

# Status of the theory of Neutrino mass & mixing

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**A. Yu. Smirnov**

*International Centre for Theoretical Physics, Trieste, Italy*

July 21, 2011,

The international school Daniel Chalonge

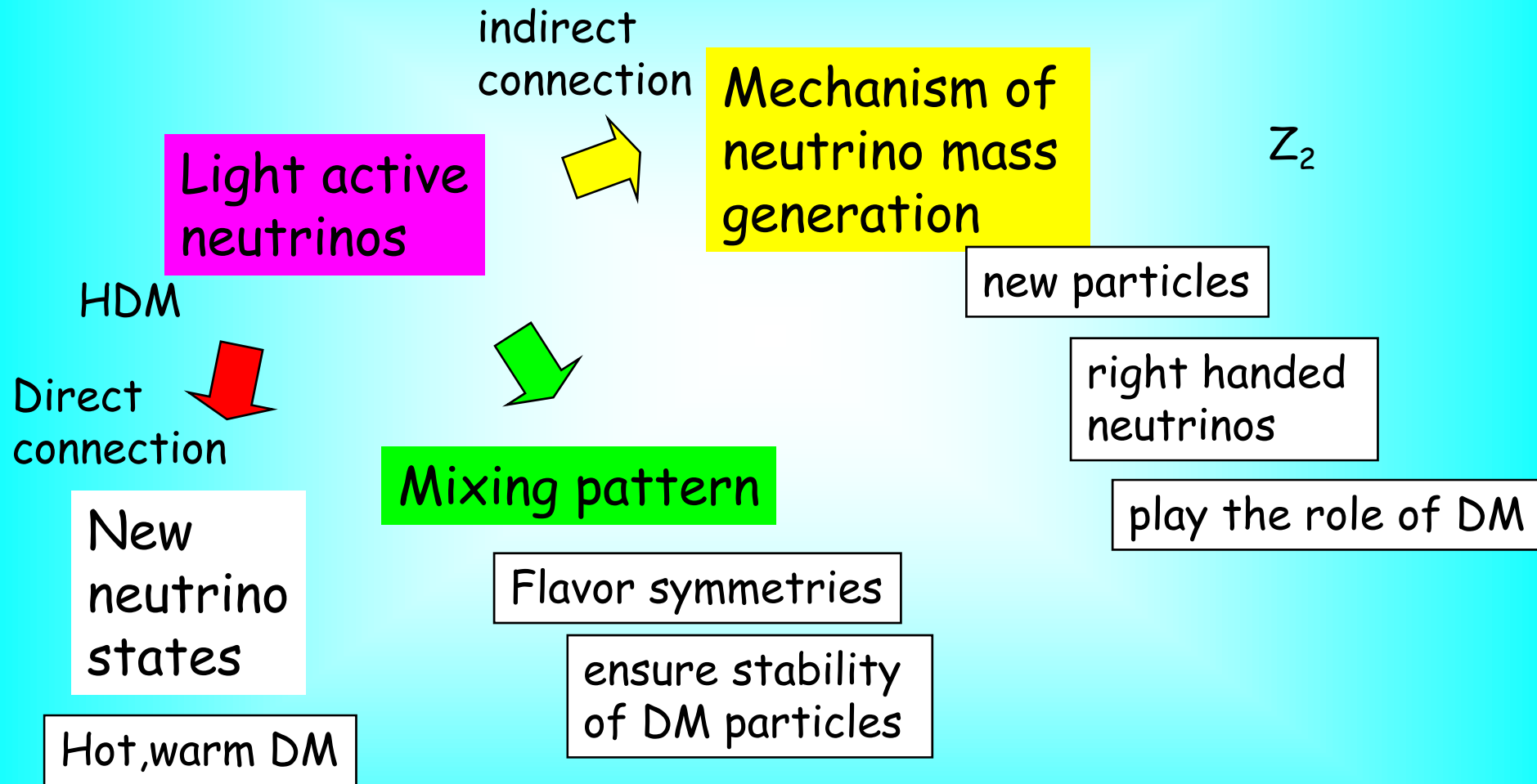
# Status

the theory of neutrino  
mass & mixing  
does not exist **yet**

We even don't know

- nature of neutrino mass:  
Dirac vs. Majorana,  
soft vs. hard;
- absolute mass scale;
- number of neutrinos

# Neutrinos & Dark Matter



# Content

**I. Neutrino mass & mixing:  
what do we really know**

**II. To the theory of neutrino mass & mixing**

**III. Sterile neutrinos and DM**

# I. Neutrino mass & mixing: what do we know

**Solar  
neutrinos**

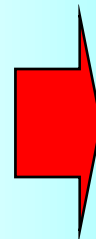
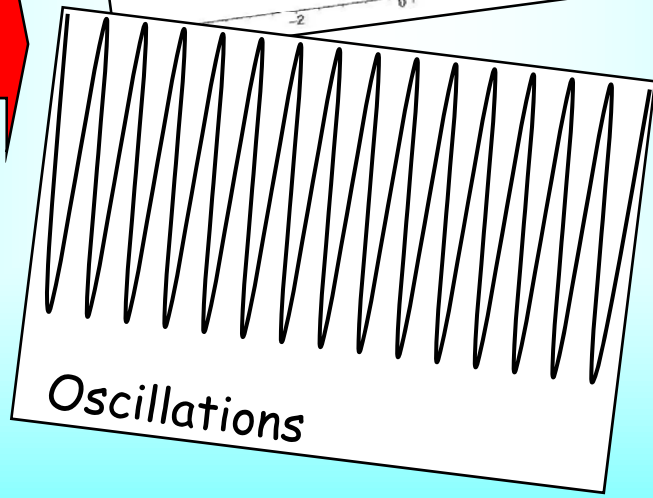
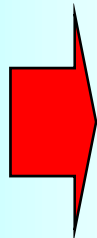
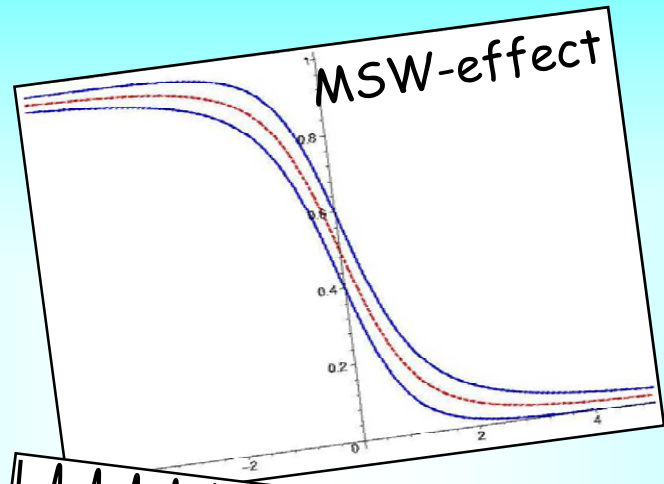
**KamLAND**

**Atmospheric  
neutrinos**

**MINOS**

**K2K**

**T2K**



$$\Delta m^2$$
$$\theta$$

# Absolute mass scale



MINOS, atmospheric neutrinos

$$m > \sqrt{\Delta m_{31}^2} > 0.045 \text{ eV}$$



COSMOLOGY: bound on the sum of neutrino masses

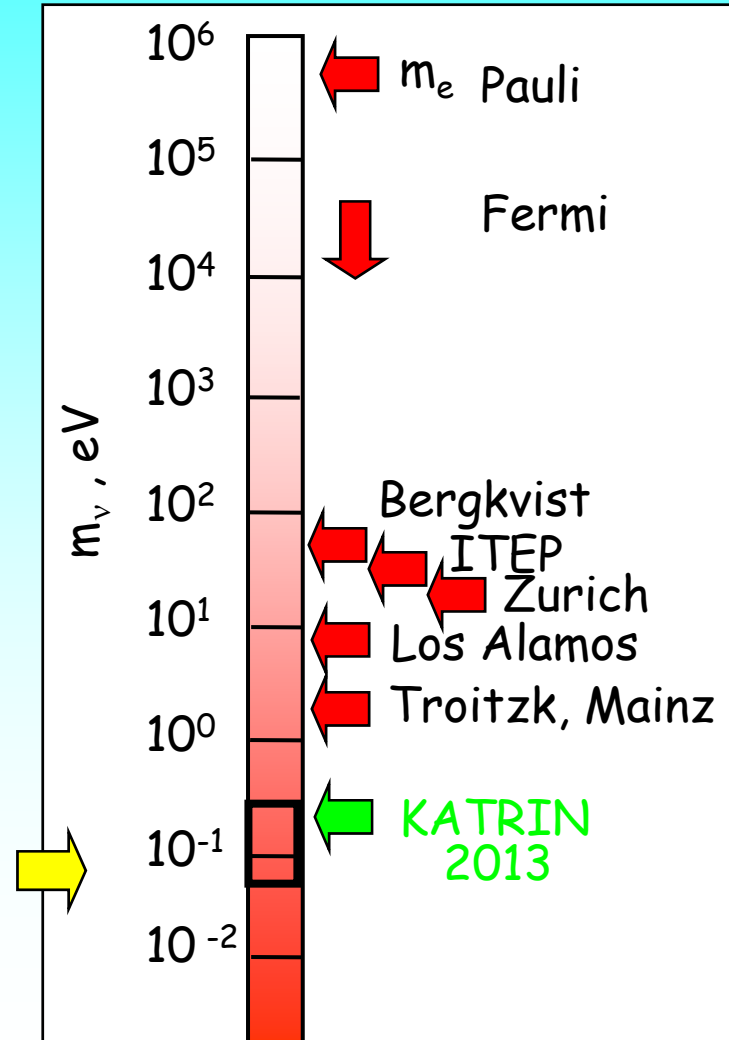
$$m < \Sigma/3 < 0.2 - 0.3 \text{ eV}$$



The heaviest neutrino has mass is in the range (0.045 - 0.30) eV

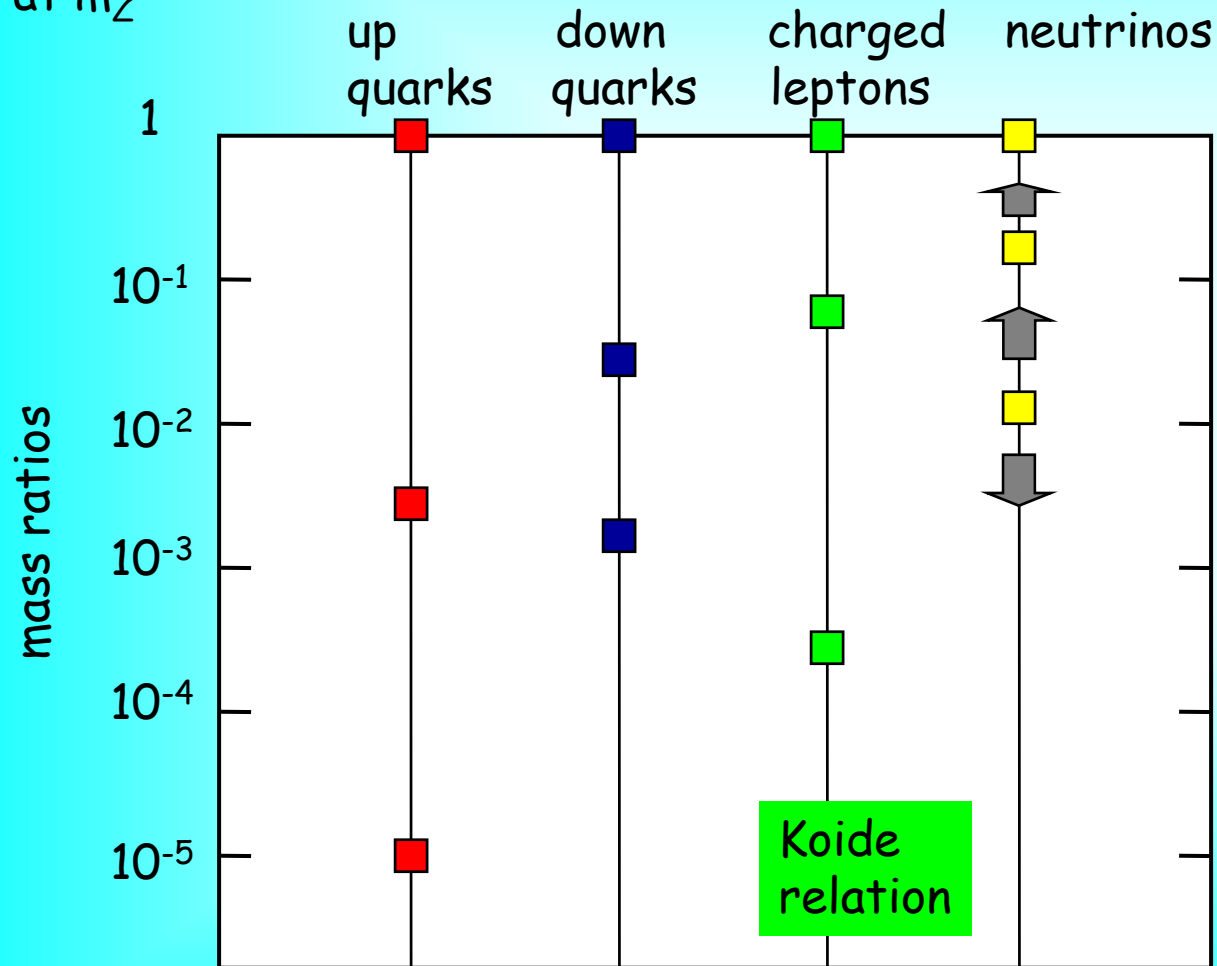


Kinematical measurements



# Mass hierarchies

at  $m_z$



$$m_u m_t = m_c^2$$

$$\sin\theta_C \sim \sqrt{m_d/m_s}$$

Gatto-Sartori-Tonin relation

Solar, KamLAND

$$\frac{m_2}{m_3} \geq \sqrt{\frac{\Delta m_{21}^2}{\Delta m_{32}^2}}$$

~ 0.18

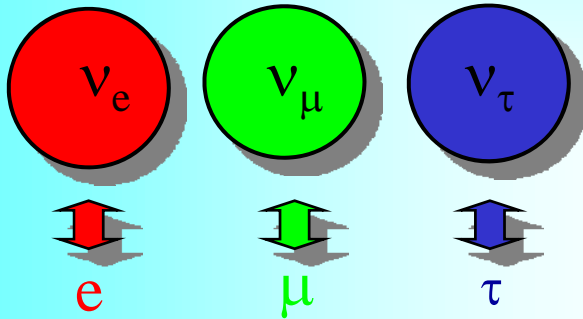
Neutrinos have the weakest mass hierarchy (if any) among fermions

Related to the large lepton mixing?

