

# Physics Beyond the Standard Model: Low Energies Versus High Energies

**Mikhail Shaposhnikov**

- Why the SM must be extended?
- Do we really need an intermediate energy scale between  $M_W$  and  $M_{Planck}$ ?
- The  $\nu$ MSM as a unified description of neutrino oscillations, dark matter, and baryon asymmetry of the Universe
- Crucial test and experiments

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**What about experiment?**



**Suppose** that the SM is an effective field theory valid up to the Planck scale.

Low energy Lagrangian can contain all sorts of higher-dimensional  $SU(3) \times SU(2) \times U(1)$  invariant operators, suppressed by the Planck scale:

$$L = L_{\text{SM}} + \sum_{n=5}^{\infty} \frac{O_n}{M_{Pl}^{n-4}} .$$

Majorana neutrino mass: from five-dimensional operator

$$O_5 = A_{\alpha\beta} \left( \bar{L}_\alpha \tilde{\phi} \right) \left( \phi^\dagger L_\beta^c \right)$$

Prediction:  $m_\nu \sim v^2 / M_{Pl} \simeq 10^{-6}$  eV – far away from experimental observations!

**SM cannot be right all the way to  $M_{Pl}$ !**

# Cosmological arguments

- No particle physics candidate for Dark Matter
- No baryogenesis
- No inflation: Higgs does not work as an inflaton. The Planck scale inflation seems unlikely: the vacuum energy density during inflation is limited from above by  $V_{inf} \lesssim 10^{-11} M_{Pl}^4$ .
- Accelerated expansion of the Universe – dark energy.

# Naturalness arguments

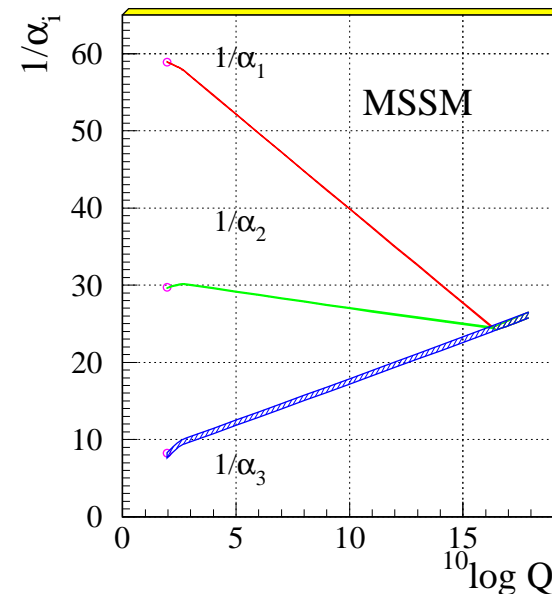
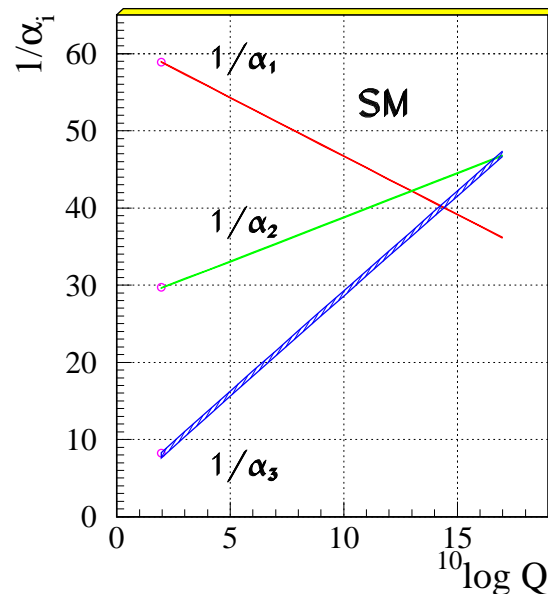
- Hierarchy: why  $M_W \ll M_{Pl}$ ?
- Why cosmological constant is so small?
- Why CP is conserved in strong interactions? ( $\theta_{QCD} \ll 1$ )
- Why  $m_e \ll m_t$ ?
- ...

Overwhelming point of view:  
these problems should find their  
solution by physics beyond the  
SM which contains some  
intermediate energy scale

$$M_W < M_{new} < M_{Planck}$$

**Gauge coupling unification:** the three couplings of the SM intersect with each other at three points scattered between  $10^{13}$  and  $10^{17}$  GeV – indication for Grand Unification at  $M_{GUT} \sim 10^{16}$  GeV (?)

**The constants of the SM do not meet at the same point:** there must exist one more intermediate threshold for new physics between the GUT scale and the electroweak scale, chosen in such a way that all the three constants do intersect at the same point – indication for low energy supersymmetry (?)



Possibility No 1:

Possibility No 2

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Gauge coupling unification at  $M_{Pl}$ ! (Hill, 1984; Shafi and Wetterich, 1984...)

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## Possibility No 2

Gauge coupling unification at  $M_{Pl}$ ! (Hill, 1984; Shafi and Wetterich, 1984...)

Take any GUT and add  $4 + n$ ,  $n \geq 1$  dimensional operators like

$$\text{Tr} [F^2 \Phi^n] / M_{Pl}^n$$

If  $\langle \Phi \rangle \sim M_{Pl}$  higher dimensional operators can shift the crossing point to  $M_{Pl}$ — **unification of gravity with other forces!**

**Inflation:** Take the simplest quadratic potential

$$V(\chi) = \frac{1}{2} m_\chi^2 \chi^2 .$$

It fits very well the CMB data with  $m_\chi \sim 10^{13}$  GeV.

New scale?

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New scale? **Not necessarily:**

The CMB constraints the inflaton potential (single field inflation ) only for  $\chi \sim M_{Pl}$  and tells nothing about the structure of  $V(\chi)$  near its minimum! Inflaton may be very light whereas large  $V_{inf}$  may come from its self-interactions. Even a pure  $\beta\chi^4$  potential (**massless** inflaton) provides a reasonable fit to the WMAP data with just  $3\sigma$  off the central values for inflationary parameters (can be corrected by a slight modification of the potential at  $\chi \sim M_{Pl}$  by higher dimensional operators or by allowing non-minimal coupling.)

