

Baryon asymmetry of the Universe
from
sterile neutrinos

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Outline

baryon asymmetry of the Universe from neutrinos: leptogenesis

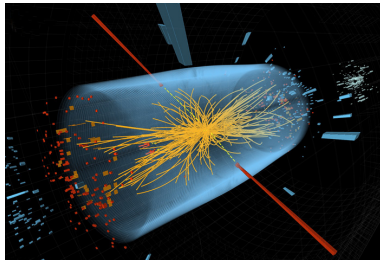
first principle approach: effective equations of motion

coefficients from thermal Green's functions: washout

production of ultrarelativistic sterile neutrinos

Physics beyond the Standard Model

all particles of the SM have
been found



physics beyond beyond the SM must account for

dark matter particles

neutrino masses

baryon asymmetry

Baryon asymmetry of the Universe (BAU)

net baryon density

$$n_B \equiv n_b - n_{\bar{b}}$$

baryon to photon ratio

$$\eta \equiv n_B/n_\gamma$$

Big Bang Nucleosynthesis

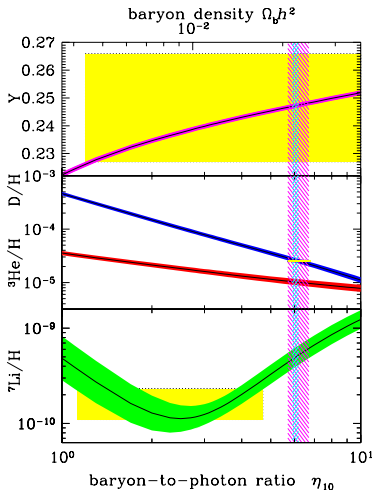
(1 MeV $\gtrsim T \gtrsim$ 10 keV) \rightsquigarrow

$$5.7 < (\eta \times 10^{10}) < 6.7$$

Cosmic Microwave Background

($T \sim 0.25$ eV)

$$\eta \times 10^{10} = 6.04 \pm 0.08 \quad [\text{Planck}]$$



[Particle Data Group]

Baryon asymmetry of the Universe (BAU)

why is $\eta \neq 0$?

initial condition?

not if there was inflation!

Sakharov: asymmetry can be dynamically generated if there is

1. baryon number violation
2. C and CP violation
3. non-equilibrium



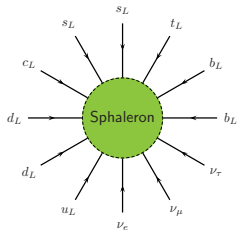
Baryon + lepton number violation

$B - L$ is conserved in the Standard Model

$B + L$ is not [t'Hooft]

$B + L$ violation unsuppressed for $T \gtrsim$ **160 GeV**

'sphaleron' processes



Lepton asymmetry \leftrightarrow Baryon asymmetry

Neutrino masses

SM: massless neutrinos, but from neutrino oscillations:

$$\Delta m_{\text{solar}}^2 \simeq 7.6 \times 10^{-5} \text{eV}^2, \quad \Delta m_{\text{atmospheric}}^2 \simeq 2.4 \times 10^{-3} \text{eV}^2$$

add right-handed (sterile) neutrinos $N_I = N_I^c$:

$$\mathcal{L}_N = \frac{i}{2} \bar{N} \not{\partial} N - \frac{1}{2} \bar{N}^c M N - \left(\bar{N} h \tilde{\varphi}^\dagger \ell + \text{h.c.} \right)$$

$M \gg hv \Rightarrow$ see-saw formula for light ν mass matrix

$$m_\nu = h M^{-1} h^T v^2$$

$$m_\nu \sim 0.1 \text{ eV},$$

$$m_e/v < h < 1 \Leftrightarrow$$

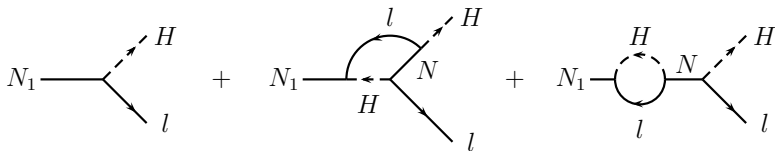
$$\text{TeV} \lesssim M \lesssim M_{\text{GUT}}$$



Baryogenesis through leptogenesis [Fukugita, Yanagida]

Majorana masses $M_{ij} \rightarrow$ lepton number violation

complex Yukawa couplings $h_{ij} \rightarrow$ CP-violation



decay rates

$$\Gamma(N \rightarrow l\varphi) \neq \Gamma(N \rightarrow \bar{l}\bar{\varphi})$$

