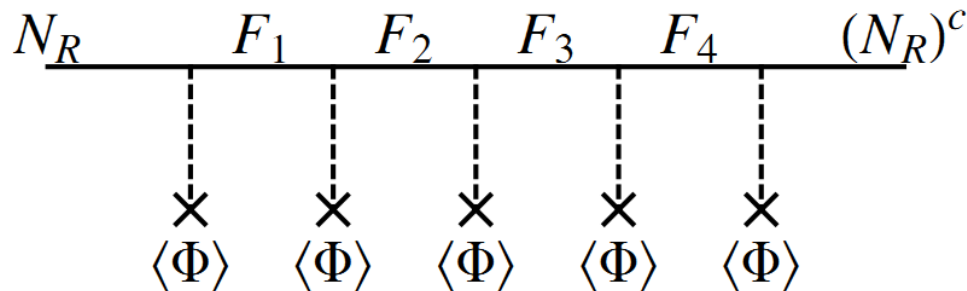


How to realize low-scale ν_s

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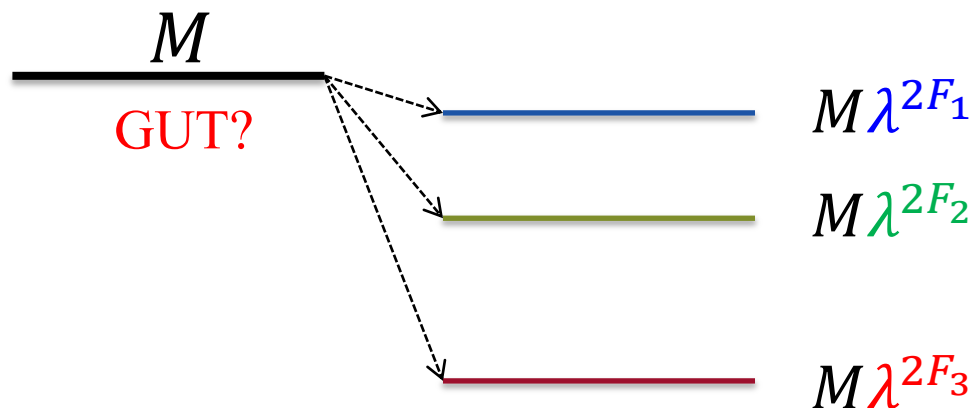
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- Seesaw formula and the active neutrino masses are **not** affected by the FN charges

- sterile neutrino mass spectrum



How to realize low-scale ν_s : Minimal Extended Seesaw

Light sterile neutrinos: suppressed by **seesaw** as well?

(HZ, **1110.6838**)

How to realize low-scale ν_s : Minimal Extended Seesaw

The model: **SM** + three right-handed neutrinos + one singlet **S**

$$- \mathcal{L}_m = \overline{\nu}_L M_D \nu_R + \overline{S^c} M_S \nu_R + \frac{1}{2} \overline{\nu_R^c} M_R \nu_R + \text{h.c.}$$

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$$M_S = (\times \quad \times \quad \times)$$

- The full 7×7 neutrino mass matrix is of rank 6, and therefore, one active neutrino is massless.


$$M_\nu^{7 \times 7} = \begin{pmatrix} 0 & M_D & 0 \\ M_D^T & M_R & M_S^T \\ 0 & M_S & 0 \end{pmatrix}$$

How to realize low-scale ν_s : Minimal Extended Seesaw

The model: **SM** + three right-handed neutrinos + one singlet **S**

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$$M_\nu^{7 \times 7} = \begin{pmatrix} 0 & M_D & 0 \\ M_D^T & M_R & M_S^T \\ 0 & M_S & 0 \end{pmatrix} \quad \text{If } M_R \gg M_S, M_D, \text{ we can integrate out } \nu_R$$



$$m_\nu \simeq M_D M_R^{-1} M_S^T (M_S M_R^{-1} M_S^T)^{-1} M_S (M_R^{-1})^T M_D^T - M_D M_R^{-1} M_D^T$$
$$m_s \simeq -M_S M_R^{-1} M_S^T$$

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$$m_\nu \simeq \frac{M_D M_R^{-1} M_S^T (M_S M_R^{-1} M_S^T)^{-1} M_S (M_R^{-1})^T M_D^T - M_D M_R^{-1} M_D^T}{}$$

$$m_s \simeq -M_S M_R^{-1} M_S^T$$


Do not cancel with each other
 \Rightarrow two massive light neutrinos

How to realize low-scale ν_s : Minimal Extended Seesaw

The model: **SM** + three right-handed neutrinos + one singlet **S**

$$- \mathcal{L}_m = \overline{\nu}_L M_D \nu_R + \overline{S^c} M_S \nu_R + \frac{1}{2} \overline{\nu_R^c} M_R \nu_R + \text{h.c.}$$

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$$m_s \simeq -M_S M_R^{-1} M_S^T$$

$$M_D \sim 100 \text{ GeV};$$

$$M_S \sim 10^4 \text{ GeV}; \quad M_R \sim 2 \times 10^{14} \text{ GeV}$$



$$m_\nu \sim 0.05 \text{ eV};$$


$$m_s \sim \text{keV};$$

How to realize low-scale ν_s : Minimal Extended Seesaw

The model: **SM** + three right-handed neutrinos + one singlet **S**

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$$M_D \sim 100 \text{ GeV};$$

$$M_S \sim 10^4 \text{ GeV}; \quad M_R \sim 2 \times 10^{14} \text{ GeV}$$



$$m_\nu \sim 0.05 \text{ eV};$$

$$m_s \sim \text{keV};$$


- ✓ No need to artificially insert small mass scales and tiny Yukawa couplings for light neutrino masses.
- ✓ Thermal leptogenesis works.
- ✓ Only **one** singlet S is allowed (minimal extension).