**Strong CP-problem:** Invisible axion solution to strong CP-problem:  
Peccei-Quinn scale is bounded from above and below by cosmology  
and astrophysics to be in the region  $10^8 \text{ GeV} \lesssim M_{PQ} \lesssim 10^{12} \text{ GeV}$ .

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Intermediate scale appears again? **Not necessarily:**

If extra dimensions have topology such that the mapping

$$D - \text{dim Space} \rightarrow S_3$$

is trivial no  $\theta$  angle exists! Planck scale compactification is sufficient -  
the solution to the strong CP-problem may occur at  $M_{Pl}$  (Khlebnikov,  
M.S., 1988, 2004)

Neutrino masses - the see-saw argument: add to the Lagrangian of the Standard Model a dimension five operator

$$A_{\alpha\beta} \left( \bar{L}_\alpha \tilde{\phi} \right) \left( \phi^\dagger L_\beta^c \right)$$

suppressed by an (unknown a-priory) mass parameter  $\Lambda$  and find it then from the requirement that this term gives the correct active neutrino masses. One gets:

$$\Lambda \simeq \frac{v^2}{m_{\text{atm}}} \simeq 6 \times 10^{14} \text{ GeV}$$

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Not necessarily - will discuss later!



**Thermal leptogenesis:** Out of equilibrium and conversion to baryon asymmetry conditions:

$$M_W < T_{decay} < M_N$$

Constraint on the decay Yukawa coupling  $\Gamma_{tot} \simeq f^2 M_N$ :

$$\frac{M_W^2}{M_N M^*} < f^2 < \frac{M_N}{M^*}, \quad M^* \simeq 10^{18} \text{ GeV}$$

Baryon asymmetry for non-degenerate case ( $\Delta M_{ij} \sim M_k$ ):

$$\frac{n_B}{s} \sim 10^{-3} f^2 \simeq 10^{-10}$$

for  $f^2 \sim 10^{-7}$ ; works for  $M_N > 10^{11}$  GeV.

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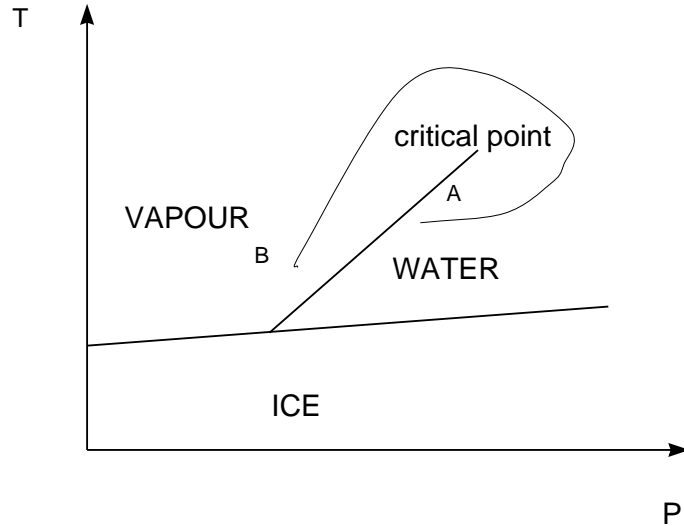
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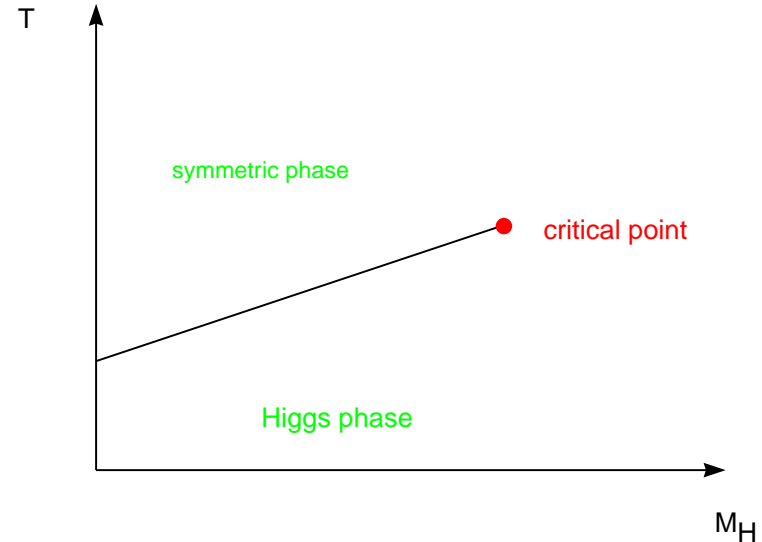
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# Electroweak baryogenesis:



Typical condensed matter phase diagram (pressure versus temperature)