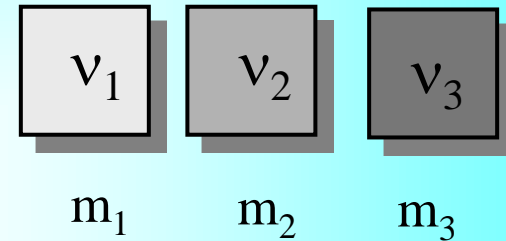


# Neutrino mixing

Flavor neutrino states:



Mass eigenstates



Mixing

- correspond to certain charged leptons
- interact in pairs
- flavor -characteristic of interaction

Flavor states

$\neq$

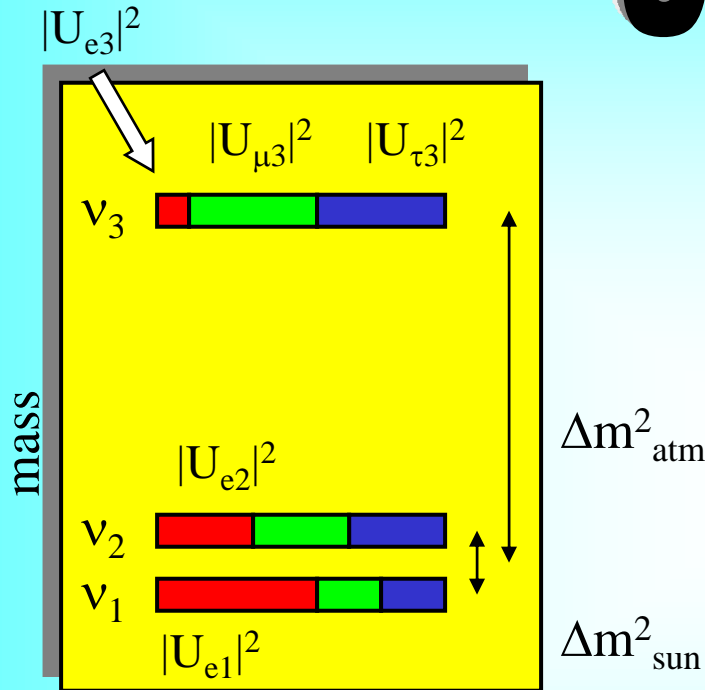
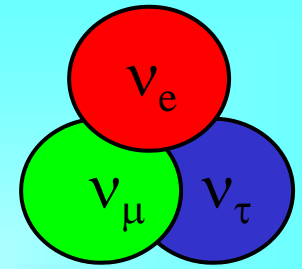
Mass eigenstates

$$n \rightarrow p + e^- + \bar{\nu}_e$$

$$\pi \rightarrow \mu + \nu_\mu$$

$$\nu_f = U_{PMNS} \nu_{mass}$$

# Mixing angles



Normal mass hierarchy

$$\Delta m^2_{\text{atm}} = \Delta m^2_{32} = m^2_3 - m^2_2$$

$$\Delta m^2_{\text{sun}} = \Delta m^2_{21} = m^2_2 - m^2_1$$

Mixing parameters,  
parameterization

$$\tan^2\theta_{12} = |U_{e2}|^2 / |U_{e1}|^2$$

$$\sin^2\theta_{13} = |U_{e3}|^2$$

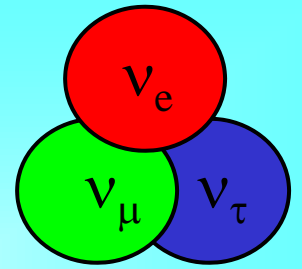
$$\tan^2\theta_{23} = |U_{\mu 3}|^2 / |U_{\tau 3}|^2$$

Rotation in 3D space

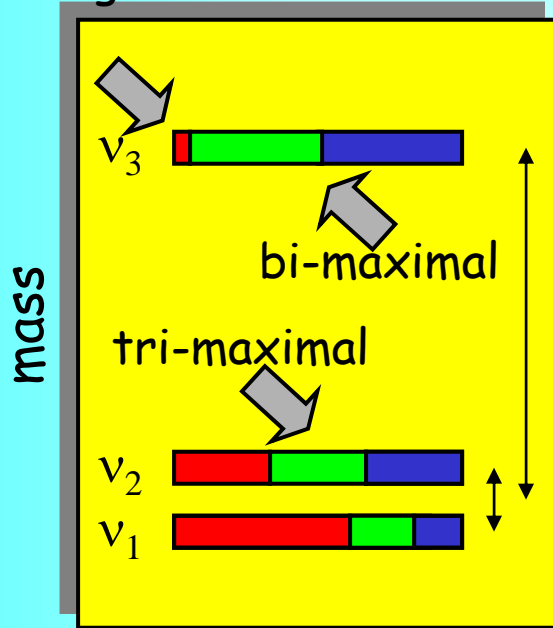
$$\nu_f = U_{\text{PMNS}} \nu_{\text{mass}}$$

$$U_{\text{PMNS}} = U_{23} I_\delta U_{13} I_{-\delta} U_{12}$$

# Mixing

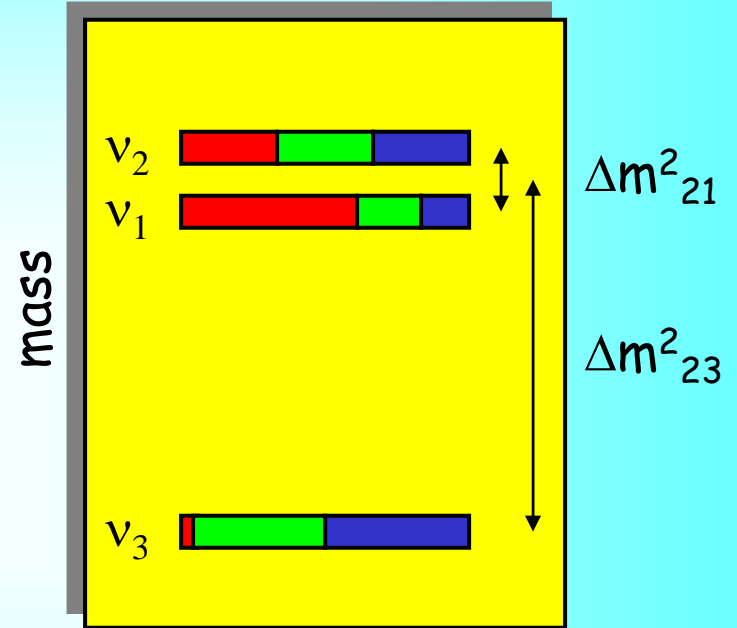


non zero  
1-3 mixing



Normal mass hierarchy

?



Inverted mass hierarchy

Symmetry?

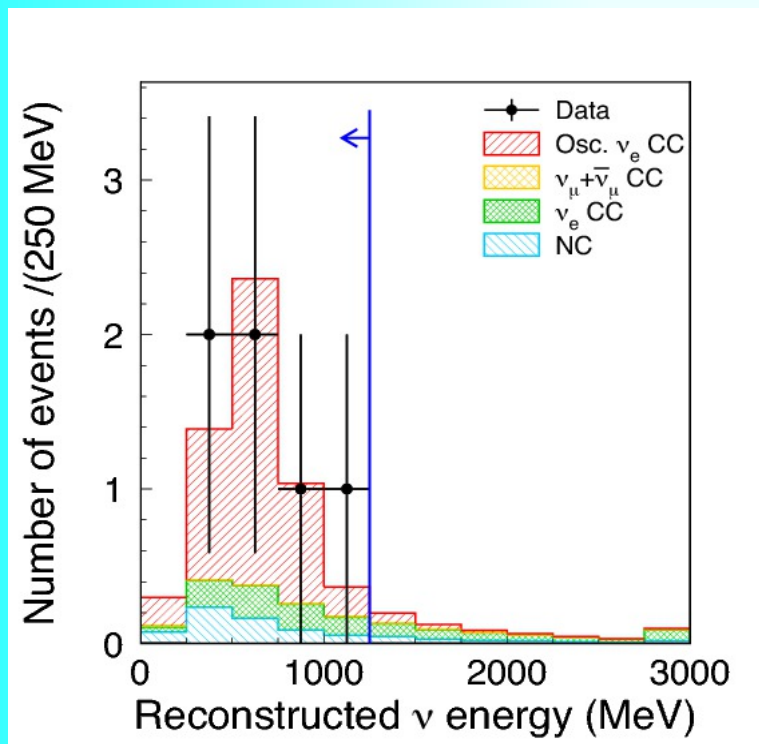
Tri-bimaximal mixing  
if 1-3 mixing is zero

Accidental?

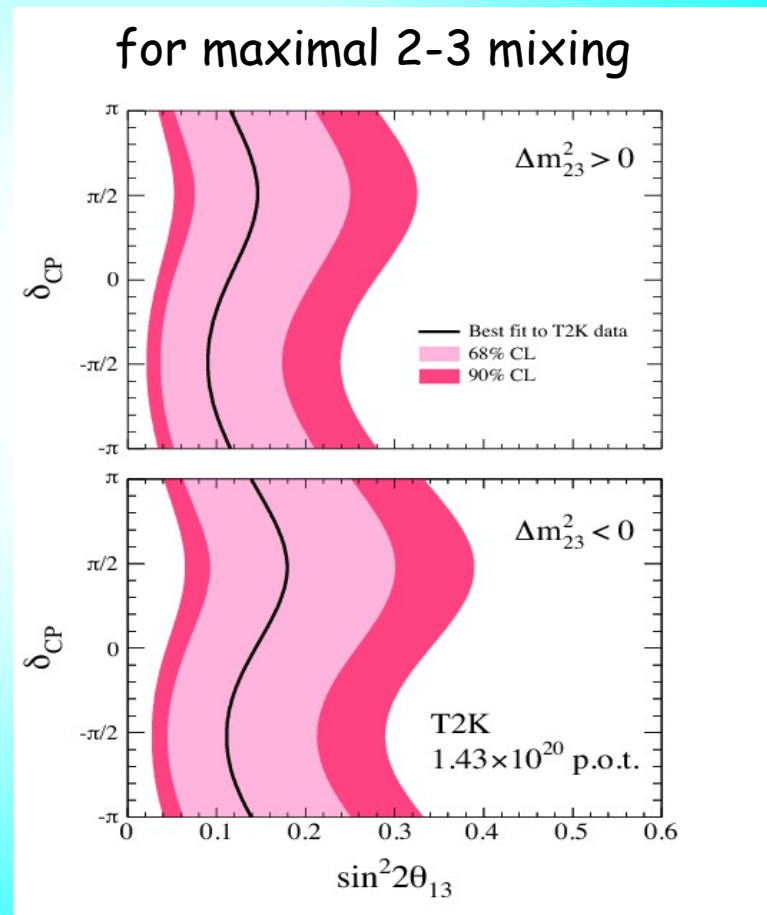
Deviations?

# T2K: 1-3 mixing

*K Abe, et al [The T2K Collaboration]  
1106.2822 [hep-ex]*



Background =  $1.5 \pm 0.3$



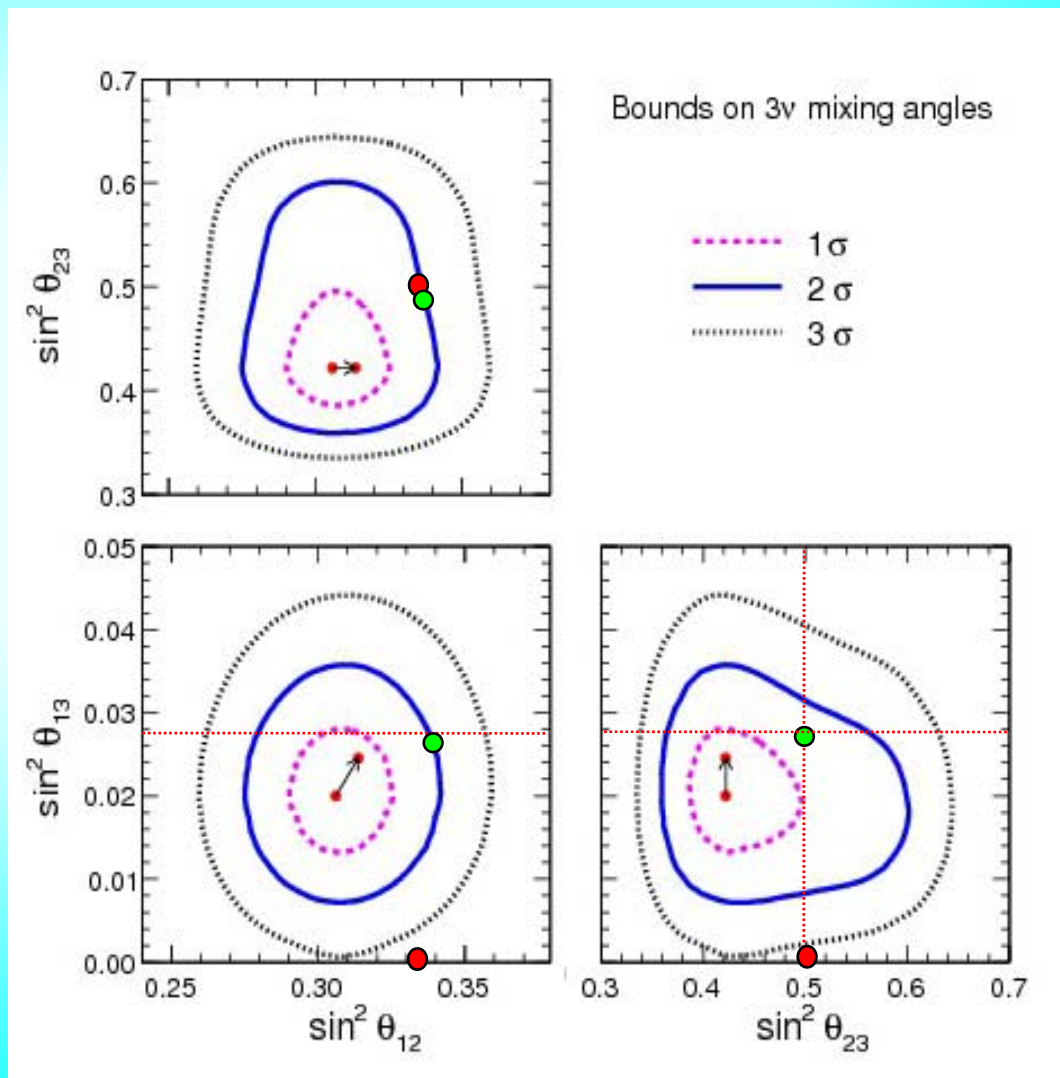
$\sin^2 2\theta_{13} \sim 0.11$

# Global fit

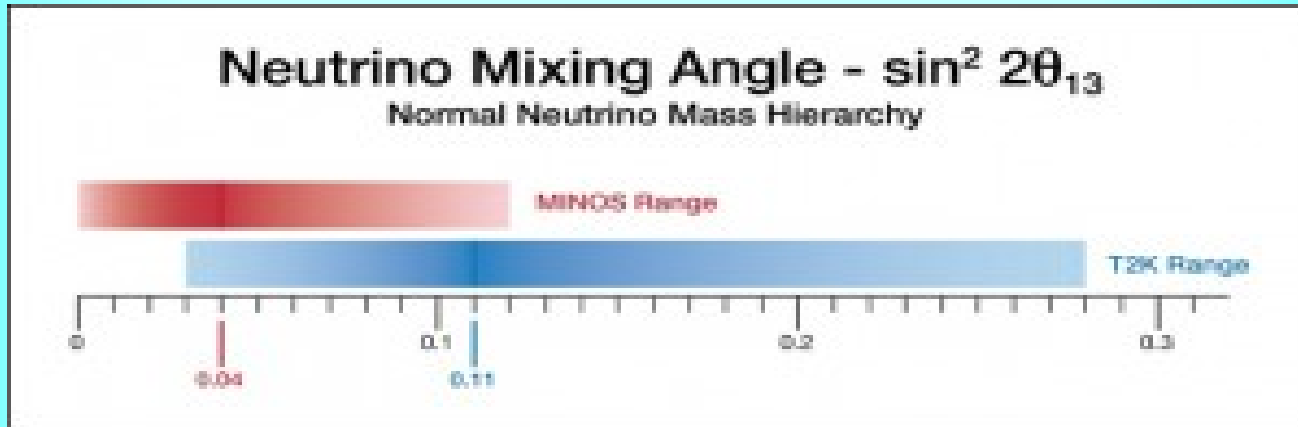
*G.L Fogli et al.,  
1106.6028 [hep-ph]*

- TBM
- QLC

New reactor fluxes  
- shift by arrows



# Implications



Strongly broken TBM?