How to realize low-scale ν_s : Minimal Extended Seesaw

The model: **SM** + three right-handed neutrinos + one singlet **S**

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$$m_s \simeq -M_S M_R^{-1} M_S^T$$

A similar idea was used with a sterile state of mass $\sim 10^{-3}$ eV introduced in order to explain the solar neutrino problem

(Chun, Joshipura, Smirnov, **95**)

How to realize low-scale ν_s : Non-standard Approach

Mirror model

Berezhianaia, Mohapatra 95; Foot, Volkas, 95;
Berezinsky, Narayan, Vissani 02

$$SU(3) \times SU(2) \times U(1) \quad \times \quad SU(3)' \times SU(2)' \times U(1)'$$

Quarks (B=1/3) & Leptons (L=1) Quarks (B'=1/3) & Leptons (L'=1)

Yukawa interactions

$$-L = Y \bar{f}_L H f_R$$

$$\langle H \rangle = v$$

$$m_\nu \sim v^2 / M$$

$$m_{\nu_s} \sim \frac{LL'HH'}{\Lambda_{\text{PL}}}$$

Yukawa interactions

$$-L = Y' \bar{f}_L' H' f_R'$$

$$\langle H' \rangle = v'$$

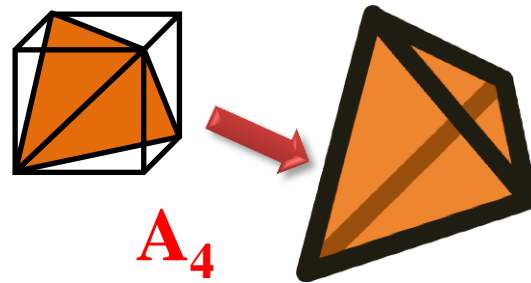
$$m_s \sim v'^2 / M$$

Different inflation, reheating temp

Axino scenario

WDM is the supersymmetry particle of axion

ν_S in flavor symmetry models: A_4 + FN mechanism



- Symmetry group of tetrahedron
- Even permutations of four objects
- Twelve elements
- Four irreducible represents: $1, 1', 1'',$ and 3

Tri-bimaximal
mixing (TBM)

$$\begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

ν_s in flavor symmetry models: an effective approach

Barry, Rodejohann, HZ, 2012

Field	L	e^c	μ^c	τ^c	$h_{u,d}$	φ	φ'	ξ	Θ	ν_s
$SU(2)_L$	2	1	1	1	2	1	1	1	1	1
A_4	<u>3</u>	<u>1</u>	<u>1''</u>	<u>1'</u>	<u>1</u>	<u>3</u>	<u>3</u>	<u>1</u>	<u>1</u>	<u>1</u>
Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1	1
$U(1)_{\text{FN}}$	-	4	2	0	-	-	-	-	-1	8

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Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1	1
$U(1)_{FN}$	-	4	2	0	-	-	-	-	-1	8

Invariant Lagrangian under $A_4 \times Z_3 \times U(1)_{FN}$

$$\begin{aligned}
 -\mathcal{L}_Y = & \frac{y_e}{\Lambda} \lambda^4 (\varphi L h_d) e^c + \frac{y_\mu}{\Lambda} \lambda^2 (\varphi L h_d)' \mu^c + \frac{y_\tau}{\Lambda} (\varphi L h_d)'' \tau^c + \frac{x_a}{\Lambda^2} \xi (L h_u L h_u) + \frac{x_d}{\Lambda^2} (\varphi' L h_u L h_u) \\
 & + \frac{x_e}{\Lambda^2} \lambda^8 \xi (\varphi' L h_u) \nu_s + \frac{x_f}{\Lambda^2} \lambda^8 (\varphi' \varphi' L h_u) \nu_s + m_s \lambda^{16} \nu_s^c \nu_s^c + \text{h.c.},
 \end{aligned}$$

$$\lambda \equiv \langle \Theta \rangle / \Lambda < 1$$

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A_4	$\underline{3}$	$\underline{1}$	$\underline{1}''$	$\underline{1}'$	$\underline{1}$	$\underline{3}$	$\underline{3}$	$\underline{1}$	$\underline{1}$	$\underline{1}$
Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1	1
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 \end{aligned}$$

$$\lambda \equiv \langle \Theta \rangle / \Lambda < 1$$

vacuum alignments and mass scales



$$\langle \varphi \rangle = (v, 0, 0), \quad \langle \varphi' \rangle = (v', v', v'), \quad \langle \xi \rangle = u, \quad \langle h_{u,d} \rangle = v_{u,d}$$

$$u \simeq v' \simeq 10^{10} \text{ GeV}, \quad v \simeq 10^{11} \text{ GeV}, \quad \Lambda \simeq 10^{12} \text{ GeV}$$

$$v_{u,d} \simeq 10^2 \text{ GeV}, \quad \langle \Theta \rangle \simeq 10^{11} \text{ GeV},$$

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Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1	1
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Barry, Rodejohann, HZ, 2012

$$\lambda = 10^{-1} = 0.1$$

$$\Lambda = 10^{12} \text{ GeV}$$

Full 4×4 neutrino mass matrix

$$M_\nu^{4 \times 4} = \begin{pmatrix} a + \frac{2d}{3} & -\frac{d}{3} & -\frac{d}{3} & e \\ \cdot & \frac{2d}{3} & a - \frac{d}{3} & e \\ \cdot & \cdot & \frac{2d}{3} & e \\ \cdot & \cdot & \cdot & m_s \end{pmatrix}$$

$$a \simeq d \simeq 0.1 \left(\frac{u}{10^{10} \text{ GeV}} \right) \left(\frac{v_u}{10^2 \text{ GeV}} \right)^2 \left(\frac{10^{12} \text{ GeV}}{\Lambda} \right)^2 \text{ eV},$$

$$e \simeq 0.1 \left(\frac{\lambda}{10^{-1}} \right)^8 \left(\frac{u}{10^{10} \text{ GeV}} \right) \left(\frac{v'}{10^{10} \text{ GeV}} \right) \left(\frac{v_u}{10^2 \text{ GeV}} \right) \left(\frac{10^{12} \text{ GeV}}{\Lambda} \right)^2 \text{ eV}$$

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tri-bimaximal mixing

$$a \simeq d \simeq 0.1 \left(\frac{u}{10^{10} \text{ GeV}} \right) \left(\frac{v_u}{10^2 \text{ GeV}} \right)^2 \left(\frac{10^{12} \text{ GeV}}{\Lambda} \right)^2 \text{ eV},$$

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ν_s in flavor symmetry models: an effective approach

