

**UNDERSTANDING  
INFLATION  
AND THE DARK ENERGY  
IN THE STANDARD MODEL  
OF THE UNIVERSE**

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CONCORDANCE COSMOLOGICAL MODEL :  
STANDARD COSMOLOGY TODAY

$\Lambda$ CDM { COLD  
DARK  
MATTER  
+  $\Lambda > 0$

EXPLAINS THE OBSERVATIONS:

THREE YEARS WMAP DATA

- SMALL SCALE CMB DATA (SMALL angle, HIGH L)
- LIGHT ELEMENTS ABUNDANCES
- LARGE SCALE STRUCTURE (LSS) OBSERVATIONS
- SUPERNOVAE LUMINOSITY/DISTANCE RELATIONSHIP
- LYMAN  $\alpha$  FOREST OBSERVATIONS
- GRAVITATIONAL LENSING OBSERVATIONS
- $H_0$  (HUBBLE CONSTANT) MEASUREMENTS (HST)
- PROPERTIES OF CLUSTERS OF GALAXIES

⋮

PRESENT UNDERSTANDING

INFLATION IS PART OF THE  
CONCORDANCE (STANDARD)  
MODEL OF THE UNIVERSE

● THE UNIVERSE STARTS BY AN INFLATIONARY ERA OF INFLATION (ACCELERATED EXPANSION  $\frac{d^2a}{dt^2} > 0$ )

● DURING THE INFLATIONARY ERA THE UNIVERSE EXPANDED BY AT LEAST SIXTY EFOLDS  $e^{60} \approx 10^{26}$ .

● INFLATION LASTS  $\sim 10^{-34}$  sec.

● INFLATION ENERGY SCALE  $\sim 10^{16}$  GeV : GRAND UNIFICATION SCALE

● FOUR DIMENSIONS

● SEMICLASSICAL THEORY OF GRAVITY : (GR+QFT) GENERAL RELATIVITY + QUANTUM FIELD THEORY

● EFFECTIVE THEORY

NEW ERA OF INFLATION AFTER WMAP

# ***Clarifying Inflation Models***

***-Effective Theory***

***-Precise Inflaton Potential  
from Effective Field  
Theory and the WMAP  
data***

***-Quantum Corrections: to  
the Inflaton Potential,  
to the Primordial Power***

***-NO fine tuning***

**Inflation as known today should be  
considered as an Effective Theory**

**That is, is Not a fundamental theory but a  
theory on a condensate (the inflaton field) which  
follows from a more fundamental theory (the  
GUT model)**

**The Inflaton field may NOT correspond to any  
real particle (even unstable) but is just an**

**effective description while the microscopic  
derivation should come from the GUT**

**Inflation is to the microscopic GUT theory,**

**like the effective Ginsburg-Landau theory of  
superconductivity is to the microscopic BSC  
theory,**

**or like the  $O(4)$  sigma model is to QCD**

**To provide a clear understanding of Inflation**

**and the Inflaton Potential from**

**Effective Field Theory and the WMAP data**

**This clearly places Inflation within the  
perspective and understanding  
of effective theories in particle physics,  
and sets up a clean way to directly  
confronts the inflationary predictions  
with the forthcoming CMB data  
and select a  
definitive model**

***GRAND UNIFICATION SCALE***

$$10^{15} \text{ GeV} \leq E \leq 10^{16} \text{ GeV}$$

***Three experimental supports:***

***(1) Unification of couplings***

***(2) Neutrino Oscillations***

***(3) Inflation***

**IMPLICATIONS FOR GRAND UNIFICATION:**

**GRAND UNIFICATION SCALE:**

Three experimental supports:

(1) Unification of couplings in the Standard Model with the Renormalization group For the Standard Model, couplings get unified approximately at  $E \sim 10^{16}$  GeV.

(2) Neutrino Oscillations: and Neutrino masses currently explained by the See-Saw mechanism

$$\Delta m_\nu \sim M_{\text{FERMI}}^2 / M$$

$M_{\text{Fermi}} \sim 250$  GeV,  $M \gg M_{\text{Fermi}}$  and  $\Delta m_\nu$  is the difference of neutrino masses for the different flavors.

● The observed values for  $\Delta m_\nu \sim 0.009-0.05$  eV naturally call for a mass scale  $M$  close to the GUT scale:  $M \sim 10^{15-16}$  GeV

● The inflaton potential relation  $V(\phi) = M^4 \nu(\frac{\phi}{M_{\text{pl}}})$

Resembles the moduli potential from supersymmetry breaking:  $V_{\text{susy}}(\phi) = m_{\text{susy}}^4 \nu(\frac{\phi}{M_{\text{pl}}})$

● Our approach, combined with  $\Delta m_\nu$  implies  $m_s \sim 10^{16}$  GeV The SUSY breaking scale  $m_s$  is at the GUT scale  $m_s \sim m_{\text{GUT}}$

(3) Inflation

● We find that the mass scale of the inflaton  $10^{13}$  GeV can be related with  $M_{\text{GUT}}$  by a see-saw relation

$$m \approx \frac{M_{\text{GUT}}^2}{M_{\text{Planck}}}$$

● The Inflaton describes a condensate in a GUT theory (fermion-antifermion) pairs.

● There is no solid basis to identify such a condensate field with a given fundamental field in a SUSY or SUGRA model.

● Moreover, the number of susy models is so large: there is no way to predict the which is THE correct model.

## IMPLICATIONS FOR STRING THEORY

- *To generate Inflation Needs first to generate a mass scale like the inflaton mass  $m$ .*
- *Such scale is NOT present in the string action neither in the effective fields (dilaton, graviton, antisymmetric tensor).*
- *Without the presence of the mass scale  $m$  and  $M_{GUT}$ , there is NO hope in string theory to describe a correct inflationary cosmology describing the CMB fluctuations.*
- *Such scale should be generated dynamically perhaps from the string vacuum, but this is still an open problem far from being solved.*
- *Since No microscopic derivation of inflation from a GUT model is available so far, it would seem too ambitious at this stage to look for a microscopic derivation of Inflation from string theory.*
- *The derivation of Inflation reproducing observed CMB fluctuations is at present too hard.*
- *An effective description of Inflation in String theory (string matter plus background) could be at reach  
H J de Vega and N Sanchez, PRD 50, 7202 (1994)  
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- The Effective Field Theory (EFT) Approach relies on the *separation* between the energy scale of inflation and the *higher energy scale of the earlier stage (cutoff scale)* which here is the Planck scale.
- **Scale of inflation: Hubble parameter** during the relevant stage of inflation (wavelengths of cosmological relevance cross the horizon).
- **EFT expansion: defined by the dimensionless ratio  $H(\Phi_0)/M_{\text{PL}}$ .**
- **Reliability of the expansion :** improves upon **dynamical evolution** since the scale of inflation diminishes with time.
- **EFT expansion is an excellent one** since the amplitudes of tensor and scalar perturbations  $\Delta_T$  and  $\Delta_R$  respectively are given

$$\Delta_T = \frac{\sqrt{2} H}{\pi M_{\text{PL}}} , \quad \frac{H}{M_{\text{PL}}} = 2 \pi \Delta_R \sqrt{2} \varepsilon_v$$

$\varepsilon_v \ll 1$ . ● **WMAP data  $\Delta_R = 0.47 \times 10^{-4}$**  provide strong observational support to the validity of an effective field theory for inflation well below the Planck scale and to the  $(H/M_{\text{PL}})$  expansion.

effective potential to be

## QUANTUM CORRECTIONS

$$V_{eff}(\Phi_0) = V(\Phi_0) \left[ 1 + \frac{H_0^2}{3(4\pi)^2 M_{Pl}^2} \left( \frac{\eta_v - 4\epsilon_v}{\eta_v - 3\epsilon_v} + \frac{3\eta_\sigma}{\eta_\sigma - \epsilon_v} + \mathcal{T} \right) \right]$$

where  $V(\Phi_0)$  is the classical inflaton potential,  $\eta_v, \epsilon_v, \eta_\sigma$  slow-roll parameters and  $\mathcal{T} = \mathcal{T}_\Phi + \mathcal{T}_s + \mathcal{T}_f - 145.15$  is the total trace anomaly from the scalar metric, tensor, light scalar and fermion contribution perturbations. The last term in eq.(1) is independent of slow-roll parameters and is complete the trace anomalies of the different fields. It is the hallmark of the subhorizon contributions.

In the case when the mass of the light bosonic scalar field is much smaller than the mass of the infl we find the following result for the scalar curvature and tensor fluctuations including the one-loop qua

$$\begin{aligned} |\Delta_{k,eff}^{(S)}|^2 &= |\Delta_k^{(S)}|^2 \left\{ 1 \oplus \frac{2}{3} \left( \frac{H_0}{4\pi M_{Pl}} \right)^2 \left[ 1 + \frac{\frac{3}{8} r (n_s - 1) + 2 \frac{dn_s}{d \ln k}}{(n_s - 1)^2} + \frac{2903}{40} \right] \right\} \\ |\Delta_{k,eff}^{(T)}|^2 &= |\Delta_k^{(T)}|^2 \left\{ 1 \ominus \frac{1}{3} \left( \frac{H_0}{4\pi M_{Pl}} \right)^2 \left[ -1 + \frac{1}{8} \frac{r}{n_s - 1} + \frac{2903}{20} \right] \right\}, \\ r_{eff} &\equiv \frac{|\Delta_{k,eff}^{(T)}|^2}{|\Delta_{k,eff}^{(S)}|^2} = r \left\{ 1 \ominus \frac{1}{3} \left( \frac{H_0}{4\pi M_{Pl}} \right)^2 \left[ 1 + \frac{\frac{3}{8} r (n_s - 1) + \frac{dn_s}{d \ln k}}{(n_s - 1)^2} + \frac{8709}{20} \right] \right\} \end{aligned}$$

The quantum corrections turn out to enhance the scalar curvature fluctuations and to reduce the tensor fluctuations as well as their ratio  $r$ . The quantum corrections are always small, of the order  $\left( \frac{H_0}{M_{Pl}} \right)^2$ , but it is

Expected CMB constraints on  $\Delta_{\mathcal{T}}$  should still improve this support.

- $\Delta_{\mathcal{T}}$  and  $\Delta_R$  expressed in terms of the semiclassical and quantum gravity temperature scales

$$T_{sem} = \frac{\hbar H}{2\pi k_B}$$

$$T_{PL} = \frac{M_{PL} c^2}{2\pi k_B}$$

- $T_{sem}$  is the Hawking-Gibbons temperature of the initial state (Bunch-Davis vacuum) of inflation.  $T_{PL}$  is the Planck temperature  $10^{32}$  K.

$$\Delta_{\mathcal{T}} = \frac{\sqrt{2}}{\pi} \frac{T_{sem}}{T_{PL}}$$

$$\frac{T_{sem}}{T_{PL}} = 2\pi \Delta_R \sqrt{2\epsilon_v}$$



Therefore, WMAP data yield for the Hawking-Gibbons temperature of inflation:

$$T_{sem} \approx \sqrt{\epsilon_v} 10^{28} \text{ K}$$



## Constructing and Understanding the Precise Inflationary Model from Effective Field theory and the WMAP data

- ◆ The *Wilkinson Microwave Anisotropy Probe* (WMAP) has provided a full-sky map of the temperature fluctuations of the CMB
- ◆ *Inflation* provides a natural mechanism for the generation of scalar density fluctuations that seed large scale structure, explaining the origin of temperature anisotropy in the CMB
- ◆ Wavelength that are of cosmological relevance today re-enter the horizon during the matter dominated era when the scalar (curvature) perturbations induce temperature *anisotropies* that are imprinted in the CMB
- ◆ Generic inflationary models predict gaussian adiabatic perturbations with a spectrum that is almost *scale invariant*
- ◆ Inflationary dynamics is typically studied by treating the *inflaton* as an homogeneous *classical scalar field* whose evolution is determined by a classical equation of motion. The inflaton quantum fluctuations provide the seeds for the scalar density fluctuations of the metric
- ◆ Important aspects of the inflationary dynamics, as the resonant particle production and the non linear back-reaction that it generates, requires a full *quantum* treatment for their consistent description

### CONCLUSIONS

- ◆ Setting  $m = 0$  in polynomial potentials implies a non-generic choice. WAMP disfavors such a choice and supports a generic quartic polynomial potential

↓

A purely quartic potential is disfavored → Lower Bound for  $m$ :  $m > 10^{13}$  GeV

- ◆ Spectral Indices data → should help soon to make a clear selection between inflationary models

- ◆ A measured  $r < 0.16$  → excludes Chaotic Inflation

- ◆  $n_s$  value above or below unit → exclude either New or Hybrid inflation respectively

- ◆ Our approach, combined with  $\Delta m_\nu \sim \frac{M_{\text{Fermi}}^2}{M}$  → Implies  $m_s \sim 10^{16}$  GeV

↓

The SUSY breaking scale  $m_s$  → is at the GUT scale  $m_s \sim m_{\text{GUT}}$

Then the mass scale of the inflaton  $10^{13}$  GeV is related →  $m \sim \frac{M_{\text{GUT}}^2}{M_{\text{Pl}}}$

# The Energy Scale of Inflation

## Grand Unification Idea (GUT)

- Renormalization group running of electromagnetic, weak and strong couplings shows that they **all meet** at  $E_{GUT} \simeq 2 \times 10^{16}$  GeV
- Neutrino masses are explained by the **see-saw** mechanism:  $m_\nu \sim \frac{M_{\text{Fermi}}^2}{M_R}$  with  $M_R \sim 10^{16}$  GeV.
- Inflation energy scale:  $M \simeq 10^{16}$  GeV.

Conclusion: the GUT energy scale appears in at least **three** independent ways.

Moreover, moduli potentials:  $V_{\text{moduli}} = M_{\text{SUSY}}^4 v \left( \frac{\phi}{M_{\text{Pl}}} \right)$   
resemble inflation potentials provided  $M_{\text{SUSY}} \sim 10^{16}$  GeV.  
**First observation of SUSY in nature??**

# De Sitter Geometry and Scale Invariance

The De Sitter metric **is scale invariant**:

$$ds^2 = \frac{1}{(H\eta)^2} [(d\eta)^2 - (d\vec{x})^2] .$$

$\eta$  = conformal time.

But inflation **only lasts** for  $N$  e-folds !

Corrections to scale invariance:

$|n_s - 1|$  as well as the ratio  $r$  are of order  $\sim 1/N$ ,

$n_s = 1$  and  $r = 0$  correspond to a critical point.

It is a gaussian fixed point around which the inflation model **hovers** in the renormalization group (RG) sense with an almost scale invariant spectrum during the slow roll stage.

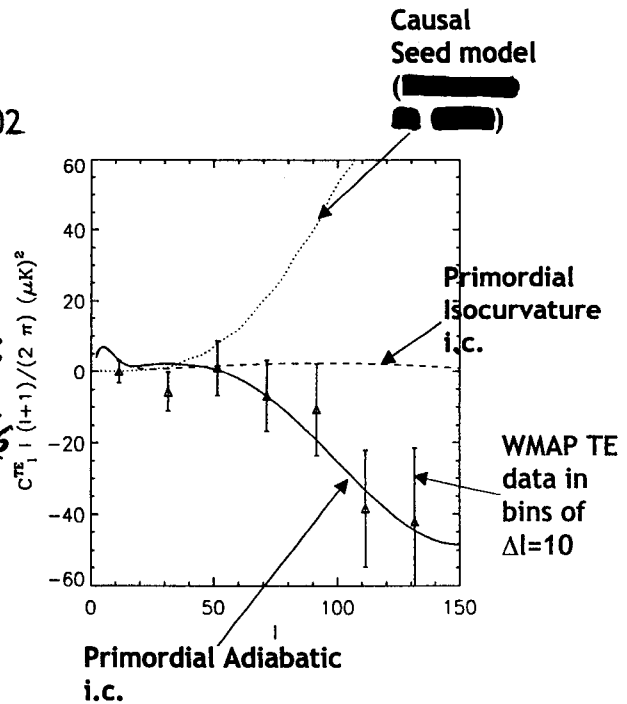
The quartic coupling:

$$\lambda = \frac{G_4}{N} \left( \frac{M}{M_{Pl}} \right)^4 , \quad N = \log \frac{a(\text{inflation end})}{a(\text{horizon exit})}$$

runs like in four dimensional RG in flat euclidean space.

# WMAP Supports Single Field Inflationary Models

- Flat universe:  $\Omega_{\text{tot}} = 1.02 \pm 0.02$
- Gaussianity:  $-58 < f_{NL} < 134$
- Power Spectrum spectral index nearly scale-invariant:  
 $n_s = 0.99 \pm 0.04$  (WMAP only) 2003  
 $n_s = 0.95 \pm 0.02$  (WMAP, 2dF) 2006
- Adiabatic initial conditions
- Superhorizon fluctuations (TE anticorrelations)



primordial power spectrum. The slow-roll approximation...  $N_{\text{efolds}} \sim 50$  is the number of e-folds before the end of inflation when modes of cosmological relevance... crossed the Hubble radius.

The observational progress permit to start to discriminate among different inflationary models, placing stringent constraints on them. The upper bound on the ratio  $r$  of tensor to scalar fluctuations obtained by WMAP [4, 5] rules out the massless  $\phi^4$  model and necessarily implies the presence of a mass term in the inflaton potential [5, 9].

Besides its simplicity, the trinomial potential is a physically well motivated potential for inflation in the grounds of the Ginsburg-Landau approach to effective field theories (see for example ref.[11]). This potential is rich enough to describe the physics of inflation and accurately reproduce the WMAP data [4, 5].

The slow-roll expansion plus the WMAP data constraints the inflaton potential to have the form [9]

$$V(\phi) = N_{\text{efolds}} M^4 w(\chi), \quad (1.1)$$

where  $\phi$  is the inflaton field,  $\chi$  is a dimensionless, slowly varying field

$$\chi \equiv \frac{\phi}{\sqrt{N_{\text{efolds}} M_{Pl}}}, \quad (1.2)$$

$w(\chi) \sim \mathcal{O}(1)$  and  $M$  is the energy scale of inflation which is determined by the amplitude of the scalar adiabatic fluctuations [4] to be

$$M \sim 0.00319 M_{Pl} = 0.77 \times 10^{16} \text{ GeV}.$$

Following the spirit of the Ginsburg-Landau theory of phase transitions, the simplest choice is a quartic trinomial for the inflaton potential [9, 10]:

$$w(\chi) = w_0 \pm \frac{1}{2} \chi^2 + \frac{h}{3} \sqrt{\frac{y}{2}} \chi^3 + \frac{y}{32} \chi^4. \quad (1.3)$$

**INFLATION IS AN ATTRACTOR OF THE DYNAMICAL EQS OF MOTION**

**THE SLOW ROLL REGIME IS AN ATTRACTOR**

- STARTING WITH  $\dot{\Phi}^2 \sim V(\Phi)$   
 ( THAT IS, THE KINETIC ENERGY IS OF THE SAME ORDER OF THE POTENTIAL ENERGY, )

➔ THE INFLATON FIELD ALWAYS REACHS THE SLOW ROLL REGIME AFTER A FEW NUMBER OF EFOLDS (typically 1 efold)

SLOW ROLL HAS A LARGE BASIN OF ATTRACTION

$$y^2 \equiv \frac{\dot{\Phi}^2}{2 M_{pl}^2 H^2} = 3 \left[ 1 - \frac{V(\Phi)}{3 M_{pl}^2 H^2} \right] = \epsilon_V$$

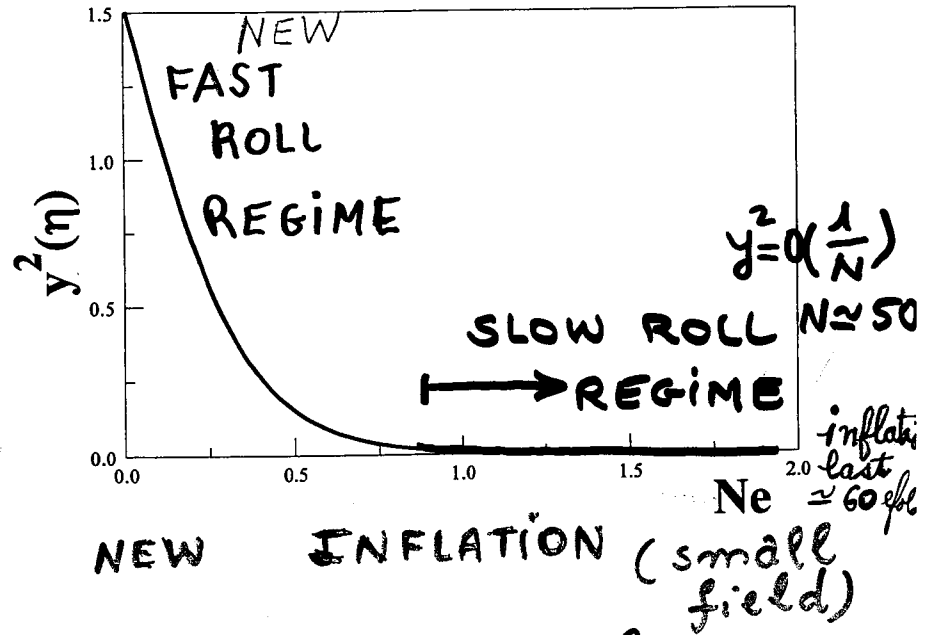
$$= \frac{\dot{\chi}^2}{2 h^2 N} = 3 \left[ 1 - \frac{W(\chi)}{3 h^2} \right], \quad N \sim 50, \quad V(\phi) > 0$$

$$0 \leq y^2 \leq 3$$

$y^2 \approx 0 \left( \frac{1}{N} \right) \ll 1$  : SLOW ROLL

$y^2 = O(1)$  : FAST ROLL

$\dot{\Phi}^2 \approx V(\Phi) \text{ in FAST ROLL}$



$$V(\Phi) = \frac{\lambda}{4} \left( \Phi^2 - \frac{M^4}{\lambda M_{pl}^2} \right)^2,$$

$V(\phi) = N M^4 W(\chi)$

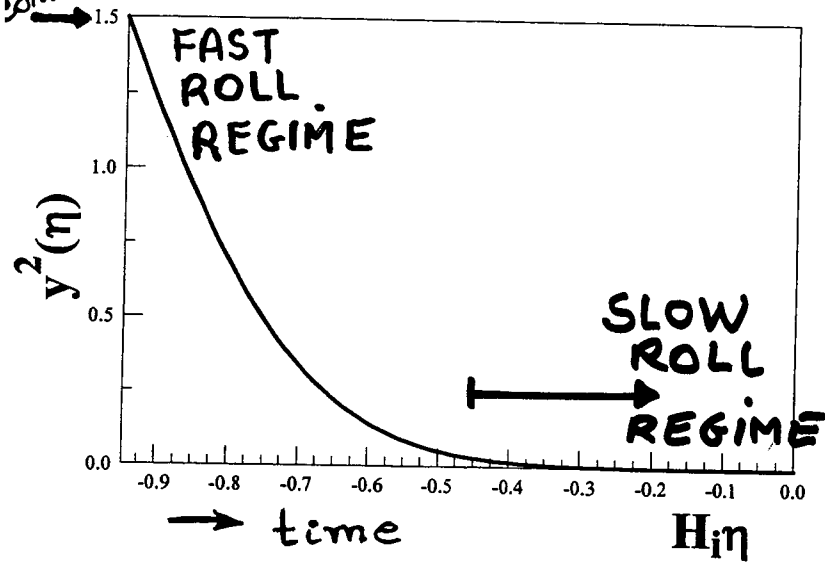
$\chi = \frac{\Phi}{\sqrt{N} M_{pl}}$

$$W(\chi) = \frac{G}{4} \left( \chi^2 - \frac{1}{G} \right)^2$$

(  $G = 0.4$  in the plot )