Typical for flavor models  
of TBM:  $\sin\theta_{13} \sim \sin^2\theta_C$

Quark-lepton  
complementarity:

$$\sin^2\theta_{13} \sim 2\sin^2\theta_C$$

No special symmetry  
in the leptonic sector

# II. To the theory of neutrino mass & mixing

# "Standard" neutrino scenario

1. There are only 3 types of light neutrinos

2. Interactions are described by the Standard (electroweak) model

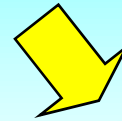
3. Masses and mixing have pure vacuum origin; they are generated at the EW and probably higher mass scales

= ``Hard" masses

- High scale see-saw
- no special symmetries
- no connection to DM

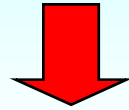


# Smallness of $m_\nu$



New large mass scale

New symmetries



Extra dimensions

Forbid the usual Dirac mass terms

Radiative generation

High dimension operators

``Chiral mismatch''

See-saw mechanism

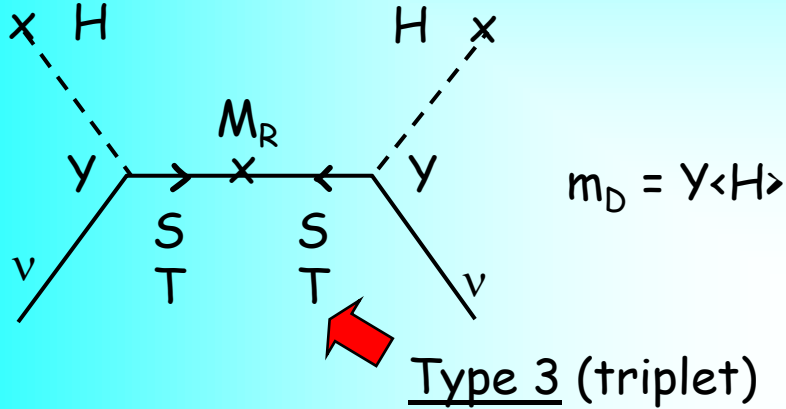
Overlap mechanism  
different localization

Properties of RH neutrino components

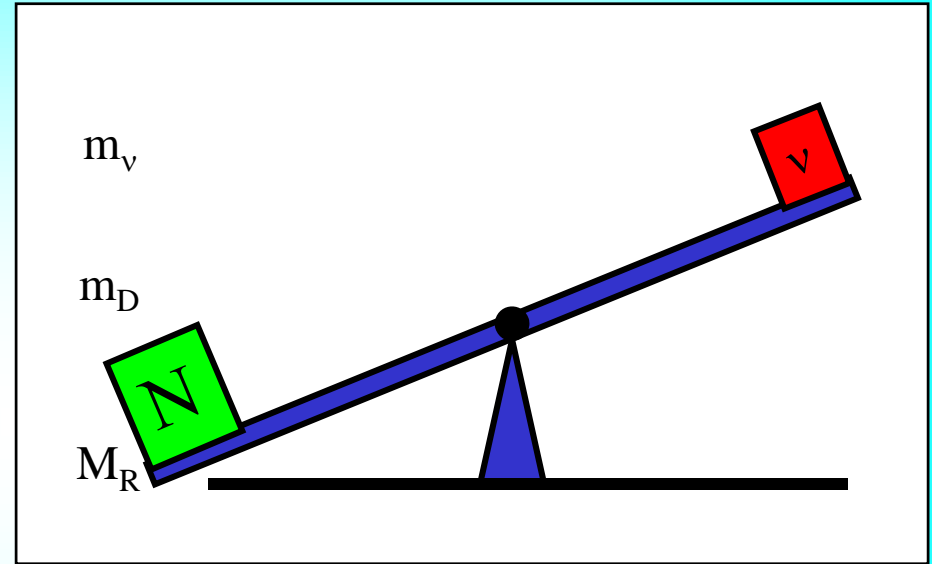
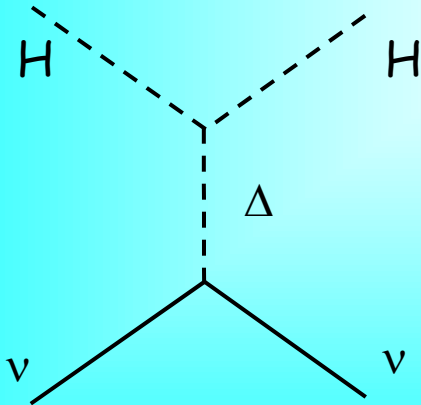
# See-saw

*P. Minkowski  
T. Yanagida  
M. Gell-Mann, P. Ramond, R. Slansky  
S. L. Glashow  
R.N. Mohapatra, G. Senjanovic*

## Type 1



## Type 2



■  $\begin{matrix} v \\ N \end{matrix} \begin{bmatrix} 0 & m_D \\ m_D^T & M_R \end{bmatrix}$

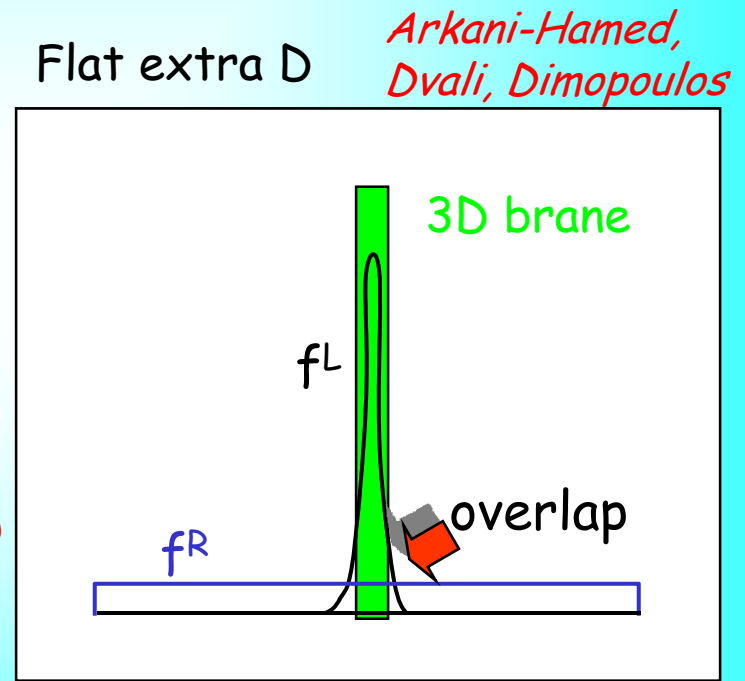
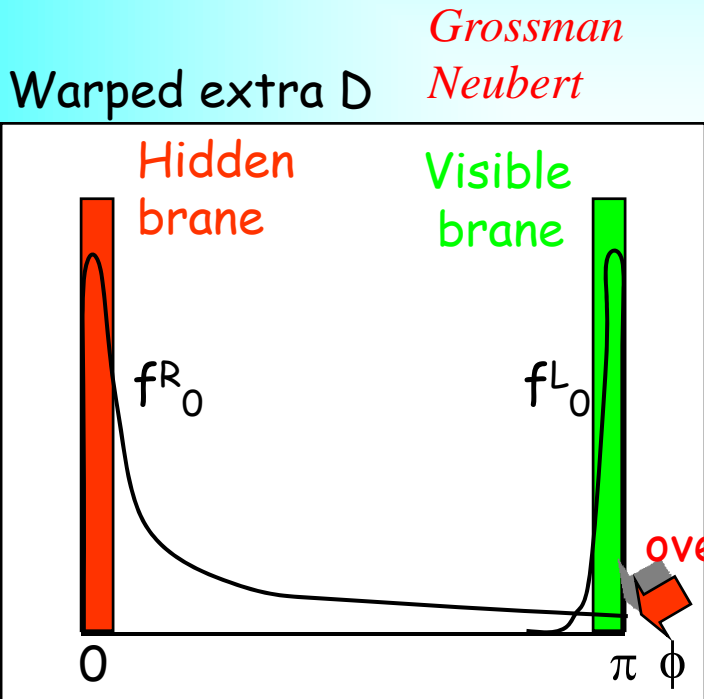
■ If  $M_R \gg m_D$

➔  $m_n = - m_D^T M_R^{-1} m_D$

# Overlap in extra dimensions

Right handed components are localized differently in extra dimensions

small Dirac masses due to overlap suppression:

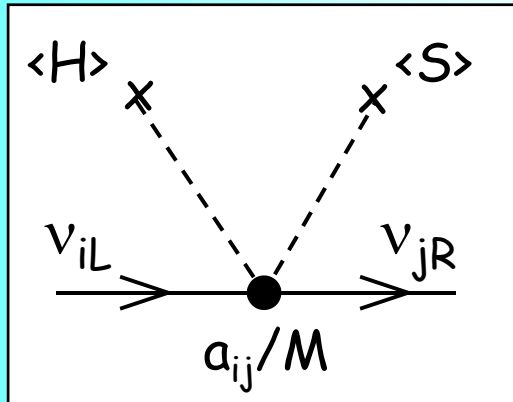


$$m \varepsilon \overline{f^L} f^R + h. c.$$

↑ amount of overlap in extra D

# Small effective couplings

renormalizable coupling is suppressed by symmetry



effective coupling produced by non-renormalizable operators:

$$a_{ij} l_{iL} v_{iL} H \frac{S}{M}$$

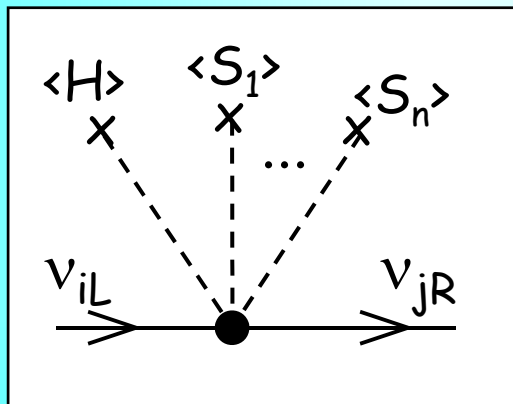
$$h_{ij} = a_{ij} \frac{\langle S \rangle}{M}$$

For  $a_{ij} \sim O(1)$

$$\frac{\langle S \rangle}{M} \sim 10^{-13}$$

SUSY / GUT scales?

$$m_{3/2}/M_{\text{Planck}}$$



in general

# Mixing pattern



**Tri-bimaximal  
mixing**

**Quark-Lepton  
complementarity**

**Quark-lepton  
universality**

Assuming that it is not accidental and there is certain fundamental physics behind

Based on observation:  
lepton mixing =  
maximal mixing -  
quark mixing

The same principle  
as in quark sector

Large mixing is related  
to smallness of neutrino  
mass and weak mass  
hierarchy of neutrinos

With different  
implications

# Tri-bimaximal mixing

*L. Wolfenstein*

*P. F. Harrison*



*D. H. Perkins*

*W. G. Scott*

$$U_{\text{tbm}} = \begin{pmatrix} \sqrt{2/3} & \sqrt{1/3} & 0 \\ -\sqrt{1/6} & \sqrt{1/3} & -\sqrt{1/2} \\ -\sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2} \end{pmatrix}$$

- maximal 2-3 mixing
- zero 1-3 mixing, no CP-violation

$$-\sin^2\theta_{12} = 1/3$$

  $v_2$  is tri-maximally mixed  
  $v_3$  is bi-maximally mixed

$$U_{\text{tbm}} = U_{23}(\pi/4) U_{12}$$

Mixing follows from diagonalization of mass matrices

Mass matrix in flavor basis:

$$m_{\text{TBM}} = \begin{pmatrix} a & b & b \\ \dots & c & d \\ \dots & \dots & c \end{pmatrix} \rightarrow$$

Mass relations

$$\begin{aligned} m_{e\mu} &= m_{e\tau} \\ m_{\mu\mu} &= m_{\tau\tau} \\ m_{ee} + m_{e\mu} &= m_{\mu\mu} + m_{\mu\tau} \end{aligned}$$

**Symmetry**

# TBM-symmetry

Invariance:

$$V_i^T m_{\text{TBM}} V_i = m_{\text{TBM}}$$

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ \dots & -1 & 2 \\ \dots & \dots & -1 \end{pmatrix} \quad U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

The mass matrix  
of the charged leptons  
is diagonal due to symmetry

$$T = \begin{pmatrix} 1 & 0 & 0 \\ \dots & \omega^2 & 0 \\ \dots & \dots & \omega \end{pmatrix} \quad \omega = \exp(-2i\pi/3)$$

$$T^+ (m_e^+ m_e) T = m_e^+ m_e$$

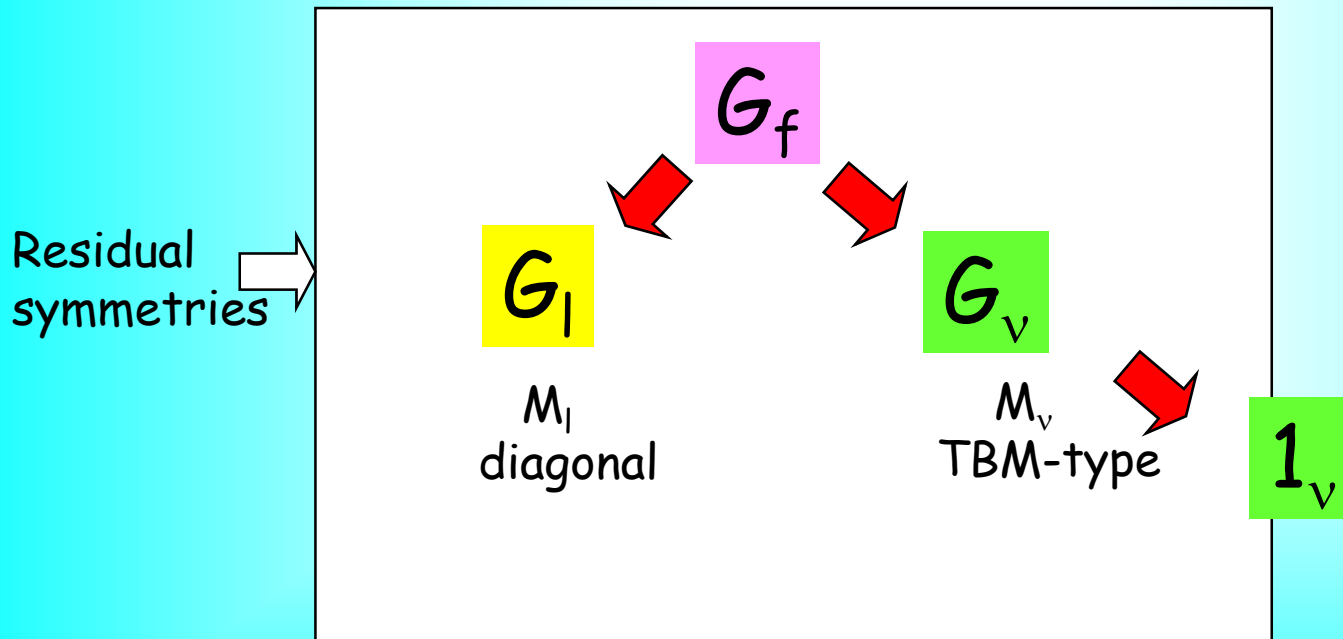
S, T, U -elements of  $S_4$

# Symmetry breaking

No exact  
flavor  
symmetry

Mixing appears as a result of different ways of the flavor symmetry breaking in neutrino and charged lepton sectors

Symmetry is not broken completely; residual symmetries in the neutrino and charged lepton sectors are different



In turn, this split originates from different flavor assignments of the RH components of  $N^c$  and  $l^c$  and different higgs multiplets



# Flavons and Flavored higgses

## Flavons

Singlet of gauge symmetry group

Separation of the EW symmetry and flavor symmetry breakings

$$\frac{1}{\Lambda^{n-1}} L e^c H f^n$$

$\Lambda$  - above GUT scale?