Barry, Rodejohann, HZ, 2012

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Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1	1
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tri-bimaximal mixing

active-sterile mixing

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$$e \simeq 0.1 \left(\frac{\lambda}{10^{-1}} \right)^8 \left(\frac{u}{10^{10} \text{ GeV}} \right) \left(\frac{v'}{10^{10} \text{ GeV}} \right) \left(\frac{v_u}{10^2 \text{ GeV}} \right) \left(\frac{10^{12} \text{ GeV}}{\Lambda} \right)^2 \text{ eV}$$

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tri-bimaximal mixing

active-sterile mixing

Leading order contribution to sterile neutrino mass

$$\left(\frac{x_s}{\Lambda} \varphi \varphi \right) \lambda^{16} \nu_s^c \nu_s^c \Rightarrow \left(x_s \frac{v^2}{\Lambda} \right) \lambda^{16} \Rightarrow m_s \simeq \left(\frac{\lambda}{10^{-1}} \right)^{16} \left(\frac{v}{10^{11} \text{ GeV}} \right)^2 \left(\frac{10^{12} \text{ GeV}}{\Lambda} \right) \text{ keV}$$

ν_s in flavor symmetry models: an effective approach

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$SU(2)_L$	2	1	1	1	2	1	1	1	1	1
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Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1	1
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tri-bimaximal mixing

active-sterile mixing

$$\theta_s = \frac{e}{m_s} \simeq 10^{-4}$$

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$$\lambda = 10^{-1} = 0.1$$

$$\Lambda = 10^{12} \text{ GeV}$$

Next-to-leading order corrections to the neutrino mass matrix

$$\frac{x_1}{\Lambda^3} (\varphi\varphi')' (Lh_u Lh_u)'' , \quad \frac{x_2}{\Lambda^3} (\varphi\varphi')'' (Lh_u Lh_u)' , \quad \text{and} \quad \frac{x_3}{\Lambda^3} \xi (\varphi Lh_u Lh_u)$$

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$$M_\nu = M_\nu^{(0)} + M_\nu^{(1)} = \begin{pmatrix} a + \frac{2d}{3} & -\frac{d}{3} & -\frac{d}{3} \\ \cdot & \frac{2d}{3} & a - \frac{d}{3} \\ \cdot & \cdot & \frac{2d}{3} \end{pmatrix} + \begin{pmatrix} \frac{2}{3}\eta_3 & \eta_2 & \eta_1 \\ \cdot & \eta_1 & -\frac{1}{3}\eta_3 \\ \cdot & \cdot & \eta_2 \end{pmatrix}$$

$$\eta_i \simeq 0.01 \left(\frac{v}{10^{11} \text{ GeV}} \right) \left(\frac{v'}{10^{10} \text{ GeV}} \right) \left(\frac{v_u}{10^2 \text{ GeV}} \right)^2 \left(\frac{10^{12} \text{ GeV}}{\Lambda} \right)^3 \text{ eV}$$

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Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1	1
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$$\sin^2 \theta_{13} \simeq \frac{(\eta_1 - \eta_2)^2}{8a^2}$$

❖ Higher dimensional operators \rightarrow non-zero θ_{13}

ν_s in flavor symmetry models: an effective approach

Field	L	e^c	μ^c	τ^c	$h_{u,d}$	φ	φ'	ξ	Θ	ν_s
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A_4	<u>3</u>	<u>1</u>	<u>1''</u>	<u>1'</u>	<u>1</u>	<u>3</u>	<u>3</u>	<u>1</u>	<u>1</u>	<u>1</u>
Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1	1
$U(1)_{FN}$	-	4	2	0	-	-	-	-	-1	8

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$$\lambda = 10^{-1} = 0.1$$

$$\Lambda = 10^{12} \text{ GeV}$$

Charged lepton mass matrix is diagonal at leading order

$$m_\alpha = y_\alpha v_d \frac{v}{\Lambda} \lambda^{F_\alpha} \simeq 10 \left(\frac{v_d}{10^2 \text{ GeV}} \right) \left(\frac{v}{10^{11} \text{ GeV}} \right) \left(\frac{10^{12} \text{ GeV}}{\Lambda} \right) \left(\frac{\lambda}{10^{-1}} \right)^{F_\alpha} \text{ GeV}$$

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Charged lepton mass hierarchy

$$m_\alpha = y_\alpha v_d \frac{v}{\Lambda} \lambda^{F_\alpha} \simeq 10 \left(\frac{v_d}{10^2 \text{ GeV}} \right) \left(\frac{v}{10^{11} \text{ GeV}} \right) \left(\frac{10^{12} \text{ GeV}}{\Lambda} \right) \left(\frac{\lambda}{10^{-1}} \right)^{F_\alpha} \text{ GeV}$$

ν_s in flavor symmetry models: an effective approach

Field	L	e^c	μ^c	τ^c	$h_{u,d}$	φ	φ'	ξ	Θ	ν_s
$SU(2)_L$	2	1	1	1	2	1	1	1	1	1
A_4	<u>3</u>	<u>1</u>	<u>1''</u>	<u>1'</u>	<u>1</u>	<u>3</u>	<u>3</u>	<u>1</u>	<u>1</u>	<u>1</u>
Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω	1	1
$U(1)_{FN}$	-	4	2	0	-	-	-	-	-1	8

Barry, Rodejohann, HZ, 2012

$$\lambda = 10^{-1} = 0.1$$

$$\Lambda = 10^{12} \text{ GeV}$$

Charged lepton mass matrix is diagonal at leading order

Charged lepton mass hierarchy

$$m_\alpha = y_\alpha v_d \frac{v}{\Lambda} \lambda^{F_\alpha} \simeq 10 \left(\frac{v_d}{10^2 \text{ GeV}} \right) \left(\frac{v}{10^{11} \text{ GeV}} \right) \left(\frac{10^{12} \text{ GeV}}{\Lambda} \right) \left(\frac{\lambda}{10^{-1}} \right)^{F_\alpha} \text{ GeV}$$

NLO corrections to the charged lepton mass matrix remains diagonal

$$\frac{1}{\Lambda^2} [y'_e \lambda^4 (\varphi \varphi L h_d) e^c + y'_\mu \lambda^2 (\varphi \varphi L h_d)' \mu^c + y'_\tau (\varphi \varphi L h_d)'' \tau^c]$$

ν_S in flavor symmetry models: realization in seesaw

- ❖ Assigning different FN charges to three right-handed neutrinos

ν_s in flavor symmetry models: realization in seesaw

- ❖ Assigning different FN charges to three right-handed neutrinos

Model A: three eV-scale sterile neutrinos.