## Electroweak theory

$$\langle \phi^\dagger \phi \rangle \ll (250 \text{ GeV})^2$$

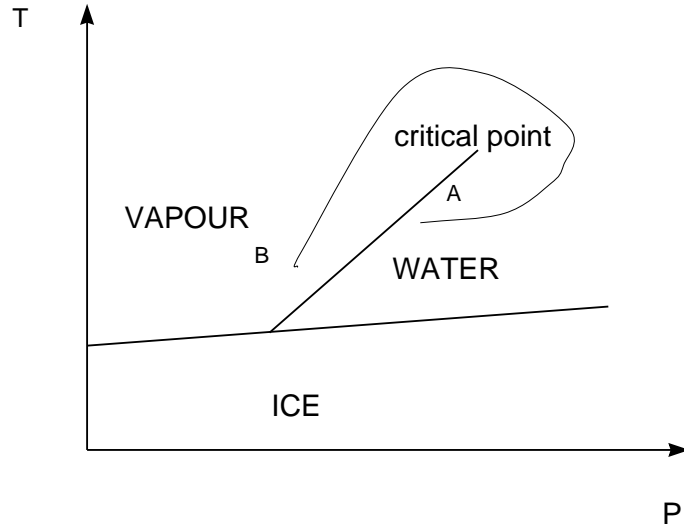
$$T = 109.2 \pm 0.8 \text{ GeV},$$

$$M_H = 72.3 \pm 0.7 \text{ GeV}$$

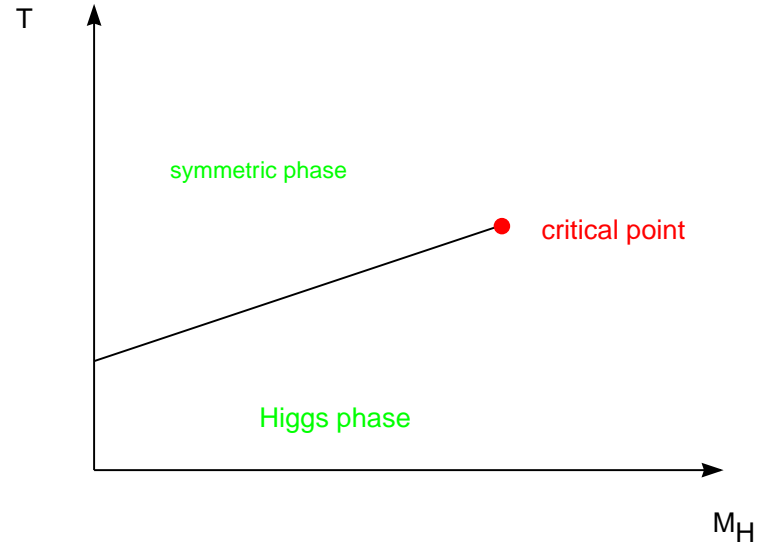
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To make first order EW phase transition: add new physics right above the EW scale.

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Dark matter from WIMPS: annihilation cross-section related to the scale  $M \sim 100$  GeV gives roughly the right DM abundance.

New physics right above the EW scale?

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New physics right above the EW scale?

**Not necessarily:** This argument is based on the specific processes the dark matter can be created and destroyed and thus is not valid in general.

# An alternative

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- A minimal extension of the standard model, called  $\nu$ MSM, is a viable effective field theory up to the Planck scale
- the  $\nu$ MSM = MSM + three right-handed singlet fermions

# the SM

There are 36 quark states: left fermionic doublets:

$(u, d)_L, (c, s)_L, (t, b)_L$  and  $u_R, d_R, c_R, s_R, t_R, b_R$

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9 + 3 leptonic states

$(\nu_e, e)_L, (\nu_\mu, \mu)_L, (\nu_\tau, \tau)_L$  and  $e_R, \mu_R, \tau_R$

12  $SU(3) \times SU(2) \times U(1)$  gauge bosons (8+3+1)

and one Higgs doublet,

in total  $(3 \times 2 + 3 \times 2 + 2 + 1 + 0) \times 3 \times 2 = 90$  fermionic and

$(8 + 3 + 1) \times 2 + 4 = 28$  bosonic degrees of freedom

# the $\nu$ MSM

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# Parameter counting: the $\nu$ MSM

Most general renormalizable Lagrangian

$$L_{\nu MSM} = L_{MSM} + \bar{N}_I i \partial_\mu \gamma^\mu N_I - F_{\alpha I} \bar{L}_\alpha N_I \Phi - \frac{M_I}{2} \bar{N}_I^c N_I + h.c.,$$

Extra coupling constants:

3 Majorana masses of new neutral fermions  $N_i$ ,

15 new Yukawa couplings in the leptonic sector

(3 Dirac neutrino masses  $M_D = F_{\alpha I} v$ , 6 mixing angles and 6 CP-violating phases),

18 new parameters in total.

## The choice of scales of the $\nu$ MSM

Require:  $M_I < M_W$  (No see-saw)

There is no indication of the existence of GUT scale from neutrino oscillations!

Consequence: small Yukawa couplings,

$$F_{\alpha I} \sim \frac{\sqrt{m_{atm} M_I}}{v} \sim (10^{-6} - 10^{-13}),$$

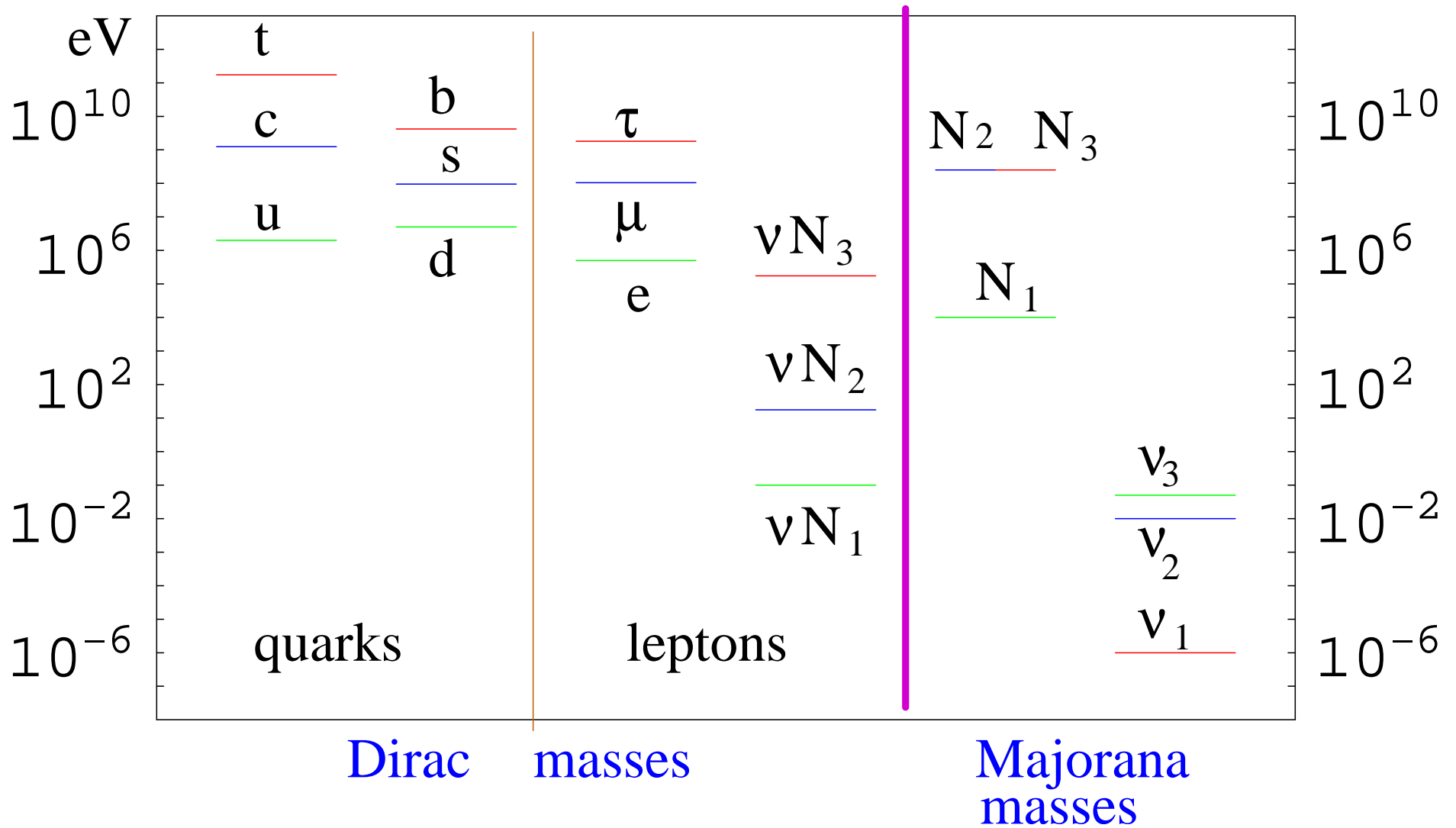
here  $v \simeq 174$  GeV is the VEV of the Higgs field,