→ difficult to test

## Flavored higgses

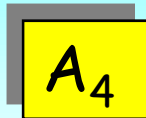
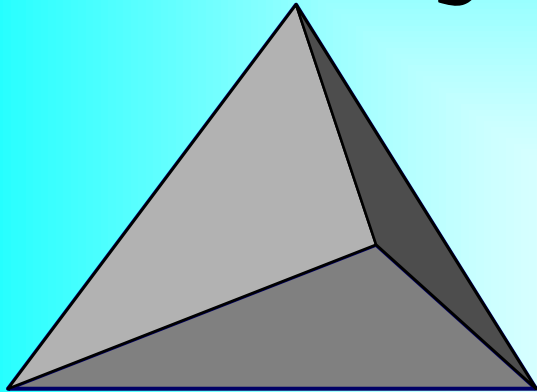
Many Higgs doublets  
- tests at LHC

Strongly restricted:  
- FCNC  
- anomalous magnetic moment of muon

# A<sub>4</sub> symmetry

*E. Ma*

*G Branco, H P Nilles*



Symmetry group of even permutations  
of 4 elements

Symmetry of tetrahedron

Generators:  $S, T$

Presentation  
of the group:

$$S^2 = 1 \quad T^3 = 1 \quad (ST)^3 = 1$$

no  $U = A_{\mu\tau}$

Irreducible representations:  $\underline{3}, \underline{1}, \underline{1}', \underline{1}''$

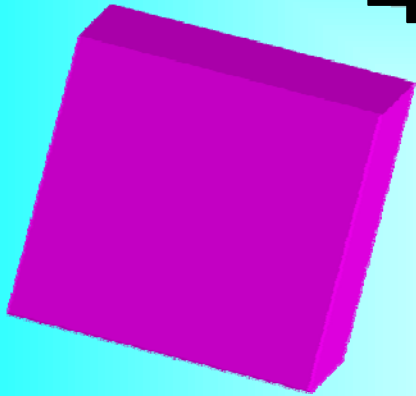
Products and  
invariants

$$\underline{3} \times \underline{3} = \underline{3} + \underline{3} + \underline{1} + \underline{1}' + \underline{1}''$$

$$\underline{1}' \times \underline{1}'' \sim \underline{1}$$

# $S_4$ -symmetry

*S Pakvasa*



Order 24, permutation of 4 elements

Generators:  $S, T, U$

or

Presentation:  $T^3 = 1, S^2 = 1, (ST)^3 = 1, U^2 = 1, A^3 = B^4 = (BA^2)^2 = 1$

Irreducible representations:

$1, 1', 2, 3, 3'$

$$3 \times 3 = 3' \times 3' = 1 + 2 + 3 + 3'$$

$$3 \times 3' = 1' + 2 + 3 + 3'$$

$$1' \times 1' = 1$$

New flavor structure

Products and invariants

$$2 \times 3 = 2 \times 3' = 3 + 3'$$

$$2 \times 2 = 1 + 1' + 2$$

$$1' \times 2 = 2$$

# Is TBM accidental?

Numerology without underlying framework

Interplay of various independent contributions

1. Experiment: deviations from TBM mixing

Symmetry mass relations can be broken maximally  
RGE-effects

2. No simple and convincing model for TBM

- Complicated structure, large number of assumptions and new parameters
- Follows from certain correlation of unrelated sectors

3. Often: no connection between masses and mixing  
additional symmetries are introduced

4. Inclusion of quarks: further complication.  
GUT - additional requirements

# Quark-Lepton Complementarity

Based on relations:

*A.S.  
M. Raidal  
H. Minakata*

$$\theta_{12}^l + \theta_{12}^q \sim \pi/4$$

$$\theta_{23}^l + \theta_{23}^q \sim \pi/4$$

qualitatively:

- 2-3 leptonic mixing is close to maximal because 2-3 quark mixing is small
- 1-2 leptonic mixing deviates from maximal substantially because 1-2 quark mixing is relatively large

# Possible implications

“Lepton mixing = bi-maximal mixing - quark mixing”

Quark-lepton symmetry

Unification or  
family symmetry

Existence of structure  
which produces  
bi-maximal mixing

See-saw?  
Properties of  
the RH neutrinos

# Bi-maximal mixing

$$U_{\text{bm}} = U_{23}^m U_{12}^m$$

Two maximal rotations

*F. Vissani*

*V. Barger et al*

$$U_{\text{bm}} = \begin{pmatrix} \sqrt{\frac{1}{2}} & \sqrt{\frac{1}{2}} & 0 \\ -\frac{1}{2} & \frac{1}{2} & \sqrt{\frac{1}{2}} \\ \frac{1}{2} & -\frac{1}{2} & \sqrt{\frac{1}{2}} \end{pmatrix}$$

- maximal 2-3 mixing
- zero 1-3 mixing
- maximal 1-2 mixing
- no CP-violation

In seesaw: structure of Majorana mass matrix of RH neutrinos

In the lowest approximation:

$$V_{\text{quarks}} = \mathbf{I}, \quad V_{\text{leptons}} = V_{\text{bm}} \\ m_1 = m_2 = 0$$

## Deviation

Corrections generate

- mass splitting
- CKM and
- deviation from bi-maximal

# Complementarity or Cabibbo "haze"

Deviations from BM due to high order corrections

*P. Ramond*

Complementarity:  
implies quark-lepton  
symmetry or GUT,  
or horizontal symmetry

Weak complementarity or  
Cabibbo haze

*Altarelli et al*

Corrections from high order  
flavon interactions which generate  
simultaneously Cabibbo mixing and  
deviation from BM,  
GUT is not necessary

or

$$\sin\theta_C = \sqrt{\frac{m_\mu}{m_\tau}}$$



$\sin\theta_C = 0.22$   
as "quantum" of  
flavor physics



# Neutrino and unification

Correspondence:

$$\begin{array}{l} u_r, u_b, u_j \quad \leftrightarrow \quad \nu \\ d_r, d_b, d_j \quad \leftrightarrow \quad e \end{array}$$

Symmetry:

Leptons as 4<sup>th</sup> color Pati-Salam

Unification:

Form multiplet of the extended gauge group, in particular, 16-plet of  $SO(10)$

Can it be accidental?

# GUT

Unification of

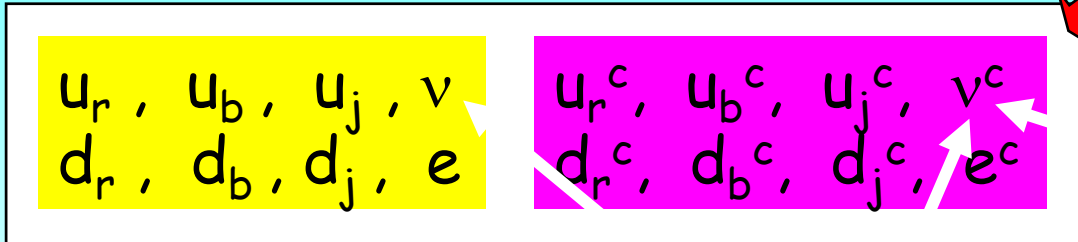
- quarks & leptons
- couplings

# SO(10) GUT + ...

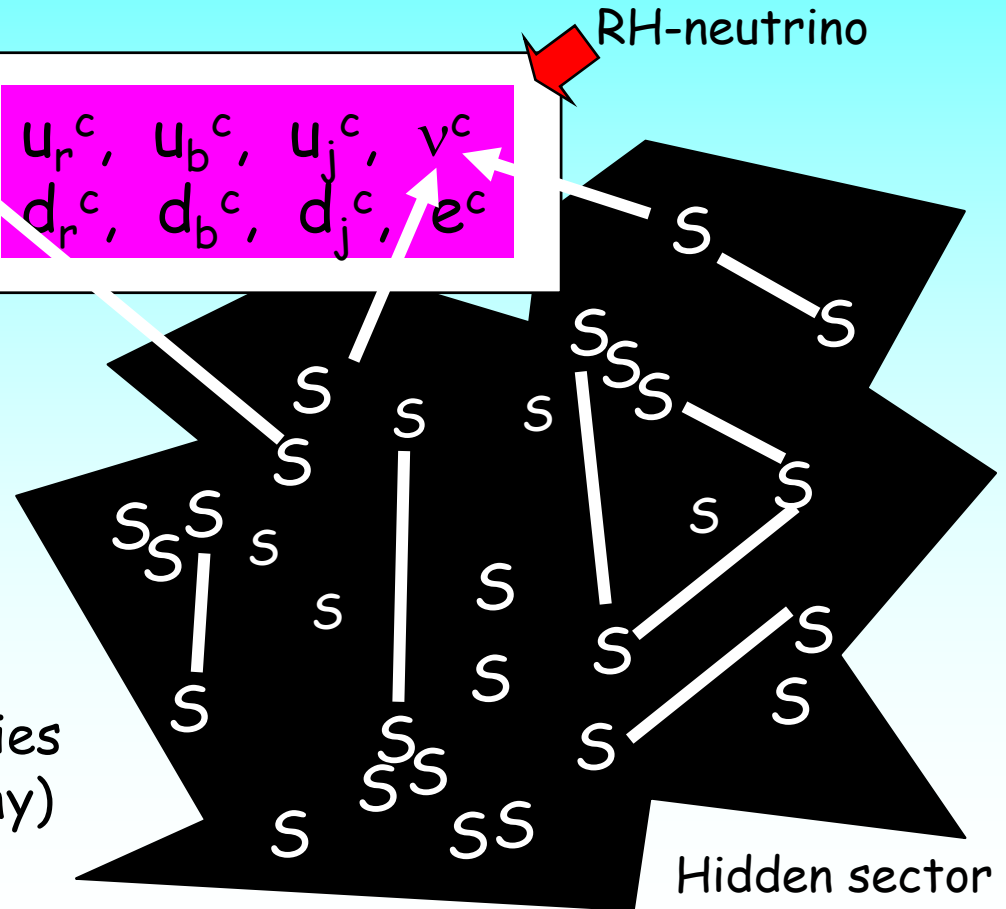
Hagedorn  
Schmidt  
AS

Something is missed?

16



- Decrease effective scale
- Enhance mixing
- Produce zero order mixing
- Screen Dirac mass hierarchies
- Produce randomness (anarchy)
- Seesaw symmetries



# III. Sterile neutrinos

# Sterile neutrino

$\nu_s$



Бруно Понтекорво

*Sov. Phys. JETP 26 984 (1968)*

in the context of idea of  
neutrino-antineutrino oscillations

Light

No weak interactions:  
- singlets of the SM  
symmetry group

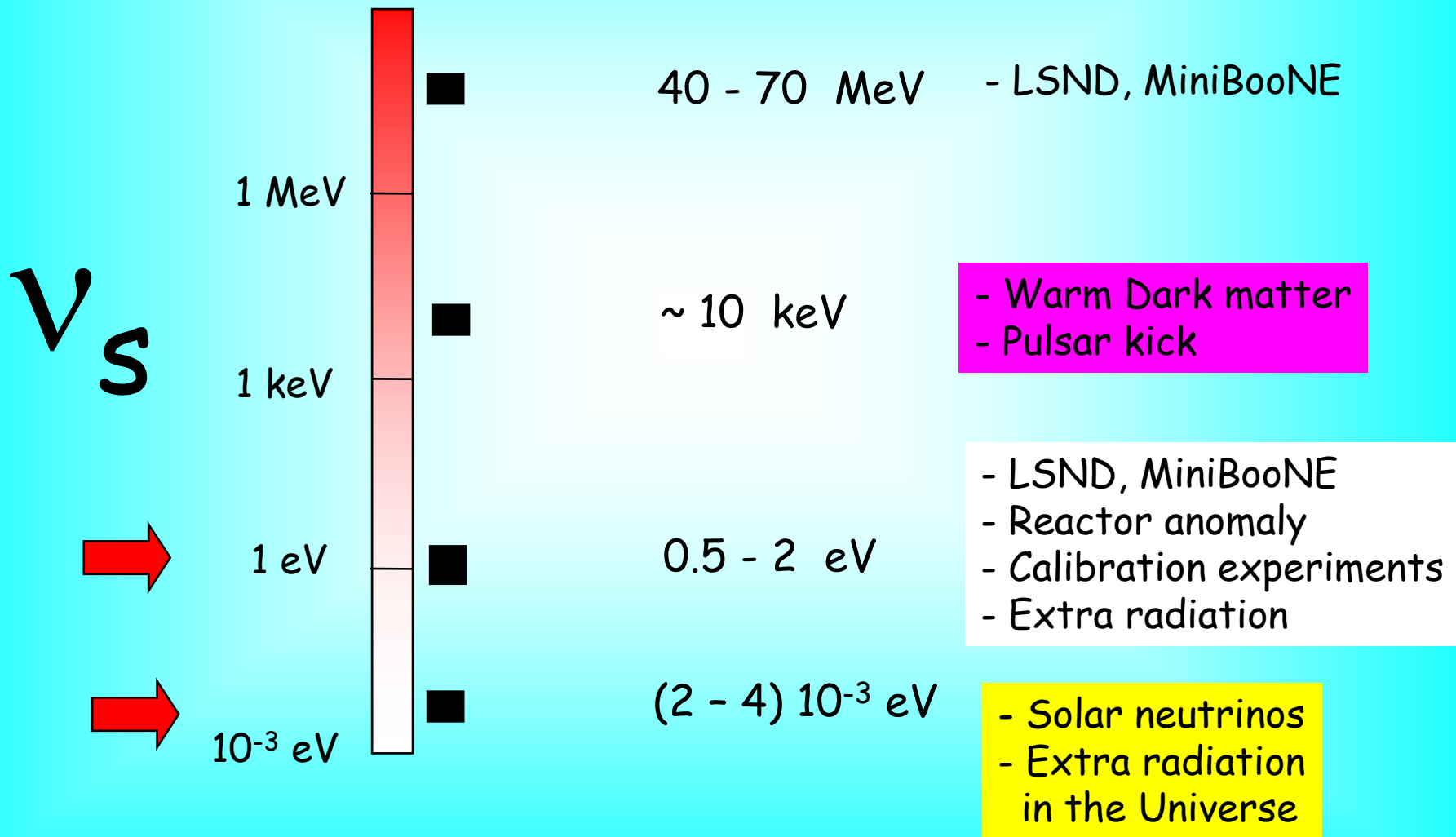
RH - components  
of neutrinos

Couple with usual neutrinos  
via (Dirac) mass terms

Mix with active neutrinos

may have Majorana  
mass terms  
maximal mixing?