No neutrinoless double beta decay;

*More **tension** with cosmology*

Model B: 2eV + 1keV sterile neutrinos

Reactor & LSND/MiniBooNE anomalies;

***WDM** candidate*

Model C: 1eV + 1keV + 1heavy sterile neutrinos

Neutrinoless double beta decay;

***WDM** Candidate*

Model D: 1eV + 2heavy (>GeV) sterile neutrinos

Neutrinoless double beta decay;

*Successful **leptogenesis***

Model E: 1keV + 2heavy (>GeV) sterile neutrinos (**ν MSM**)

Both baryon asymmetry & Warm Dark Matter puzzles can be solved

Failed in explaining the reactor anomaly

ν_S in flavor symmetry models: realization in seesaw

Field	L	e^c	μ^c	τ^c	$h_{u,d}$	φ	φ'	φ''	ξ	ξ'	ξ''	Θ	ν_1^c	ν_2^c	ν_3^c
$SU(2)_L$	2	1	1	1	2	1	1	1	1	1	1	1	1	1	1
A_4	<u>3</u>	<u>1</u>	<u>1''</u>	<u>1'</u>	<u>1</u>	<u>3</u>	<u>3</u>	<u>3</u>	<u>1</u>	<u>1'</u>	<u>1</u>	<u>1</u>	<u>1</u>	<u>1'</u>	<u>1</u>
Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω^2	ω^2	ω	1	1	ω^2	ω	1
$U(1)_{FN}$	-	3	1	0	-	-	-	-	-	-	-	-1	F_1	F_2	F_3

particle assignments

Barry, Rodejohann,
H.Z.,
JHEP07(2011)091

ν_S in flavor symmetry models: realization in seesaw

Field	L	e^c	μ^c	τ^c	$h_{u,d}$	φ	φ'	φ''	ξ	ξ'	ξ''	Θ	ν_1^c	ν_2^c	ν_3^c
$SU(2)_L$	2	1	1	1	2	1	1	1	1	1	1	1	1	1	1
A_4	$\underline{3}$	$\underline{1}$	$\underline{1}''$	$\underline{1}'$	$\underline{1}$	$\underline{3}$	$\underline{3}$	$\underline{3}$	$\underline{1}$	$\underline{1}'$	$\underline{1}$	$\underline{1}$	$\underline{1}$	$\underline{1}'$	$\underline{1}$
Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω^2	ω^2	ω	1	1	ω^2	ω	1
$U(1)_{FN}$	-	3	1	0	-	-	-	-	-	-	-	-1	F_1	F_2	F_3

particle assignments

Barry, Rodejohann,
H.Z.,
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ν_S in flavor symmetry models: realization in seesaw

Field	L	e^c	μ^c	τ^c	$h_{u,d}$	φ	φ'	φ''	ξ	ξ'	ξ''	Θ	ν_1^c	ν_2^c	ν_3^c
$SU(2)_L$	2	1	1	1	2	1	1	1	1	1	1	1	1	1	1
A_4	<u>3</u>	<u>1</u>	<u>1''</u>	<u>1'</u>	<u>1</u>	<u>3</u>	<u>3</u>	<u>3</u>	<u>1</u>	<u>1'</u>	<u>1</u>	<u>1</u>	<u>1</u>	<u>1'</u>	<u>1</u>
Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω^2	ω^2	ω	1	1	ω^2	ω	1
$U(1)_{FN}$	-	3	1	0	-	-	-	-	-	-	-	-1	F_1	F_2	F_3

particle assignments

Barry, Rodejohann,
H.Z.,
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- Right-handed neutrino are A_4 singlets so as to assign different FN charges (mass splitting)
- One of the sterile neutrinos is located at keV scale acting as WDM
- The other two right-handed neutrinos generate active neutrino masses via seesaw
- Tri-bimaximal mixing is obtained at leading order from vacuum alignments of flavons
- Charged-lepton corrections $\rightarrow \theta_{13}$

ν_S in flavor symmetry models: realization in seesaw

Field	L	e^c	μ^c	τ^c	$h_{u,d}$	φ	φ'	φ''	ξ	ξ'	ξ''	Θ	ν_1^c	ν_2^c	ν_3^c
$SU(2)_L$	2	1	1	1	2	1	1	1	1	1	1	1	1	1	1
A_4	<u>3</u>	<u>1</u>	<u>1''</u>	<u>1'</u>	<u>1</u>	<u>3</u>	<u>3</u>	<u>3</u>	<u>1</u>	<u>1'</u>	<u>1</u>	<u>1</u>	<u>1</u>	<u>1'</u>	<u>1</u>
Z_3	ω	ω^2	ω^2	ω^2	1	1	ω	ω^2	ω^2	ω	1	1	ω^2	ω	1
$U(1)_{FN}$	-	3	1	0	-	-	-	-	-	-	-	-1	F_1	F_2	F_3

Invariant Lagrangian under $A_4 \times Z_3 \times U(1)_{FN}$

$$\begin{aligned}
 -\mathcal{L}_Y = & \frac{y_e}{\Lambda} \lambda^3 (\varphi L h_d) e^c + \frac{y_\mu}{\Lambda} \lambda (\varphi L h_d)' \mu^c + \frac{y_\tau}{\Lambda} (\varphi L h_d)'' \tau^c \\
 & + \frac{y_1}{\Lambda} \lambda^{F_1} (\varphi L h_u) \nu_1^c + \frac{y_2}{\Lambda} \lambda^{F_2} (\varphi' L h_u)'' \nu_2^c + \frac{y_3}{\Lambda} \lambda^{F_3} (\varphi'' L h_u) \nu_3^c \\
 & + \frac{1}{2} \left[w_1 \lambda^{2F_1} \xi \nu_1^c \nu_1^c + w_2 \lambda^{2F_2} \xi' \nu_2^c \nu_2^c + w_3 \lambda^{2F_3} \xi'' \nu_3^c \nu_3^c \right] + \text{h.c.},
 \end{aligned}$$