# NEW INFLATION

INITIAL CONDITIONS



$$y^2 \equiv \frac{\dot{\Phi}^2}{2M_{pl}^2 H^2} = 3 \left[ 1 - \frac{V(\Phi)}{3M_{pl}^2 H^2} \right] = \frac{\dot{\chi}^2}{2N h^2}$$

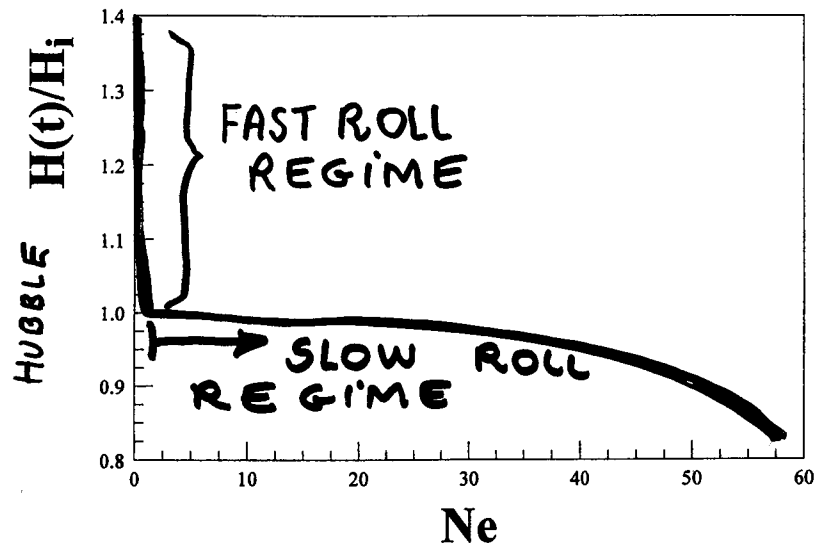
$0 < y^2 \leq 3$

$y^2 = \epsilon_V = O\left(\frac{1}{N}\right)$   
IN SLOW ROLL

$y^2 = O(1)$   
IN FAST ROLL

(11)

# HUBBLE vs Nefolds



FAST ROLL TAKES PLACE  
DURING THE FIRST EFOLD  
ONLY

# Ginsburg-Landau Approach

We choose a polynomial for  $w(\chi)$ . A quartic  $w(\chi)$  is renormalizable. Higher order polynomials are acceptable since inflation is an effective theory.

$$w(\chi) = w_o \pm \frac{\chi^2}{2} + G_3 \chi^3 + G_4 \chi^4 \quad , \quad G_3 = \mathcal{O}(1) = G_4$$

$$V(\phi) = N M^4 w\left(\frac{\phi}{\sqrt{N} M_{Pl}}\right) = V_o \pm \frac{m^2}{2} \phi^2 + g \phi^3 + \lambda \phi^4 .$$

$$m = \frac{M^2}{M_{Pl}} \quad , \quad g = \frac{m}{\sqrt{N}} \left(\frac{M}{M_{Pl}}\right)^2 G_3 \quad , \quad \lambda = \frac{G_4}{N} \left(\frac{M}{M_{Pl}}\right)^4$$

Notice that

$$\left(\frac{M}{M_{Pl}}\right)^2 \simeq 10^{-5} \quad , \quad \left(\frac{M}{M_{Pl}}\right)^4 \simeq 10^{-10} \quad , \quad N \simeq 50 .$$

- Small couplings arise **naturally** as ratio of two energy scales: inflation and Planck.
- The inflaton is a **light** particle:

$$m = M^2/M_{Pl} \simeq 0.003 M \quad , \quad m = 2.5 \times 10^{13} \text{GeV}$$

# Trinomial Inflationary Models

- Trinomial Chaotic inflation:

$$w(\chi) = \frac{1}{2} \chi^2 + \frac{h}{3} \sqrt{\frac{y}{2}} \chi^3 + \frac{y}{32} \chi^4 .$$

- Trinomial New inflation:

$$w(\chi) = -\frac{1}{2} \chi^2 + \frac{h}{3} \sqrt{\frac{y}{2}} \chi^3 + \frac{y}{32} \chi^4 + \frac{2}{y} F(h) .$$

$h =$  **asymmetry parameter**.  $w(\min) = w'(\min) = 0$ ,

$y =$  **quartic coupling**,  $F(h) = \frac{8}{3} h^4 + 4 h^2 + 1 + \frac{8}{3} |h| (h^2 + 1)^{\frac{3}{2}}$  .

H. J. de Vega, N. G. Sanchez, Single Field Inflation models allowed and ruled out by the three years WMAP data.

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where the coefficients  $w_0$ ,  $h$  and  $y$  are dimensionless and of order one and the signs  $\pm$  correspond to large and field inflation, respectively (chaotic and new inflation, respectively). Inserting eq.(1.3) in eq.(1.1) yields,

$$V(\phi) = V_0 \pm \frac{m^2}{2} \phi^2 + \frac{m g}{3} \phi^3 + \frac{\lambda}{4} \phi^4.$$

where the mass  $m^2$  and the couplings  $g$  and  $\lambda$  are given by the following see-saw-like relations,

$$m = \frac{M^2}{M_{Pl}}, \quad g = h \sqrt{\frac{y}{2N}} \left( \frac{M}{M_{Pl}} \right)^2, \quad \lambda = \frac{y}{8N} \left( \frac{M}{M_{Pl}} \right)^4, \quad V_0 = N M^4 w_0.$$

where  $N \equiv N_{efolds}$ . Notice that  $y \sim \mathcal{O}(1) \sim h$  guarantee that  $g \sim \mathcal{O}(10^{-6})$  and  $\lambda \sim \mathcal{O}(10^{-12})$  without any fine tuning as stressed in ref. [9]. That is, the smallness of the couplings directly follow from the form of the inflaton potential eq.(1.1) and the amplitude of the scalar fluctuations that fixes  $M$  [9].

The small coupling limit  $y \rightarrow 0$  of eqs.(1.3)-(1.4) corresponds to a quadratic potential while the strong coupling limit  $y \rightarrow \infty$  yields the massless quartic potential. The extreme asymmetric limit  $|h| \rightarrow \infty$  yields a massive potential without quadratic term. In such limit the product  $|h| M^2$  must be kept fixed since it is determined by the amplitude of the scalar fluctuations.

We study here new inflation with the trinomial potential eqs.(1.3)-(1.4) and hybrid inflation [see below], the models fulfill the observational constraints. We compute in both scenarios  $n_s$ ,  $r$  and the running  $dn_s/d \ln k$  as functions of the parameters of the models, derive explicit formulae for  $n_s$ ,  $r$  and  $dn_s/d \ln k$  and provide relevant plots. Moreover, we plot the ratio  $r$  and the running  $dn_s/d \ln k$  as functions of the scalar index  $n_s$ . Since the values are now known [5]-[8], these plots allow us to predict the values of  $r$  and  $dn_s/d \ln k$  for the different inflationary models considered.

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The three years WMAP data indicate a red tilted spectrum ( $n_s < 1$ ) with a small ratio  $r < 0.28$  of tensor to scalar perturbations [5]. More precisely, the three years WMAP data [5] as well as ref. [6] yield

$$n_s = 0.95 \pm 0.02.$$

We find that for  $n_s = 0.95$  and any value of the asymmetry  $h$  [see figs. 4 and 5], new inflation with the trinomial potential eqs.(1.3)-(1.4) predicts

$$\text{trinomial potential new inflation for } n_s = 0.95: \quad 0.03 < r < 0.04 \quad \text{and} \quad -0.00070 < dn_s/d \ln k < -0.00055$$

We find for the lower border of the three years WMAP data band:

$$\text{trinomial potential new inflation for } n_s = 0.93: \quad 0.003 < r < 0.015 \quad \text{and} \quad -0.0011 < dn_s/d \ln k < -0.00033$$

Moreover, in new inflation with the trinomial potential, we find that  $n_s$  is bounded from above by

$$\text{new inflation:} \quad n_s < n_{s \text{ maximum}} = 0.961528 \dots$$

or  $n_s = 0.961528 \dots$  we have in this model  $r = 0.114769 \dots$  (see figs. 4 and 6). Interestingly enough, there are two values (two branches) of  $r$  for one value of  $n_s$  in the interval  $0.96 < n_s < 0.961528 \dots$  [see fig. 4]. The value  $r = 0.114769 \dots$  is the maximum  $r$  in the first branch. The values  $0.16 \geq r \geq 0.114769 \dots$  correspond to the second branch.

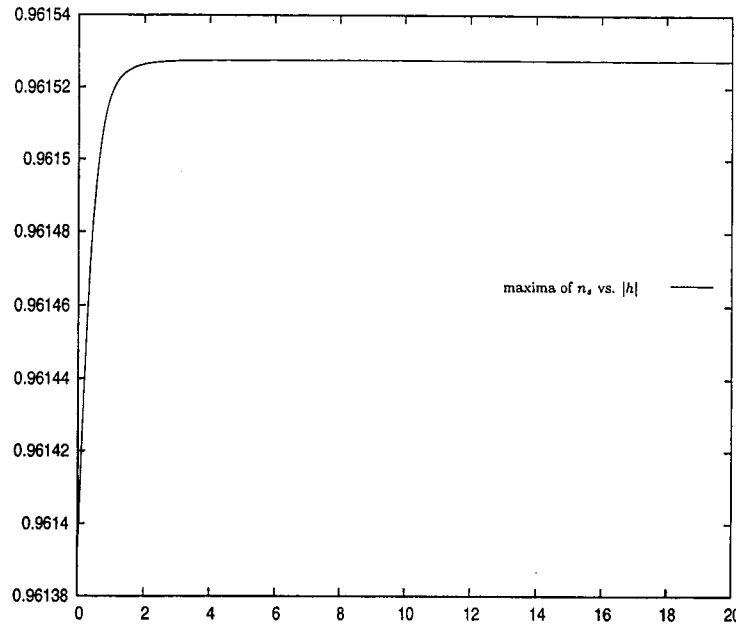


FIG. 6: **New Inflation** Maxima of  $n_s$  plotted vs. the asymmetry of the potential  $|h|$ . The limiting value for large  $|h|$  is  $n_s^{\text{maximum}} = 0.961528\dots$

- $n_s$  has an **absolute maximum value**  
 $n_s = 0.961528\dots$

- No lower bound for  $n_s$

If  $n_s$  is observed larger than 0.962..., New Inflation Trinomial Potential should be excluded

13

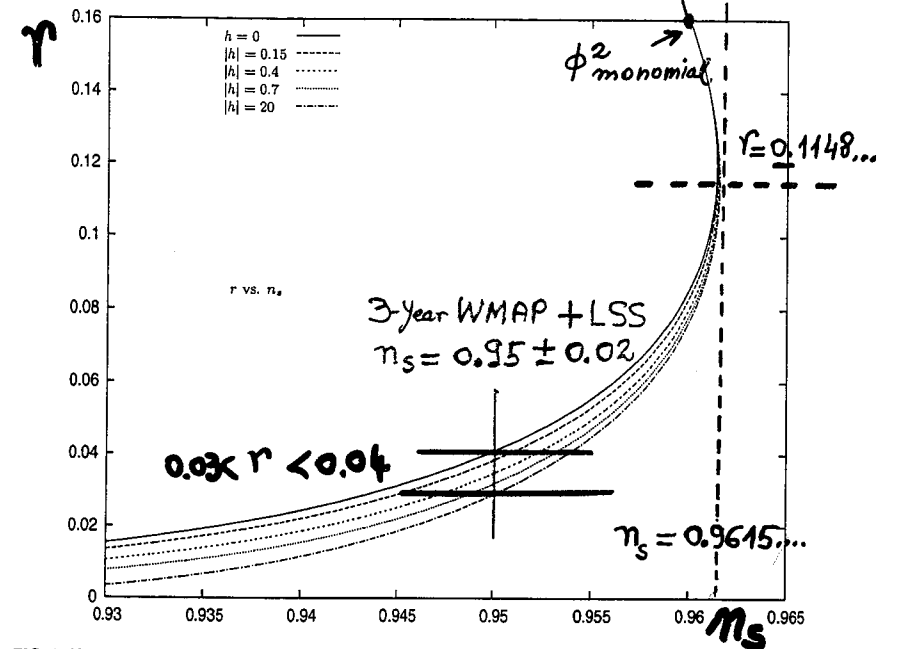


FIG. 4: New Inflation.  $r$  as a function of  $n_s$  for the asymmetry of the potential  $|h| = 0, 0.15, 0.4, 0.7$  and  $20$ . For a given  $n_s$ ,  $r$  monotonically and slowly decreases with increasing  $|h|$ .  $r = r(n_s)$  is not too sensitive to  $h$ . The maximum value of  $n_s$  is  $n_s^{\text{maximum}} = 0.961528\dots$  and the corresponding  $r$  is  $r_{\text{max}} = 0.114769\dots$ . The maximum value of  $r$  is  $r_{\text{obs max}} = 0.16$  and corresponds to the quadratic potential setting  $y = 0$  in eq.(3.2). For  $n_s = 0.95$  (the three years WMAP value), we find  $0.03 < r < 0.04$ .

PREDICTIONS  
for  $r$  :

NEW INFLATION

(  $r$  vs  $n_s$  )

**Running spectral index  $\frac{dn_s}{d \ln k}$**

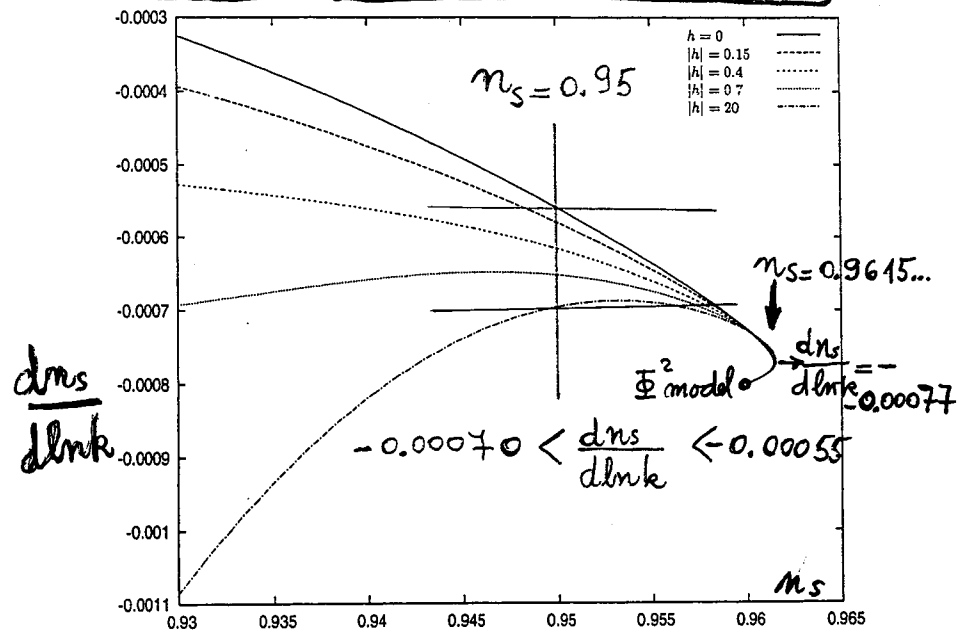


FIG. 5: New Inflation. The running  $dn_s/d \ln k$  as a function of  $n_s$  for the asymmetry of the potential  $|h| = 0, 0.15, 0.4, 0.7$  and  $20$ . The running turns out to be always negative in new inflation. For  $n_s < 0.96$ , the running  $dn_s/d \ln k$  decreases with increasing  $|h|$ . The opposite happens for  $n_s > 0.96$ . In the last case the dependence on  $h$  is weak. We find  $dn_s/d \ln k = -0.00077 \dots$  at the branch point  $n_s = 0.961 \dots$  for all  $|h|$ . The point  $n_s = 1 - \frac{2}{N} = 0.96$ ,  $\frac{dn_s}{d \ln k} = -\frac{2}{N^2} = -0.0008$  is reached for all values of  $h$  and corresponds to the monomial potential eq.(5.2). For  $n_s = 0.95$  (the three years WMAP value), we find  $-0.00070 < dn_s/d \ln k < -0.00055$ .

$\frac{dn_s}{d \ln k}$  is a two valued function of  $n_s$

**NEW INFLATION**

(11)

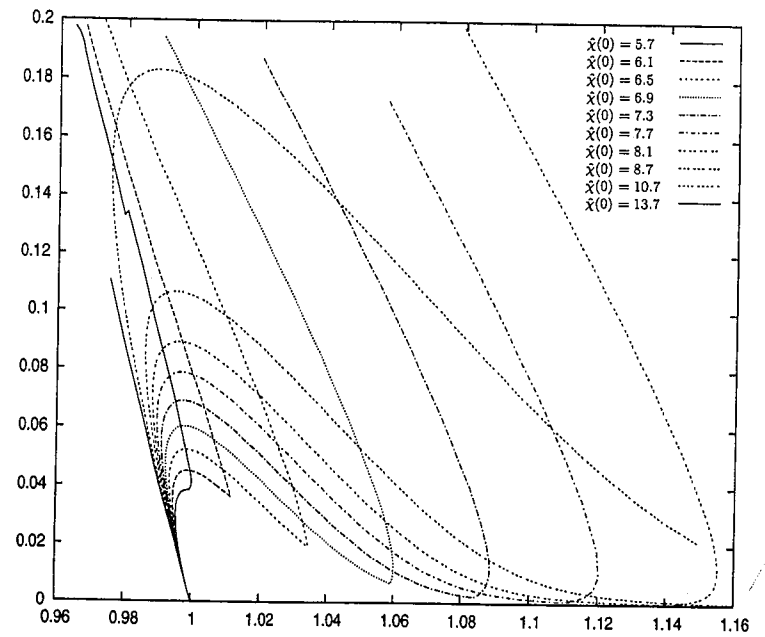


FIG. 21: Hybrid inflation. The ratio  $r$  vs.  $n_s$  for  $\mu^2 = 1.7 \Lambda > \mu_{crit}^2$ ,  $g^2 = \frac{1}{4}$  and  $\chi(0)_{crit} \approx 5.7 \sqrt{\Lambda} \leq \chi(0) \leq 13.7 \sqrt{\Lambda}$ . Notice that we have here both  $n_s > 1$  and  $n_s < 1$  depending on the values of  $\Lambda$  and  $\chi(0)$ . All curves end [ $\chi(0) < \chi(0)_{crit}$ ] or start [ $\chi(0) > \chi(0)_{crit}$ ] at  $n_s = 1$  which is the fixed point for all values of  $\chi(0)$ .

**HYBRID INFLATION PREDICTIONS**

$r$  vs  $n_s$   
 BOTH  $n_s < 1$  and  $n_s > 1$  regimes  
 $n_s = 1$  : fixed point  
 for ALL  $\chi(0)$

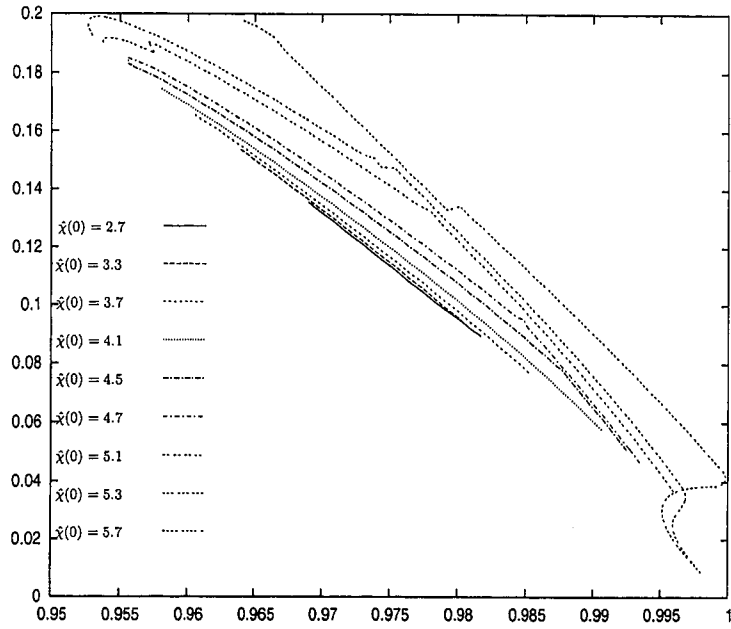


FIG. 20: Hybrid inflation. The ratio  $r$  vs.  $n_s$  for  $\mu^2 = 1.7 \Lambda > \mu_{crit}^2$ ,  $g^2 = \frac{1}{4}$  and  $2.7 \sqrt{\Lambda} \leq \chi(0) \leq 5.7 \sqrt{\Lambda} \approx \chi(0)_{crit}$ . Notice that here  $n_s < 1$  for all values of  $\Lambda$  and this range of  $\chi(0)$ . We see that  $0.2 > r > 0.14$  for the interval  $0.952 < n_s < 0.97$ .

# HYBRID INFLATION PREDICTIONS for $r$ vs $n_s$

(RED TILTED REGIME)  
 $0.952 < n_s < 0.97$   
 $0.2 > r > 0.14$

(15)

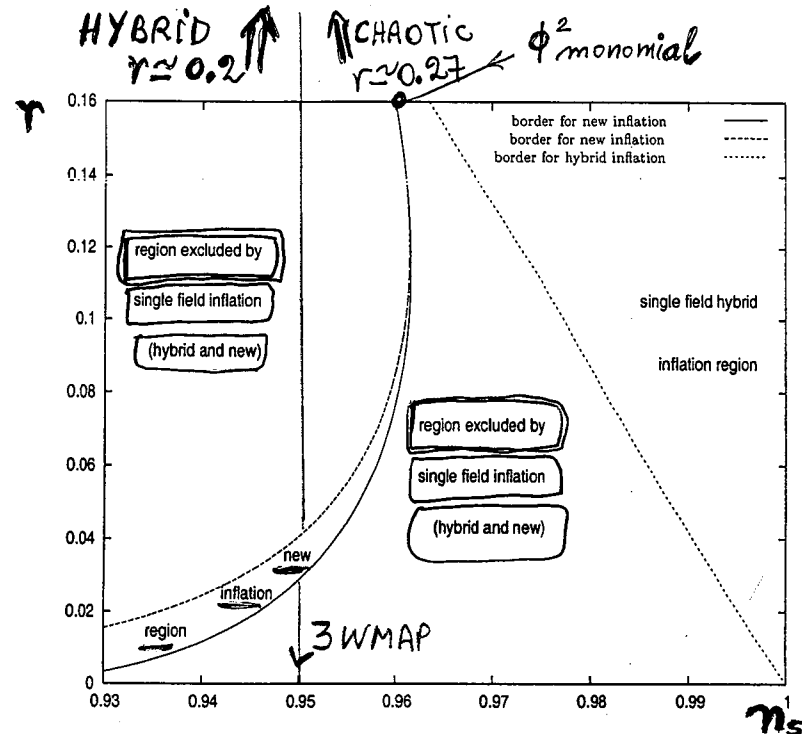


FIG. 24: Regions described in the  $(r, n_s)$ -plane by new and single field hybrid inflation for  $n_s < 1$ . The hybrid inflation border corresponds to  $\mu^2 = 1.7 \Lambda$ . For  $n_s > 1$ , all values of  $(r, n_s)$  can be described by hybrid inflation (at least for  $r < 0.2$ ,  $n_s < 1.15$ ). The excluded region cannot be described by single field inflation (neither hybrid inflation, nor new inflation). Two or more fields inflation could describe such regions.