$\Gamma \lesssim H \rightarrow$ non-equilibrium

Baryogenesis through leptogenesis: scenarios

thermal leptogenesis (non-resonant)

- asymmetry from sterile neutrino **decay**
- no fine tuning
- close to thermal equilibrium, non-relativistic [DB, Wörmann]
- lightest sterile neutrino $M_1 \gtrsim 10^9$ GeV [Davidson, Ibarra; di Bari]
- GUT scale physics

resonant leptogenesis: $M_2 - M_1 \sim$ thermal width [Pilaftsis, Underwood]

- no mass bound

Baryogenesis through leptogenesis: scenarios

asymmetry from **production** of sterile N_i [Akhmedov, Smirnov, Rubakov]

- far from equilibrium

- $M_2, M_3 \gtrsim \text{MeV}$ [Canetti, Drewes, Shaposhnikov '13]

- thermal effects \rightarrow no fine tuned mass degeneracy needed

[Drewes, Garbrecht]

Decay and non-equilibrium

$$K \equiv \left. \frac{\Gamma_0}{H} \right|_{T=M_1} \quad \text{'washout factor'}$$

$K \gg 1$: close to equilibrium when $T \sim M_1$ 'strong washout'

$K \ll 1$: far from equilibrium when $T \sim M_1$ 'weak washout'

$$K = \frac{\tilde{m}_1}{m_*}, \quad \tilde{m}_1 = \frac{(m_D m_D^\dagger)_{11}}{M_1} \quad m_* \simeq 10^{-3} \text{ eV}$$

$\tilde{m}_1 >$ smallest light neutrino mass [Fujii, Hamaguchi, Yanagida]

$$(\Delta m_{\text{solar}}^2)^{1/2} < \tilde{m}_1 < (\Delta m_{\text{atmospheric}}^2)^{1/2} \quad \Leftrightarrow \quad 7.4 < K < 46$$

Traditional approach to leptogenesis

Boltzmann equations for phase space densities $f_a(t, |\mathbf{p}|)$

$$D_t f_a = \text{Coll}_a[f]$$

collision term (for leptons)

$$\begin{aligned} \text{Coll}_\ell[f] &= \int_{\mathbf{p}_i} (2\pi)^4 \delta(p_\ell + p_{\bar{\varphi}} - p_N) \\ &\times \left[|\mathcal{M}|_{N \rightarrow \ell \bar{\varphi}}^2 f_N (1 - f_\ell) (1 + f_{\bar{\varphi}}) - |\mathcal{M}|_{\ell \bar{\varphi} \rightarrow N}^2 f_\ell f_{\bar{\varphi}} (1 - f_N) \right] + \dots \end{aligned}$$

problems:

- double counting of resonant intermediate states

- unclear how to include medium effects

- theoretical error \leftrightarrow radiative corrections ???

First principles approaches to leptogenesis

identify slow and fast variables X

$\gamma_X =$ relaxation rate

$\gamma_X \gg H$ fast, in thermal equilibrium *spectator processes*

$\gamma_X \sim H$, slow, interesting non-equilibrium dynamics

$\gamma_X \ll H$ practically conserved

write effective equations of motion for slow ones

computation = 'two step procedure'

1. short time/distance physics \rightsquigarrow coefficients
2. solve effective equations of motion

First principles approaches to leptogenesis

effective equations of motion for slow variables

$$D_t X_a = -\gamma_{ab} X_b$$

valid

on time scales $\gtrsim \gamma^{-1}$

to all orders in Standard Model couplings

coefficients

$$\gamma_{ab} = \gamma_{ab}(T)$$

determined by short time physics

only depend on temperature

radiative corrections can be systematically computed

Non-relativistic unflavored leptogenesis

non-relativistic approximation: neglect motion of N_i

Simplest case:

$$M_1 \ll M_2, M_3$$

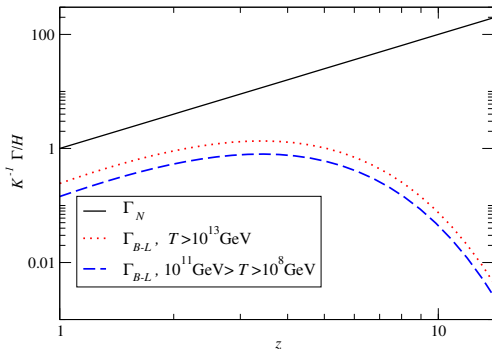
only one charged lepton flavor relevant

\rightsquigarrow only $n_N \equiv n_{N_1}$ and n_{B-L} need to be considered

$$\left(\frac{d}{dt} + 3H \right) n_N = -\gamma_N (n_N - n_{N,eq}) + \gamma_{N,B-L} n_{B-L}$$

$$\left(\frac{d}{dt} + 3H \right) n_{B-L} = \gamma_{B-L,N} (n_N - n_{N,eq}) - \gamma_{B-L} n_{B-L}$$