ν_S in flavor symmetry models: realization in seesaw

	F_1, F_2, F_3	Mass spectrum	$ U_{\alpha 4} $	$ U_{\alpha 5} $	$\langle m_{ee} \rangle$		Phenomenology
					NO	IO	
I	9, 10, 10	$M_{2,3} = \mathcal{O}(\text{eV})$,	$\mathcal{O}(0.1)$	$\mathcal{O}(0.1)$	0	0	3 + 2 mixing
IIA	9, 10, 0	$M_2 = \mathcal{O}(\text{eV})$ $M_3 = \mathcal{O}(10^{11} \text{ GeV})$	$\mathcal{O}(0.1)$	$\mathcal{O}(10^{-11})$	0	$\frac{2\sqrt{\Delta m_A^2}}{3}$	3 + 1 mixing
IIB	9, 0, 10	$M_2 = \mathcal{O}(10^{11} \text{ GeV})$ $M_3 = \mathcal{O}(\text{eV})$	$\mathcal{O}(10^{-11})$	$\mathcal{O}(0.1)$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\frac{\sqrt{\Delta m_A^2}}{3}$	
III	9, 5, 5	$M_{2,3} = \mathcal{O}(10 \text{ GeV})$	$\mathcal{O}(10^{-6})$	$\mathcal{O}(10^{-6})$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\sqrt{\Delta m_A^2}$	Leptogenesis

Typical choices of FN charges and phenomenological consequences

ν_S in flavor symmetry models: realization in seesaw

	F_1, F_2, F_3	Mass spectrum	$ U_{\alpha 4} $	$ U_{\alpha 5} $	$\langle m_{ee} \rangle$		Phenomenology
					NO	IO	
I	9, 10, 10	$M_{2,3} = \mathcal{O}(\text{eV})$,	$\mathcal{O}(0.1)$	$\mathcal{O}(0.1)$	0	0	3 + 2 mixing
IIA	9, 10, 0	$M_2 = \mathcal{O}(\text{eV})$ $M_3 = \mathcal{O}(10^{11} \text{ GeV})$	$\mathcal{O}(0.1)$	$\mathcal{O}(10^{-11})$	0	$\frac{2\sqrt{\Delta m_A^2}}{3}$	3 + 1 mixing
IIB	9, 0, 10	$M_2 = \mathcal{O}(10^{11} \text{ GeV})$ $M_3 = \mathcal{O}(\text{eV})$	$\mathcal{O}(10^{-11})$	$\mathcal{O}(0.1)$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\frac{\sqrt{\Delta m_A^2}}{3}$	
III	9, 5, 5	$M_{2,3} = \mathcal{O}(10 \text{ GeV})$	$\mathcal{O}(10^{-6})$	$\mathcal{O}(10^{-6})$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\sqrt{\Delta m_A^2}$	Leptogenesis

Scenario-I: $F_1=9$; $F_2=10$; $F_3=10$; two eV-scale sterile neutrinos; vanishing $\langle m_{ee} \rangle$;
 neutrino mixing and masses
 (normal mass ordering)

$$m_2^{(0)} \equiv -\frac{3y_2^2 v'^2 v_u^2}{w_2 u' \Lambda^2}, \quad m_3^{(0)} \equiv -\frac{2y_3^2 v''^2 v_u^2}{w_3 u'' \Lambda^2}$$

$$|U_{e3}|^2 \simeq \frac{r_1^2}{2} \left[\left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)^2 \right] + \frac{\chi^2}{2} - \chi r_1 \left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)$$

$$|U_{e2}|^2 \simeq \frac{1}{3} \left[1 - 3\epsilon_1^2 - 2r_1 \left(\frac{y'_\mu}{y_\mu} + \frac{y'_\tau}{y_\tau} \right) \right],$$

$$|U_{\mu 3}|^2 \simeq \frac{1}{2} \left[1 - 2\epsilon_2^2 + 2\frac{y'_\tau}{y_\tau} r_1 + \frac{2}{3}\chi R \right],$$

$$\chi \equiv \frac{y_1 v}{y_3 v''} \frac{w'_1}{w_1} r_1 \sim 0.01$$

$$r_1^2 \sim \epsilon_1^2 \sim \epsilon_2^2 \simeq 0.01$$

ν_S in flavor symmetry models: realization in seesaw

	F_1, F_2, F_3	Mass spectrum	$ U_{\alpha 4} $	$ U_{\alpha 5} $	$\langle m_{ee} \rangle$		Phenomenology
					NO	IO	
I	9, 10, 10	$M_{2,3} = \mathcal{O}(\text{eV})$,	$\mathcal{O}(0.1)$	$\mathcal{O}(0.1)$	0	0	3 + 2 mixing
IIA	9, 10, 0	$M_2 = \mathcal{O}(\text{eV})$ $M_3 = \mathcal{O}(10^{11} \text{ GeV})$	$\mathcal{O}(0.1)$	$\mathcal{O}(10^{-11})$	0	$\frac{2\sqrt{\Delta m_A^2}}{3}$	3 + 1 mixing
IIB	9, 0, 10	$M_2 = \mathcal{O}(10^{11} \text{ GeV})$ $M_3 = \mathcal{O}(\text{eV})$	$\mathcal{O}(10^{-11})$	$\mathcal{O}(0.1)$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\frac{\sqrt{\Delta m_A^2}}{3}$	
III	9, 5, 5	$M_{2,3} = \mathcal{O}(10 \text{ GeV})$	$\mathcal{O}(10^{-6})$	$\mathcal{O}(10^{-6})$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\sqrt{\Delta m_A^2}$	Leptogenesis

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$$|U_{e3}|^2 \simeq \frac{r_1^2}{2} \left[\left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)^2 - \frac{\chi^2}{2} \right] \chi r_1 \left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)$$

$$|U_{e2}|^2 \simeq \frac{1}{3} \left[1 - 3\epsilon_1^2 - 2r_1 \left(\frac{y'_\mu}{y_\mu} + \frac{y'_\tau}{y_\tau} \right) \right],$$

$$|U_{\mu 3}|^2 \simeq \frac{1}{2} \left[1 - 2\epsilon_2^2 + 2\frac{y'_\tau}{y_\tau} r_1 + \frac{2}{3} \chi R \right],$$