PREDICTIONS : theoretically  
 allowed and discarded  
 regions in the  $(r, n_s)$   
 plane for :  
 NEW INFLATION and  
 HYBRID INFLATION

We find a simple relation between the Inflation Energy scale  $M$ ,  $n_s$  and  $r$  :

$$10^4 \left( \frac{M}{M_{Pl}} \right)^2 = 1.27 \sqrt{r(1-n_s)}$$

← SMALL AND LARGE FIELD INFLATION

$$10^4 \left( \frac{M}{M_{Pl}} \right)^2 = 1.27 \sqrt{r(n_s - 1 + \frac{3}{8}r)}$$

← HYBRID INFLATION

$$1.27 = 5\pi\sqrt{3} \cdot 10^3 \cdot |\delta_{k,ad}^{(s)}|$$

$$|\delta_{k,ad}^{(s)}| = 0.467 \cdot 10^{-4} \text{ WMAP}$$

WMAP 3 yrs. }  $n_s = 0.95 \pm 0.02$   
 A.G. Sánchez et al. } red tilted  
 MNRAS, 366, 189 (2006)

From this value, NEW INFLATION Trinomial potential predicts

$$0.03 < r < 0.04$$

$$-0.0007 < \frac{dn_s}{dn_k} < -0.0055$$

Chaotic INFLATION Trinomial potential predicts  $r = 0.27$

WMAP 3 yrs + SDSS says that  $r < 0.28$  (95% CL)

⇒ THIS **DISFAVOURS** CHAOTIC INFLATION

**New vs. chaotic inflation and reconstruction program:  
confronting *WMAP 3***

**Implement eff. field theory + slow roll as  $1/N_e$  expansion to systematically explore a large “family” of inflaton potentials.**

***WMAP 3 + LSS:***

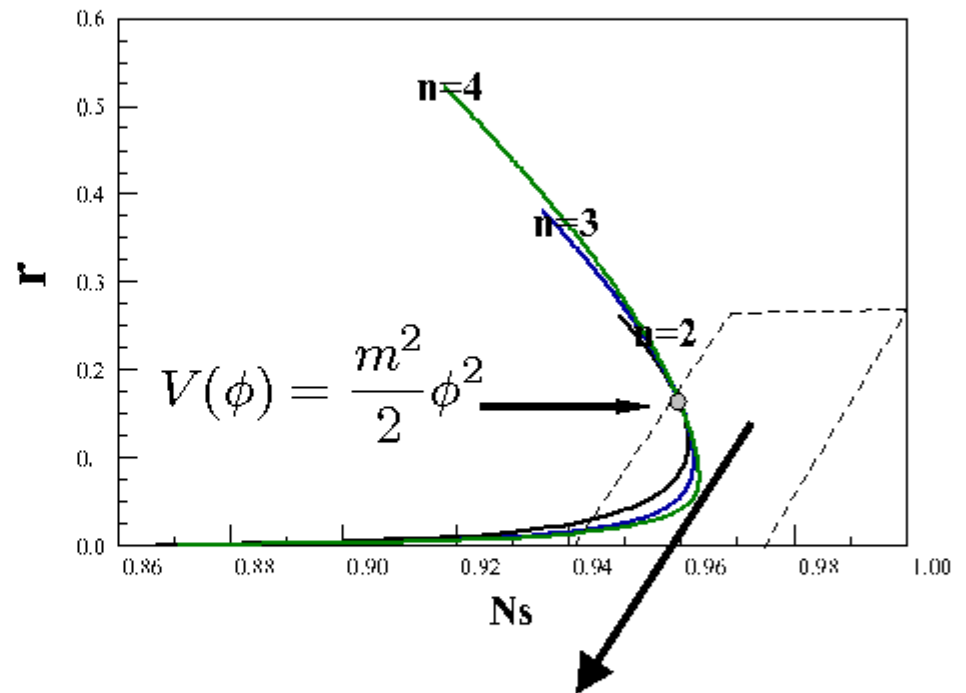
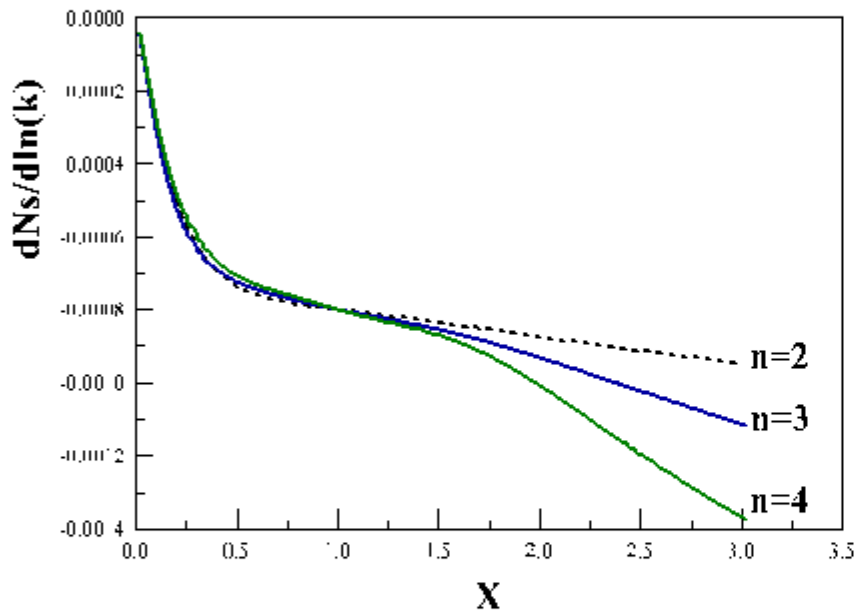
$$n_s = 0.958 \pm 0.016 \quad (\text{assuming } r = 0 \text{ with no running})$$

$$r < 0.28 \quad (95\% \text{ CL}) \quad \text{no running}$$

$$r < 0.67 \quad (95\% \text{ CL}) \quad \text{with running}$$

# RESULTS

## 1) New inflation (B.S.) $N_e = 50$ (change accordingly)

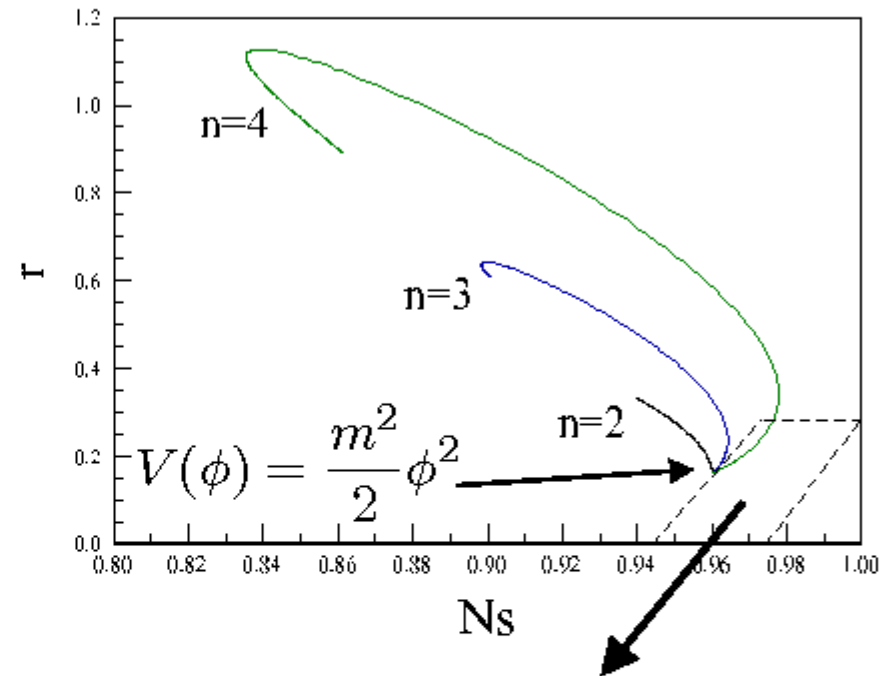
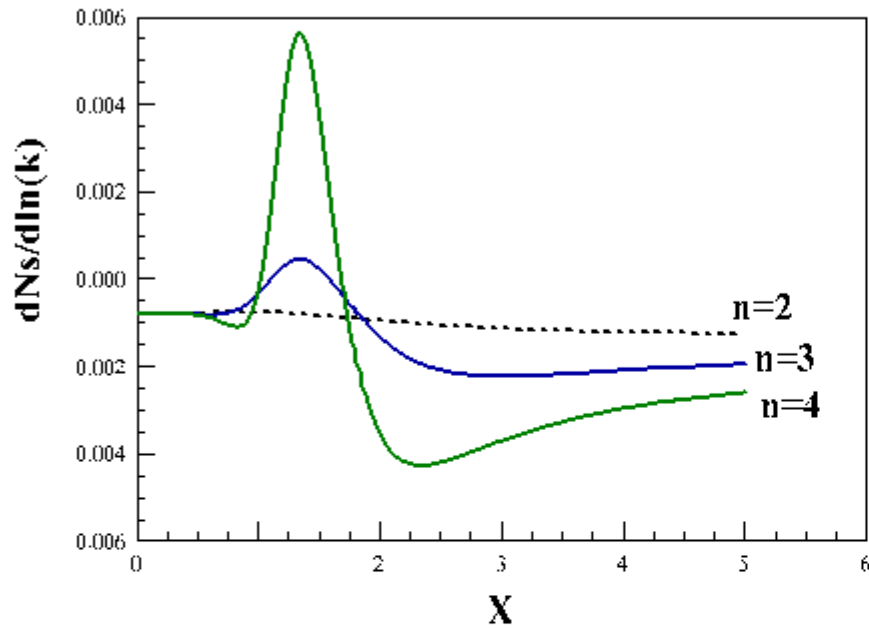


WMAP 3 marginalized region of  $r$ -  $n_s$  (95% CL)

\*

*Large region of consistency for small field New Inflation*

## 2) Chaotic inflation



WMAP 3 marginalized region of  $r$ -  $n_s$  (95% CL)



Small region of consistency with WMAP 3



Therefore, although the WMAP value for  $n_s$  [eq.(1.6)] is compatible both with chaotic and new inflation, WMAP bounds on  $r$  clearly disfavour chaotic inflation. (New inflation easily fulfils the three requirements on  $r$  and prepares the way for the expected data on the ratio of tensor/scalar fluctuations  $r \lesssim 0$ .)

In the inflationary models of hybrid type, the inflaton is coupled to another scalar field  $\sigma_0$  with through a potential of the type [16]

$$V_{hyb}(\phi, \sigma_0) = \frac{m^2}{2} \phi^2 + \frac{g_0^2}{2} \phi^2 \sigma_0^2 + \frac{\mu_0^4}{16 \Lambda_0} \left( \sigma_0^2 - \frac{4 \Lambda_0}{\mu_0^2} \right)^2 =$$

$$= \frac{m^2}{2} \phi^2 + \Lambda_0 + \frac{1}{2} (g_0^2 \phi^2 - \mu_0^2) \sigma_0^2 + \frac{\mu_0^4}{16 \Lambda_0} \sigma_0^4,$$

where  $m^2 > 0$ ,  $\Lambda_0 > 0$  plays the role of a cosmological constant and  $g_0^2$  couples  $\sigma_0$  with  $\phi$ .

The initial conditions are chosen such that  $\sigma_0$  and  $\dot{\sigma}_0$  are very small (but not identically zero) and  $\phi$  is driven by the cosmological constant  $\Lambda_0$  plus the initial value of the inflaton  $\phi(0)$ . The inflaton  $\phi$  decreases with time while the scale factor  $a(t)$  grows exponentially with time. The field  $\sigma_0$  has an effective

$$m_\sigma^2 = g_0^2 \phi^2 - \mu_0^2.$$

Since the inflaton field  $\phi$  decreases with time,  $m_\sigma^2$  becomes negative at some moment during inflation, spinodal (tachyonic) instabilities appear and the field  $\sigma_0$  starts to grow exponentially. At this moment both fields  $\phi$  and  $\sigma_0$  are comparable with  $\dot{\phi}$  and  $\dot{\sigma}_0$  and close to their vacuum values.

We find that the time when the effective mass of the field  $\sigma_0$  eq.(1.9) becomes negative depends on the initial conditions.

where the  $\pm 6\%$  correspond to the error bars in the amplitude of adiabatic perturbations[8]. From figs. 9, 12 and 15 we can understand how the mass ratio  $\frac{m}{M_{Pl}}$  varies with  $n_s$  and  $r$ . We find a limiting value  $x_0 \equiv 10^5 \frac{m_0}{M_{Pl}} \simeq 1$  for the inflaton mass such that  $m_0 \simeq 10^{-5} M_{Pl}$  is a minimal inflaton mass for chaotic inflation, and a maximal mass for new inflation in order to keep  $n_s$  and  $r$  within the WMAP data.

New inflation arises for broken symmetric potentials (the minus sign in front of the  $\varphi^2$  term) while chaotic inflation appears both for unbroken and broken symmetric potentials. For broken symmetry, we find that analytic continuation connects the observables for chaotic and new inflation: the observables are two-valued functions of  $y \equiv \kappa N$ . ( $N$  being the number of e-folds from the first horizon crossing to the end of inflation). One branch corresponds to new inflation and the other branch to chaotic inflation. As shown in figs. 4-7, 9, 12 and 15,  $n_s$ ,  $r$  and  $|\delta_{k ad}^{(S)}|^2$  for chaotic inflation are connected by analytic continuation with the same quantities for new inflation. The branch point where the two scenarios connect corresponds to the monomial  $+\varphi^2$  potential ( $\kappa = \gamma = 0$ ).

The potential which best fits the present data for  $n_s < 1$  and which best prepares the way to the expected data (a small  $r \lesssim 0.1$ ) is given by the trinomial potential eq.(1.1) with a negative  $\varphi^2$  term, that is new inflation. In new inflation we have the upper bound

$$r \leq \frac{8}{N} \simeq 0.16$$

NEW INFLATION

This upper bound is attained by the quadratic monomial. On the contrary, in chaotic inflation for both signs of the  $\varphi^2$  term,  $r$  is bounded as

$$0.16 \simeq \frac{8}{N} < r < \frac{16}{N} \simeq 0.32$$

CHAOTIC INFLATION

# Monte Carlo Markov Chains Analysis of Data: MCMC.

MCMC is an efficient stochastic numerical method to find the probability distribution of the theoretical parameters that describe a set of empirical data.

We found  $n_s$  and  $r$  and the couplings  $y$  and  $h$  by MCMC.

**NEW:** We imposed as a **hard constraint** that  $r$  and  $n_s$  are given by the trinomial potential.

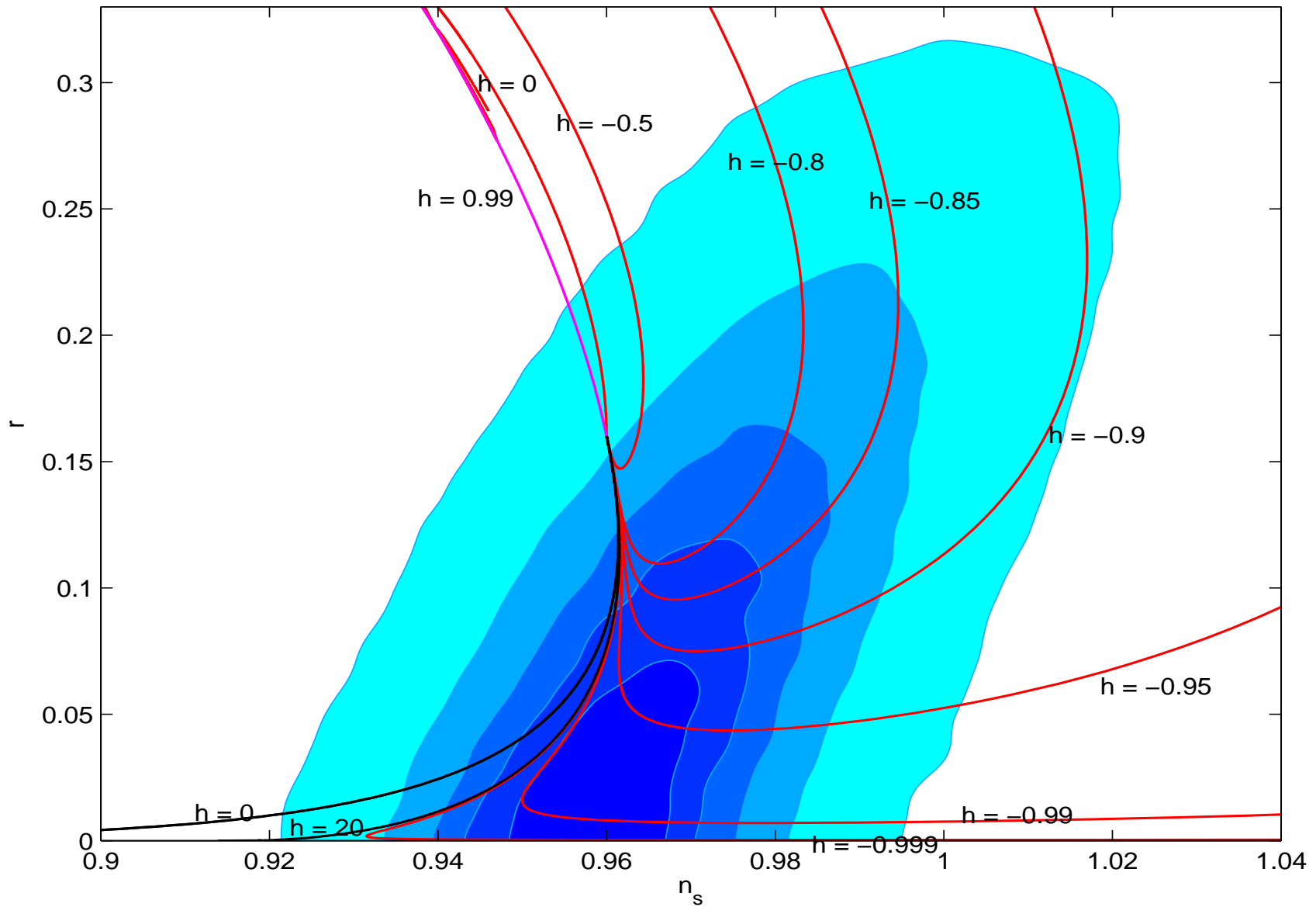
Our analysis differs in **this crucial aspect** from previous MCMC studies of the WMAP data.

We ignore running of the spectral index since

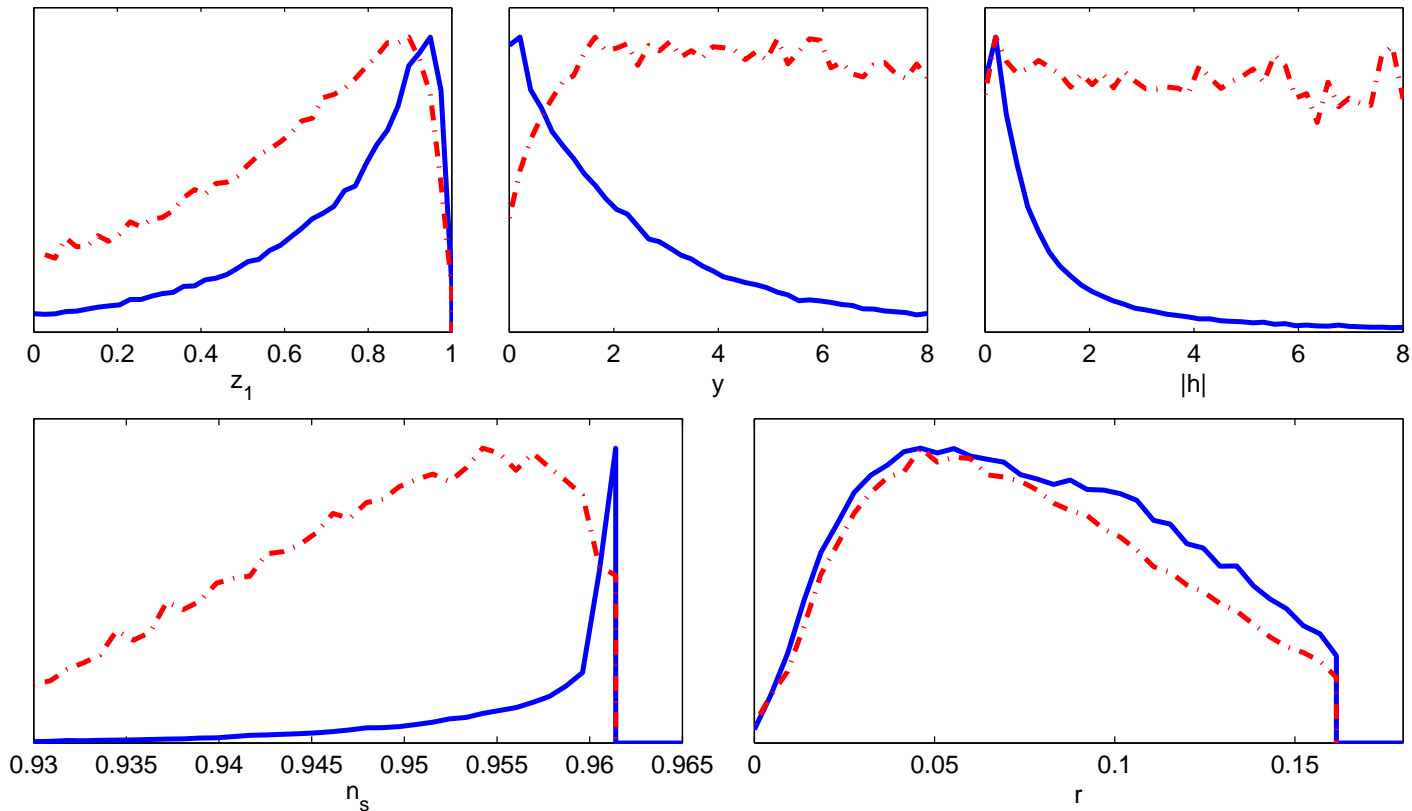
$dn_s/d \ln k \sim 0.0004$  in slow roll.