# Mass scales

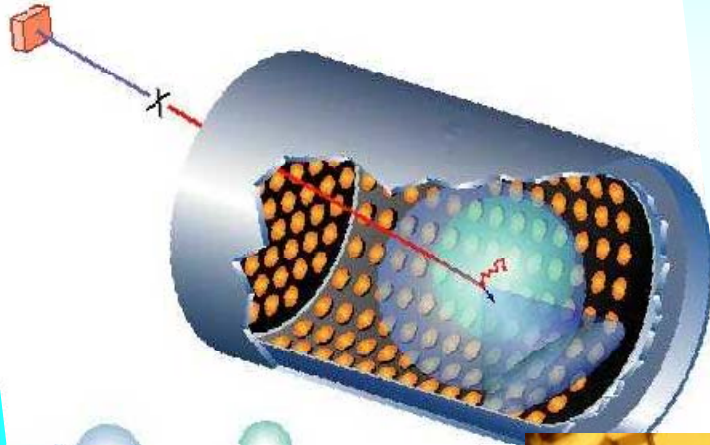


# New evidences?

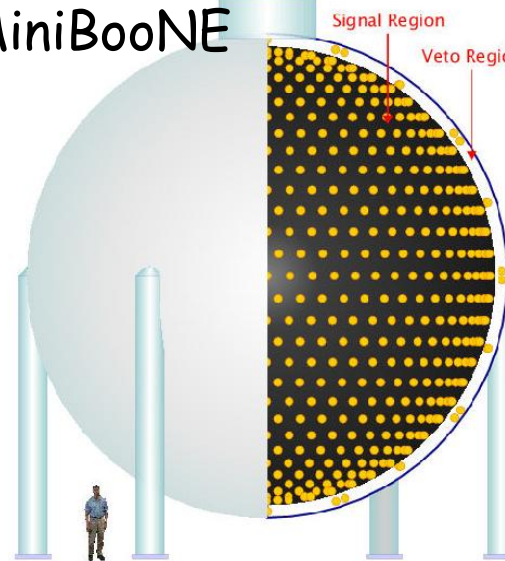
SAGE



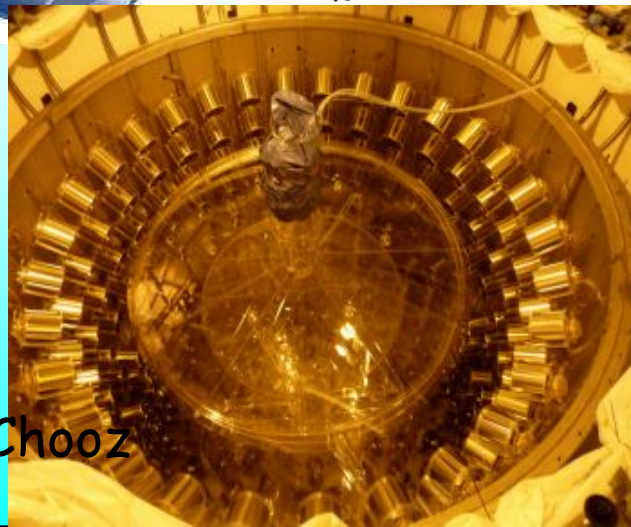
LSND



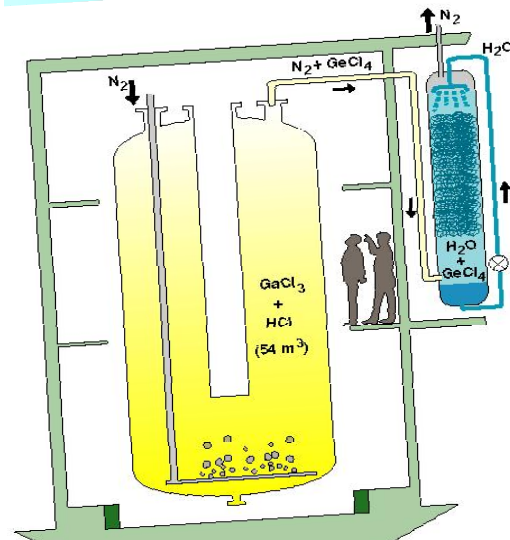
MiniBooNE



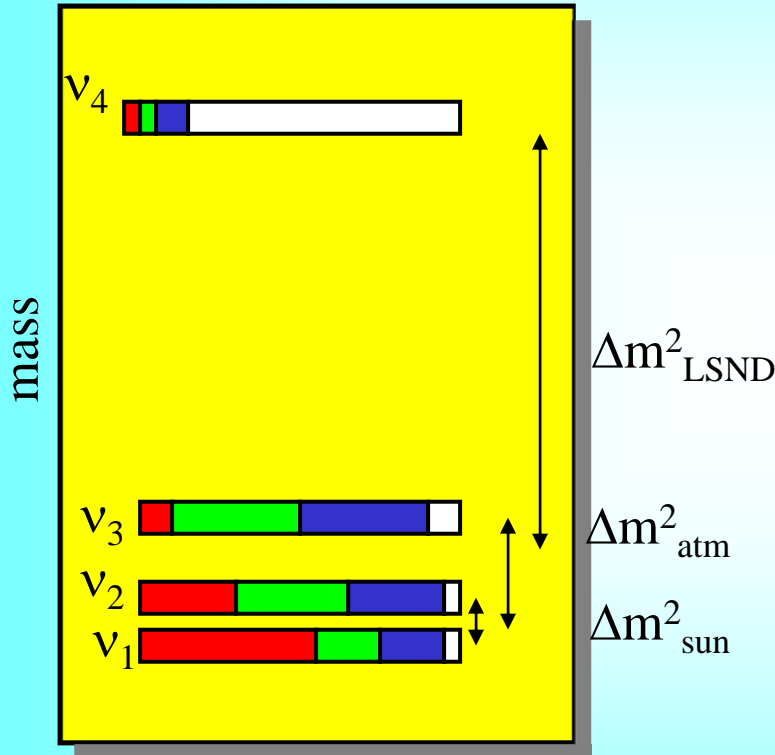
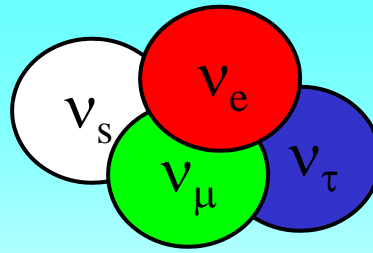
Double-Chooz



Galex, GNO



# (3 + 1) scheme



LSND/MiniBooNE: vacuum oscillations

$$P \sim 4 |U_{e4}|^2 |U_{\mu 4}|^2$$



restricted by short baseline exp.  
BUGEY, CHOOZ, CDHS, NOMAD

For reactor and source experiments

$$P \sim 4 |U_{e4}|^2 (1 - |U_{e4}|^2)$$

With new reactor data:

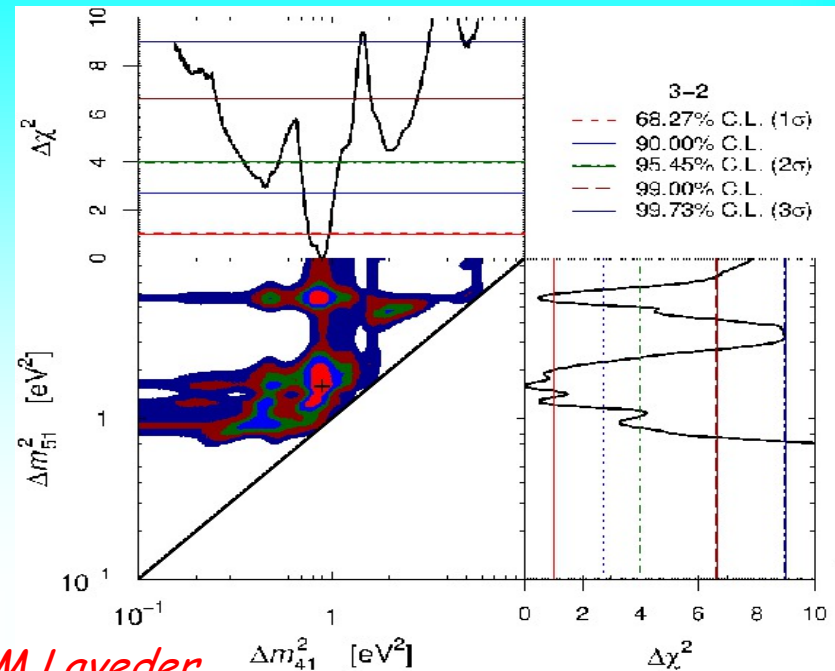
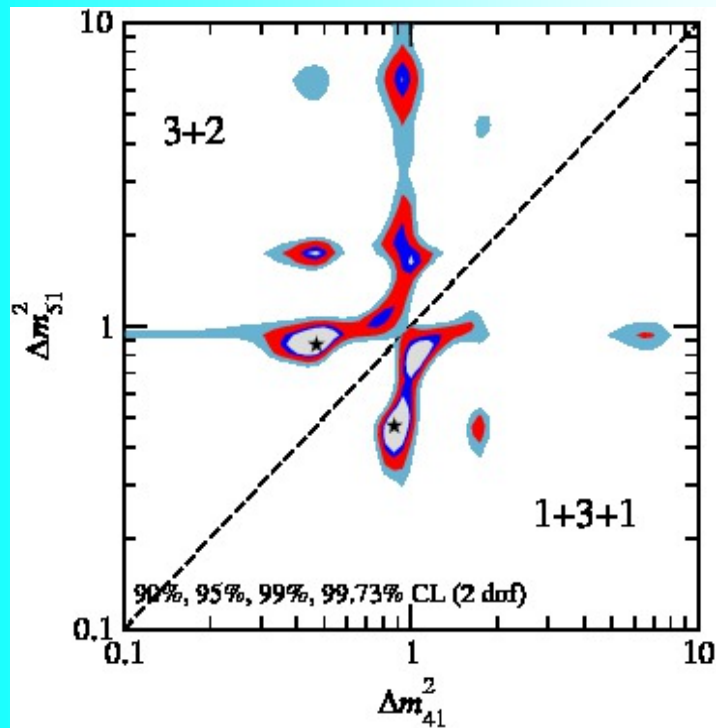
$$\Delta m_{41}^2 = 1.78 \text{ eV}^2 \quad (0.89 \text{ eV}^2)$$

$$U_{e4} = 0.15 \quad U_{\mu 4} = 0.23$$

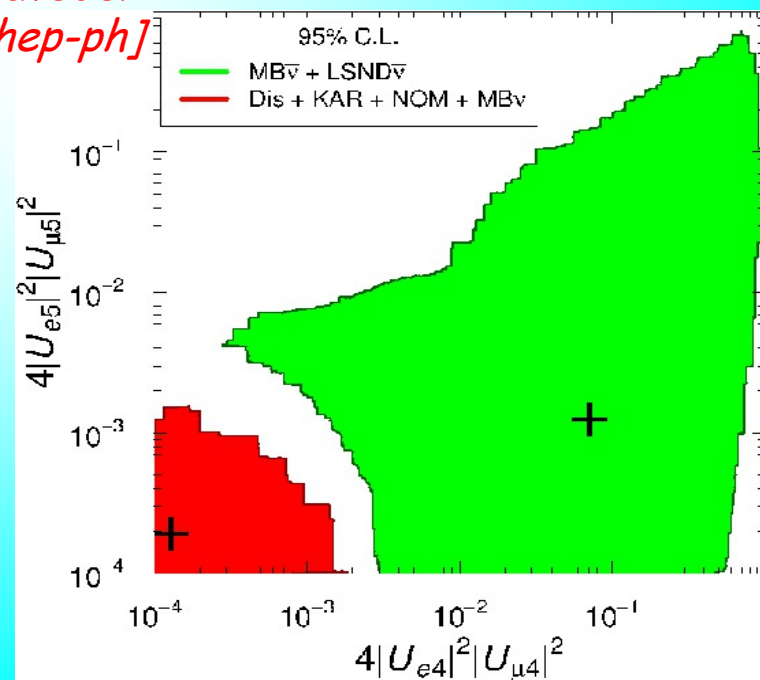
- additional radiation in the universe
- bound from LSS?

# 3+2 fit and consistency

*J. Kopp, M Maltoni, T. Schwetz*



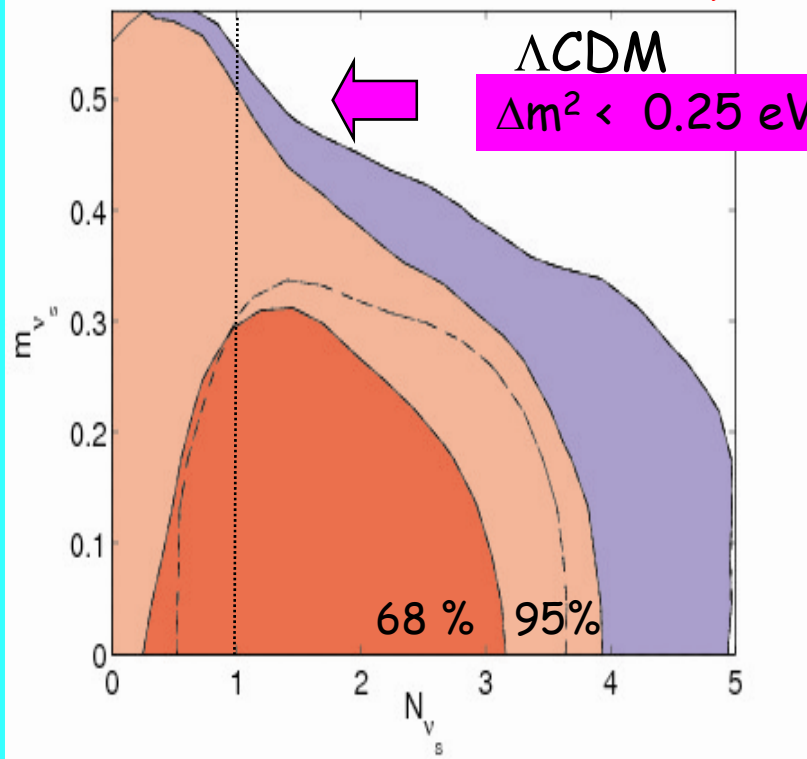
*C Giunti, M Laveder*  
 1107.1452 [hep-ph]





# Cosmological bounds

*E Giusarma et al 1102.4774 [astro-ph]*



- WMAP
- run 1 (blue) - SDSS (red galaxy clustering)
- Hubble (prior on  $H_0$ )
- run 2 (red) - Supernova Ia Union
- Compilation 2 (in add)

+ BBN

*J R Kristiansen, O Elgaroy 1104.0704 [astro-ph]*

Inverse approach:

$w\text{CDM} + 2\nu_s$

1).  $w < -1$

ruling out  $\Lambda$

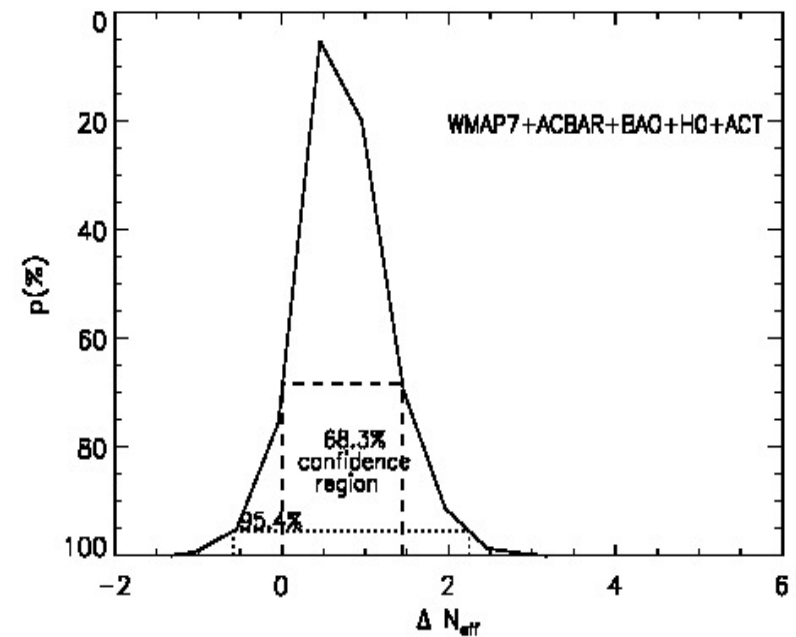
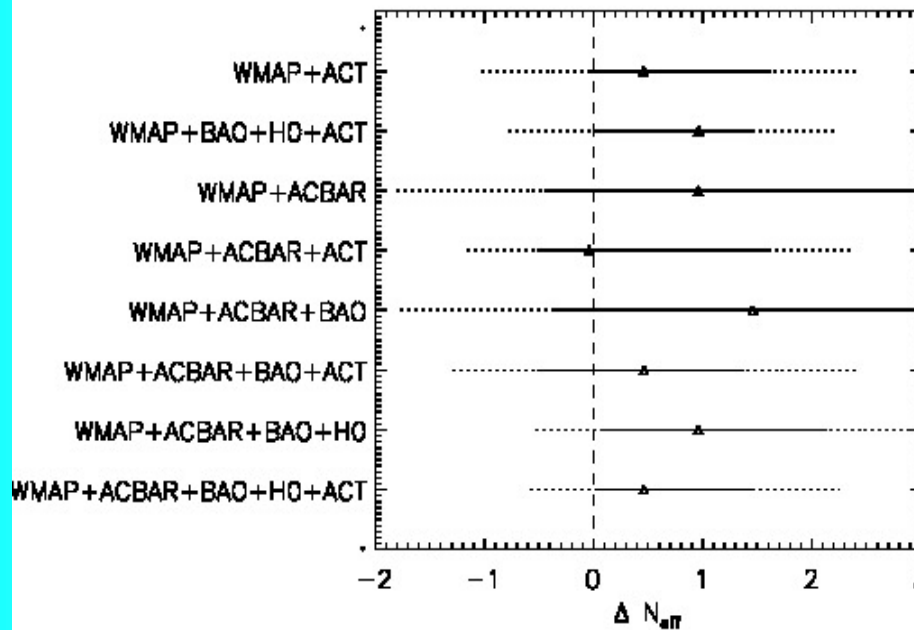
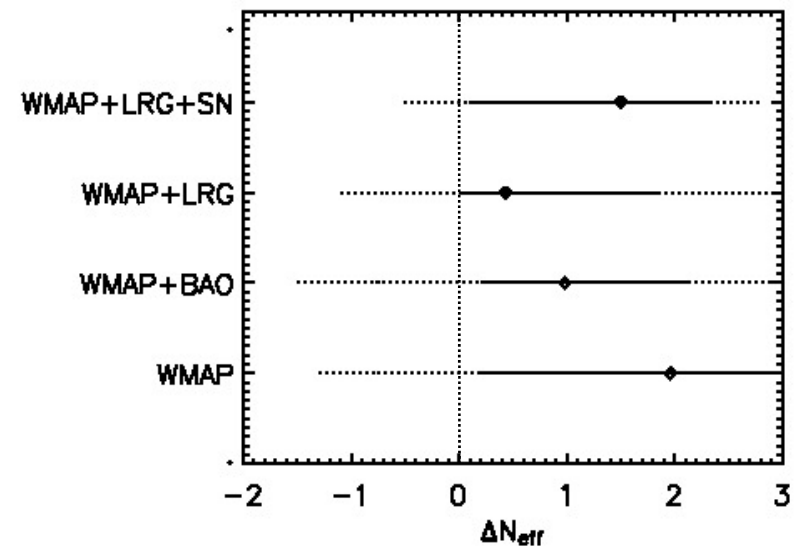
2). Age of the Universe  
12.58 +/- 0.26 Gyr

too young?

The oldest globular clusters  
13.4 +/- 0.8 +/- 0.6 Gyr

# N\_eff

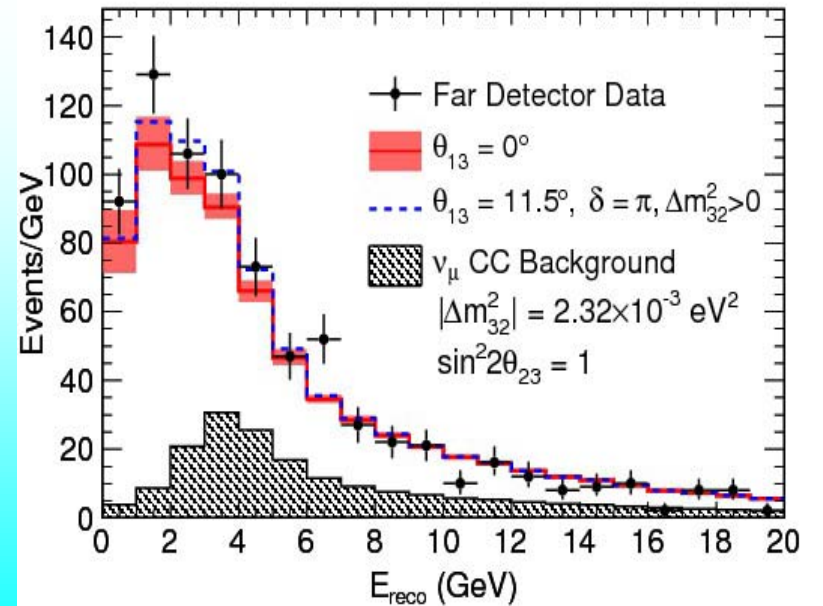
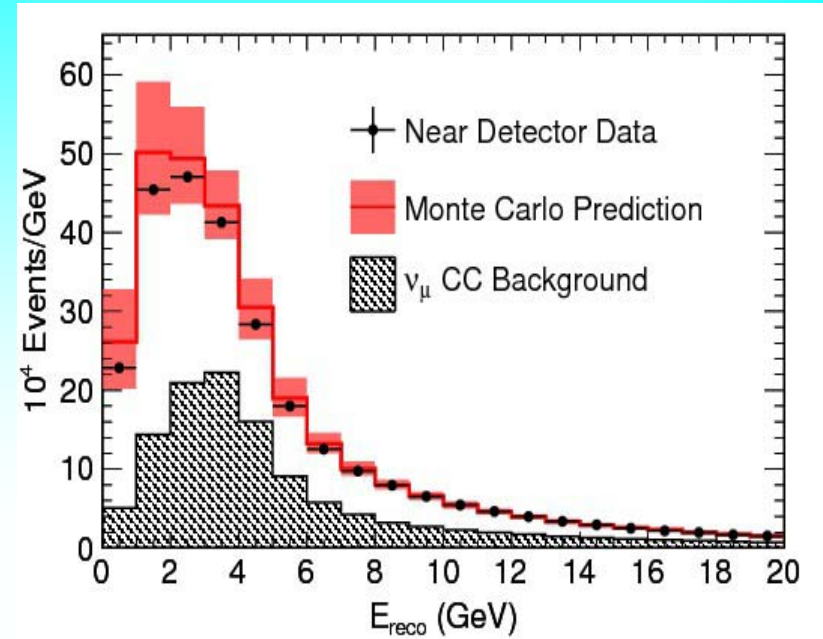
*Alma X Conzalez-Morales, et al  
1106.5052 [astro-ph,CO]*



# MINOS:

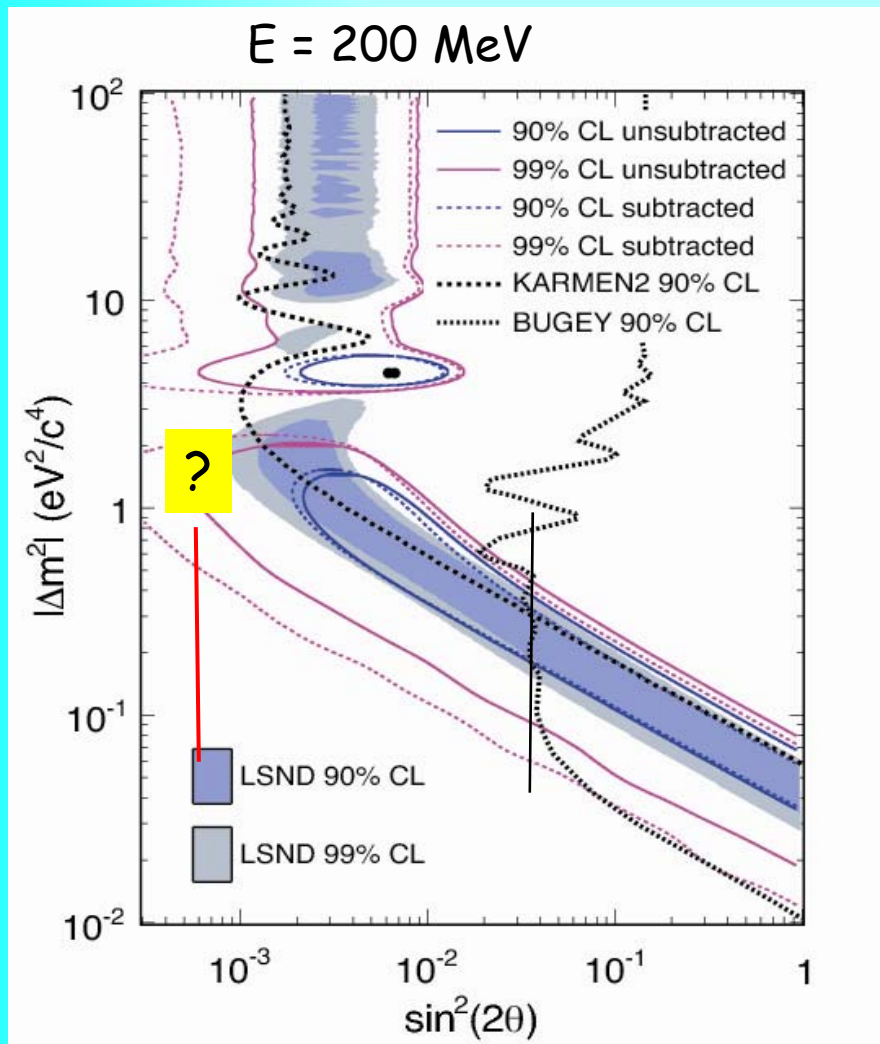
## Searches for sterile

Accelerator neutrinos



# MINOS bound

$\nu_\mu - \nu_s$  mixing



In assumption of  
no-oscillations in the ND

$$|U_{\mu 4}|^2 < 0.015 \quad (90\% \text{ CL})$$

$$\theta_{13} = 0$$

$$|U_{\mu 4}|^2 < 0.019 \quad (90\% \text{ CL})$$

$$\theta_{13} = 11.5^\circ$$

LSND/MiniBooNE:

$$|U_{\mu 4}|^2 > 0.025$$

$$\Delta m_{41}^2 < 0.5 \text{ eV}^2$$

# Mixing

Mass matrix	$\nu_e$	$m_{ee}$	$m_{e\mu}$	$m_{e\tau}$	$m_{eS}$
	$\nu_\mu$	...	$m_{\mu\mu}$	$m_{\mu\tau}$	$m_{\mu S}$
	$\nu_\tau$	...	...	$m_{\tau\tau}$	$m_{\tau S}$
	$\nu_S$	...	...	...	$m_{SS}$

For  $m_{SS} \sim 1 \text{ eV}$   $\tan\theta_{jS} = m_{jS}/m_{SS} \sim 0.2$  - is not small

produces large corrections to the active neutrino mass matrix

$$\delta m_{ij} \sim -\tan\theta_{iS}\tan\theta_{jS} m_{SS} \sim 0.04 m_{SS} \quad m_{SS} \gg m_{ab}, m_{aS}$$

In general can not be considered as small perturbation!

Effect can be small if

Active neutrino spectrum  
is quasi degenerate

$$m_{SS} \sim m_{ab}$$

$m_{eS}$   $m_{\mu S}$   $m_{\tau S}$  have  
certain symmetry

*J. Barry,  
W. Rodejohann,  
He Zhang  
arXiv: 1105.3911*

# Applications

$$m_\nu = m_a + \delta m$$

Original active mass matrix e.g. from see-saw

Induced mass matrix due to mixing with  $\nu$  sterile

$\delta m$  can change structure (symmetries) of the original mass matrix completely (not a perturbation)

produce dominant  $\mu\tau$  - block with small determinant

Enhance lepton mixing

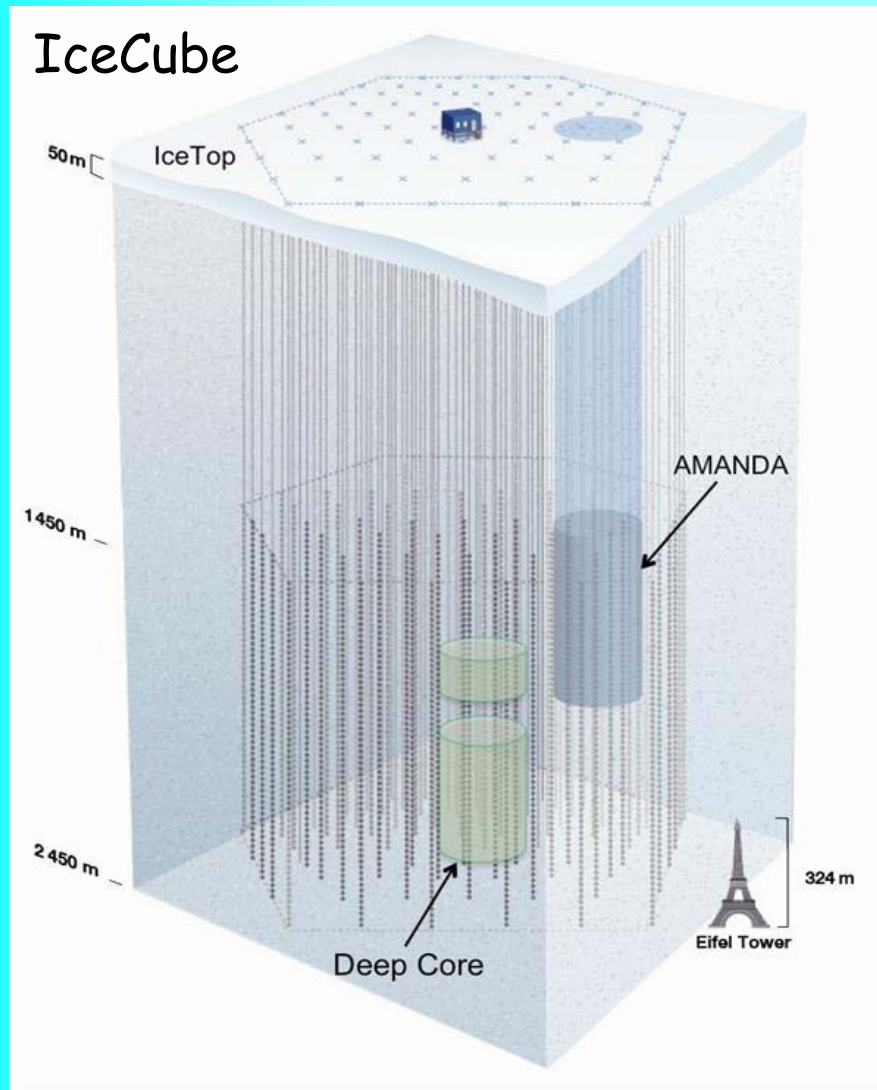
Generate TBM mixing

Be origin of difference of

$U_{PMNS}$  and

$V_{CKM}$

# Looking for sterile in ice



*H Nunokawa O L G Peres  
R Zukanovich-Funchal  
Phys. Lett B562 (2003) 279*

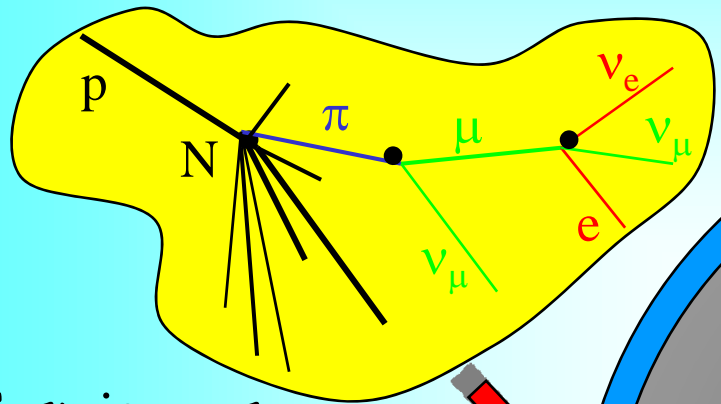
*S Choubey HEP 0712 (2007) 014*

$\nu_{\mu} - \nu_{s}$  oscillations with  $\Delta m^2 \sim 1 \text{ eV}^2$   
are enhanced in matter of the  
Earth in energy range 0.5 - few TeV