NLO seesaw
 corrections to TBM

$$\chi \equiv \frac{y_1 v}{y_3 v''} \frac{w'_1}{w_1} r_1 \sim 0.01$$

$$r_1^2 \sim \epsilon_1^2 \sim \epsilon_2^2 \simeq 0.01$$

ν_S in flavor symmetry models: realization in seesaw

	F_1, F_2, F_3	Mass spectrum	$ U_{\alpha 4} $	$ U_{\alpha 5} $	$\langle m_{ee} \rangle$		Phenomenology
					NO	IO	
I	9, 10, 10	$M_{2,3} = \mathcal{O}(\text{eV})$,	$\mathcal{O}(0.1)$	$\mathcal{O}(0.1)$	0	0	3 + 2 mixing
IIA	9, 10, 0	$M_2 = \mathcal{O}(\text{eV})$ $M_3 = \mathcal{O}(10^{11} \text{ GeV})$	$\mathcal{O}(0.1)$	$\mathcal{O}(10^{-11})$	0	$\frac{2\sqrt{\Delta m_A^2}}{3}$	3 + 1 mixing
IIB	9, 0, 10	$M_2 = \mathcal{O}(10^{11} \text{ GeV})$ $M_3 = \mathcal{O}(\text{eV})$	$\mathcal{O}(10^{-11})$	$\mathcal{O}(0.1)$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\frac{\sqrt{\Delta m_A^2}}{3}$	
III	9, 5, 5	$M_{2,3} = \mathcal{O}(10 \text{ GeV})$	$\mathcal{O}(10^{-6})$	$\mathcal{O}(10^{-6})$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\sqrt{\Delta m_A^2}$	Leptogenesis

Scenario-I: $F_1=9$; $F_2=10$; $F_3=10$; two eV-scale sterile neutrinos; vanishing $\langle m_{ee} \rangle$;
 neutrino mixing and masses
 (normal mass ordering)

$$m_2^{(0)} \equiv -\frac{3y_2^2 v'^2 v_u^2}{w_2 u' \Lambda^2}, \quad m_3^{(0)} \equiv -\frac{2y_3^2 v''^2 v_u^2}{w_3 u'' \Lambda^2}$$

$$|U_{e3}|^2 \simeq \frac{r_1^2}{2} \left[\left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)^2 \right] - \left(\frac{\chi^2}{2} \right) \chi r_1 \left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)$$

$$|U_{e2}|^2 \simeq \frac{1}{3} \left[1 - 3\epsilon_1^2 - 2r_1 \left(\frac{y'_\mu}{y_\mu} + \frac{y'_\tau}{y_\tau} \right) \right],$$

$$|U_{\mu 3}|^2 \simeq \frac{1}{2} \left[1 - 2\epsilon_2^2 + 2\frac{y'_\tau}{y_\tau} r_1 + \frac{2}{3} \chi R \right],$$

NLO seesaw
 corrections to TBM

Charged-lepton
 corrections: θ_{13}

$$\chi \equiv \frac{y_1 v}{y_3 v''} \frac{w'_1}{w_1} r_1 \sim 0.01$$

$$r_1^2 \sim \epsilon_1^2 \sim \epsilon_2^2 \simeq 0.01$$

ν_S in flavor symmetry models: realization in seesaw

	F_1, F_2, F_3	Mass spectrum	$ U_{\alpha 4} $	$ U_{\alpha 5} $	$\langle m_{ee} \rangle$		Phenomenology
					NO	IO	
I	9, 10, 10	$M_{2,3} = \mathcal{O}(\text{eV}),$	$\mathcal{O}(0.1)$	$\mathcal{O}(0.1)$	0	0	3 + 2 mixing
IIA	9, 10, 0	$M_2 = \mathcal{O}(\text{eV})$ $M_3 = \mathcal{O}(10^{11} \text{ GeV})$	$\mathcal{O}(0.1)$	$\mathcal{O}(10^{-11})$	0	$\frac{2\sqrt{\Delta m_A^2}}{3}$	3 + 1 mixing
IIB	9, 0, 10	$M_2 = \mathcal{O}(10^{11} \text{ GeV})$ $M_3 = \mathcal{O}(\text{eV})$	$\mathcal{O}(10^{-11})$	$\mathcal{O}(0.1)$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\frac{\sqrt{\Delta m_A^2}}{3}$	
III	9, 5, 5	$M_{2,3} = \mathcal{O}(10 \text{ GeV})$	$\mathcal{O}(10^{-6})$	$\mathcal{O}(10^{-6})$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\sqrt{\Delta m_A^2}$	Leptogenesis

Scenario-I: $F_1=9$; $F_2=10$; $F_3=10$; two eV-scale sterile neutrinos; vanishing $\langle m_{ee} \rangle$;
 neutrino mixing and masses
 (normal mass ordering)

$$|U_{e4}|^2 = |U_{\mu 4}|^2 \simeq \epsilon_1^2$$

$$|U_{e5}|^2 \simeq \chi^2 \epsilon_2^2,$$

$$|U_{\mu 5}|^2 \simeq \epsilon_2^2$$

$$|U_{e3}|^2 \simeq \frac{r_1^2}{2} \left[\left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)^2 \right] - \left(\frac{\chi^2}{2} \right) \chi r_1 \left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)$$

$$|U_{e2}|^2 \simeq \frac{1}{3} \left[1 - 3\epsilon_1^2 - 2r_1 \left(\frac{y'_\mu}{y_\mu} + \frac{y'_\tau}{y_\tau} \right) \right],$$

$$|U_{\mu 3}|^2 \simeq \frac{1}{2} \left[1 - 2\epsilon_2^2 + 2 \frac{y'_\tau}{y_\tau} r_1 + \frac{2}{3} \chi R \right],$$