$m_{atm} \simeq 0.05$  eV is the atmospheric neutrino mass difference.

## Highlights of the $\nu$ MSM

- Consistent description of neutrino masses and oscillations.
- Can explain dark matter in the Universe.
- Can explain baryon asymmetry of the Universe.
- Charge quantization from requirement of cancellation of gauge and gravitational anomalies. (Not true for the SM !)
- Masses of new leptons are small: all parameters can **potentially** be determined experimentally!
- Prediction of the negative result of the MiniBooNE experiment.
- Astrophysical applications: talks by Alex Kusenko and Peter Biermann

# The spectrum of the $\nu$ MSSM

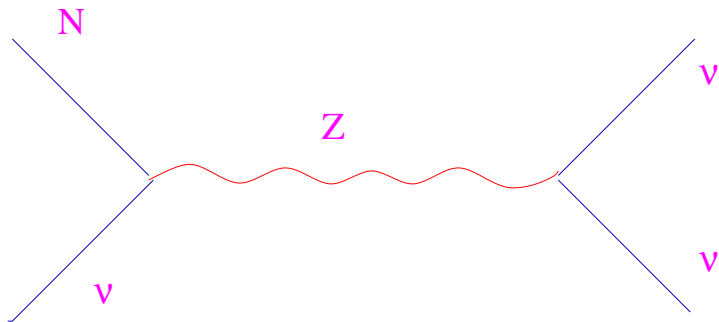




# DM candidate: the lightest sterile neutrino

Dodelson, Widrow; Shi, Fuller; Dolgov, Hansen; Abazajian, Fuller, Patel

Interaction strength is very small  
→ sterile  $N$  can be very stable.



Main decay mode:  $N \rightarrow 3\nu$ .

Lifetime:

$$\tau_{N_1} = 5 \times 10^{26} \text{ sec} \left( \frac{1 \text{ keV}}{M} \right)^5 \left( \frac{10^{-8}}{\theta^2} \right)$$

$$\theta = \frac{m_D}{M}$$

# Constraints on the mass of dark matter sterile neutrinos

Tremaine, Gunn; Lin, Faber; Hogan, Dalcanton:

Rotational curves of dwarf spheroidal galaxies:  $M > 0.3$  keV.

Hansen et al, Viel et al: Lyman- $\alpha$  forest observations can resolve inhomogeneities on small scales and put constraints on free streaming length.

- “Generic” warm dark matter:

Viel et al.,  $M > 2$  keV,  $2\sigma$ , Seljak et al.,  $M > 2.4$  keV, 95%.

- Sterile neutrino produced in active-sterile transitions:

Viel et al.,  $M > 8$  keV,  $2\sigma$

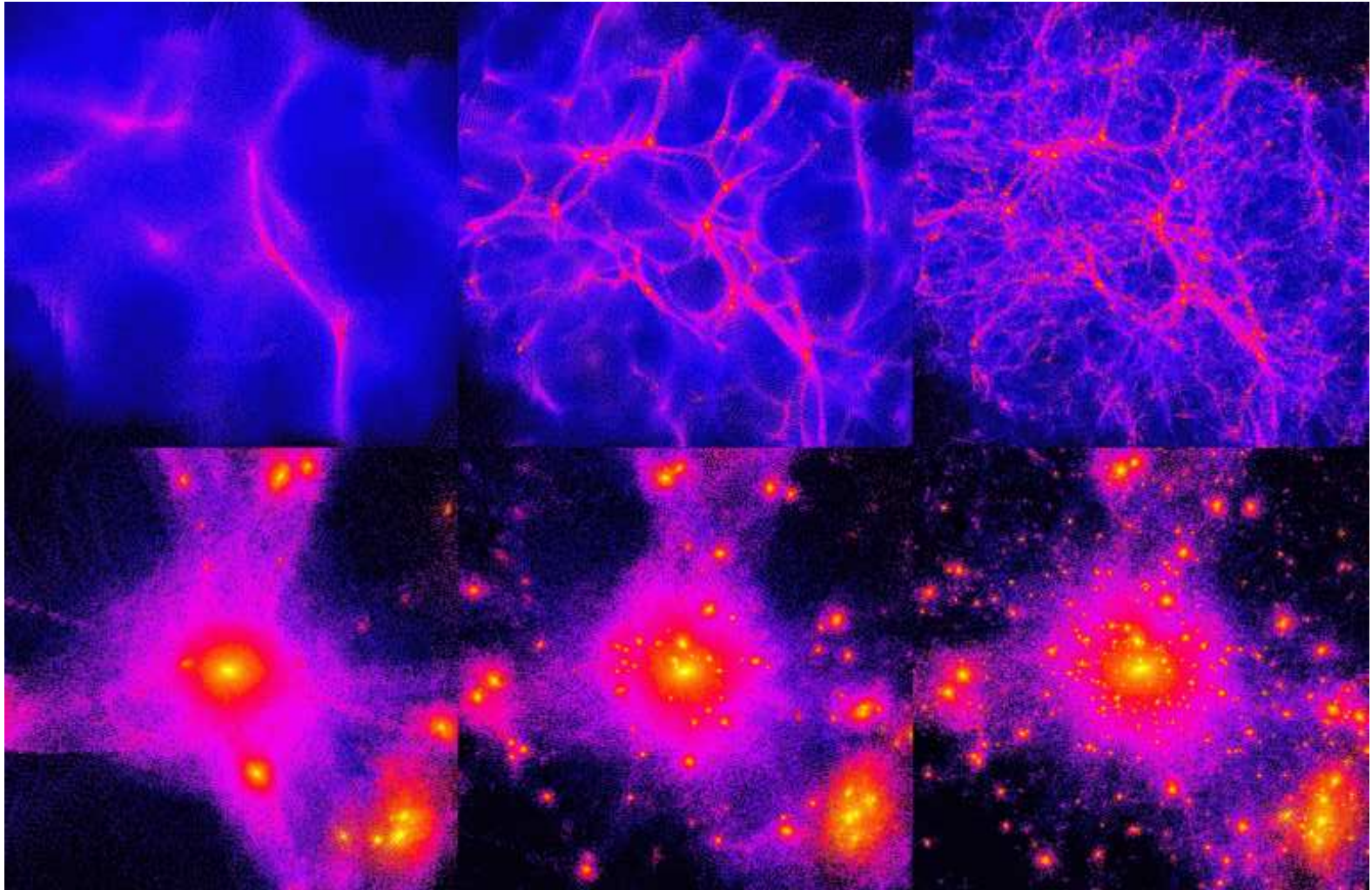
Seljak et al.,  $M > 11.7$  keV, 95% and  $M > 8.1$  keV, 99%.

For spectra appearing in other mechanisms of sterile neutrino production the simulations have never been performed.

Hot DM

Warm DM

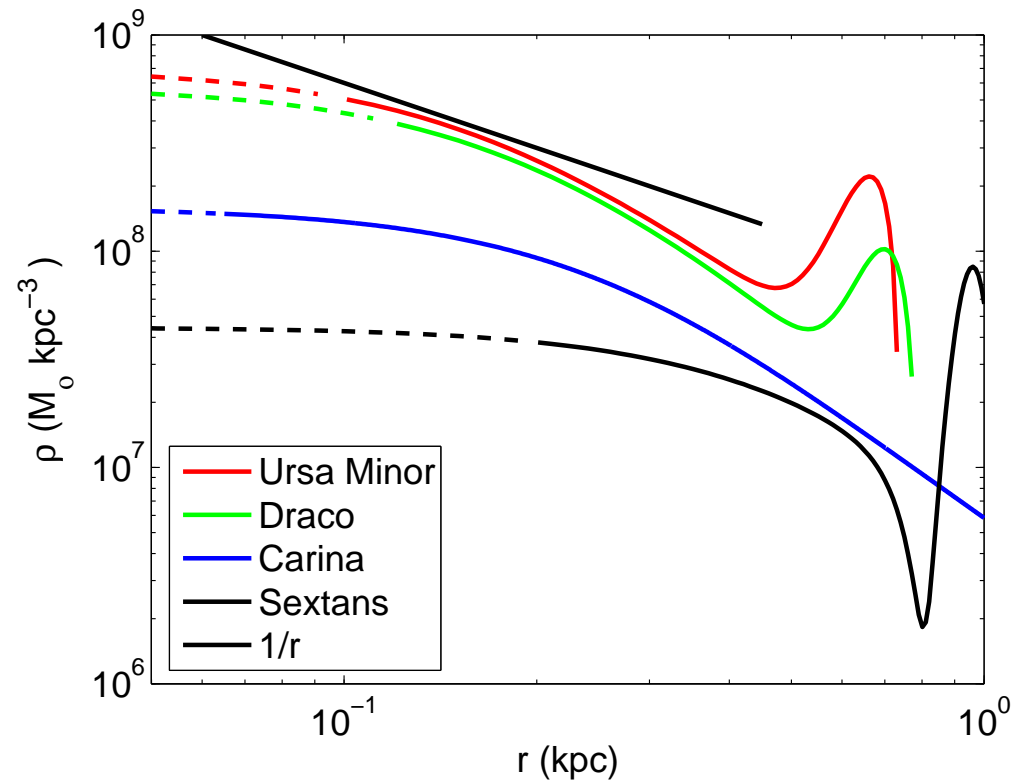
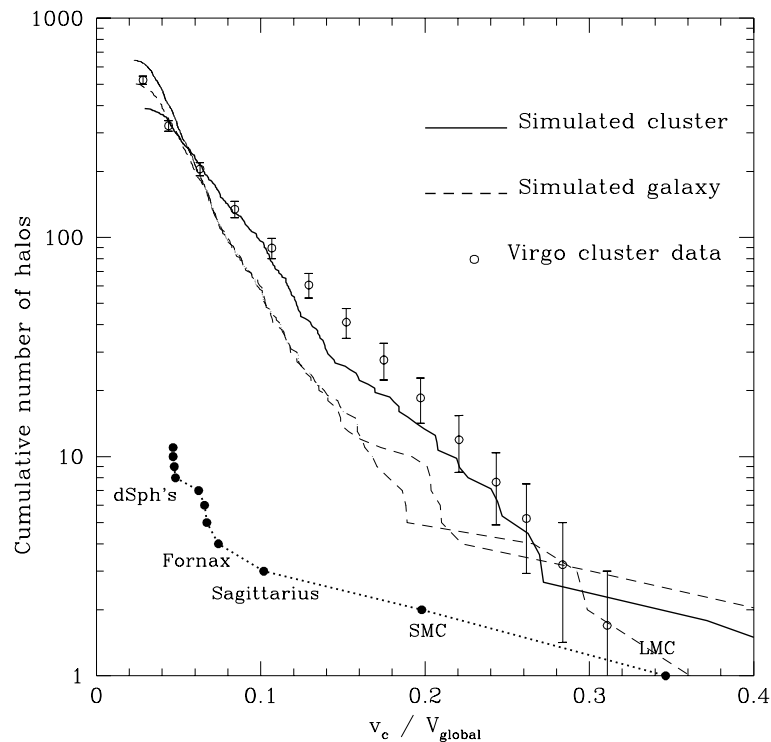
Cold DM