Adding the running made insignificant changes on the fit of  $n_s$  and  $r$ .

# MCMC Results for Trinomial New Inflation.



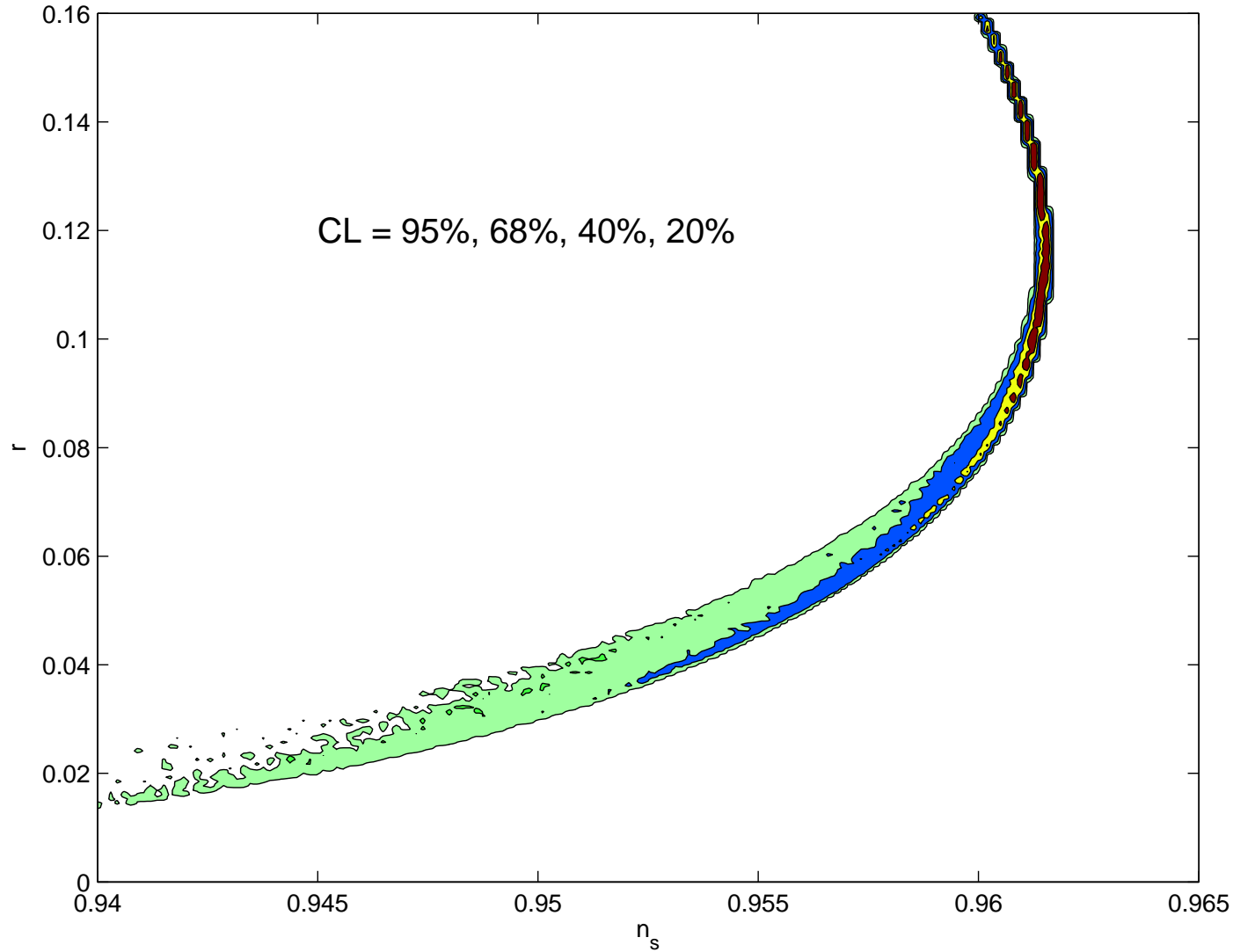
# Probability Distributions. Trinomial New Inflation.



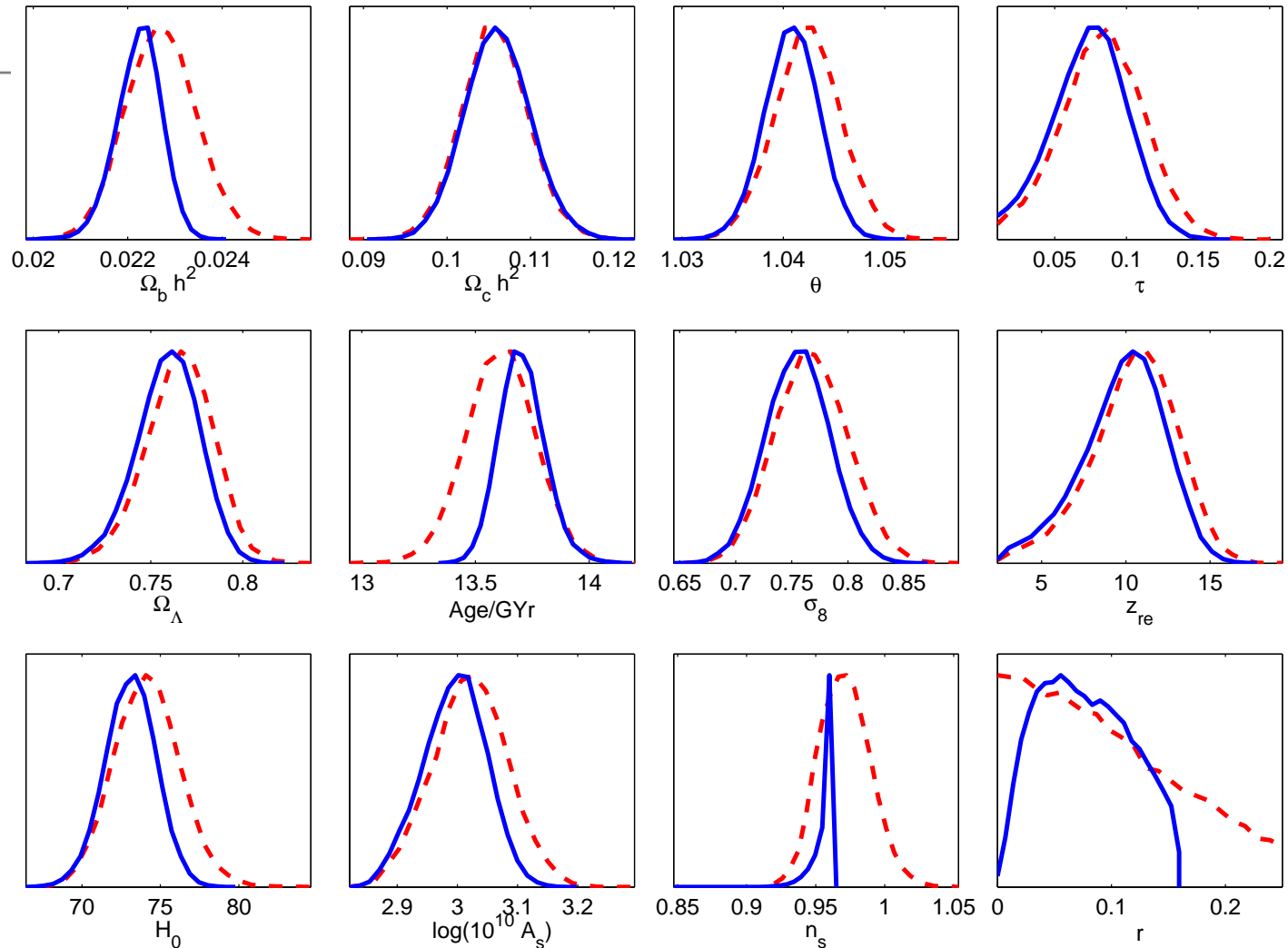
Probability distributions: solid blue curves  
Mean likelihoods: dot-dashed red curves.

$$z_1 = 1 - \frac{y}{8 (|h| + \sqrt{h^2 + 1})^2} \chi^2 .$$

# $r$ vs. $n_s$ data within the Trinomial New Inflation Region.

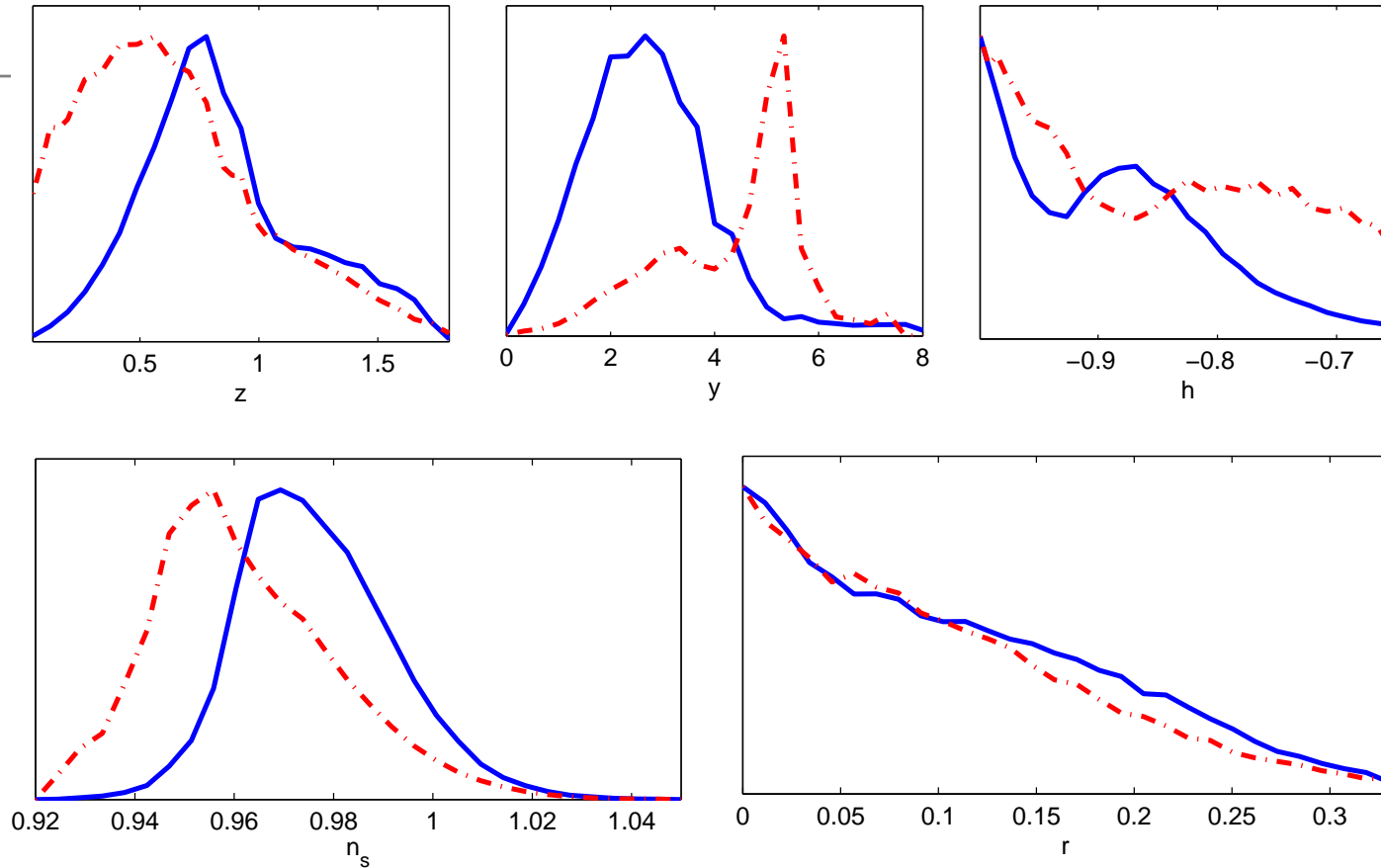


# Marginalized probability distributions. New Inflation.



Imposing the trinomial potential (solid blue curves) and just the  $\Lambda$ CDM+ $r$  model (dashed red curves).  
(curves normalized to have the maxima equal to one).

# Probability Distributions. Trinomial Chaotic Inflation.



Probability distributions (solid blue curves) and mean likelihoods (dot-dashed red curves).

The data request a strongly asymmetric potential in chaotic inflation almost having two minima. That is, a strong breakdown of the  $\chi \rightarrow -\chi$  symmetry.

# MCMC Results for Trinomial New Inflation.

Bounds:  $r > 0.016$  (95% CL) ,  $r > 0.049$  (68% CL)

Most probable values:  $n_s \simeq 0.956$  ,  $r \simeq 0.055$  .

The most probable trinomial potential for new inflation is symmetric and has a moderate nonlinearity with the quartic coupling  $y \simeq 2.01 \dots$  and  $h \simeq 0.3$ .

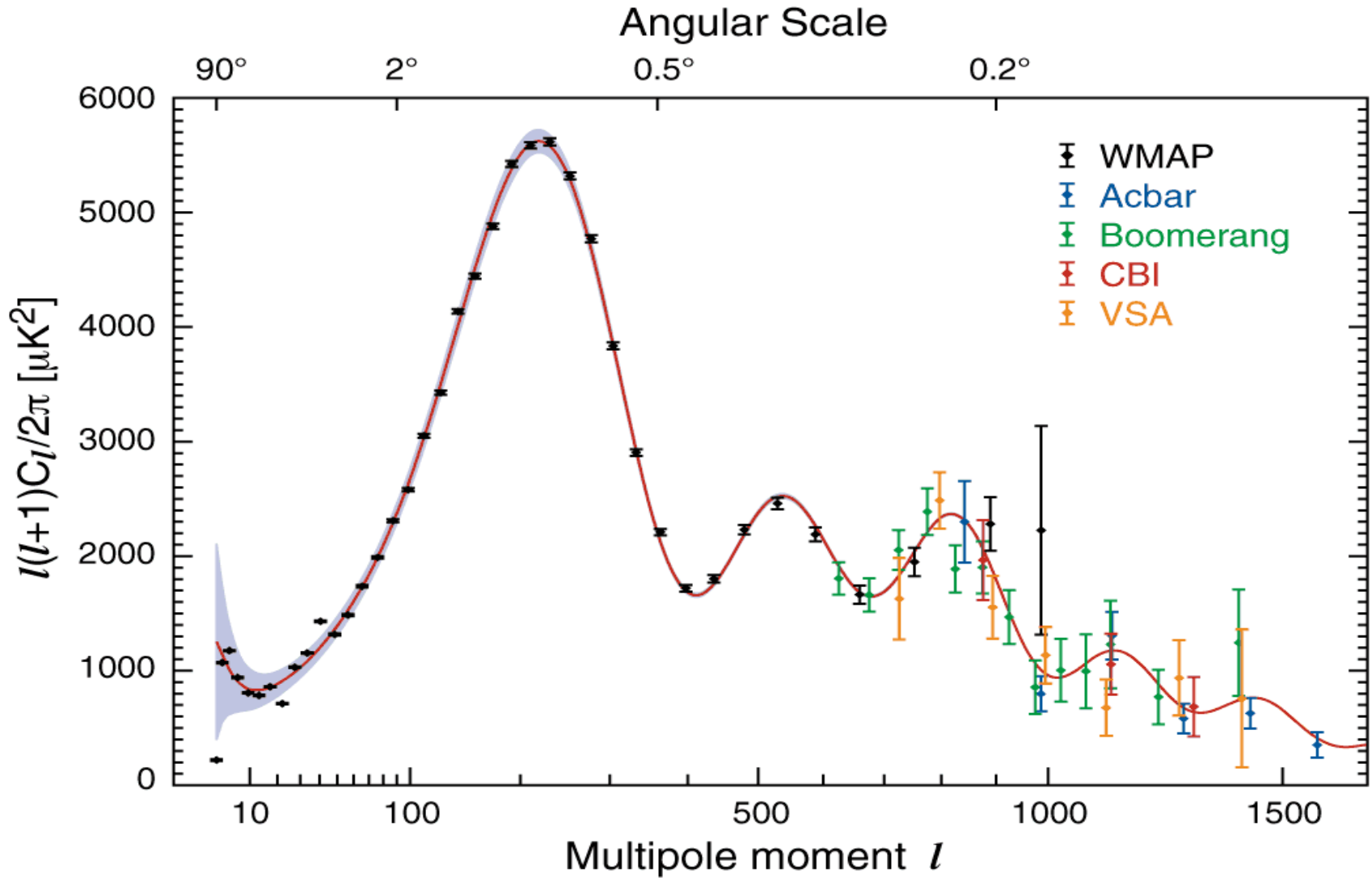
The  $\chi \rightarrow -\chi$  symmetry is here spontaneously broken since the absolute minimum of the potential is at  $\chi \neq 0$ .

$$w(\chi) = \frac{y}{32} \left( \chi^2 - \frac{8}{y} \right)^2$$

C. Destri, H. J. de Vega, N. Sanchez, MCMC analysis of WMAP3 data points to broken symmetry inflaton potentials and provides a lower bound on the tensor to scalar ratio, astro-ph/0703417.



# WMAP 3 years data plus others.



Theory and observations **nicely agree** except for the lowest multipoles: **the quadrupole suppression**.

**FAST ROLL INFLATION,  
INITIAL CONDITIONS  
AND  
QUADRUPOLE SUPPRESSION**

**Norma G. SANCHEZ**

# I. INTRODUCTION

Scalar curvature and tensor (gravitational wave) quantum fluctuations generated during the inflationary stage determine the power spectrum of the anisotropies in the cosmic microwave background (CMB) providing the seeds for large scale structure (LSS) formation. Curvature and tensor fluctuations obey a wave equation, and the choice of a particular solution entails a choice of initial conditions [1]-[9]. The power spectra of these fluctuations depend in general on the initial conditions that define the particular solutions. These are usually chosen as Bunch-Davies [10] initial conditions, which select positive frequency modes asymptotically with respect to conformal time. The quantum states in the Fock representation associated with these initial conditions are known as Bunch-Davies states, the vacuum state being invariant under the maximal symmetry group  $O(4, 1)$  of de Sitter space-time. In earlier studies alternative initial conditions were also considered [11]. The requirement that the energy momentum tensor be renormalizable constrains the UV asymptotic behaviour of the Bogoliubov coefficients that encode different initial conditions [12]. The availability of high precision cosmological data motivated a substantial effort to study the effect of different initial conditions upon the angular power spectrum of CMB anisotropies, focusing primarily in the high- $l$  region near the acoustic peaks [13]. However, the exhaustive analysis of the three year WMAP data [14]-[16] render much less statistical significance to possible effects on small angular scales from alternative initial conditions.

Although there are no statistically significant departures from the slow roll inflationary scenario at small angular scales ( $l \gtrsim 100$ ), the third year WMAP data again confirms the surprisingly low quadrupole  $C_2$  [14]-[16] and suggests that it cannot be completely explained by galactic foreground contamination. The low value of the quadrupole has been an intriguing feature on large angular scales since first observed by COBE/DMR [17], and confirmed by the WMAP data [14]-[22].

In a companion article [23], we reported on our study of the effect of general initial conditions on the power spectra of curvature and gravitational wave perturbations. General initial conditions are related to the Bunch-Davies initial conditions by a Bogoliubov transformation and their effect on the power spectra is encoded in a transfer function  $D(k)$  whose large wavevector behavior is constrained by renormalizability and small backreaction [23]. The rapid fall off  $D(k) < \mathcal{O}(1/k^2)$  for large  $k$  entail that observable effects from initial conditions are more pronounced for low multipoles, namely in the region of the angular power spectra corresponding to the Sachs-Wolfe plateau.

In ref. [23] we formulate the problem of initial conditions established at the beginning of slow roll, in terms of a scattering by a potential in the wave equations for the mode functions of curvature and tensor perturbations. Such potential is localized in conformal time prior to slow roll and determines the initial conditions for the mode

functions. Implementing methods from potential scattering allowed us to establish that such potential yields a transfer function  $D(k)$  that automatically satisfies the stringent constraints from renormalizability and backreaction. The results of this previous study reveal that an attractive potential localized just prior to the onset of slow roll and with a scale determined by the energy scale during slow roll inflation yield a suppression of the quadrupole for curvature perturbations consistent with the data  $\sim 10 - 20\%$  and predicts a small quadrupole suppression for tensor perturbations.

In this article we discuss the origin of this attractive potential within the effective field theory of inflation. We argue that such potential is a generic feature of a brief fast roll stage that merges smoothly with slow roll inflation. This stage is a consequence of an initial condition for the classical inflaton dynamics in which the kinetic and potential energy of the inflaton are of the same order, namely, the energy scale of slow roll inflation. During the early fast roll stage the inflaton evolves rapidly during a brief period, but slows down by the cosmological expansion settling in the slow roll stage in which the kinetic energy of the inflaton is much smaller than its potential energy. The scale of the attractive potential is determined by the energy scale during the slow roll stage, which in the effective field theory description [24, 25] is of the order of the grand unification scale,  $M \sim 10^{16} \text{ GeV}$ , well below the Planck scale  $M_{Pl} \sim 10^{19} \text{ GeV}$ , and no other energy scales are involved. Hence, we emphasize that there is no need to advocate transplanckian physics in this context.

## Brief summary of results :

In this article we combine the dynamical origin of the potential within the effective field theory of inflation, with the results obtained in ref. [23] and show that the early fast roll stage leads to a suppression of the CMB quadrupole. Our main results are the following:

- Within the effective field theory of inflation with the same inflaton potentials that fulfill the slow roll conditions, we find that an initial state of the inflaton with almost equipartition between kinetic and potential inflaton energies yields an attractive potential for the mode functions of the fluctuations. This potential emerges from a brief stage in which the inflaton rolls fast, hence we call this the fast roll stage. This early stage only lasts approximately one e-fold and merges smoothly with the slow roll stage. This fast roll stage prior to slow roll is a generic feature of an initial condition for cosmological dynamics in which there is an approximate equipartition

between the kinetic and potential energy of the inflaton. The initial conditions for the fluctuations prior to the fast roll stage are chosen to be the usual Bunch-Davies conditions. However, the potential that results from the fast roll dynamics of the inflaton lead to non-Bunch-Davis conditions for the curvature and tensor perturbations at the beginning of the slow roll stage. The Bogoliubov coefficients and transfer function  $D(k)$  automatically satisfy the constraints from renormalizability and small backreaction.

(2)

We have investigated a large variety of inflationary models with initial inflaton dynamics featuring an approximate equipartition between inflaton kinetic and potential energies. This study leads us to conclude quite generally that the scale of the potential during fast roll is completely determined by the Hubble scale during the subsequent slow roll stage. The effect of this potential during the fast roll evolution of the scale factor leads to modifications of the primordial power spectrum. This potential is attractive both for curvature and tensor fluctuations, and leads to a suppression of their primordial power spectra on large scales.