Rates vs $z \equiv M_1/T$



n_N approaches equilibrium

exponentially small for $T \ll M_1$

'genesis' must happen before

$B - L$ washout rate γ_{B-L}

maximal for $z \sim 4$

$\rightarrow 0$ for $z \rightarrow \infty$

asymmetry freezes in

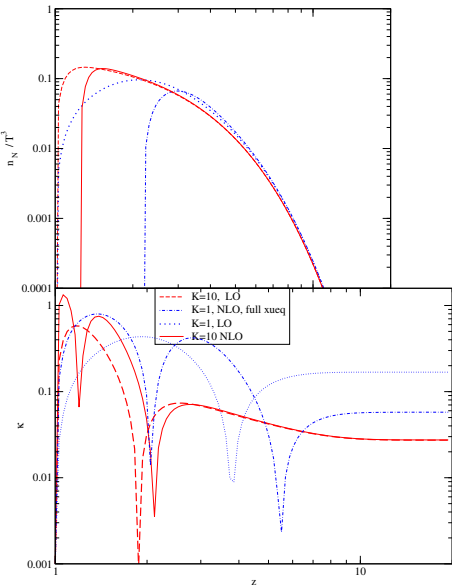
[DB, M. Wörmann]

$$K \equiv \left. \frac{\Gamma_0}{H} \right|_{T=M_1} \quad \text{'washout factor'}$$

Non-relativistic, relativistic corrections

relativistic correction:

include also kinetic energy
density of sterile N



γ_{ab} from correlation of thermal fluctuations

[DB, M. Laine]

effective eqs. of motion for thermal fluctuations

$$\dot{X}_a = -\gamma_{ab}X_b + \xi_a$$

Langevin equation

random force ξ

$$\langle \xi_a(t)\xi_b(t') \rangle \propto \delta(t - t')$$

real time correlation function

$$\langle X_a(t)X_c(0) \rangle = (e^{-\gamma t})_{ab} \langle X_bX_c \rangle$$



Correlations from finite temperature QFT

$$C_{ab}(t) \equiv \frac{1}{2} \langle \{X_a(t), X_b(0)\} \rangle$$

match results at time/frequency scales

$$t_{UV} \ll t \ll \gamma^{-1}, \quad \omega_{UV} \gg \omega \gg \gamma$$

at leading order in \hbar :

$$\gamma_{ab} = \frac{1}{2V} \lim_{\omega \rightarrow 0} \frac{1}{\omega} \int dt e^{i\omega t} \langle [\dot{X}_a(t), \dot{X}_c(0)] \rangle_0 (\Xi^{-1})_{cb}$$

similar to Kubo relation for transport coefficients:

viscosity, in particular diffusion constants

matrix of susceptibilities $\Xi_{ab} \equiv \frac{1}{TV} \langle X_a X_b \rangle$

Washout rate

$$\left(\frac{d}{dt} + 3H\right) n_N = -\gamma_N (n_N - n_{N,eq}) + \gamma_{N,B-L} n_{B-L}$$

$$\left(\frac{d}{dt} + 3H\right) n_{B-L} = \gamma_{B-L,N} (n_N - n_{N,eq}) - \gamma_{B-L} n_{B-L}$$

Washout rate

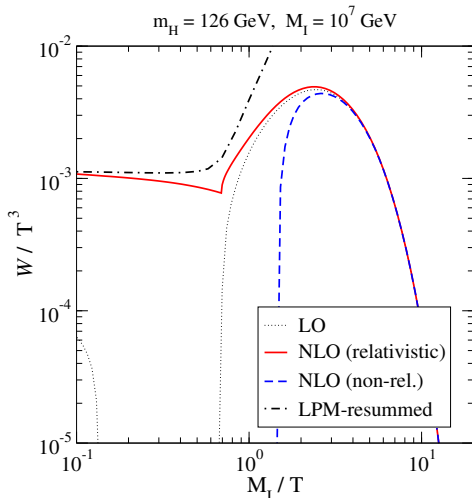
integrate out N_I at leading order \Rightarrow