Ben Moore simulations

# Warm versus Cold

Potentially, warm dark matter could solve some problems of the CDM scenario: [i] Cuspy profiles, [ii] Missing satellites problem (Bode, Ostriker, Turok; Klypin, Moore; Gilmore)



# Cosmological production of sterile neutrinos

- Via active-sterile neutrino oscillations (Dodelson, Widrow)  
Most probably, this is ruled out: the required Yukawa coupling is too large to be consistent with X-ray and Lyman- $\alpha$  constraints.
- Via resonant active-sterile neutrino oscillations **in the presence of lepton asymmetries** (Shi, Fuller). Works well for sterile neutrinos in keV range.
- In inflaton (or any neutral scalar) decays (M.S., Tkachev). Can produce sterile neutrinos up to the mass of few MeV.

## Baryon asymmetry

Baryon and lepton numbers **are not conserved** in the  $\nu$ MSM.

Lepton number: due to Majorana neutrino masses. Can be neglected for  $M_I < M_W$ . Rate of B non-conservation:

$$\Gamma \sim \begin{cases} \exp\left(-\frac{4\pi}{\alpha_W}\right) \sim 10^{-160}, & T = 0 \\ \exp\left(-\frac{M_{sph}}{T}\right), & T < M_W \\ (\alpha_W)^5 T^4, & T > M_W \end{cases}$$

These reactions are in thermal equilibrium for

$$100 \text{ GeV} < T < (\alpha_W)^5 M_{Pl} \sim 10^{12} \text{ GeV}$$

CP is **non-conserved** in the  $\nu$ MSM:

6 CP-violating phases in the lepton sector and

1 Kobayashi-Maskawa phase in the quark sector.

**Deviations from thermal equilibrium:**

there is no electroweak phase transition with allowed value for the Higgs mass.



The only possible reason for non-equilibrium - sterile neutrinos.

Akhmedov, Rubakov, Smirnov

Asaka,MS

Idea - sterile neutrino oscillations as a source of baryon asymmetry.

Qualitatively:

- Sterile neutrino are created in the early Universe and oscillate in a coherent way with CP-breaking.
- The total lepton number is zero but gets unevenly distributed between active and sterile neutrinos.
- The lepton number of active left-handed neutrinos is transferred to baryons due to equilibrium sphaleron processes.



# Kinetics of sterile neutrinos

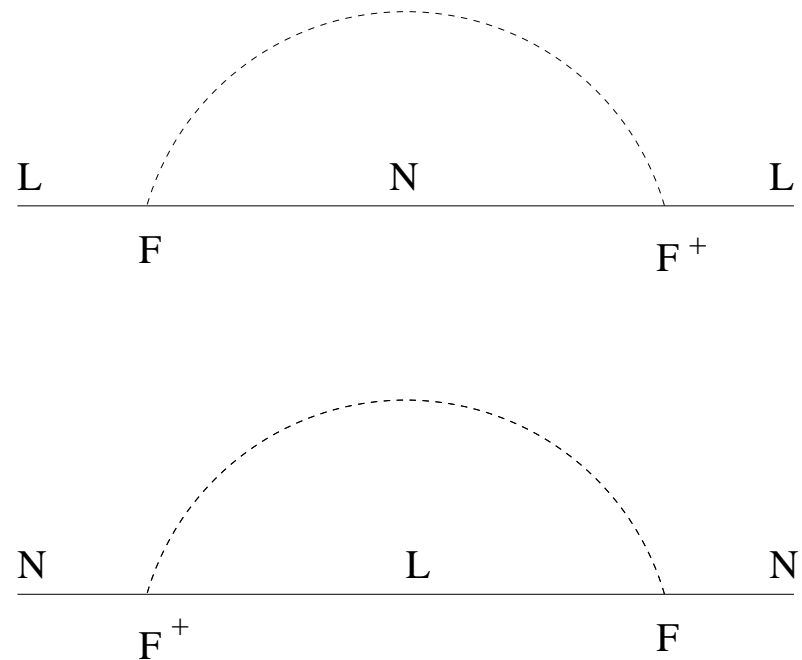
Asaka,MS

Facts to take into account:

(i) Coherence of sterile neutrino interactions  $\rightarrow$  density matrix  $\rho_{NN}$  rather than concentrations.

(ii) Oscillations, creation and destruction of sterile and active neutrinos.

(iii) Dynamical asymmetries in active neutrinos and charged leptons.



$$\frac{n_B}{s} \simeq 1.7 \cdot 10^{-10} \delta_{\text{CP}} \left( \frac{10^{-5}}{\Delta M_{32}^2 / M_3^2} \right)^{\frac{3}{2}} \left( \frac{M_3}{10 \text{ GeV}} \right)^{\frac{3}{2}}.$$

$$\delta_{\text{CP}} = 4s_{R23}c_{R23} \left[ s_{L12}s_{L13}c_{L13} \left( (c_{L23}^4 + s_{L23}^4)c_{L13}^2 - s_{L13}^2 \right) \cdot \sin(\delta_L + \alpha_2) \right. \\ \left. + c_{L12}c_{L13}^3 s_{L23}c_{L23} (c_{L23}^2 - s_{L23}^2) \cdot \sin \alpha_2 \right].$$

$\delta_{\text{CP}} \sim 1$  may be consistent with observed  $\nu$  oscillations.