(3)

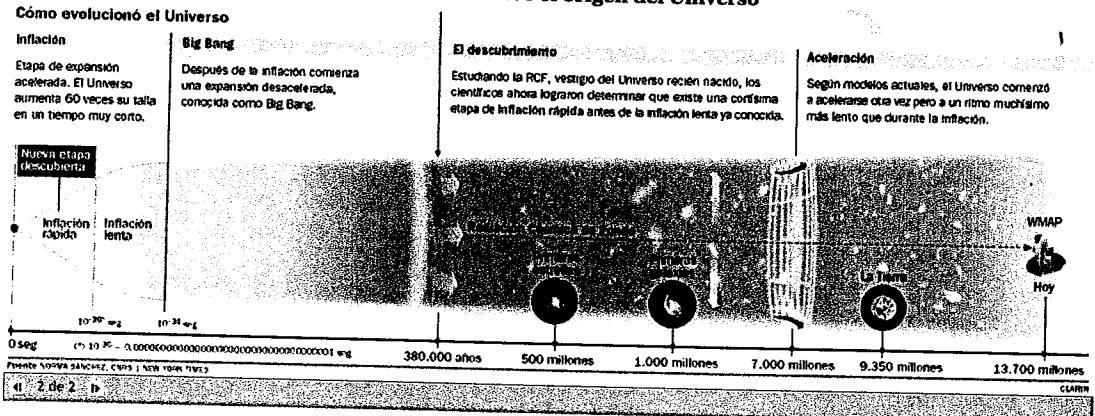
From a comprehensive numerical study of different inflationary scenarios within the effective field theory approach, we find a 10-20% suppression of the CMB quadrupole and about a 2-4% suppression of the B-mode quadrupole (tensor fluctuations). This CMB quadrupole corresponds to the wavevector  $k_Q$  whose physical wavelength is of the order of the Hubble radius today and exits the horizon during slow roll inflation just 1-2 e-folds after the brief fast roll stage. The suppression on higher  $l$ -multipoles reduce considerably following a  $1/l^2$  law.

(4)

The attractive potential resulting from the fast roll stage accounts for the observed suppression of the CMB quadrupole if the wavevector  $k_Q$  whose wavelength corresponds to the Hubble radius today exits 2-3 e-folds after the end of the fast roll stage, which lasts  $\approx 1$  e-fold. The quadrupole corresponds to the wavevector  $k_Q$  that exits the horizon  $N_Q = 55$  e-folds before the end of inflation, hence our results successfully explain the CMB quadrupole suppression within the effective field theory if inflation lasts at most  $N_{tot} \leq N_Q + 4 = 59$  e-folds. This result establishes an upper bound to the number of e-folds during inflation.

Clarín.com

### Científicos argentinos revelan nuevos secretos sobre el origen del Universo



INFLATION  
 FAST ROLL  
 SLOW ROLL  
 INITIAL CONDITIONS

QUADRUPOLE CMB  
 SUPPRESSION

(STANDARD) CONCORDANCE MODEL

(Clarín, Buenos Aires, 23/10/2006)

## II. INITIAL CONDITIONS OF INFLATIONARY FLUCTUATIONS FROM THE SCATTERING BY A POTENTIAL

In the companion article [23] we have systematically analyzed the consequences of generic initial conditions different from Bunch-Davies, under the conditions that these are UV allowed and yield small backreaction effects. Here we address the *origin* of these initial conditions, beginning by gathering relevant ingredients from [23].

As shown in [23] in a cosmological space-time geometry

$$ds^2 = dt^2 - a^2(t)(d\vec{x})^2 = C^2(\eta)[d\eta^2 - (d\vec{x})^2],$$

COSMIC TIME CONFORMAL TIME

where  $t$  and  $\eta$  stand for cosmic and conformal time respectively, the wave equations for the mode functions of gaussian curvature and tensor perturbations are of the form of the Schrödinger equation in one dimension

**CURVATURE AND TENSOR FLUCTUATION**  $\left[ \frac{d^2}{d\eta^2} + k^2 - W(\eta) \right] S(k; \eta) = 0$  **WAVE EQUATION** <sup>(2.1)</sup> (in  $\eta$ )

with  $\eta$  the coordinate,  $k^2$  the energy and  $W(\eta)$  a potential that depends on the coordinate  $\eta$ . In the cases under consideration

**POTENTIAL  $W(\eta)$**

$$W(\eta) = \begin{cases} W_{\mathcal{R}}(\eta) = z''/z & \text{for curvature perturbations,} \\ W_T(\eta) = C''/C & \text{for tensor perturbations.} \end{cases} \quad (2.2)$$

where prime stands for derivative with respect to the conformal time and

$$z = a(t) \frac{\dot{\Phi}}{H}, \quad (2.3)$$

$\dot{\Phi}$  stands for the derivative of the inflaton field  $\Phi$  with respect to the cosmic time  $t$ .

It is convenient to explicitly separate the behavior of  $W(\eta)$  during the slow roll stage by writing

$\mathcal{V}(\eta)$   $W(\eta) = \mathcal{V}(\eta) + \left( \frac{\nu^2 - \frac{1}{4}}{\eta^2} \right)$  **SLOW ROLL PART (REPULSIVE BARRIER)** <sup>(2.4)</sup>

where  
**IN TERMS OF SLOW ROLL PARAMETERS**

$$\nu = \begin{cases} \nu_{\mathcal{R}} = \frac{3}{2} + 3\epsilon_v - \eta_v + \mathcal{O}\left(\frac{1}{N^2}\right) & \text{for curvature perturbations} \\ \nu_T = \frac{3}{2} + \epsilon_v + \mathcal{O}\left(\frac{1}{N^2}\right) & \text{for tensor perturbations.} \end{cases}$$

Here  $\epsilon_v$  and  $\eta_v$  stand for the slow roll parameters

$$\epsilon_v = \frac{\dot{\Phi}^2}{2 M_{Pl}^2 H^2} = \frac{M_{Pl}^2}{2} \left[ \frac{V'(\Phi)}{V(\Phi)} \right]^2 + \mathcal{O}\left(\frac{1}{N^2}\right) = \mathcal{O}\left(\frac{1}{N}\right), \quad \eta_v = M_{Pl}^2 \frac{V''(\Phi)}{V(\Phi)} = \mathcal{O}\left(\frac{1}{N}\right),$$

**SLOW ROLL: CENTRIFUGAL BARRIER**

and  $N \sim 55$  stands for the number of e-folds from horizon exit until the end of inflation [24]. The slow roll dynamics acts through the term  $[(\nu^2 - 1/4)/(\eta^2)]$  which is a repulsive centrifugal barrier.

We anticipate that the potential  $\mathcal{V}(\eta)$  is localized in the fast roll stage prior to slow roll (during which cosmologically relevant modes cross out of the Hubble radius) where  $\mathcal{V}(\eta)$  vanishes. Including the potential  $\mathcal{V}(\eta)$  the equation the quantum fluctuations are

**FLUCTUATIONS EQUATION**  $\left[ \frac{d^2}{d\eta^2} + k^2 - \frac{\nu^2 - \frac{1}{4}}{\eta^2} - \mathcal{V}(\eta) \right] S(k; \eta) = 0.$  **FAST ROLL**

During the slow roll stage  $\mathcal{V}(\eta) = 0$  and the mode equations simplify to

$\mathcal{V}(\eta) = 0$   $\left[ \frac{d^2}{d\eta^2} + k^2 - \frac{\nu^2 - \frac{1}{4}}{\eta^2} \right] S(k; \eta) = 0.$  **SLOW ROLL**

To leading order in slow roll,  $\nu$  is constant and for general initial conditions the solution is,

$$S(k; \eta) = A(k) g_\nu(k; \eta) + B(k) f_\nu(k; \eta),$$

where two linearly independent solutions of eq.(2.8) are,

$$g_\nu(k; \eta) = \frac{1}{z} i^{\nu+\frac{1}{2}} \sqrt{-\pi\eta} H_\nu^{(1)}(-k\eta),$$

where two linearly independent solutions of eq.(2.8) are,

$$\begin{cases} g_\nu(k; \eta) = \frac{1}{2} i^{\nu+\frac{1}{2}} \sqrt{-\pi\eta} H_\nu^{(1)}(-k\eta), & (2.10) \\ f_\nu(k; \eta) = [g_\nu(k; \eta)]^*, & (2.11) \end{cases}$$

$H_\nu^{(1)}(z)$  are Hankel functions. These solutions are normalized so that their Wronskian is given by

$$W[g_\nu(k; \eta), f_\nu(k; \eta)] = g'_\nu(k; \eta) f_\nu(k; \eta) - g_\nu(k; \eta) f'_\nu(k; \eta) = -i. \quad (2.12)$$

The mode functions and coefficients  $A(k)$ ,  $B(k)$  will feature a subscript index  $\mathcal{R}$ ,  $T$ , for curvature or tensor perturbations, respectively.

For wavevectors deep inside the Hubble radius  $|k\eta| \gg 1$ , the mode functions have the Bunch-Davies asymptotic behavior

**BUNCH DAVIES**

$$g_\nu(k; \eta) \stackrel{\eta \rightarrow -\infty}{\approx} \frac{1}{\sqrt{2k}} e^{-ik\eta}, \quad f_\nu(k; \eta) \stackrel{\eta \rightarrow -\infty}{\approx} \frac{1}{\sqrt{2k}} e^{ik\eta}, \quad \text{CONDITIONS} \quad (2.13)$$

and for  $\eta \rightarrow 0^-$ , the mode functions behave as:

$$g_\nu(k; \eta) \stackrel{\eta \rightarrow 0^-}{\approx} \frac{\Gamma(\nu)}{\sqrt{2\pi k}} \left(\frac{2}{ik\eta}\right)^{\nu-\frac{1}{2}}. \quad (2.14)$$

The complex conjugate formula holds for  $f_\nu(k; \eta)$ .

In particular, in the scale invariant case  $\nu = \frac{3}{2}$  which is the leading order in the slow roll expansion, the mode functions eqs.(2.10) simplify to

SCALE INVARIANT  $\rightarrow$   $\left\{ g_{\frac{3}{2}}(k; \eta) = \frac{e^{-ik\eta}}{\sqrt{2k}} \left[ 1 - \frac{i}{k\eta} \right] \right\} \leftarrow$  IN PARTICULAR  $(2.15)$   
 $(\nu = \frac{3}{2} \text{ CASE})'$

$\mathcal{V}(\eta)$  is localized in the fastroll regime

The mode equation (2.7) can be written as an integral equation,

general solutions  $\rightarrow$

$$S(k; \eta) = g_\nu(k; \eta) + i g_\nu(k; \eta) \int_{-\infty}^{\eta} g_\nu^*(k; \eta') \mathcal{V}(\eta') S(k; \eta') d\eta' - i g_\nu^*(k; \eta) \int_{-\infty}^{\eta} g_\nu(k; \eta') \mathcal{V}(\eta') S(k; \eta') d\eta'. \quad (2)$$

This solution has the Bunch-Davies asymptotic condition

$$S(k; \eta \rightarrow -\infty) = \frac{e^{-ik\eta}}{\sqrt{2k}}. \quad (2)$$

We formally consider here the conformal time starting at  $\eta = -\infty$ . However, it is natural to consider that inflationary evolution of the universe starts at some negative value  $\eta_i < \bar{\eta}$ , where  $\bar{\eta}$  is the conformal time when roll ends and slow roll begins.

$\mathcal{V}(\eta) = 0$  for  $\eta > \bar{\eta}$

Since  $\mathcal{V}(\eta)$  vanishes for  $\eta > \bar{\eta}$ , the mode functions  $S(k; \eta)$  can be written for  $\eta > \bar{\eta}$  as linear combinations of mode functions  $g_\nu(k; \eta)$  and  $g_\nu^*(k; \eta)$ ,

$$S(k; \eta) = A(k) g_\nu(k; \eta) + B(k) g_\nu^*(k; \eta), \quad \eta > \bar{\eta}, \quad (2)$$

where the coefficients  $A(k)$  and  $B(k)$  can be read from eq.(2.16),

**BOGOLIUBOV COEFFICIENTS COMPUTED FROM THE DYNAMICS**

$$\begin{aligned} A(k) &= 1 + i \int_{-\infty}^0 g_\nu^*(k; \eta) \mathcal{V}(\eta) S(k; \eta) d\eta \\ B(k) &= -i \int_{-\infty}^0 g_\nu(k; \eta) \mathcal{V}(\eta) S(k; \eta) d\eta. \end{aligned} \quad (2)$$

The coefficients  $A(k)$  and  $B(k)$  are therefore calculated from the dynamics before slow roll [recall that  $\mathcal{V}(\eta)$  for  $\eta > \bar{\eta}$  during slow roll.]

The constancy of the Wronskian  $W[g_\nu(\eta), g_\nu^*(\eta)] = -i$  and eq.(2.18) imply the constraint,

$$|A(k)|^2 - |B(k)|^2 = 1.$$

This relation permits to represent the coefficients  $A(k)$ ;  $B(k)$  as [23]

This relation permits to represent the coefficients  $A(k); B(k)$  as [23]

$$A(k) = \sqrt{1 + N(k)} e^{i\theta_A(k)} ; B(k) = \sqrt{N(k)} e^{i\theta_B(k)} , \quad (2.20)$$

where  $N(k), \theta_A, \theta_B(k)$  are real.

Starting with Bunch-Davies initial conditions for  $\eta \rightarrow -\infty$ , the action of the potential generates a mixture of the two linearly independent mode functions that result in the mode functions eq.(2.18) for  $\eta > \bar{\eta}$  when the potential vanishes. This is clearly equivalent to starting the evolution of the fluctuations at the beginning of slow roll  $\eta = \bar{\eta}$  with initial conditions defined by the Bogoliubov coefficients  $A(k)$  and  $B(k)$  given by eq.(2.19).

As shown in ref.[23] the power spectrum of curvature and tensor perturbations for the general fluctuations eq.(2.18) takes the form,

**POWER SPECTRUM**

$$P_{\mathcal{R}}(k) \stackrel{\eta \rightarrow 0^-}{=} \frac{k^3}{2\pi^2} s \langle 0 | \left| \frac{S_{\mathcal{R}}(k; \eta)}{z} \right|^2 | 0 \rangle_S = P_{\mathcal{R}}^{sr}(k) [1 + D_{\mathcal{R}}(k)] ,$$

$$P_{\mathcal{T}}(k) \stackrel{\eta \rightarrow 0^-}{=} \frac{k^3}{2\pi^2} s \langle 0 | \left| \frac{S_{\mathcal{T}}(k; \eta)}{C(\eta)} \right|^2 | 0 \rangle_S = P_{\mathcal{T}}^{sr}(k) [1 + D_{\mathcal{T}}(k)] . \quad (2.21)$$

**FOR GENERAL INITIAL CONDITIONS**

where  $D_{\mathcal{R}}(k)$  and  $D_{\mathcal{T}}(k)$  are the transfer functions for the initial conditions of curvature and tensor perturbations introduced in ref.[23]:

$$D_{\mathcal{R}}(k) = 2 |B_{\mathcal{R}}(k)|^2 - 2 \text{Re} [A_{\mathcal{R}}(k) B_{\mathcal{R}}^*(k) i^{2\nu_{\mathcal{R}}-3}] = 2 N_{\mathcal{R}}(k) - 2 \sqrt{N_{\mathcal{R}}(k)[1 + N_{\mathcal{R}}(k)]} \cos \left[ \theta_{\mathcal{R}}^{\mathcal{R}} - \pi \left( \nu_{\mathcal{R}} - \frac{3}{2} \right) \right]$$

$$D_{\mathcal{T}}(k) = 2 |B_{\mathcal{T}}(k)|^2 - 2 \text{Re} [A_{\mathcal{T}}(k) B_{\mathcal{T}}^*(k) i^{2\nu_{\mathcal{T}}-3}] = 2 N_{\mathcal{T}}(k) - 2 \sqrt{N_{\mathcal{T}}(k)[1 + N_{\mathcal{T}}(k)]} \cos \left[ \theta_{\mathcal{T}}^{\mathcal{T}} - \pi \left( \nu_{\mathcal{T}} - \frac{3}{2} \right) \right] \quad (2.22)$$

here  $\theta_k \equiv \theta_B(k) - \theta_A(k)$ . The standard slow roll power spectrum is given by [3, 7]:

$$P_{\mathcal{R}}^{sr}(k) = \left( \frac{k}{2k_0} \right)^{n_s-1} \frac{\Gamma^2(\nu)}{\pi^3} \frac{H^2}{2\epsilon_\nu M_{Pl}^2} \equiv A_{\mathcal{R}}^2 \left( \frac{k}{k_0} \right)^{n_s-1} ,$$

**TRANSFER FUNCTION OF INITIAL CONDITIONS**  
 $D_{\mathcal{R}}(k) , D_{\mathcal{T}}(k)$

**SLOW ROLL**

**TENSOR**

$$P_{\mathcal{T}}^{sr}(k) = A_{\mathcal{T}}^2 \left( \frac{k}{k_0} \right)^{n_T} , \quad n_T = -2\epsilon_\nu , \quad \frac{A_{\mathcal{T}}^2}{A_{\mathcal{R}}^2} = r = 16\epsilon_\nu . \quad (2.23)$$

ratio

As shown in ref. [23], the relative change in the  $C_i$ 's for the general fluctuations eq.(2.18) with respect to the standard slow roll result is given by

**CHANGE IN THE  $C_i$ ' :**

$$C_i \equiv C_i^{sr} + \Delta C_i , \quad \frac{\Delta C_i}{C_i} = \frac{\int_0^\infty D(\kappa x) f_i(x) dx}{\int_0^\infty f_i(x) dx} , \quad (2.24)$$

where  $x = k/\kappa$  and

$$\kappa \equiv a_0 H_0 / 3.3 . \quad (2.25)$$

$D(\kappa x)$  is the transfer function of initial conditions for the corresponding perturbation,

$$f_i(x) = x^{n_i-2} [j_i(x)]^2 . \quad (2.26)$$

and the  $j_l(x)$  are spherical Bessel functions [26]. We derived in ref.[23] an estimate of the corrections, for the maximal asymptotic decay of the occupation numbers

$$N_k = N_\mu \left( \frac{\mu}{k} \right)^{4+\delta} ; \quad 0 < \delta \ll 1 \quad (2.27)$$

**RELATIVE** with the result,

**CHANGE**

$$\frac{\Delta C_i}{C_i} \approx -\frac{4}{3} \sqrt{N_\mu} \left( \frac{3.3 \mu}{a_0 H_0} \right)^2 \frac{\cos \theta}{(l-1)(l+2)} . \quad (2.28)$$

where we have taken  $\nu = 3/2$  and  $\cos \theta_k \approx \cos \theta$  (see ref.[23] for details). The  $\sim 1/l^2$  behavior is a result of the  $1/k^2$  asymptotic decay of the occupation numbers of the renormalizability condition on the occupation number. For the quadrupole, the

$$\frac{\Delta v_l}{C_l} \approx -\frac{4}{3} \sqrt{N_\mu} \left( \frac{3.3 \mu}{a_0 H_0} \right) \frac{\cos \theta}{(l-1)(l+2)} \quad (2.28)$$

where we have taken  $\nu = 3/2$  and  $\cos \theta_k \approx \cos \theta$  (see ref. [23] for details). The  $\sim 1/l^2$  behavior is a result of the  $1/k^2$  fall off of  $D(k)$ , a consequence of the renormalizability condition on the occupation number. For the quadrupole, the relevant wave-vectors correspond to  $x \sim 2$ , namely  $k_Q \sim a_0 H_0$ . It is convenient to write

$$k_Q = a_s, H_i = a_0 H_0, \quad (2.29)$$

where  $a_s$  and  $H_i$  are the scale factor and the Hubble parameter during the slow roll stage of inflation when the wavelength corresponding to today's Hubble radius exits the horizon.

### III. THE ORIGIN OF THE POTENTIAL $V(\eta)$ : A FAST ROLL STAGE BEFORE SLOW ROLL INFLATION.

The mode functions of perturbations obey the general evolution equation (2.1) where  $W(\eta)$  is given by eq.(2.2) and the slow roll part is explicitly separated in eq.(2.4). A full expression for  $W(\eta)$  and therefore for the potential  $V(\eta)$  is obtained from the Friedmann equation and the evolution equation of the inflaton

$$\left. \begin{aligned} \text{INFLATION} \\ \text{EQS OF MOTION} \end{aligned} \right\} \begin{cases} H^2 = \frac{1}{3 M_{Pl}^2} \left[ \frac{1}{2} \dot{\Phi}^2 + V(\Phi) \right], \\ \ddot{\Phi} + 3 H \dot{\Phi} + V'(\Phi) = 0, \end{cases} \left. \begin{array}{l} \text{FRIEDMAN EQ. (3.1)} \\ \text{INFLATON EQ. (3.2)} \end{array} \right\}$$

The exact potential is obtained by using the equations (3.1)-(3.2). For this purpose it is convenient to introduce a dimensionless variable  $y^2$  as

$$y^2 \equiv \frac{\dot{\Phi}^2}{2 M_{Pl}^2 H^2} = 3 \left[ 1 - \frac{V(\Phi)}{3 M_{Pl}^2 H^2} \right], \quad 0 \leq y^2 \leq 3, \quad (3.3)$$

in terms of which the equations of motion (3.1) and (3.2) are written in the simple form,

$$\left. \begin{array}{l} \text{SIMPLER} \\ \text{FORM} \end{array} \right\} \left. \begin{array}{l} \dot{\Phi} = \text{sign}(\dot{\Phi}) M_{Pl} H \sqrt{2} |y|, \\ \frac{\dot{H}}{H^2} = -y^2. \end{array} \right\} \text{EQUIVALENT (3.4)}$$

$y^2 = \epsilon_v$  during SLOW ROLL, ( $y^2 \ll 1$  IN SLOW ROLL)

→ In particular, during the slow roll stage:  $y^2 = \epsilon_v$  [see eq.(2.6)], but in general, in a stage in which the slow roll approximation is not valid, the kinetic term of the inflaton is not small. The slow roll parameters eqs.(2.6) are  $\epsilon_v \ll 1, \eta_v \ll 1$  to correctly describe the slow roll stage. But, besides the slow roll stage, in which  $y^2 \ll 1$ , there is a prior stage in which  $y^2$  is not small but  $y^2 \sim \mathcal{O}(1)$ : in this case the kinetic term of the inflaton is of the same order as the potential  $V(\Phi)$ . That is, the initial energy of the inflaton is distributed between kinetic and potential energy with approximate equipartition. BUT  $y^2 \sim \mathcal{O}(1)$  IN FAST ROLL

Thus, there are two distinct regimes determined by the dimensionless variable  $y^2$ : (i)  $y^2 = \mathcal{O}(1/N) \ll 1$  corresponds to the usual slow roll regime  $\dot{\Phi}^2 \ll V(\Phi)$ ; (ii) in contrast,  $y^2 \gtrsim 1$  in which  $\dot{\Phi}^2 \sim V(\Phi)$  describes a fast roll regime. Inflation requires:

(1)  $y^2 = \mathcal{O}(1/N) \ll 1$  } SLOW ROLL  
 $\dot{\Phi}^2 \ll V(\Phi)$

$$\frac{\ddot{a}}{a} = H^2 (1 - y^2) > 0, \quad \leftarrow \text{FOR INFLATION (3.5)}$$

(2)  $y^2 \gtrsim 1$  } FAST ROLL  
 $\dot{\Phi}^2 \sim V(\Phi)$

thus, the range of the variable  $y^2$  for inflationary evolution is  $0 < y^2 < 1$ .