$$\gamma_{ab} = -\frac{1}{2} \sum_I \int_{\mathbf{k}} \frac{f'_F(E_I)}{2E_I} h_{Ii} \operatorname{tr} \left[\mathcal{K} \left(T_a^\ell [\tilde{\rho}(k) + \tilde{\rho}(-k)] T_c^\ell \right. \right. \\ \left. \left. + T_c^\ell [\tilde{\rho}(k) + \tilde{\rho}(-k)] T_a^\ell \right)_{ij} \right] h_{Ij}^* (\Xi^{-1})_{cb}$$

with spectral function

$$\tilde{\rho}_{ij\alpha\beta}(k) \equiv \int_x e^{ik \cdot x} \left\langle \left\{ (\tilde{\varphi}^\dagger l_{i\alpha})(x), (\bar{l}_{j\beta} \tilde{\varphi})(0) \right\} \right\rangle_0$$

Washout rate



spectral function results

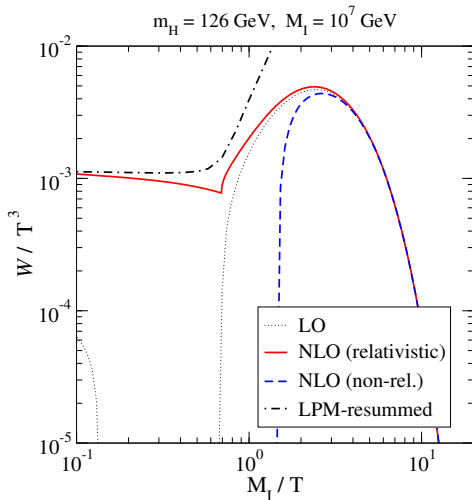
ultra-relativistic ($M_I \lesssim g^2 T$):
complete LO [D Besak, DB]

relativistic ($M_I \sim T$):
NLO [M Laine]

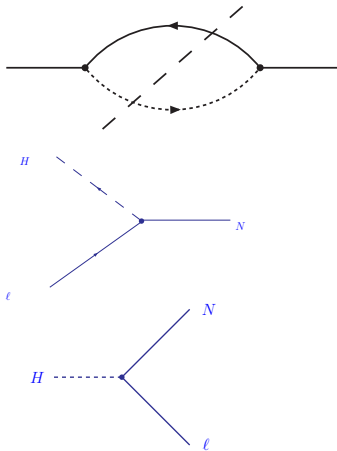
non-relativistic ($M_I \gg T$):
NLO
[A Salvio et al., Laine, Y Schröder]

integral over spectral function

Washout rate

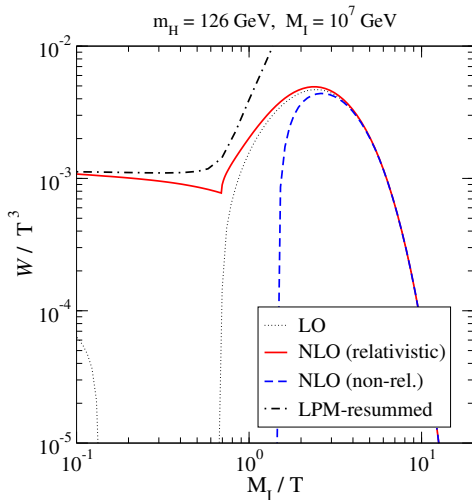


(naive) LO

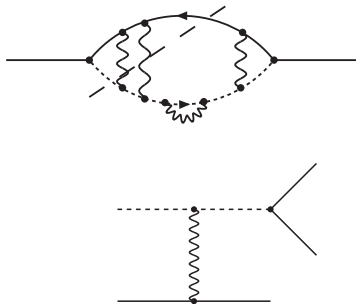


integral over spectral function

Washout rate



complete LO



integral over spectral function

Washout rate

corrections to spectral functions (non-relativistic and relativistic)
 $= O(g^2)$

corrections to susceptibilities $= O(g)$, infrared effect

leading corrections from 'simple' thermodynamics

complete $O(g^2)$ computed [DB, M. Sangel]

corrections $\leq 4\%$, mostly from QCD

N -production: inverse decay

momenta = $O(T)$

at high temperature $T \gtrsim M_N$:

momenta are

close to light cone

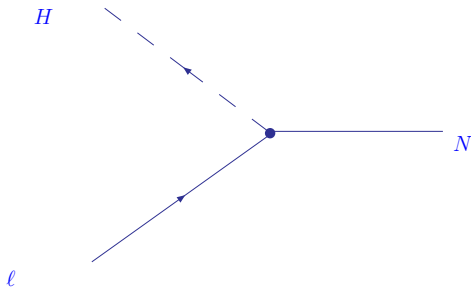
nearly collinear

when $M_N \lesssim gT$:

- opening angle = $O(g)$,

- $O(g^2)$ phase space

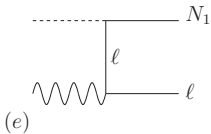
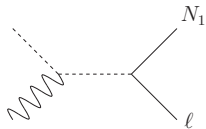
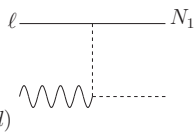
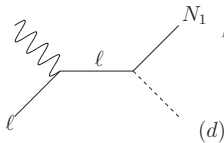
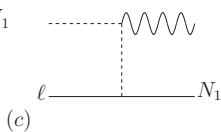
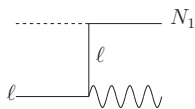
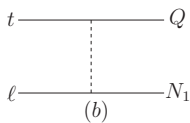
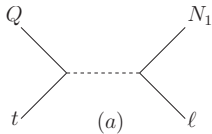
suppression



H = Higgs

ℓ = Standard Model lepton

N -production: $2 \rightarrow 2$ scattering

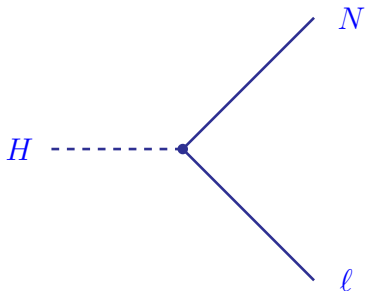


Besak, DB

Thermal mass effects

medium effects \rightsquigarrow thermal masses

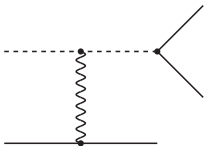
\rightsquigarrow new channel



opening angle = $O(g)$ ‘

leading order contribution

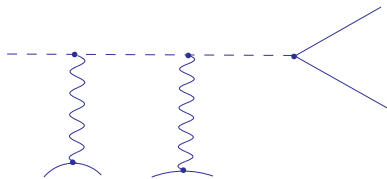
Soft gauge interactions



collinear enhancement

compensates additional vertices

\rightsquigarrow leading order contribution



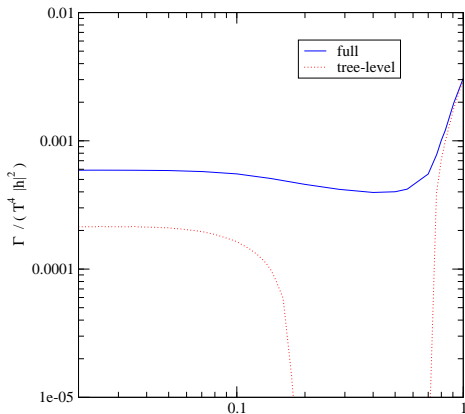
multiple soft scattering unsuppressed

leading order contribution

Landau-Pomeranchuk-Migdal effect

[Anisimov, Besak, DB]

Thermal masses + soft gauge interactions

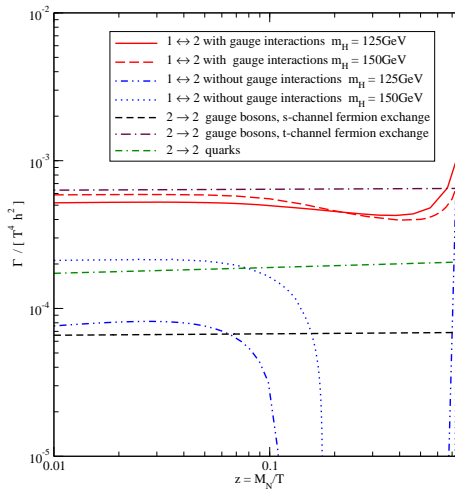


soft gauge interactions



factor 3 enhancement
at high T

Complete LO production rate



gauge interactions
dominate

Summary and outlook

lot of theoretical progress in leptogenesis

systematic approach by identifying fast, slow and quasi-static quantities

effective equations of motion for slow quantities

coefficients in effective equations of motion related to real time correlation functions at finite temperature

Kubo-type relations, valid to all orders in Standard Model couplings

NLO and NNLO corrections computed for washout rate

thermal production of ultrarelativistic N : thermal mass effects, soft gauge interactions very important

Outlook

CP-asymmetry ?

error bars for leptogenesis

CP asymmetry in ultrarelativistic regime