NLO seesaw
 corrections to TBM

Charged-lepton
 corrections: θ_{13}

$$\chi \equiv \frac{y_1 v}{y_3 v''} \frac{w'_1}{w_1} r_1 \sim 0.01$$

$$r_1^2 \sim \epsilon_1^2 \sim \epsilon_2^2 \simeq 0.01$$

ν_S in flavor symmetry models: realization in seesaw

	F_1, F_2, F_3	Mass spectrum	$ U_{\alpha 4} $	$ U_{\alpha 5} $	$\langle m_{ee} \rangle$		Phenomenology
					NO	IO	
I	9, 10, 10	$M_{2,3} = \mathcal{O}(\text{eV})$,	$\mathcal{O}(0.1)$	$\mathcal{O}(0.1)$	0	0	3 + 2 mixing
IIA	9, 10, 0	$M_2 = \mathcal{O}(\text{eV})$ $M_3 = \mathcal{O}(10^{11} \text{ GeV})$	$\mathcal{O}(0.1)$	$\mathcal{O}(10^{-11})$	0	$\frac{2\sqrt{\Delta m_A^2}}{3}$	3 + 1 mixing
IIB	9, 0, 10	$M_2 = \mathcal{O}(10^{11} \text{ GeV})$ $M_3 = \mathcal{O}(\text{eV})$	$\mathcal{O}(10^{-11})$	$\mathcal{O}(0.1)$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\frac{\sqrt{\Delta m_A^2}}{3}$	
III	9, 5, 5	$M_{2,3} = \mathcal{O}(10 \text{ GeV})$	$\mathcal{O}(10^{-6})$	$\mathcal{O}(10^{-6})$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\sqrt{\Delta m_A^2}$	Leptogenesis

Scenario-I: $F_1=9$; $F_2=10$; $F_3=10$; two eV-scale sterile neutrinos; vanishing $\langle m_{ee} \rangle$;
 neutrino mixing and masses
 (normal mass ordering)

$$|U_{e3}|^2 \simeq \frac{r_1^2}{2} \left[\left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)^2 \right] - \left(\frac{\chi^2}{2} \right) \chi r_1 \left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)$$

$$|U_{e2}|^2 \simeq \frac{1}{3} \left[1 - 3\epsilon_1^2 - 2r_1 \left(\frac{y'_\mu}{y_\mu} + \frac{y'_\tau}{y_\tau} \right) \right],$$

$$|U_{\mu 3}|^2 \simeq \frac{1}{2} \left[1 - 2\epsilon_2^2 + 2 \frac{y'_\tau}{y_\tau} r_1 + \frac{2}{3} \chi R \right],$$

NLO seesaw
 corrections to TBM

Charged-lepton
 corrections: θ_{13}

$$|U_{e4}|^2 = |U_{\mu 4}|^2 \simeq \epsilon_1^2$$

$$|U_{e5}|^2 \simeq \chi^2 \epsilon_2^2,$$

$$|U_{\mu 5}|^2 \simeq \epsilon_2^2$$

active-sterile mixing: too
 small for reactor anomaly

$$\chi \equiv \frac{y_1 v}{y_3 v''} \frac{w'_1}{w_1} r_1 \sim 0.01$$

$$r_1^2 \sim \epsilon_1^2 \sim \epsilon_2^2 \simeq 0.01$$

ν_S in flavor symmetry models: realization in seesaw

	F_1, F_2, F_3	Mass spectrum	$ U_{\alpha 4} $	$ U_{\alpha 5} $	$\langle m_{ee} \rangle$		Phenomenology
					NO	IO	
I	9, 10, 10	$M_{2,3} = \mathcal{O}(\text{eV}),$	$\mathcal{O}(0.1)$	$\mathcal{O}(0.1)$	0	0	3 + 2 mixing
IIA	9, 10, 0	$M_2 = \mathcal{O}(\text{eV})$ $M_3 = \mathcal{O}(10^{11} \text{ GeV})$	$\mathcal{O}(0.1)$	$\mathcal{O}(10^{-11})$	0	$\frac{2\sqrt{\Delta m_A^2}}{3}$	3 + 1 mixing
IIB	9, 0, 10	$M_2 = \mathcal{O}(10^{11} \text{ GeV})$ $M_3 = \mathcal{O}(\text{eV})$	$\mathcal{O}(10^{-11})$	$\mathcal{O}(0.1)$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\frac{\sqrt{\Delta m_A^2}}{3}$	
III	9, 5, 5	$M_{2,3} = \mathcal{O}(10 \text{ GeV})$	$\mathcal{O}(10^{-6})$	$\mathcal{O}(10^{-6})$	$\frac{\sqrt{\Delta m_S^2}}{3}$	$\sqrt{\Delta m_A^2}$	Leptogenesis

Scenario-I: $F_1=9$; $F_2=10$; $F_3=10$; two eV-scale sterile neutrinos; vanishing $\langle m_{ee} \rangle$;
neutrino mixing and masses
(inverted mass ordering)

$$|U_{e3}|^2 \simeq \frac{r_1^2}{2} \left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)^2,$$

$$|U_{e2}|^2 \simeq \frac{1}{3} \left[1 - 3\epsilon_1^2 - 2r_1 \left(\frac{y'_\mu}{y_\mu} + \frac{y'_\tau}{y_\tau} \right) - \frac{2}{3}\chi G \right]$$

$$|U_{\mu 3}|^2 \simeq \frac{1}{2} \left[1 + 2\frac{y'_\tau}{y_\tau} r_1 \right],$$

$$|U_{e4}|^2 = |U_{\mu 4}|^2 \simeq \epsilon_1^2$$

$$|U_{e5}|^2 \simeq 4(1 - \chi) \epsilon_2^2$$

$$|U_{\mu 5}|^2 \simeq \epsilon_2^2,$$

ν_S in flavor symmetry models: realization in seesaw

	F_1, F_2, F_3	Mass spectrum	$ U_{\alpha 4} $	$ U_{\alpha 5} $	$\langle m_{ee} \rangle$		Phenomenology
					NO	IO	
I	9, 10, 10	$M_{2,3} = \mathcal{O}(\text{eV})$,	$\mathcal{O}(0.1)$	$\mathcal{O}(0.1)$	0	0	3 + 2 mixing
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Scenario-I: $F_1=9$; $F_2=10$; $F_3=10$; two eV-scale sterile neutrinos; vanishing $\langle m_{ee} \rangle$;
 neutrino mixing and masses
 (inverted mass ordering)

$$|U_{e3}|^2 \simeq \frac{r_1^2}{2} \left(\frac{y'_\mu}{y_\mu} - \frac{y'_\tau}{y_\tau} \right)^2,$$

NLO seesaw
 corrections to TBM

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$$|U_{e4}|^2 = |U_{\mu 4}|^2 \simeq \epsilon_1^2$$

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ν_S in flavor symmetry models: realization in seesaw