#### A. Fast Roll Dynamics

$0 < y^2 < 1$   
 INFLATION

Notice that the same description of inflation (the same inflaton potential) gives rise to the two different regimes: fast roll and slow roll regimes. The dynamics in the effective field theory of inflation giving rise to a fast roll stage followed by the slow roll stage is simple: consider an initial condition on the inflaton field and its first derivative that corresponds to an initial value of  $y^2 \sim 1$ . The potential and kinetic energy of the inflaton in this state are of the same order, this is the beginning of the fast roll stage. The strong friction term in the equation of motion for the inflaton eq.(3.1) results in that if initially  $\dot{\Phi} \neq 0$  and large, the kinetic energy of the inflaton dissipates away and  $\dot{\Phi}$  diminishes. This means that when  $y^2$  begins with a large value  $y^2 \sim 1$  the dynamics drives it towards smaller values.

Even if initially  $y^2 > 1$  produces a non-inflationary stage [see eq.(3.5)], this only occurs for a short period of time until  $y^2 < 1$  where the evolution becomes inflationary. The inflaton friction term continues to dissipate away the kinetic energy and when  $y^2 = \mathcal{O}(1/N) \ll 1$  the dynamics enters the slow roll inflationary regime in earnest.

We have restricted the above discussion to the case of homogeneous inflaton fields, where the energy is carried by the zero mode of the inflaton up to small quantum fluctuations. However, a fast roll stage prior to slow roll has



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We have restricted the above discussion to the case of homogeneous inflaton fields, where the energy is carried by the zero mode of the inflaton up to small quantum fluctuations. However, a fast roll stage prior to slow roll has also been studied in ref.[27], where a large amplitude inhomogeneous condensate (tsunami inflation) was considered. In that case modes with wavevectors of the order of the inflaton mass were initially excited with large amplitude, the resulting non-perturbative evolution of this initial state also leads to a fast roll stage which smoothly merges with the standard de Sitter regime[27]. The rapid redshift of non-homogeneous modes leads to the formation of an effective homogeneous condensate after a few e-folds. Therefore, a fast roll regime prior to the standard slow roll regime is a rather generic feature, either a result of an almost equipartition between kinetic and potential energies for a homogeneous inflaton condensate, or from an inhomogeneous non-perturbative condensate.

**B. Curvature perturbations during the fast roll stage**

For curvature perturbations, from eq.(2.1)

**TOTAL POTENTIAL**  $\rightarrow$   $W_{\mathcal{R}}(\eta) \equiv \frac{1}{z} \frac{d^2 z}{d\eta^2} = \nu_{\mathcal{R}}(\eta) + \frac{\nu_{\mathcal{R}}^2 - \frac{1}{4}}{\eta^2}$  **(3.6)**

**FAST ROLL PART**  $\leftarrow$  **SLOW ROLL PART (REPULSIVE BARRIER)**

where  $\nu_{\mathcal{R}} = \frac{3}{2} + 3\epsilon_v - \eta_v$  [see eq.(2.5)] and  $z$  is defined by eq.(2.3).

In order to compute  $W_{\mathcal{R}}(\eta)$ , it is more convenient to pass to cosmic time, in terms of which,

**FELT BY THE FLUCTUATIONS**  $W(\eta)$

With the notation defined by eqs.(2.6) and (3.3) we find,

**EXACT**  $W(\eta) \rightarrow$   $W_{\mathcal{R}}(\eta) = C^2(\eta) H^2 [2 - 7y^2 + 2y^4 - (3 - y^2)(4\sqrt{\epsilon_v} |y| \text{sign}(\dot{\Phi}) + \eta_v)]$  **(3.9)**

In order to clearly exhibit the natural scale of the potential  $W_{\mathcal{R}}(\eta)$  it is convenient to use the variables [24]

$V = N m^2 M_{Pl}^2 w(\chi)$ ,  $\Phi = \sqrt{N} M_{Pl} \chi$ ,  $H = m h \sqrt{N}$ ,  $t = \frac{\sqrt{N}}{m} \tau$ , **(3.10)**

where  $N \sim 55$  is the number of e-folds during slow roll and  $m$  (the inflaton mass) defines the scale of the Hubble parameter during the stage of slow roll inflation.

This rescaling builds in the natural scales and results in that  $w(\chi) \sim 1$ ,  $h \sim 1$  during the slow roll stage of inflation. Furthermore, as shown in ref.[24], the hierarchy of slow roll parameters is actually a hierarchy in powers of  $1/N$ , for example

**HIERARCHY IN POWERS OF 1/N**  $\epsilon_v = \frac{1}{2N} \left(\frac{w'}{w}\right)^2$ ,  $\eta_v = \frac{1}{N} \frac{w''}{w}$ . **(1/N EXPANSION)** **(3.11)**

In terms of these variables we obtain for the exact potential,

**EXACT**  $W(\eta) \rightarrow$   $W_{\mathcal{R}}(\eta) = C^2(\eta) h^2 m^2 N [2 - 7y^2 + 2y^4 - 2\sqrt{\frac{2w'}{N h^2}} |y| \text{sign}(\dot{\Phi}) - \frac{w''}{h^2 N}]$ , **(3.12)**

$y^2 = 3 \left(1 - \frac{w}{3h^2}\right) = \frac{\chi^2}{2h^2} > 0$ .  $\mathcal{O}(1/N)$   $\mathcal{O}(1/N)$

displaying that for  $y \sim \mathcal{O}(1)$  the last two terms in  $W_{\mathcal{R}}(\eta)$  eq.(3.12) are of order  $\mathcal{O}(1/N) \ll 1$  and can be neglected.

The above expressions in terms of the variable  $y$  are exact and allow to analyze, besides slow roll inflation, other regimes for inflation different from slow roll. Recall the expression for  $W(\eta)$  in terms of the slow roll parameters as given by :

$W_{\mathcal{R}}(\eta) = a^2 h^2 m^2 N^2 [2 + 2\epsilon_v - 3\eta_H + 2\epsilon_v^2 - 4\epsilon_v \eta_H + \eta_H^2 + \psi_H^2]$ , **(3.13)**

This expression is exact and appropriate in the slow

$$W_{\mathcal{R}}(\eta) = a^2 h^2 m^2 N^2 [2 + 2\epsilon_v - 3\eta_H + 2\epsilon_v^2 - 4\epsilon_v\eta_H + \eta_H^2 + \psi_H^2], \quad (3.13)$$

where,  $\eta_H = \eta_v - \epsilon_v$ ,  $\psi_H = \psi_v - 3\epsilon_v\eta_v + 3\epsilon_v^2$ ,  $\psi_v = \frac{1}{N^2} \frac{w'w'''}{w^2}$ . This expression is exact and appropriate in the slow roll approximation, but it is not convenient in regimes different from slow roll.

In the slow roll approximation,

$$y^2 = \epsilon_v = \mathcal{O}(1/N) \ll 1; \quad C(\eta) = -\frac{1}{\eta H (1 - \epsilon_v)}, \quad (3.14)$$

and we recover

**IN SLOW ROLL WE RECOVER**

$$W_{\mathcal{R}}^{sr}(\eta) = \frac{2}{\eta^2} \left[ 1 + \frac{3}{2} (3\epsilon_v - \eta_v) \right] \Rightarrow \mathcal{V}_{\mathcal{R}}^{sr}(\eta) = 0.$$

$$\mathcal{V}_{\mathcal{R}}^{sr} = 0 \quad (3.15)$$

As shown in eq.(3.5) the range of the variable  $y^2$  for inflationary evolution is  $0 < y^2 < 1$ , which in turn implies:

**RANGE FOR INFLATIONARY**

$$3 > \frac{w}{h^2} > 2 \quad \text{or} \quad \sqrt{\frac{w}{3}} < h < \sqrt{\frac{w}{2}}.$$

**EVOLUTION** (3.16)

The expression of the potential eq.(3.12) in terms of the variable  $y^2$  is very instructive. General properties of  $W(\eta)$ , such as the sign of the potential, can be analyzed from this expression revealing different regimes. In the fast roll stage ( $y^2, w'/h^2, w''/h^2 = \mathcal{O}(1)$ ) and the dominant part of  $W(\eta)$  is given by the polynomial in  $y$ , the terms in the derivatives  $w'$  and  $w''$  are of order  $\mathcal{O}(1/\sqrt{N})$  and  $\mathcal{O}(1/N)$  respectively, namely:

**FAST ROLL**

$$W_{\mathcal{R}}(\eta) = C^2 H^2 \left[ 2 - 7y^2 + 2y^4 + \mathcal{O}\left(\frac{1}{\sqrt{N}}\right) \right]$$

(3.17)

The roots of  $W_{\mathcal{R}}(\eta)$  are up to corrections  $\mathcal{O}\left(\frac{1}{\sqrt{N}}\right)$

**ROOTS OF**

$$y_-^2 = \frac{7 - \sqrt{33}}{4} = 0.31386 \dots, \quad y_+^2 = \frac{7 + \sqrt{33}}{4} = 3.13859 \dots$$

$W_{\mathcal{R}}(\eta)$   $y_+, y_-$

The potential  $\mathcal{V}_{\mathcal{R}}(\eta)$  is obtained by subtracting the slow roll contribution from  $W(\eta)$ , namely

$\mathcal{V}_{\mathcal{R}}(\eta)$

$$\mathcal{V}_{\mathcal{R}}(\eta) = W_{\mathcal{R}}(\eta) - \frac{2 + 9\epsilon_v - 3\eta_v + \mathcal{O}\left(\frac{1}{N^2}\right)}{\eta^2},$$

(3.18)

in the fast roll stage

**FAST ROLL**

$$\mathcal{V}_{\mathcal{R}}(\eta) = C^2 H^2 \left[ 2 - 7y^2 + 2y^4 + \mathcal{O}\left(\frac{1}{\sqrt{N}}\right) \right] - \frac{2 + 9\epsilon_v - 3\eta_v + \mathcal{O}\left(\frac{1}{N^2}\right)}{\eta^2}.$$

(3.19)

● Thus, the full range  $0 \leq y^2 \leq 3$ , the range  $y^2 < 1$  for which inflation occurs and the roots of  $W(\eta)$  allow to identify three different regimes:

- (1)  $0 < y^2 < y_-^2$ , in this region the potential  $\mathcal{V}_{\mathcal{R}}(\eta)$  is repulsive and small. This regime includes slow roll inflation for  $y^2 = \mathcal{O}\left(\frac{1}{N}\right) \ll 1$ .
- (2)  $y_-^2 < y^2 < 1$ , corresponds to a fast roll inflationary regime in which  $W_{\mathcal{R}}(\eta)$  is attractive and consequently  $\mathcal{V}_{\mathcal{R}}(\eta)$  is attractive.
- (3)  $1 < y^2 \leq 3 < y_+^2$  describes a fast roll but non-inflationary regime in which the potentials  $W_{\mathcal{R}}(\eta)$  and  $\mathcal{V}_{\mathcal{R}}(\eta)$  are attractive.

In summary, when the initial value of  $y^2$  is  $\geq 1$  the dynamics drives it monotonically towards smaller values. The inflaton friction term continues to dissipate away the kinetic energy and when  $y^2 < y_-^2$ , the potential  $\mathcal{V}_{\mathcal{R}}(\eta)$  becomes repulsive but small and finally when  $y^2 \ll y_-^2$  the dynamics enters the slow roll inflationary regime in earnest.

Unless the initial conditions on the inflaton determine that  $y^2 < y_-^2$ , there is *always* a period of fast roll inflation during which the potential  $\mathcal{V}(\eta)$  for both curvature and tensor perturbations is attractive. As we will see below, this attractive fast roll potential  $\mathcal{V}(\eta)$  produces a suppression of the quadrupole contributions to the angular power spectrum.

**FAST ROLL STAGE**

**C. Tensor perturbations during the fast roll stage**

**TENSOR PERTURBATION (GRAVITONS)**

The mode functions for tensor perturbations (gravitons) obey eq.(2.1) with in conformal time  $\eta$

$$W_T(\eta) \equiv C''(\eta)/C(\eta)$$

Again, it is convenient to pass to cosmic time in terms of which,

$$W_T(\eta) = a^2(t) H^2(t) \left[ 2 + \frac{H}{H^2} \right] = C^2(\eta) H^2 (2 - y^2),$$

in cosmic time  $t$

where we used the equation of motion (3.4).

In the slow roll limit  $y = \epsilon_v = \mathcal{O}(\frac{1}{N}) \ll 1$ ,  $\nu_T(\eta) = 0$  and eq.(2.7) becomes a Bessel equation,

in the slow roll limit:  $\left[ \frac{d^2}{d\eta^2} + k^2 - \frac{\nu_T^2 - \frac{1}{4}}{\eta^2} \right] S(k; \eta) = 0,$

where

slow roll:

$$\nu_T = \frac{3}{2} + \epsilon_v + \mathcal{O}\left(\frac{1}{N^2}\right), \quad W_T^{sr}(\eta) \simeq \frac{2+3\epsilon_v}{\eta^2} \quad \text{and} \quad \nu_T^{sr}(\eta) = 0.$$

- Notice that  $\nu_T$  differs from the index  $\nu_R$  of the scalar fluctuations at order  $\mathcal{O}(\frac{1}{N})$  [see eq.(2.5)].

During the fast roll stage previous to the slow roll regime,  $y > 0$  is not small and introduces an attractive potential  $\nu_T(\eta)$ .

FAST ROLL  $\rightarrow$

$$\nu_T(\eta) = W_T(\eta) - \frac{2+3\epsilon_v}{\eta^2} < 0.$$

$$\nu_T(\eta) \neq 0$$

$$\nu_T(\eta) < 0$$

SLOW ROLL:  $\nu_T(\eta) = 0$

**FAST ROLL INFLATION**

**FAST ROLL DYNAMICS :**

**D. Fast roll in new and chaotic inflation**

We consider models both of new inflation (small inflaton field) and chaotic inflation (large inflaton field) to investigate the fast roll dynamics prior to slow roll and its imprint on the quadrupole mode as well as in the higher  $l$ -modes. Let us focus first on new inflation with the inflaton potential

**NEW INFLATION**  $V(\Phi) = V(0) \left[ 1 - \lambda \frac{M_{Pl}^2}{m^2} \frac{\Phi^2}{M_{Pl}^2} \right]^2$ ;  $V(0) \equiv 3 H_i^2 M_{Pl}^2$ , (3.21)

where  $H_i$  is the Hubble parameter during slow roll inflation. We note that during slow roll  $\lambda \frac{M_{Pl}^2}{m^2} = -\eta_v/4$  and take  $\lambda \frac{M_{Pl}^2}{m^2} = 0.008$  as an example for numerical study. We solve the equations (3.1) with the initial conditions  $\Phi(0)/M_{Pl} = 0$ ;  $\dot{\Phi}^2(0)/[2V_0] = 1$ ;  $a(0) = 1$ . These initial conditions entail an equipartition between the kinetic and potential energy of the inflaton field at the initial time. Fig. 1 displays  $y^2(\eta)$  (left panel) and  $y^2(N_e)$  (right panel) with  $N_e$  the number of e-folds from the beginning of the evolution at  $t = 0$ .

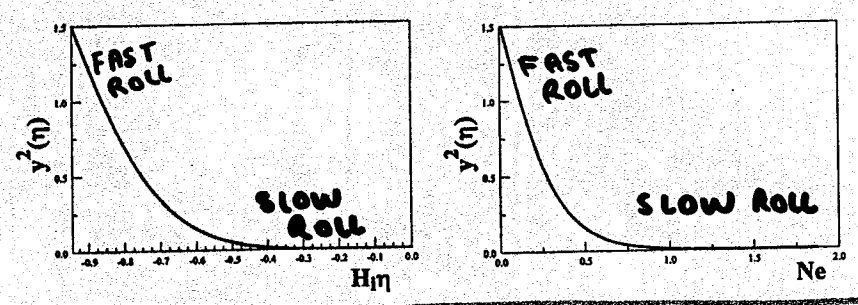


FIG. 1:  $y^2(\eta)$  vs.  $\eta$  (left) and  $y^2(N_e)$  vs.  $N_e$  (right) for initial conditions with kinetic and potential inflaton energy of the same order.

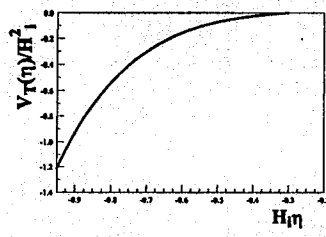
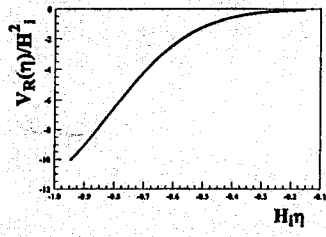
same order.

These conditions initially yield  $y^2 > 1$  which produces non-inflationary dynamics, but after a very short time (about one e-fold)  $y^2$  drops below one and so inflationary dynamics begins in the *fast roll regime*  $y = \mathcal{O}(1)$ , and after about one half e-fold when  $y^2 \sim 0.02$  slow roll inflation begins in earnest.

The potentials  $\mathcal{V}_R(\eta)$  (left panel) and  $\mathcal{V}_T(\eta)$  (right panel) are shown in fig. 2, and the evolution of the Hubble parameter is displayed in fig. 3. Figures (1) and (2) show two distinct time scales:  $\eta_0 \approx -1/H_i$  at which the potential is localized and features its minimum, this is the beginning of the fast roll stage, and  $\bar{\eta} \sim -0.3/H_i$  at which the potential vanishes,  $y^2 \approx \epsilon_v$  and slow roll begins. The brief *fast roll stage* is clearly seen from these figures to correspond to the first e-fold of evolution. Fig. (3) confirms that the fast roll stage lasts approximately one e-fold and that  $\bar{\eta}$  corresponds to about 56-57 e-folds before the end of inflation, namely 1 - 2 e-folds before the modes corresponding to today's Hubble radius exit the horizon during inflation.

For these parameters, the height of the potentials are approximately  $|\mathcal{V}_R| \sim 10 H_i^2$ ;  $|\mathcal{V}_T| \sim 1.2 H_i^2$ . The widths of the potentials are approximately the same in both cases  $|\Delta/\eta_0| \sim \Delta H_i \sim 0.2$ , see fig. 2.

$\mathcal{V}_R(\eta)$   
POTENTIAL FELT  
BY CURVATURE  
PERTURBATIONS



$\mathcal{V}_T(\eta)$   
POTENTIAL FELT  
BY TENSOR  
PERTURBATIONS

FIG. 2: The potentials  $\mathcal{V}_R(\eta)/H_i^2$  (left panel) and  $\mathcal{V}_T(\eta)/H_i^2$  (right panel) felt by curvature and tensor perturbations respectively vs  $H_i \eta$ ,  $H_i$  being the Hubble parameter during the slow roll stage (see fig.3).

We have carried out analogous numerical studies in scenarios of chaotic inflation with similar results: if the initial kinetic energy of the inflaton is of the same order as the potential energy, a *fast roll stage is always present*. The evolution of  $y^2$  and the potentials for curvature and tensor perturbations,  $\mathcal{V}_R(\eta)$  and  $\mathcal{V}_T(\eta)$  are again similar to those for new inflation and they are always *attractive* during the fast roll stage.

An initial state for the *inflaton* (inflaton classical dynamics) with approximate *equipartition* between kinetic and potential energies is a more *general* initialization of cosmological dynamics in the effective field theory than slow roll which requires that the inflaton kinetic energy is much more smaller than its potential energy. Therefore, we conclude that the *most generic* initialization of the inflaton dynamics in the effective field theory leads to a *fast roll stage* followed by slow roll inflation.

QUADRUPOLE

IV. QUADRUPOLE SUPPRESSION

SUPPRESSION

In the Born approximation, the Bogoliubov coefficients eqs.(2.19) are given by [23],

(1) 
$$A(k) = 1 + i \int_{-\infty}^0 \mathcal{V}(\eta) |g_\nu(k; \eta)|^2 d\eta, \quad B(k) = -i \int_{-\infty}^0 \mathcal{V}(\eta) g_\nu^2(k; \eta) d\eta. \quad (4.1)$$

The transfer function of initial conditions given by eq.(2.22) can be computed in the Born approximation, which is appropriate in this situation, using eqs.(4.1) for the Bogoliubov coefficients  $A(k)$  and  $B(k)$ ,

TRANSFERT FUNCTION 
$$D(k) = \frac{1}{k} \int_{-\infty}^0 d\eta \mathcal{V}(\eta) \left[ \sin(2k\eta) \left( 1 - \frac{1}{k^2 \eta^2} \right) + \frac{2}{k\eta} \cos(2k\eta) \right]. \quad (4.2)$$
 OF INITIAL CONDITIONS

- (2) The fractional change in the  $C_l$ 's is obtained by inserting this transfer function in the expression (2.24). We take the lower limit in the integral in eq.(4.2) to be  $\eta_0 \sim -1/H_i$  at which the fast roll stage begins. The results of the
- (3) numerical integrations for the quadrupole  $l = 2$  and the higher multipoles are shown in fig.4.

The results displayed in this figure are strikingly similar to those found in the examples studied in sections V.B and V.C of ref.[23] lending support to the conclusion that the quadrupole suppression as a consequence of the attractive fast roll potential  $\mathcal{V}(\eta)$  is robust.

From eq.(2.29), the relevant dimensionless ratio  $\frac{\kappa}{H_i}$  that governs the multipole suppression  $\Delta C_l/C_l$ , is

$$\frac{\kappa}{H_i} = \frac{a_{sr}}{3.3}, \tag{4.3}$$

where  $a_{sr}$  is the scale factor when the mode corresponding to the quadrupole wave vector  $k_Q$  exits the Hubble radius during inflation.

We have fixed the initial value for the evolution to be at  $\eta = \eta_0$  with  $C(\eta_0) \equiv 1$ , thus  $a_{sr} > 1$  is the logarithm of the number of e-folds between the initial time of the evolution and horizon crossing of  $k_Q$ . The left panel of fig. 4 clearly shows that the largest suppression for the quadrupole corresponds to smallest values of  $a_{sr}$ , with a 10 – 20% suppression for  $2 \leq \kappa/H_i < 3$ . This precisely corresponds to 2 – 3 e-folds between the onset of the fast roll stage at  $\eta_0$  and horizon crossing of the mode corresponding to today's Hubble radius. The fast roll stage itself only lasts about one e-fold and is followed by slow roll.