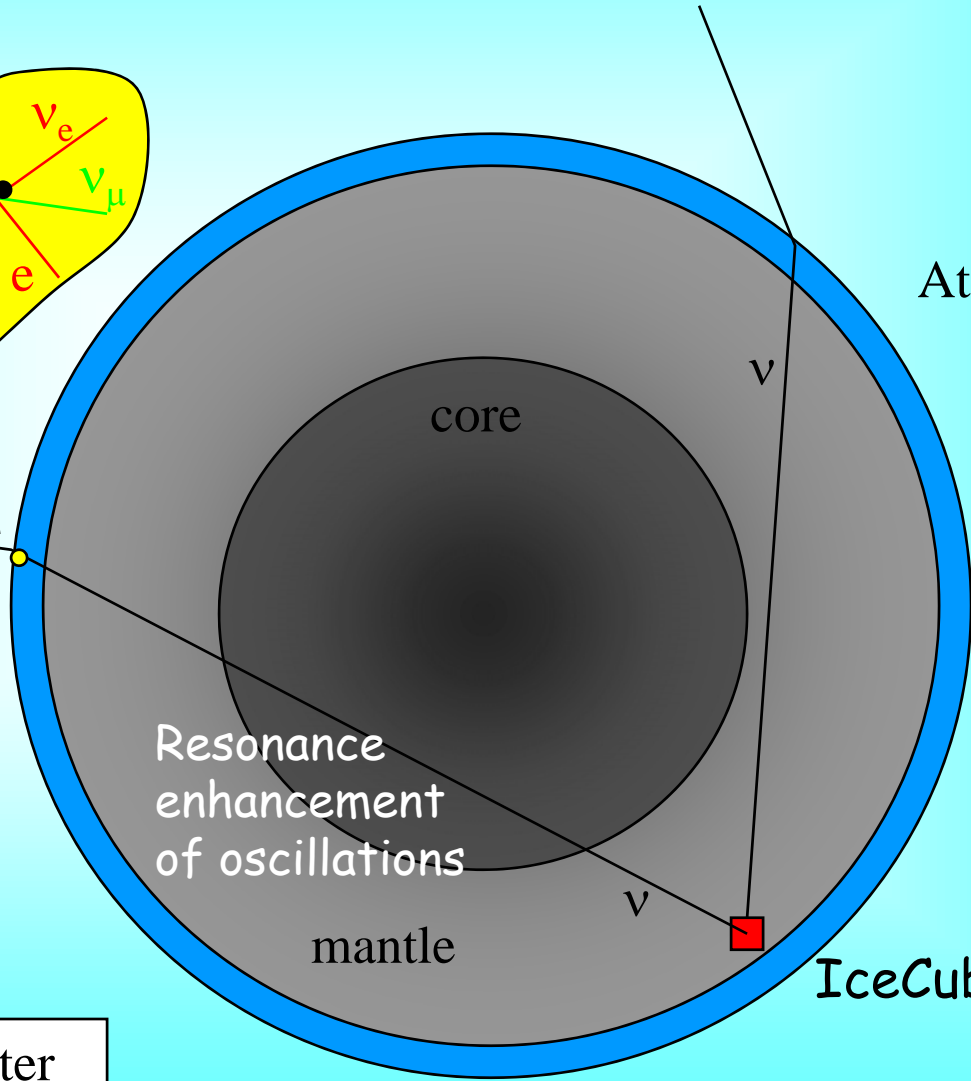
This distorts the energy spectrum  
and zenith angle distribution of the  
atmospheric muon neutrinos

*S Razzaque and AYS,  
1104.1390, [hep-ph]*

# Atmospheric neutrinos



Cosmic rays



Atmosphere

core

Resonance enhancement of oscillations

mantle

IceCube

At high energies:

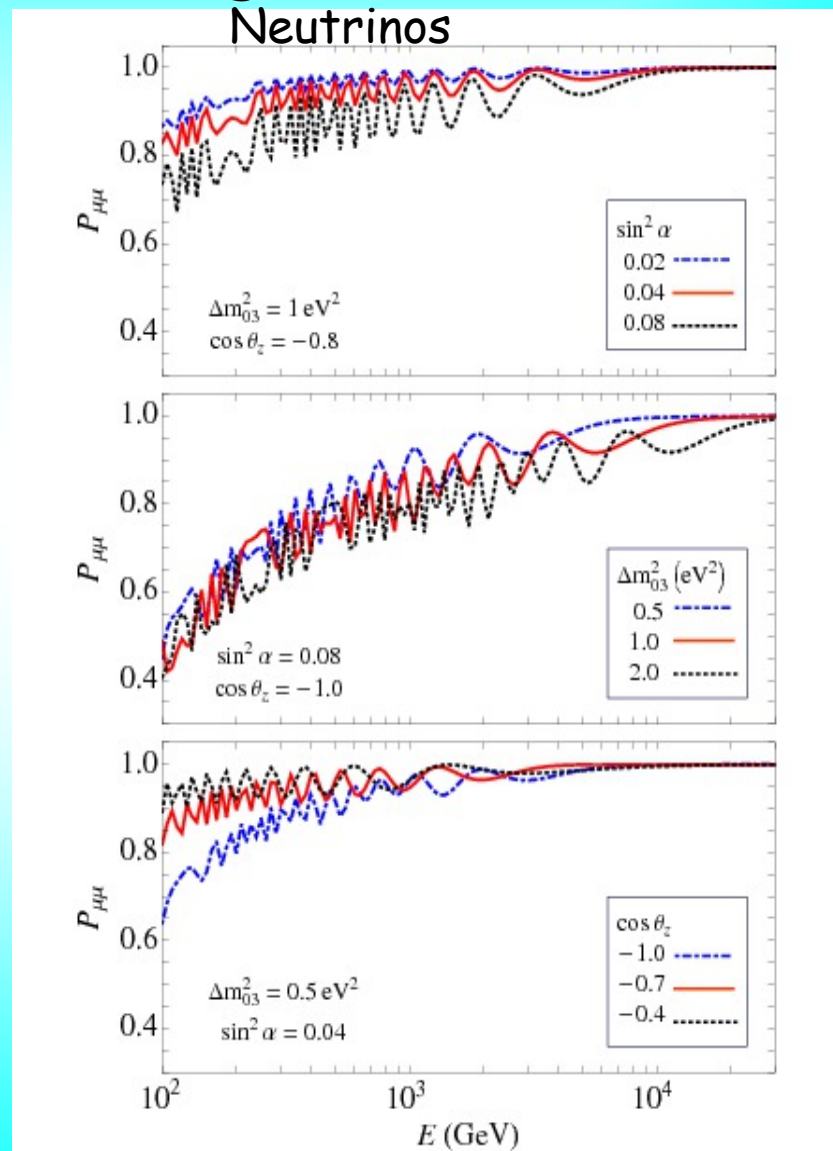
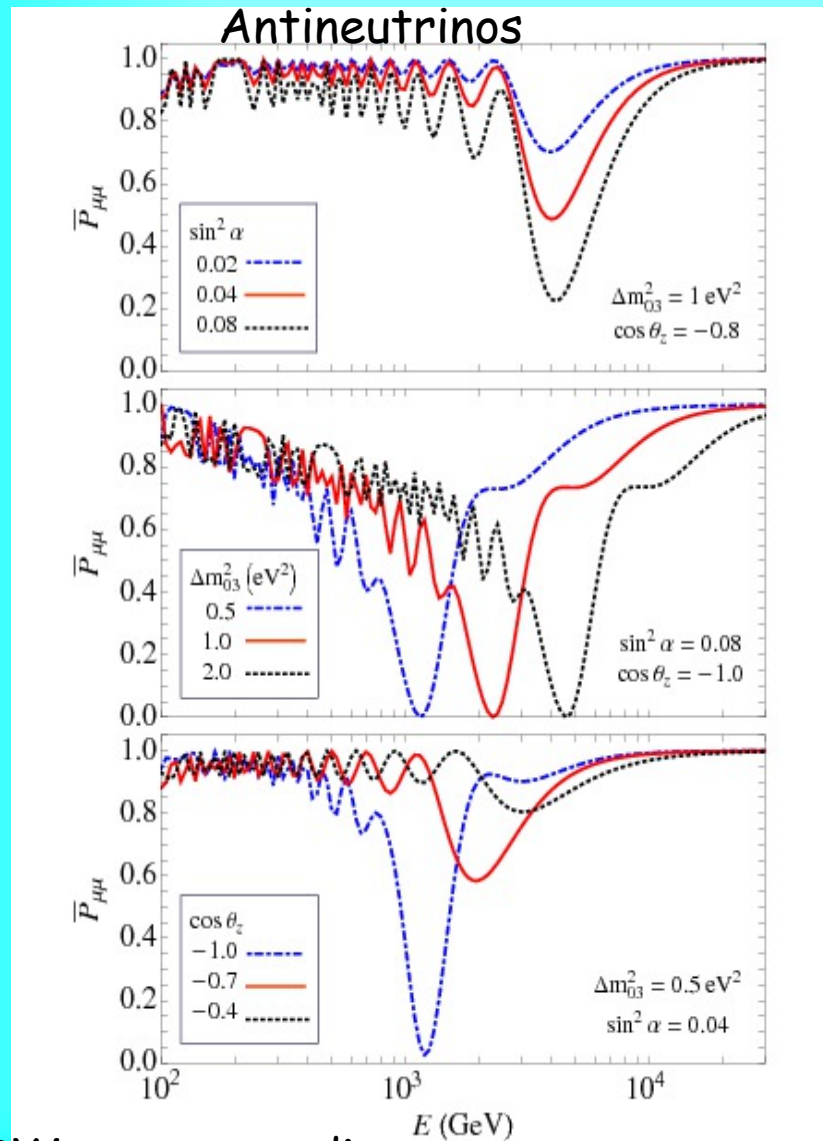
$$r = F_{\mu} / F_e > 4$$