Nontrivial requirement:  $|M_2 - M_3| \ll M_{2,3}$ , i.e. heavier neutrinos must be degenerate in mass.

Works best if

$$M_2^2 - M_3^2 \sim T_W^3 / M_0 \simeq 4 (\text{keV})^2, \quad |M_2^2 - M_3^2| \sim M_1^2 \quad ???$$

A possibility to have inflation: add real scalar field, the inflaton  $\chi$ . To reduce the number of parameters: suppose that inflaton- $\nu$ MSM couplings are scale invariant on the classical level:

$$\mathcal{L}_{\nu\text{MSM}} \rightarrow \mathcal{L}_{\nu\text{MSM}[M \rightarrow 0]} + \frac{1}{2}(\partial_\mu \chi)^2 - \frac{f_I}{2} \bar{N}_I^c N_I \chi + \text{h.c.} - V(\Phi, \chi).$$

Higgs-Inflaton potential:

$$V(\Phi, \chi) = \lambda \left( \Phi^\dagger \Phi - \frac{\alpha}{\lambda} \chi^2 \right)^2 + \frac{\beta}{4} \chi^4 - \frac{1}{2} m_\chi^2 \chi^2,$$

Chaotic inflation:

$$\beta \simeq 10^{-13}, \quad \alpha \lesssim 10^{-7}, \quad f_I \lesssim 10^{-3}$$

Electroweak symmetry breaking:

$$\langle \chi \rangle \neq 0 \rightarrow M_H \neq 0, \quad M_I \neq 0$$

For  $\alpha > \beta$  inflaton mass is smaller than the Higgs mass,  $m_I < M_H$ .

## Sterile neutrino production in inflaton decays

Inflaton with mass  $m_I > 300$  MeV is in thermal equilibrium thanks to reactions  $\chi \leftrightarrow e^\dagger e$ ,  $\chi \leftrightarrow \mu^\dagger \mu$  down to  $T < m_I$ .

Sterile neutrino abundance due to inflaton decays:  $\chi \rightarrow NN$ :

$$\Omega_s \simeq \frac{0.26 f(m_I)}{S} \frac{\Gamma M_0 m_s}{m_I^2 \times 12 \text{ eV}} \frac{2\pi\zeta(5)}{\zeta(3)},$$

For  $m_I \sim 300$  MeV the correct  $\Omega_s$  is obtained for  $m_s \sim 16 - 20$  keV.

For  $m_I \sim 100$  GeV the correct  $\Omega_s$  is obtained for  $m_s \sim \mathcal{O}(10)$  MeV.

None of the arguments in favour of existence of the intermediate energy scale really requires it:

- gauge coupling unification and solution of the strong CP-problem can both occur at the Planck scale
- inflation, neutrino masses, dark matter and baryogenesis can all be explained by the particles with the masses below the electroweak scale