Thus, we conclude that there is a substantial suppression of the quadrupole  $\sim 10 - 20\%$  consistent with the observations, if  $k_Q$  exits the horizon within a couple of e-folds after the beginning of the slow roll stage, preceded by a short fast roll stage. Therefore, the observed quadrupole suppression is successfully explained by the inflationary dynamics –fast roll followed by slow roll – if inflation lasts not much more than approximately  $N_{tot} \sim 59$  e-folds.

The similar form of the tensor potential  $\mathcal{V}_T$  leads to a similar behavior in the change of the  $C_l$ 's for the B-modes, and the fractional change for the quadrupole of tensor modes is smaller by almost an order of magnitude as gleaned from the potentials displayed in fig. 2. This is a general prediction, again a consequence of a fast roll stage prior to slow roll.

A numerical analysis reveals that  $\Delta C_l/C_l \sim 1/l^2$  in agreement with the result of eq.(2.28), therefore the suppression in the higher multipoles falls below the band of irreducible cosmic variance and it is too small to be observable within the present data.

A numerical fit to the curvature potential  $\mathcal{V}_{\mathcal{R}}(\eta)$  yields

$$\mathcal{V}_{\mathcal{R}}(\eta) \simeq \mathcal{V}_{\mathcal{R}}(\eta_0) e^{-(\eta-\eta_0)/\Delta},$$

EXPLICIT

$$\mathcal{V}_{\mathcal{R}}(\eta) \tag{4.4}$$

ANALYTIC EXPRESSIONS



$$\mathcal{V}_{\mathcal{R}}(\eta) \simeq \mathcal{V}_{\mathcal{R}}(\eta_0) e^{-(\eta-\eta_0)/\Delta},$$

$$\mathcal{V}_{\mathcal{R}}(\eta) \tag{4.4}$$

with  $\eta_0 \sim -1/H_i$  and  $|\Delta/\eta_0| \sim 0.2$ . With this analytic expression which provides an excellent fit, we obtain the following asymptotic behavior of the transfer function  $D_{\mathcal{R}}(k)$  and distribution function  $N_{\mathcal{R}}(k)$  for large momenta:

TRANSFER FUNCTIONS

$D_{\mathcal{R}}(k)$

$$D_{\mathcal{R}}(k) \stackrel{k \rightarrow \infty}{\simeq} \frac{\mathcal{V}_{\mathcal{R}}(\eta_0)}{4k^2}$$

$$N_{\mathcal{R}}(k) \stackrel{k \rightarrow \infty}{\simeq} \frac{\mathcal{V}_{\mathcal{R}}^2(\eta_0)}{16k^4}$$

NUMBER MODE FUNCTION  $N_{\mathcal{R}}(k)$  <sup>(4.5)</sup>

clearly indicating that these initial conditions are indeed ultraviolet allowed and consistent with the form eq.(2.27).  $\mathcal{V}_{\mathcal{R}}(\eta)$  to We notice from figs. 1 and 2 that indeed  $\mathcal{V}_{\mathcal{R}}(\eta)$  vanishes when the slow roll regime  $y^2 \ll 1$  is reached.

From eq.(3.18) with  $y^2(\eta_0) \sim 1$ ,  $C(\eta_0) = 1$  and taking the initial conditions on the inflaton with approximate equipartition between potential and kinetic energies, implies that  $H^2(\eta_0) \sim 2H_i^2$  yielding

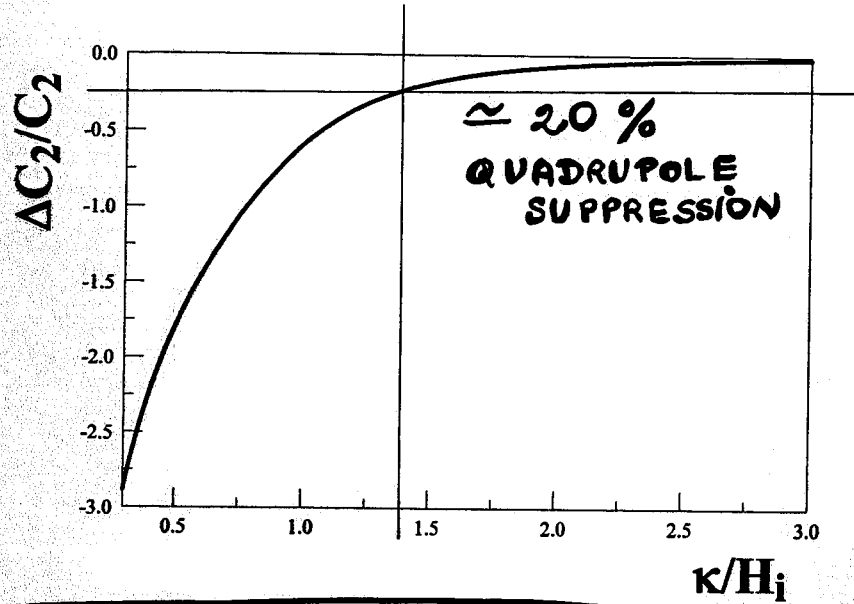
$$\mathcal{V}_{\mathcal{R}}(\eta_0) \sim -10 H_i^2, \quad \mathcal{V}_T(\eta_0) \sim -2 H_i^2, \tag{4.6}$$

which is consistent with fig. 4. Comparing with the form eq.(2.27), and taking as an example  $N_{\mu} \sim 0.01$ , indicates that the characteristic asymptotic k-scale  $\mu$  at which the asymptotic form eq.(2.27) sets is  $\mu \approx 10 H_i$ , namely a few times the Hubble scale during slow roll inflation. This shows that the energy scales involved in the quadrupole suppression are of the same order as the scale of inflation.

Therefore, the condition for observable suppression of the quadrupole is that the modes with physical wavelengths of the order of the Hubble radius today must cross the horizon during inflation just 1 – 2 e-folds after the beginning of the slow roll stage. This condition is easily understood from the approximate form eq.(4.5) of the transfer function  $D(k)$ . Since  $D(k)$  is strongly suppressed for  $k^2 \gg |\mathcal{V}|$ , the potential  $\mathcal{V}(\eta)$  will substantially influence the modes with wavevector  $k$  if  $k^2 \lesssim |\mathcal{V}(\eta_0)| \sim 10 H_i^2$ . Since  $k = a_{sr} H_i$ , then clearly only 1 – 2 e-folds of evolution between the end of fast roll and the horizon crossing lead to substantial effects on the mode functions from the  $\mathcal{V}(\eta)$  potential.

We have also studied chaotic inflationary scenarios with initial conditions on the inflaton characterized by  $y^2 \sim 1$  namely with inflaton kinetic energy of the same order as the inflaton potential energy. We find similar results on the fractional variation of low multipoles, the duration of the fast roll stage and the scale of the fast roll potentials  $\mathcal{V}_{\mathcal{R}}(\eta)$ ,  $\mathcal{V}_T(\eta)$  as for new inflation.

# QUADRUPOLE SUPPRESSION



$\kappa = \frac{a_0 H_0}{3.3}$       $H_i = \text{HUBBLE during slow roll}$

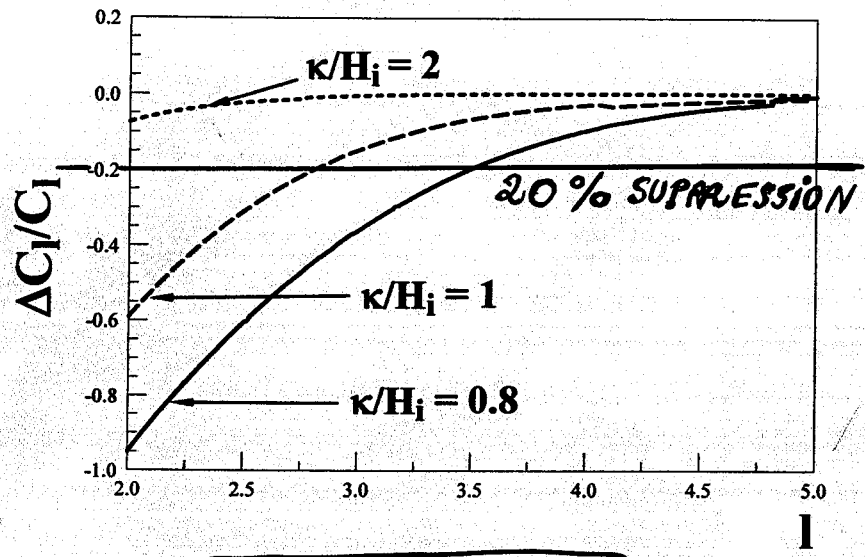
20% QUADRUPOLE SUPPRESSION for  $\frac{\partial \ell}{H_i} \approx 1.4$

$kq \approx a_0 H_0 = a_{sr} H_i \Rightarrow \frac{\partial \ell}{H_i} = \frac{a_{sr}}{3.3} = 1.4$

$\Rightarrow a_{sr} \approx 4.6 \approx e^{1.5}$

The Q-modes should EXIT the Horizon 1.5 e-folds AFTER FAST ROLL STARTS (and 0.5 e-fold AFTER SLOW ROLL BEGINS)

# $\frac{\Delta C_\ell}{C_\ell}$ vs $\ell$



$\frac{\Delta C_\ell}{C_\ell} \sim \frac{1}{\ell^2}$

For  $\frac{\Delta C_2}{C_2} \sim -0.2$ ,

$\frac{\Delta C_\ell}{C_\ell}$  For  $\ell > 2$

CANNOT BE SEEN WITH THE PRESENT DATA

Therefore, we conclude that the phenomena associated with the fast roll stage as a precursor to slow roll are robust, they do not depend on the inflationary model, but solely on the scale of inflation and on approximate equipartition between the kinetic and potential energies in the initial condition for the classical dynamics of the inflaton. This initialization of the inflaton dynamics and inflationary potentials that fulfill the slow roll conditions generally guarantee that the dynamical evolution of the inflaton features an initial fast roll stage that merges with the usual slow roll inflationary stage. In turn, the fast roll stage results in an attractive potential in the wave equations for the mode functions of curvature and tensor perturbations, and a consequent suppression of the quadrupole moment in their power spectra.

V. THE EVOLUTION OF PERTURBATIONS AS A SCATTERING PROBLEM.

The equivalence between the equations for the mode functions and the Schrödinger equation with a potential allows us to bring to bear the powerful results of potential scattering theory to provide general statements on the properties of the solutions.

Eq.(2.1) has the form of the radial Schrödinger equation in the radial variable  $r \equiv -\eta$ ,  $0 \leq r < \infty$  for the  $L$ -wave, being  $L$  a real number,  $L \equiv \nu - \frac{1}{2}$ . We recognize in eq.(2.7) the centrifugal barrier

CENTRIFUGAL BARRIER

$$\frac{\nu^2 - \frac{1}{4}}{\eta^2} = \frac{L(L+1)}{\eta^2}, \quad L \equiv \nu - \frac{1}{2}, \quad r \equiv -\eta.$$

Thus, in the slow roll regime:

ENERGY  $L \equiv \nu - \frac{1}{2} = 1 + \mathcal{O}\left(\frac{1}{N}\right)$  POTENTIAL

Eq.(2.7) takes then the form

SCHRÖDINGER EQUATION

$$\left[ \frac{d^2}{dr^2} + k^2 - \frac{L(L+1)}{r^2} - \mathcal{V}(r) \right] f_\nu(k, r) = 0. \quad (5.1)$$

The scattering solution of eq.(5.1) with unit outgoing amplitude is defined by

$$f_\nu(k, r) \underset{r \rightarrow +\infty}{\sim} e^{ikr} \quad (5.2)$$

The scattering solution of eq.(5.1) with unit outgoing amplitude is defined by

JOST SOLUTION

$$f_\nu(k, r) \underset{r \rightarrow \pm\infty}{\sim} e^{ikr} \quad (5.2)$$

This solution  $f_\nu(k, r)$  is called the Jost solution in scattering theory [28], it is identical to the Bunch-Davies initial conditions eq.(2.13) up to a normalization factor  $\sqrt{2k}$ .

When  $\mathcal{V}(r) = 0$  the Jost solution is given by

$$f_\nu(k, r)_{\mathcal{V}=0} = i^{\nu+\frac{1}{2}} \sqrt{\pi k r} H_\nu^{(1)}(kr).$$

This function coincides with eq.(2.10) up to a normalization factor  $\sqrt{2k}$ . In particular,

$$f_\nu(k, r)_{\mathcal{V}=0} \underset{r \rightarrow 0}{\sim} \frac{\Gamma(\nu)}{\sqrt{\pi}} \left( \frac{kr}{2i} \right)^{\frac{1}{2}-\nu} \quad (5.3)$$

For  $r \rightarrow 0$ , eq.(2.7) has two linearly independent solutions of the form:  $r^{\frac{1}{2}-\nu}$  and  $r^{\frac{1}{2}+\nu}$ ; since  $\nu > 0$  the first solution dominates the behaviour of  $f_\nu(k, r)$  for  $r \rightarrow 0$ .

The Jost function of scattering theory is defined as the ratio

JOST FUNCTION

$$F_\nu(k) \equiv \lim_{r \rightarrow 0} \frac{f_\nu(k, r)}{f_\nu(k, r)_{\mathcal{V}=0}} = \frac{\sqrt{\pi}}{\Gamma(\nu)} \lim_{r \rightarrow 0} \left( \frac{kr}{2i} \right)^{\nu-\frac{1}{2}} f_\nu(k, r). \quad (5.4)$$

A. Scattering solutions and the primordial power

By construction, the solution  $S(k; \eta)$  fulfils the Bunch-Davies asymptotic condition

$$S(k; \eta) \underset{\eta \rightarrow -\infty}{\sim} \frac{e^{-ik\eta}}{\sqrt{2k}} \quad (5.5)$$

This solution  $S(k; \eta)$  is proportional to the scattering Jost solution as

$$S(k; \eta) = \frac{1}{\sqrt{2k}} f_\nu(k, r) \text{ with } r = -\eta > 0 \quad (5.6)$$

It can be shown on general grounds that  $f_\nu(k, r)$  is an analytic function of  $k$  for  $\text{Im}k > 0$  and  $k \neq 0$  [28]. Moreover,  $k^{\nu-\frac{1}{2}} f_\nu(k, r)$  as well as  $k^\nu S(k; \eta)$  are analytic in a neighbourhood of and including  $k = 0$ .

For  $\eta \rightarrow 0^-$ , eq.(2.7) admits two independent solutions:  $(-\eta)^{\frac{1}{2}-\nu}$  and  $(-\eta)^{\frac{1}{2}+\nu}$ . Since  $\nu > 0$ , the first solution is the irregular one for  $\eta \rightarrow 0^-$  and it dominates over the regular solution  $(-\eta)^{\frac{1}{2}+\nu}$ . The  $\eta \rightarrow 0^-$  behaviour of the modes in the  $\mathcal{V}(\eta) \equiv 0$  case is given by eq.(2.14), while in the general case  $\mathcal{V}(\eta) \neq 0$  we have

$$S(k; \eta) \stackrel{\eta \rightarrow 0^-}{\equiv} \frac{\Gamma(\nu)}{\sqrt{2\pi k}} \left( \frac{2}{ik\eta} \right)^{\nu-\frac{1}{2}} F_\nu(k), \quad (5.7)$$

where  $F_\nu(k)$  stands for the Jost function. It follows that  $F_\nu(k)$  is analytic for  $\text{Im}k > 0$  and [28]

$$\lim_{k \rightarrow \infty} F_\nu(k) = 1. \quad (5.8)$$

The primordial power spectra are given by eqs.(2.21). Eq.(2.23) for Bunch-Davies (BD) initial conditions is valid when  $\mathcal{V}(\eta) = 0$  and the mode functions behave as in eq.(2.14) for  $\eta \rightarrow 0^-$ . From eqs.(2.14) and (5.7) we find for  $\nu \neq 0$ ,

**PRIMORDIAL POWER SPECTRUM** →

$$\frac{|S(k; \eta)|^2}{|g_\nu(k; \eta)|^2} \stackrel{\eta \rightarrow 0^-}{=} |F_\nu(k)|^2 \quad (5.9)$$

Therefore, we find the equivalence,

$$\frac{P(k)_\nu}{P^{sr}(k)} = |F_\nu(k)|^2 = 1 + D(k) \quad (5.10)$$

$$P^{sr}(k) = 1 + \nu(\nu-1) \dots$$

Namely,  $|F_\nu(k)|^2$  yields the change in the primordial power spectrum due to the potential  $\mathcal{V}(\eta)$ . This is an important result, which allows to obtain general information on the transfer function of initial conditions  $D(k)$  from established results of potential scattering.

We obtain the Jost function  $F_\nu(k)$  from the  $\eta \rightarrow 0^-$  behavior of eq.(2.16) with the result

$$F_\nu(k) = 1 + i^{\frac{1}{2}-\nu} \sqrt{\pi} \int_{-\infty}^0 \sqrt{-\eta} d\eta J_\nu(-k\eta) \mathcal{V}(\eta) S(k; \eta) \quad (5.11)$$

where  $J_\nu(z)$  is Bessel's function.

**IN PARTICULAR, SCALE INVARIANT CASE** :

In the scale invariant case  $\nu = \frac{3}{2}$  the Jost function takes the simpler form

$$F_{\frac{3}{2}}(k) = 1 - i \sqrt{\frac{2}{k}} \int_{-\infty}^0 d\eta \left[ \frac{\sin(k\eta)}{k\eta} - \cos(k\eta) \right] \mathcal{V}(\eta) S(k; \eta) \quad (5.12)$$

The large  $k$  behavior of the Jost solutions and Jost functions follows by solving eqs.(2.16) and (5.11) by iteration. To dominant order we find that the Jost solution is given by the  $\mathcal{V}(\eta) = 0$  solution eq.(2.10) while the Jost function equals unity [see eq.(5.8)].

The logarithm of the Jost function has the following asymptotic expansion around  $k = \infty$  [29],

**ASYMPTOTIC BEHAVIOUR** →

$$\log F_\nu(k) = - \sum_{n=1}^{\infty} \frac{c_n}{(2ik)^n},$$

where the  $c_n$  are real coefficients functionals of the potential  $\mathcal{V}(\eta)$ . The first coefficients take the form,

$$c_1 = \int_{-\infty}^0 d\eta \mathcal{V}(\eta) \quad , \quad c_2 = \mathcal{V}(\bar{\eta})$$



Therefore,

$$\log |F_\nu(k)|^2 = \frac{\mathcal{V}(\bar{\eta})}{2k^2} + \mathcal{O}\left(\frac{1}{k^4}\right)$$

$|F_\nu(k)|^2 < 1$   
 $\mathcal{V}(\bar{\eta}) < 0$

(5.13)

We see that asymptotically  $|F_\nu(k)|^2 < 1$  for a potential which is attractive at the end of fast roll [ $\mathcal{V}(\bar{\eta}) < 0$ ]. Combined with eq.(5.10) this result shows in general that an attractive potential  $\mathcal{V}(\eta)$  suppresses the primordial power.

Computing the  $\eta \rightarrow 0^-$  behavior of  $S(k; \eta)$  from eq.(2.18) permits to relate the Bogoliubov coefficients  $A(k)$  and  $B(k)$  with the Jost function as

$$A(k) + i^{1-2\nu} B(k) = F_\nu(k)$$

(5.14)

where we used eqs.(2.10) and (5.7).

Therefore,

$$|F_\nu(k)|^2 - 1 = 2 |B(k)|^2 - 2 \text{Re} [A(k) B^*(k) i^{2\nu-3}] = D(k)$$

(5.15)

and we recover the transfer function for the initial conditions  $D(k)$  introduced in ref.[23]. Using eq.(2.20), eq.(5.15) reduces *exactly* to eqs.(2.22).

For large  $k$ , the mode functions  $S(k; \eta)$  as well as the  $g_\nu(k; \eta)$  tend to their plane wave asymptotic behaviour

$$S(k; \eta) \stackrel{k \rightarrow \infty}{\simeq} g_\nu(k; \eta) \stackrel{k \rightarrow \infty}{\simeq} \frac{e^{-ik\eta}}{\sqrt{2k}}$$

A look at eq.(2.18) shows that this implies  $B(\infty) = 0$ ,  $A(\infty) = 1$ . More precisely, we find from eq.(2.19),

$$A(k) \stackrel{k \rightarrow \infty}{\simeq} 1 + \frac{i}{2k} \int_{-\infty}^0 \mathcal{V}(\eta) d\eta, \quad B(k) \stackrel{k \rightarrow \infty}{\simeq} -\frac{i}{2k} \int_{-\infty}^0 e^{-2ik\eta} \mathcal{V}(\eta) d\eta$$

(5.16)

According to the Riemann-Lebesgue lemma,  $B(k)$  vanishes for  $k \rightarrow \infty$  faster than any negative power of  $k$ . Hence, the convergence at large  $k$  in the integrals for the energy momentum tensor is guaranteed.

According to the Riemann-Lebesgue lemma,  $B(k)$  vanishes for  $k \rightarrow \infty$  faster than any negative power of  $k$ . Hence, the convergence at large  $k$  in the integrals for the energy momentum tensor is guaranteed.

The Bogoliubov coefficients  $A(k)$  and  $B(k)$  are related to the usual transmission ( $T$ ) and reflection ( $R$ ) coefficients of scattering theory by the relation,

$$T(k) = \frac{1}{A(-k)}; \quad R(k) = \frac{B(-k)}{A(-k)}, \quad |R(k)|^2 + |T(k)|^2 = 1.$$

(5.17)

We provide with Table I a dictionary to translate from the fluctuations language to the scattering framework.

Fluctuations	Scattering Problem
$-\infty < \eta < 0$	$0 < r < \infty$
Bunch-Davies initial conditions:	Jost solutions:
$S(k; \eta) = \frac{e^{-ik\eta}}{\sqrt{2k}}$ for $\eta \rightarrow -\infty$	$f_\nu(k, r) = e^{ikr}$ for $r \rightarrow \infty$
Superhorizon modes:	Jost Function:
$S(k; \eta) \stackrel{\eta \rightarrow 0^-}{\sim} (-\eta)^{\frac{1}{2}-\nu}$	$F_\nu(k) \equiv \frac{\sqrt{\pi}}{\Gamma(\nu)} \lim_{r \rightarrow 0} \left(\frac{kr}{2i}\right)^{\nu-\frac{1}{2}} f_\nu(k, r)$
Power spectra $\frac{P_\nu(k)}{P^{rr}(k)}$	Modulus Square of the Jost Function = $=  F_\nu(k) ^2$

**DICTIONARY**  
 COSMOLOGICAL  
 FLUCTUATIONS,  
 INITIAL CONDITIONS  
 $\longleftrightarrow$   
 SCATTERING  
 FRAMEWORK

TABLE 1. Correspondence between the scalar fluctuations as functions of the conformal time  $\eta < 0$  and the radial wave functions, of  $r > 0$  and angular momentum  $L \equiv \nu - \frac{1}{2}$ .