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Reactor & LSND/MiniBooNE

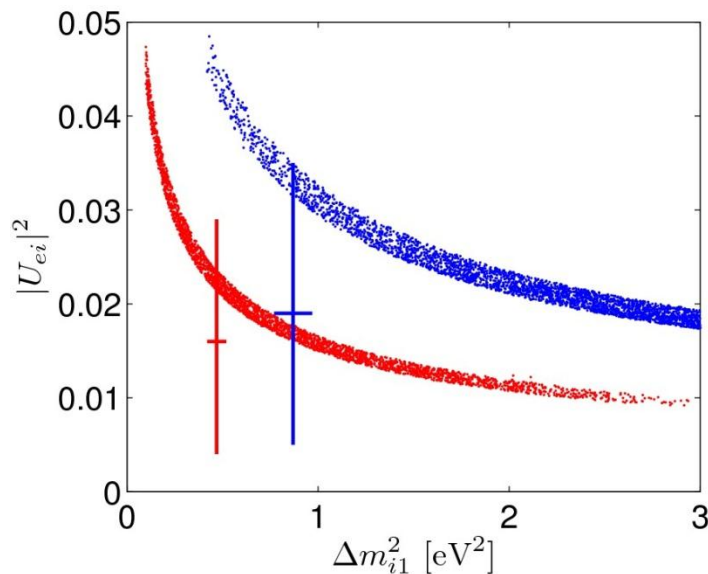


ν_S in flavor symmetry models: realization in seesaw

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Allowed ranges of $|U_{e4}|^2 - \Delta m_{41}^2$ (blue) and $|U_{e5}|^2 - \Delta m_{51}^2$ (red) in the inverted ordering



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- ✓ each column of the Dirac mass term is proportional to a different column of the PMNS matrix – **Form Dominance**

$$M_D = V_\nu \text{diag} \left(\sqrt{-m_1 M_1}, \sqrt{-m_2 M_2}, \sqrt{-m_3 M_3} \right)$$

Chen, King, 09;
Choubey, King,
Mitra, 10

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$$M_\nu^{5 \times 5} = \begin{pmatrix} 0 & \leftarrow M_D \\ M_D^T & M_R \end{pmatrix} \xrightarrow{\text{Vanishing } \langle m_{ee} \rangle} U_{e,3+i}^2 M_i = \left[-(V_\nu^2)_{ei} \frac{m_i}{M_i} \right] M_i = -U_{ei}^2 m_i$$

ν_S in flavor symmetry models: realization in seesaw

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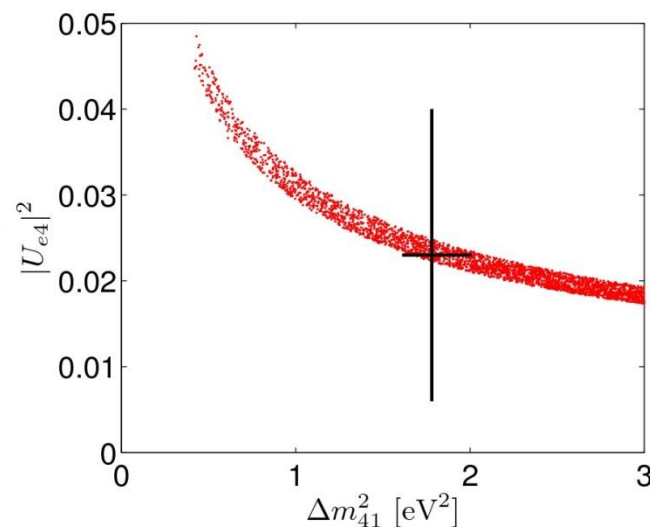
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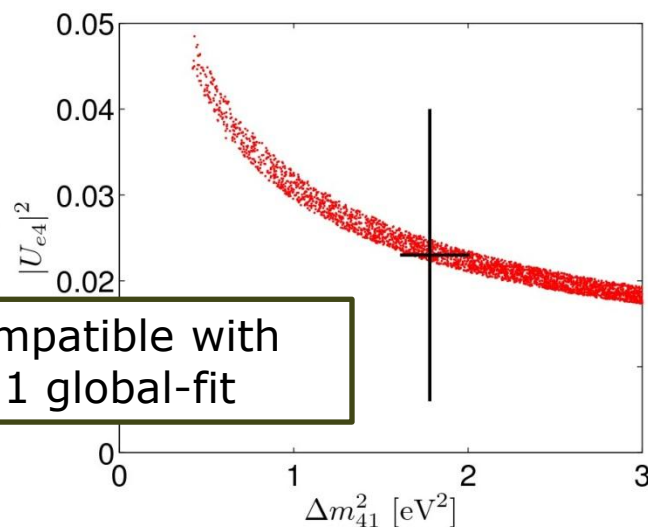
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◆ compatible with 3+1 global-fit

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Scenario-III: $F_1=9$; $F_2=5$; $F_3=5$; both keV and GeV sterile neutrinos (νMSM)

Asaka, Blanchet, Shaposhnikov, 05

- The keV sterile neutrino can be WDM;
- Oscillation of quasi-degenerate heavy sterile neutrinos account for Baryon Asymmetry of the Universe;
- Neutrino-less double beta decay;
- Collider test of right-handed neutrinos

$$\langle m_{ee} \rangle^{(\text{NO})} = \left| \frac{m_2^{(0)}}{3} \right| = \frac{\sqrt{\Delta m_S^2}}{3} \simeq \underline{0.0029 \text{ eV}},$$

$$\langle m_{ee} \rangle^{(\text{IO})} = \left| \frac{2m_1^{(0)}}{3} + \frac{m_2^{(0)}}{3} \right| \simeq \sqrt{\Delta m_A^2} \simeq \underline{0.049 \text{ eV}}$$

Summary

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2. Mechanisms are needed to understand the smallness light sterile neutrinos
 - a) Suppress M_D and M_R simultaneously via **flavor symmetries**, **warped extra dimensions**; **FN mechanism**; **extended seesaw models**; ...
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3. A flavor **A_4** model using the effective theory approach could give rise to the **TBM** (at leading order) and accommodate light sterile neutrinos at various energy scales (eV, keV, GeV, ...).
The model can also be realized in the seesaw framework: **WDM**; active-sterile mixing; deviations from exact **TBM**; neutrino-less double beta decay; Leptogenesis, et al.

Thanks