# Crucial test and experiments

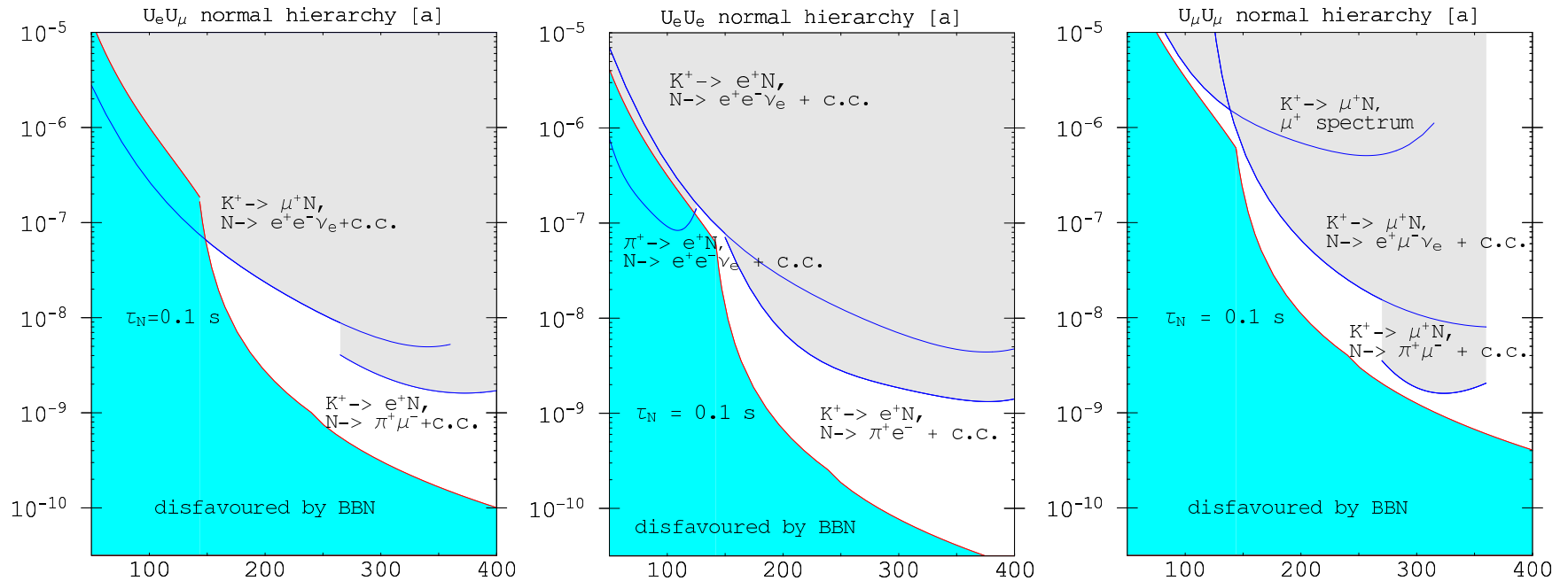
## Particle Physics

- LHC: nothing but the Higgs with the mass in the window  
 $M_H \in [129, 189] \text{ GeV}$
- ILC: No new physics at the ILC
- No sign of proton decay or neutron-antineutron oscillations
- Spectrum of active neutrino masses must be hierarchical, with  
 $m_1 \ll m_{sol}$
- Two other masses are fixed to be  $m_3 = [4.8_{-0.5}^{+0.6}] \cdot 10^{-2} \text{ eV}$  and  
 $m_2 = [9.05_{-0.1}^{+0.2}] \cdot 10^{-3} \text{ eV}$  ( $[4.7_{-0.5}^{+0.6}] \cdot 10^{-2} \text{ eV}$ ) in the normal  
(inverted) hierarchy
- Existence of two almost degenerate weakly coupled singlet  
leptons,  $M_N < 20 \text{ GeV}$ ,  $\Delta M/M < 10^{-5}$ ,  
 $10^{-11} \left(\frac{\text{GeV}}{M}\right) < \theta^2 < 10^{-8} \left(\frac{\text{GeV}}{M}\right)^2$
- Missing energy signal in  $K$ ,  $D$ ,  $\tau$  and  $B$  decays



# Experimental and BBN constraints

## CERN PS191 experiment (1988)



## Astrophysics

- X-rays from decays of Dark Matter neutrinos  $N \rightarrow \nu\gamma$ : X-ray spectrometer in Space with good energy resolution  $\delta E/E \sim 10^{-3} - 10^{-4}$  getting signals from our Galaxy and its Dwarf satellites
- WIMP and axion searches: nothing

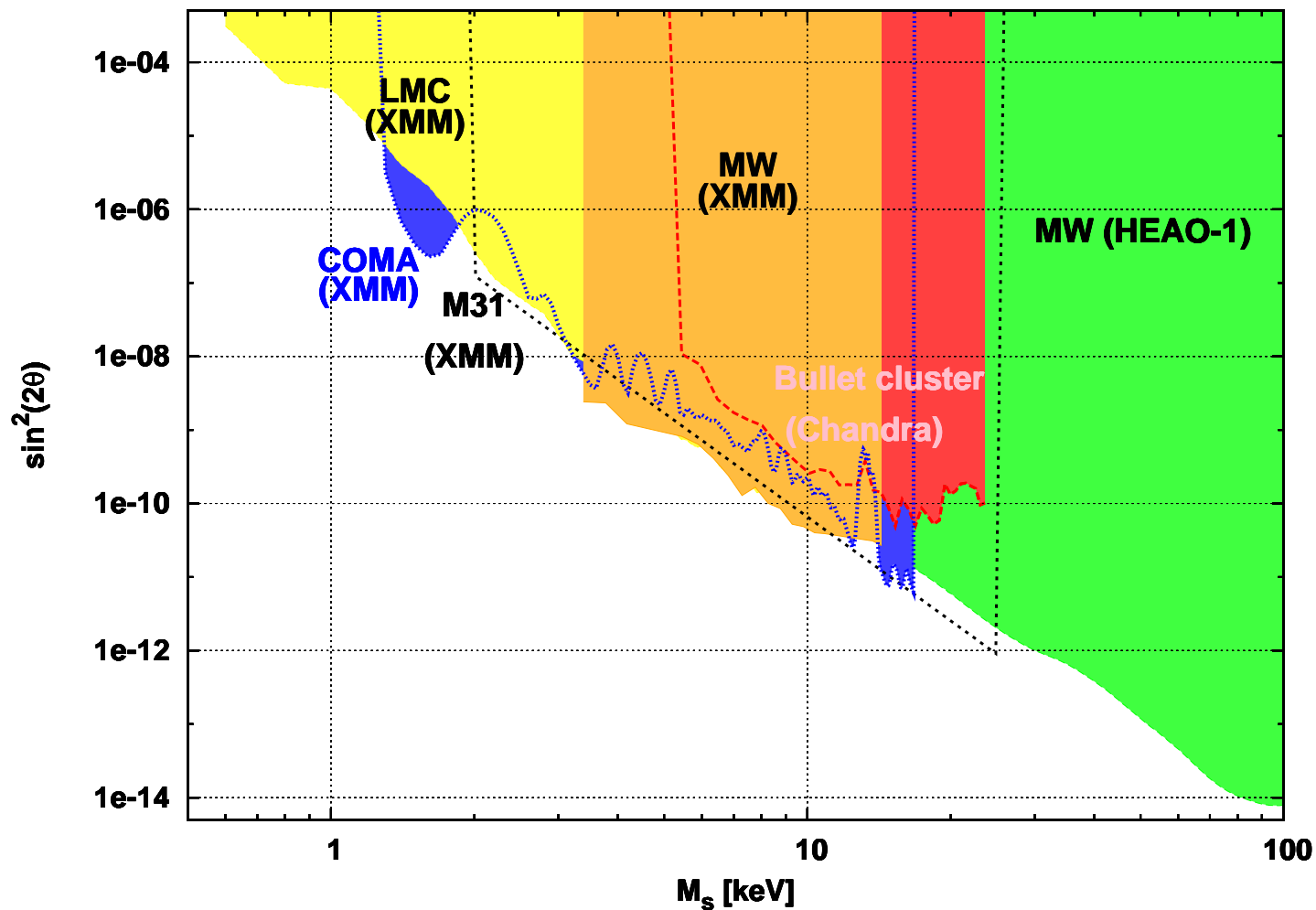
MW (HEAO-1): Boyarsky et al. 2005

Coma and Virgo clusters: Boyarsky et al.

LMC+MW(XMM): Boyarsky et al.

MW (Chandra): Riemer-Sørensen et al.; Abazajian et al.

M31: Watson et al.



Fine print: all results subject to intrinsic factor  $\sim 2$  uncertainty!