# GENERAL RESULTS

## ON QUADRUPOLE

### B. The quadrupole suppression: General results

## SUPPRESSION

We now implement the exact relations between the scattering problem and the power spectra of perturbations derived in the previous subsection to obtain general results for the quadrupole produced by the potential  $V(\eta)$ . From eq.(2.24) for  $l = 2$  and to zeroth order in slow roll, the fractional change in the quadrupole is given by,

### ANALYTIC

$$\frac{\Delta C_2}{C_2} = \frac{\int_0^\infty D(\kappa x) f_2(x) dx}{\int_0^\infty f_2(x) dx} = 3 \int_0^\infty \frac{dx}{x} D(\kappa x) [j_2(x)]^2, \quad (5.18)$$

where  $j_2(x)$  is the spherical Bessel function of order two [26]. We compute the transfer function  $D(k)$  from the Jost function using eqs.(5.12) and (5.15) in the Born approximation, which turns be an excellent one for this purpose; since in fact the potential  $V(\eta)$  is small. The Jost function in the Born approximation to zeroth order in slow roll is given by

$$F_{\frac{3}{2}}(k) = 1 + \frac{i}{2k} \int_{-\infty}^0 d\eta V(\eta) \left(1 - \frac{i}{k\eta}\right) \left[1 + e^{-2ik\eta} - \frac{1 - e^{-2ik\eta}}{ik\eta}\right].$$

Therefore up to first order in  $V(\eta)$  (Born approximation) we find

$$D(k) = |F_{\frac{3}{2}}(k)|^2 - 1 = \frac{1}{k} \int_{-\infty}^0 d\eta V(\eta) \left[ \sin(2k\eta) \left(1 - \frac{1}{k^2\eta^2}\right) + \frac{2}{k\eta} \cos(2k\eta) \right].$$

Inserting this expression for  $D(k)$  into eq.(5.18) yields

### GENERAL ANALYTIC RESULT

where

$$\frac{\Delta C_2}{C_2} = \frac{1}{\kappa} \int_{-\infty}^0 d\eta V(\eta) \Psi(\kappa\eta) \quad (5.19)$$

← SUPPRESSION FOR  $V(\eta) < 0$

$$\Psi(x) \equiv 3 \int_0^\infty \frac{dy}{y^4} [j_2(y)]^2 \left[ \left(y^2 - \frac{1}{x^2}\right) \sin(2yx) + \frac{2y}{x} \cos(2yx) \right] \quad (5.20)$$

$$\Psi(x) \equiv 3 \int_0^\infty \frac{dy}{y^4} [j_2(y)]^2 \left[ \left(y^2 - \frac{1}{x^2}\right) \sin(2yx) + \frac{2y}{x} \cos(2yx) \right] \quad (5.20)$$

$\Psi(x)$  is an odd function of  $x$ . The integral in eq.(5.20) can be computed in terms of elementary functions by using the power series expansion [30]

$$[j_2(x)]^2 = \frac{\sqrt{\pi}}{2} \sum_{k=0}^\infty \frac{(-1)^k x^{2k+4}}{k! \Gamma(k + \frac{7}{2}) (k+3)(k+4)(k+5)},$$

with the result

$$\begin{aligned} \Psi(x) &= -\frac{3}{4x^3} \sum_{k=0}^\infty \frac{1}{x^{2k} (k + \frac{3}{2})(k + \frac{5}{2})(k+4)(k+5)} \left[ 1 + \frac{1}{(k + \frac{1}{2})(k+3)} \right] = \\ &= -\frac{1}{x^3} \sum_{k=0}^\infty \frac{1}{x^{2k}} \left[ \frac{1}{105k + \frac{1}{2}} + \frac{1}{35k + \frac{3}{2}} - \frac{1}{5k+3} + \frac{9}{35k+4} - \frac{2}{21k+5} \right]. \end{aligned} \quad (5.21)$$

These series can be summed up explicitly with the result

$$\Psi(x) = \frac{1}{105x^2} \left[ p(x) (1-x)^3 \log \left| 1 - \frac{1}{x} \right| - p(-x) (1+x)^3 \log \left| 1 + \frac{1}{x} \right| \right] + \frac{2}{105x} - \frac{13x}{126} + \frac{22x^3}{105} - \frac{2x^5}{21} \quad (5.22)$$

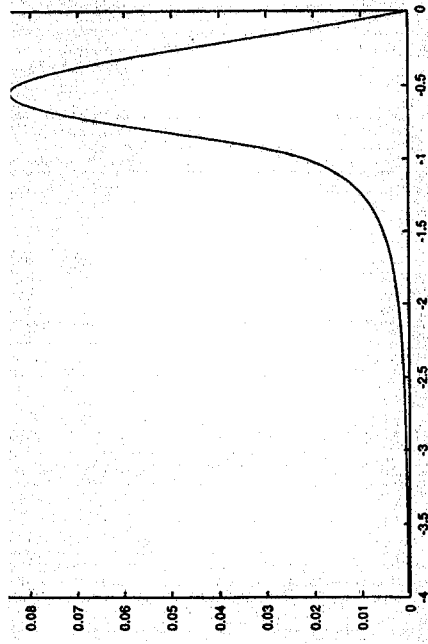
where  $p(x)$  is the sixth order polynomial

$$p(x) \equiv 10x^6 + 30x^5 + 33x^4 + 19x^3 + 9x^2 + 3x + 1.$$

The function  $\Psi(x)$  is negative for  $x > 0$  and positive for  $x < 0$ . It vanishes for  $x \rightarrow 0$  and for  $x \rightarrow \infty$  as,

$$\Psi(x) \stackrel{x \rightarrow 0}{\sim} -\frac{x}{6} + \mathcal{O}(x^3).$$

(17)



$$\Psi(-x) = -\Psi(x)$$

FIG. 5: The odd function  $\Psi(x)$  vs.  $x$  for negative  $x$  [see eq.(5.20)]. This function convoluted with the potential  $\mathcal{V}(\eta)$  yields the change on the quadrupole  $\frac{\Delta C_2}{C_2}$  [see eq.(5.19)].

and

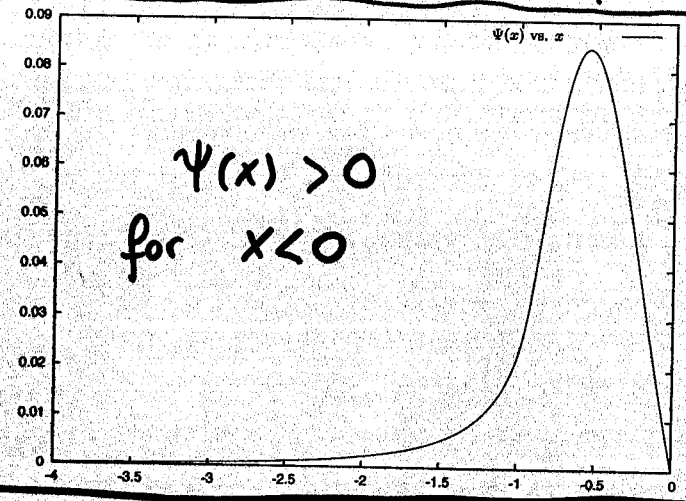
$$\Psi(x) \stackrel{x \rightarrow \infty}{\approx} -\frac{1}{60 x^3} + \mathcal{O}\left(\frac{1}{x^5}\right)$$

Fig. 5 displays  $\Psi(x)$  as a function of  $x$  for negative  $x$ .  $\Psi(x)$  features a maximum at  $x = x_M = -0.555 \dots$  with  $\Psi(x_M) = 0.08453 \dots$

Eq.(5.19) highlights the general result that an attractive potential  $\mathcal{V}(\eta) < 0$  yields a suppression of the quadrupole since  $\Psi(x) > 0$  for negative values of its argument  $x$ .

These results establish unequivocally that the attractive potentials  $\mathcal{V}_R(\eta)$ ;  $\mathcal{V}_T(\eta)$ , which are a consequence of the fast roll stage, lead to a suppression of the quadrupole for curvature and tensor perturbations.

$\Psi(x)$  is an ODD FUNCTION:  $\Psi(-x) = -\Psi(x)$



$\Psi(x) > 0$   
for  $x < 0$

FIG. 1: The odd function  $\Psi(x)$  vs.  $x$  for negative  $x$  [see eq.(5.20)]. This function convoluted with the potential  $\mathcal{V}(\eta)$  yields the change on the quadrupole  $\frac{\Delta C_2}{C_2}$  [see eq.(5.19)].

$\Psi(x)$  CONVOLUTED with the  
fluctuations POTENTIAL  $\mathcal{V}(\eta)$



CHANGE ON THE  
QUADRUPOLE  $\frac{\Delta C_2}{C_2}$

$$\frac{\Delta C_2}{C_2} = \frac{1}{2} \int_{-\eta_0}^0 d\eta \mathcal{V}(\eta) \Psi(x) < 0$$

↑ ATTRACTIVE

$\Delta C_2 < 0 \sim \frac{1}{2} \epsilon_2$

fast roll stage, lead to a suppression of the quadrupole...

# INVERSE PROBLEM

## C. The Inverse Problem. Reconstructing the fast roll potential $V(\eta)$ from the primordial power

In scattering theory, the potential can be obtained from the scattering data, through the Gelfand-Levitan equation. This is a linear integral equation which determines the potential  $V(r)$  from the knowledge of the modulus of the Jost function and the bound states[29].

The Gelfand-Levitan equation can be written as

determines kernel  $\rightarrow K_\nu(r, r') + G_\nu(r, r') + \int_0^r dr'' K_\nu(r, r'') G_\nu(r'', r') = 0$  (5.23)

where  $G_\nu(r, r')$  is a known function that can be expressed in terms of the Jost function as follows

$\rightarrow G_\nu(r, r') = \sqrt{r r'} \int_0^\infty k dk J_\nu(k r) J_\nu(k r') \left[ \frac{1}{|F_\nu(k)|^2} - 1 \right]$  (5.24) DEVIATION FROM S-R BD POWER

where the  $J_\nu(z)$  are Bessel functions, and the kernel  $K_\nu(r, r')$  is obtained by solving eq.(5.23). Once  $K_\nu(r, r')$  is computed, the potential follows as

kernel determines  $V(r)$   $\rightarrow V(r) = 2 \frac{d}{dr} K_\nu(r, r)$  the potential  $V(\eta)$  (5.25)

Eq.(5.23) is the Gelfand-Levitan equation in absence of bound states. By bound states we mean solutions of eq.(5.1) which are regular at  $r = 0$  and decay exponentially for  $r \rightarrow \infty$ . We will not consider their presence since the analysis in secs. II and III of ref.[23] indicates that bound states are absent in the present case.

$V(\eta) \rightarrow W(\eta)^{exp.}$  determined from the primordial power data

We have seen in eq.(5.10) that the deviation of the primordial power from slow roll is given by the square modulus of the Jost function. Eqs.(5.23)-(5.25) show that this deviation from the BD-slow roll primordial power explicitly determines the potential  $V(\eta)$ . The present quantitative information about the deviation of the primordial power from slow roll is too scarce to feed back into the Gelfand-Levitan equation, but it is important to see that the fast roll potential  $V_{FR}(\eta)$  felt by the fluctuations and hence  $W_{FR}(\eta)$  can be explicitly determined from the primordial power data.

## VI. CONCLUSIONS

Although the latest analysis of the WMAP data confirms the basic paradigm of slow roll inflation and renders much less statistical significance to potential departures from its basic predictions, the anomalously low quadrupole in the CMB remains a long-standing challenge.

In this article we proposed a mechanism that yields a suppression of the low multipoles both for curvature and tensor perturbations, within the effective field theory of inflation. The main premise of our observation is that a more general initialization of the classical dynamics of the inflaton, allowing for approximate equipartition between initial kinetic and potential energy of the inflaton leads to a brief period of fast roll dynamics that is the precursor to the usual slow roll stage. This early fast roll stage results in an attractive potential in the wave equation for the mode functions of curvature and tensor perturbations. Implementing the methods and borrowing the results from our companion article[23], we show that this attractive potential yields a transfer function for initial conditions  $D(k)$  which fulfills the stringent criteria of renormalizability and small back reaction and yields a 10-20% suppression of the CMB quadrupole consistent with the observational data. We also predict a small ~2-4% quadrupole suppression for B-modes.

Our main results are summarized as follows:

- Within the framework of the effective field theory of inflation at the GUT scale we show that allowing for an initial state of the inflaton for which its kinetic energy is of the same order as the potential energy, there emerges a brief stage prior to slow roll in which the inflaton rolls fast. We call this brief, but consequential stage, the fast roll regime. The inflaton potential fulfills the slow roll conditions and is the same both in the slow roll and in the fast roll regime. We prove that this brief fast roll stage generates an attractive localized potential for the mode functions of metric and tensor perturbations. Such potential leads to initial conditions for the fluctuations during the slow roll stage, which are different from Bunch-Davies and are consistent with renormalization and

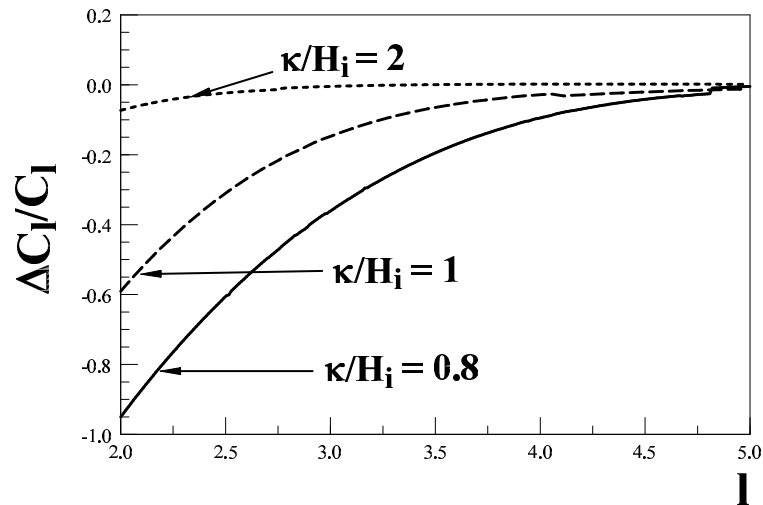
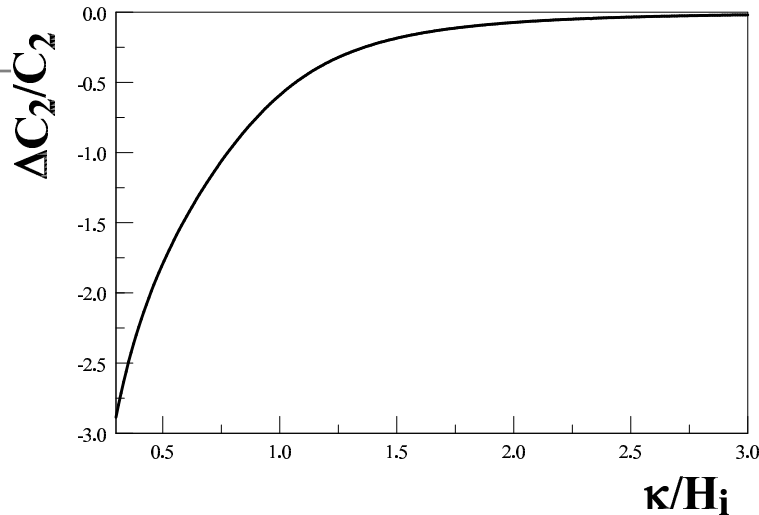
(0)

(1)

in the fast roll regime. We prove that this brief fast roll stage generates an attractive localized potential for the mode functions of metric and tensor perturbations. Such potential leads to initial conditions for the fluctuations during the slow roll stage which are different from Bunch-Davies and are consistent with renormalization and negligible backreaction.

- (2)
- We provide an exhaustive numerical analysis for several inflationary models with the result that for generic inflaton initial conditions with equipartition between kinetic and potential inflaton energy there is a brief period of fast roll that lasts approximately  $\sim 1$  e-fold. This brief stage translates in a potential  $\mathcal{V}(\eta)$  in the wave equation for the mode functions of curvature and tensor perturbations. The typical scales of these potentials are  $\mathcal{V}_R \sim -10 H_i^2$  for curvature perturbations and  $\mathcal{V}_T \sim -2 H_i^2$  for tensor perturbations, where  $H_i$  is the Hubble parameter during slow roll inflation. A suppression of the CMB quadrupole of about 10 – 20%, consistent with observation is obtained if the mode corresponding to the quadrupole, whose physical wavelength is of the order of the Hubble radius today, crossed the horizon within 1 – 2 efolds after the beginning of slow roll stage.
- (3)
- Our study also predicts a suppression of the quadrupole for the B-modes, with a fractional change of at least an order of magnitude smaller than that for temperature fluctuations.
- (4)
- The evolution of the inflationary perturbations has been shown to be equivalent to the scattering by a potential and useful expressions between the two sets of solutions and observables have been derived. By implementing the methods of scattering theory we prove in general that the CMB quadrupole is suppressed by the attractive potential  $\mathcal{V}(\eta)$  which is a consequence of the fast roll stage.
- (5)
- Thus, we conclude that generic ultraviolet-finite initial conditions imprinted upon gaussian curvature perturbations from a fast roll stage just prior to slow roll inflation successfully explain the low quadrupole. Such suppression happens provided the inflationary stage does not last more than  $\sim 57 - 58$  e-folds. Therefore this suppression mechanism successfully explains the low CMB quadrupole provided there is the upper bound  $N_{tot} \sim N_Q + 4 = 59$  on the total number of efolds during inflation. This upper bound results from the following accounting: the modes corresponding to the quadrupole crossed out of the Hubble radius during the slow roll stage approximately  $N_Q = 55$  e-folds before the end of inflation. However for the potential  $\mathcal{V}_R(\eta)$  to influence these modes, the exit time cannot be more than approximately 2 – 3 e-folds after the end of the fast roll stage, which itself lasts approximately 1 e-fold, yielding a total of about  $N_{tot} = N_Q + 4 = 59$  e-folds.

# Quadrupole Suppression vs. Fast Roll



$\frac{\kappa}{H_i} = \frac{a_{sr}}{3.3}$  . The Quadrupole is **suppressed** 20% for  $a_{sr} \simeq 4.6 \simeq e^{1.5} \longrightarrow$  the quadrupole modes should exit the horizon  $\simeq 1.5$  efolds **after** fast-roll starts

## Quadrupole Suppression Explanation:

Inflation starts with fast roll: 0 efolds.

Fast-roll ends and slow-roll begins: 1 efold.

Today Horizon size modes exit the horizon by 1.5 efolds.

Inflation ends at the minimal number of efolds plus  $\simeq 1.5$  .

$[N_T \simeq 60 + 1.5]$

# Quadrupole Suppression and Fast Roll

Slow-roll inflation is **generically preceded** by a fast-roll stage where  $\dot{\phi}^2 \sim V(\phi)$ . Fast-Roll typically lasts 1 efold.

The slow-roll regime is an **attractor** with a large basin of attraction.

If the quadrupole modes ( $\sim$  Hubble radius today) exited the horizon **1.5** efolds after the beginning of fast roll, **then** the quadrupole modes get **suppressed**  $\sim 20\%$  in agreement with the observations.

$$\implies N_{total\ efolds} \simeq 60 + 1.5.$$

D. Boyanovsky, H. J. de Vega, N. G. Sanchez,  
CMB quadrupole suppression: I. Initial conditions of  
inflationary perturbations, II. The early fast roll stage. Phys.  
Rev. **D74**, 123006 and 123007 (2006).

# Dark Energy

$76 \pm 5\%$  of the **present** energy of the Universe is Dark!

Current observed value:

$$\rho_\Lambda = \Omega_\Lambda \rho_c = (2.39 \text{ meV})^4, \quad 1 \text{ meV} = 10^{-3} \text{ eV}.$$

Equation of state  $p_\Lambda = -\rho_\Lambda$  within observational errors.

Quantum zero point energy. Renormalized value is finite.

Bosons (fermions) give positive (negative) contributions.

Mass of the lightest fermion  $\sim 1 \text{ meV}$  is in the right scale.

Spontaneous symmetry breaking of continuous symmetries produces massless scalars as Goldstone bosons. A small symmetry breaking provide light scalars: axions, familons, majorons .....

Observational Axion window  $10^{-3} \text{ meV} \lesssim M_{\text{axion}} \lesssim 10 \text{ meV}$ .

Dark energy **can be** a cosmological analogue to the Casimir effect in Minkowski with non-trivial boundaries.

We need to learn the **physics of light particles** ( $< 1 \text{ MeV}$ ), also to understand dark matter !!



## **FINAL—FINAL SUMMARY AND CONCLUSIONS**

- ✓ *Effective field theory  $H/Mp \ll 1$ ,  $1/N_e$ -slow roll expansion robust, systematic, predictive*
- ✓ *Quantum corrections suppressed by  $(H/Mp)^2$*
- ✓ *Fast roll stage prior to slow roll  $\longrightarrow$  modifies b.c. for scalar perturbations  $\longrightarrow$  quadrupole suppression  $\sim 15\text{-}20\%$  for total  $N_e \sim 55$ .*
- ✓  *$1/N_e$  expansion  $\longrightarrow$  systematic exploration of family of inflaton potentials+ field reconstruction .*
- ✓ *Small field New Inflation larger region of consistency with WMAP3+LSS data.*
- ✓ *Potentials with larger overlap with marginalized WMAP 3 data symmetry breaking scale  $\sim 10 Mp$ , crossing scale  $\sim Mp$ .*

# Summary and Conclusions

- Inflation can be formulated as an **effective** field theory in the Ginsburg-Landau spirit with energy scale

$$M \sim M_{GUT} \sim 10^{16} \text{GeV} \ll M_{Pl}.$$

- Effective theory **does work** because:  $H \ll M \ll M_{Pl}$ .

Inflaton mass **small**:  $m \sim H/\sqrt{N}$ . Infrared regime!

- The slow-roll approximation is a  $1/N$  expansion,  $N \sim 50$

- MCMC analysis of WMAP+LSS data **plus** the Trinomial Inflation potential indicates a spontaneously symmetry

breaking potential (new inflation):  $w(\chi) = \frac{y}{32} \left( \chi^2 - \frac{8}{y} \right)^2$ .

- Lower Bounds:  $r > 0.016$  (95% CL) ,  $r > 0.049$  (68% CL).

The most probable values are  $n_s \simeq 0.956$  ,  $r \simeq 0.055$  with a quartic coupling  $y \simeq 2$ .

## Summary and Conclusions 2

- The quadrupole suppression may be explained by the effect of fast roll inflation provided the today's horizon size modes exited 1.5 efolds after the beginning of inflation.
- Quantum (loop) corrections in the effective theory are of the order  $(H/M_{Pl})^2 \sim 10^{-9}$ .

D. Boyanovsky, H. J. de Vega, N. G. Sanchez,

Quantum corrections to the inflaton potential and the power spectra from superhorizon modes and trace anomalies, Phys. Rev. D 72, 103006 (2005), astro-ph/0507596.

Quantum corrections to slow roll inflation and new scaling of superhorizon fluctuations. Nucl. Phys. B 747, 25 (2006), astro-ph/0503669.

**THANK YOU VERY MUCH**