$$\nu_{\mu} - \nu_{\tau} - \nu_s$$

$$\nu_{\mu} - \nu_s \text{ oscillations in matter}$$



# Survival probability

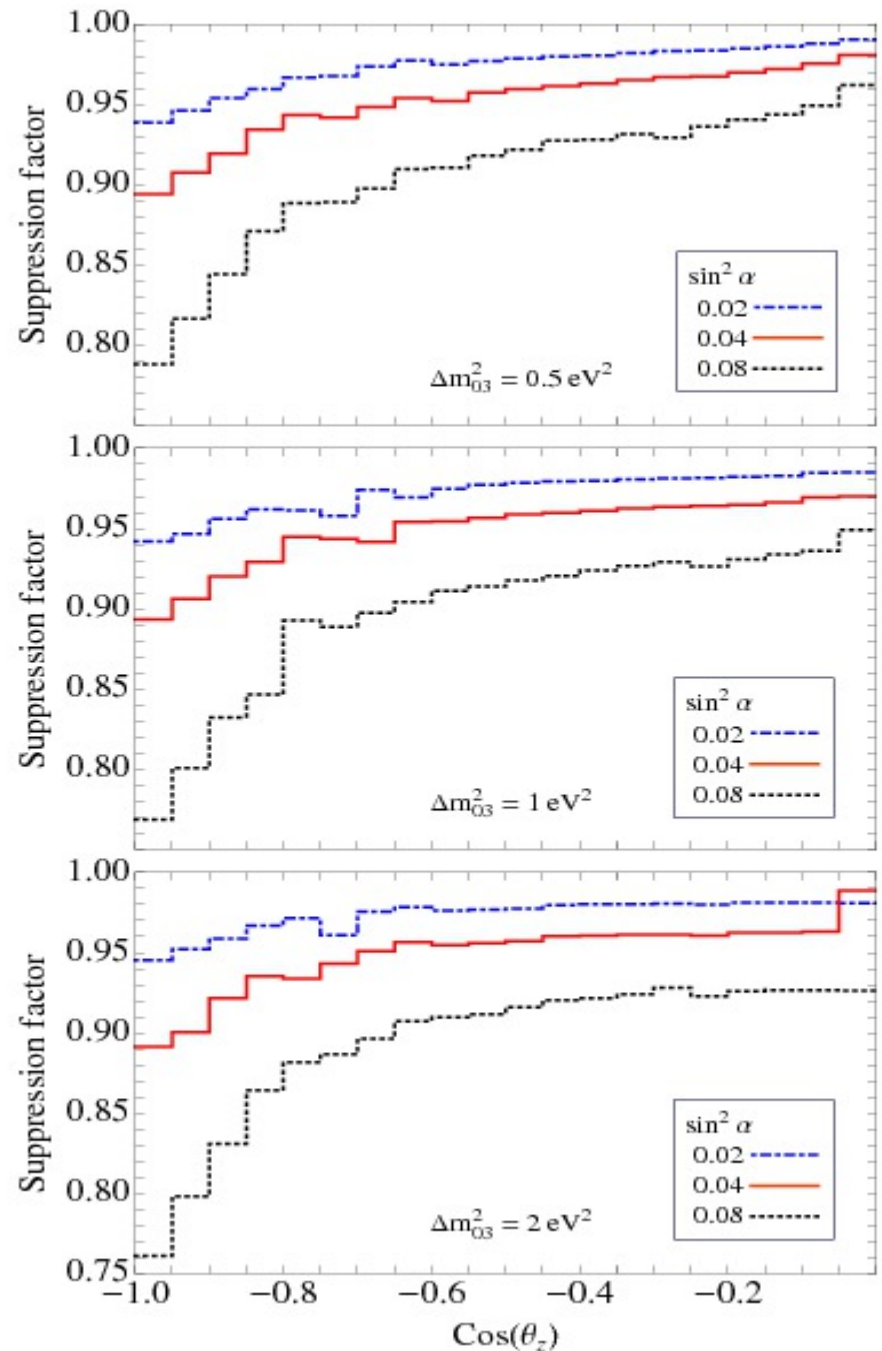


MSW resonance dip

# Suppression factor

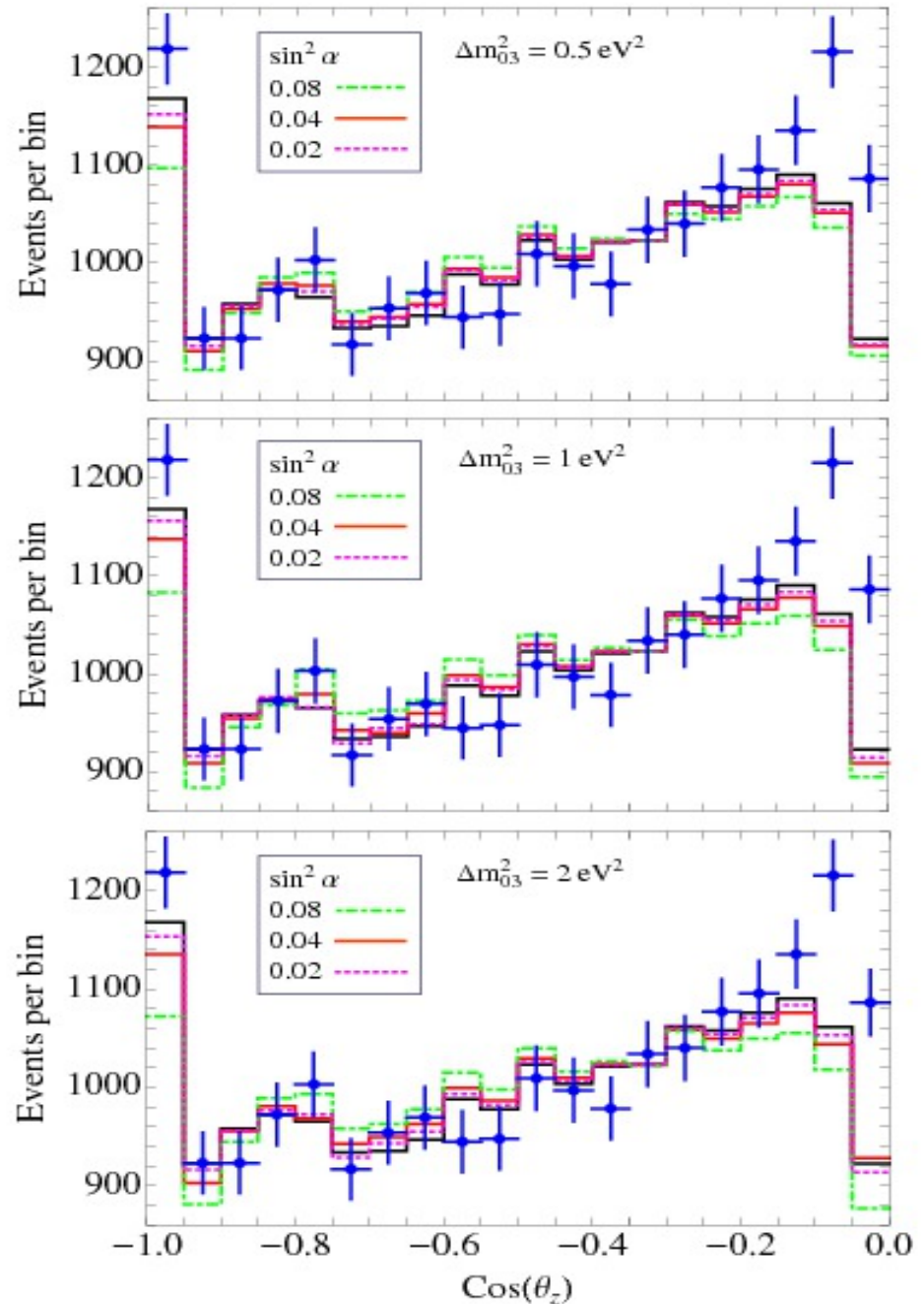
$$S = N(\text{osc.})/N(\text{no osc.})$$

$$E_{\text{th}} = 0.1 \text{ TeV}$$



# Zenith angle distribution

$\nu_s$  - mass mixing case  
Free normalization  
and tilt factor

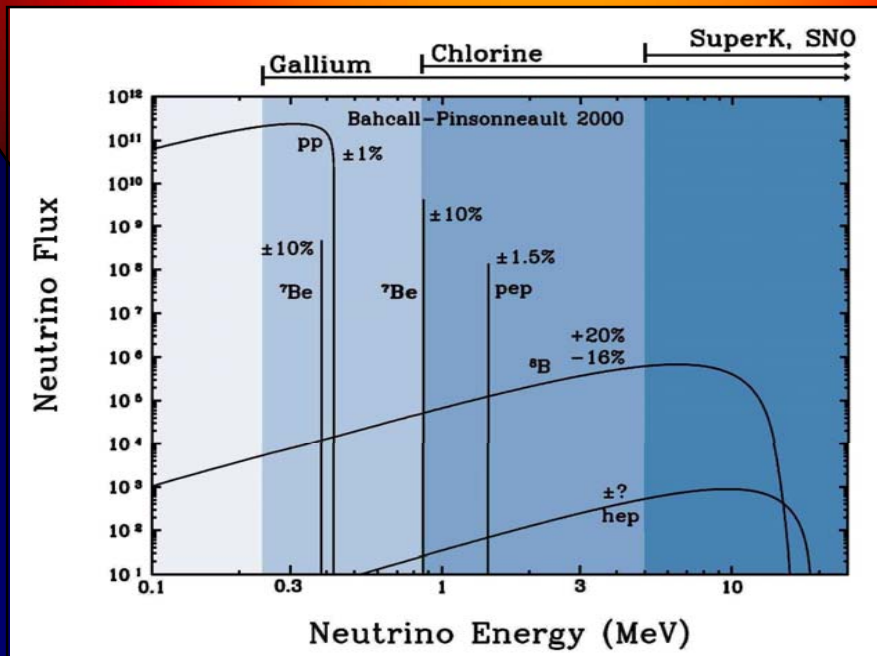


# Shining in sterile



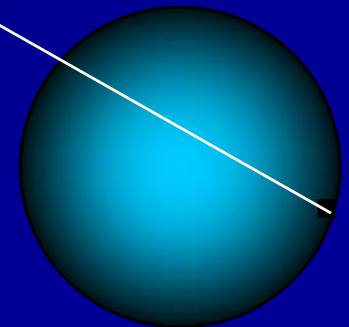
Adiabatic  
conversion

*P. De Holanda A.S.  
1012.5627 [hep-ph]  
PR D83 113011 (2011)*



$\nu$

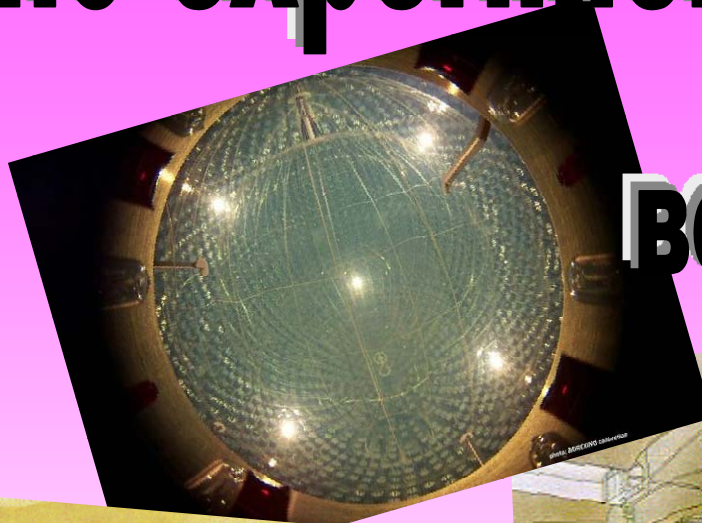
Oscillations  
in matter  
of the Earth



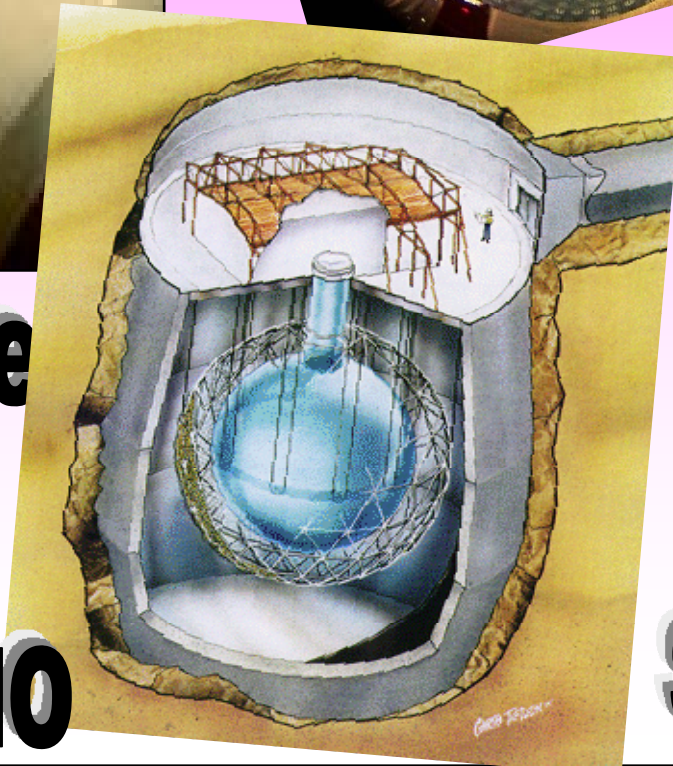
# Solar neutrino experiments



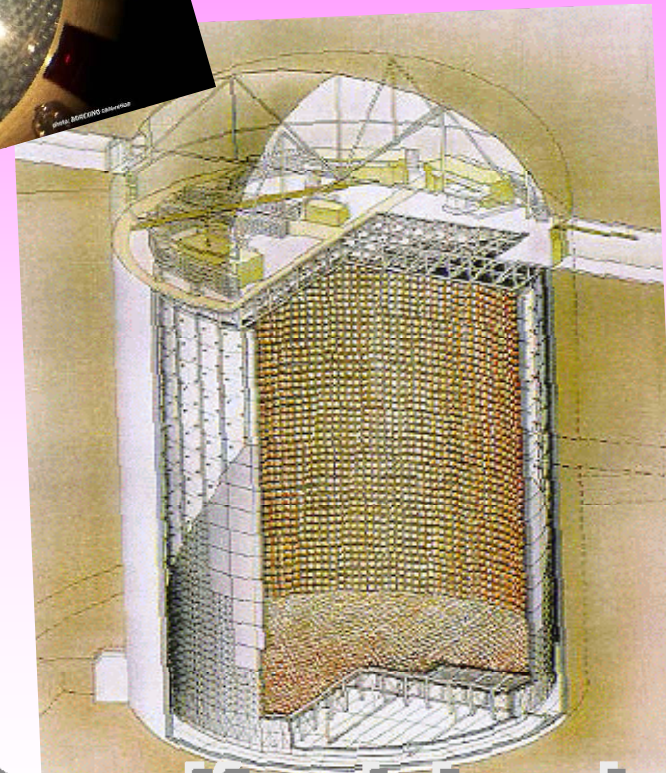
**Homestake**



**BOREXINO**

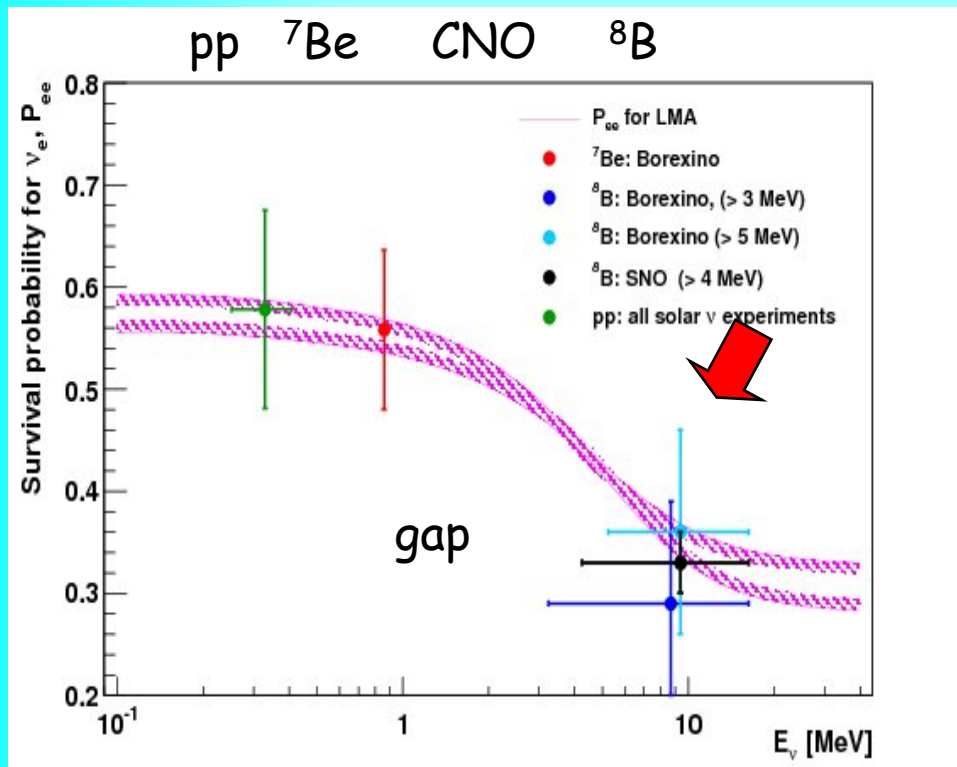


**SNO**

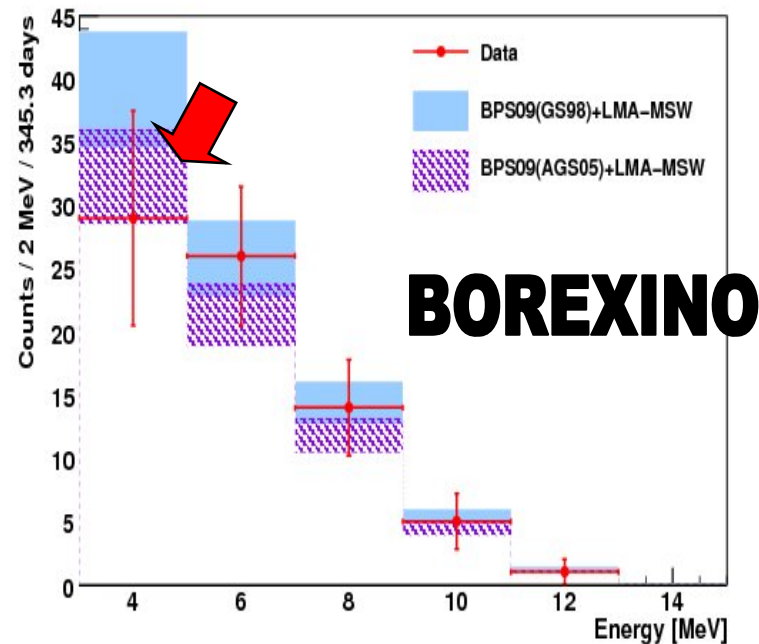
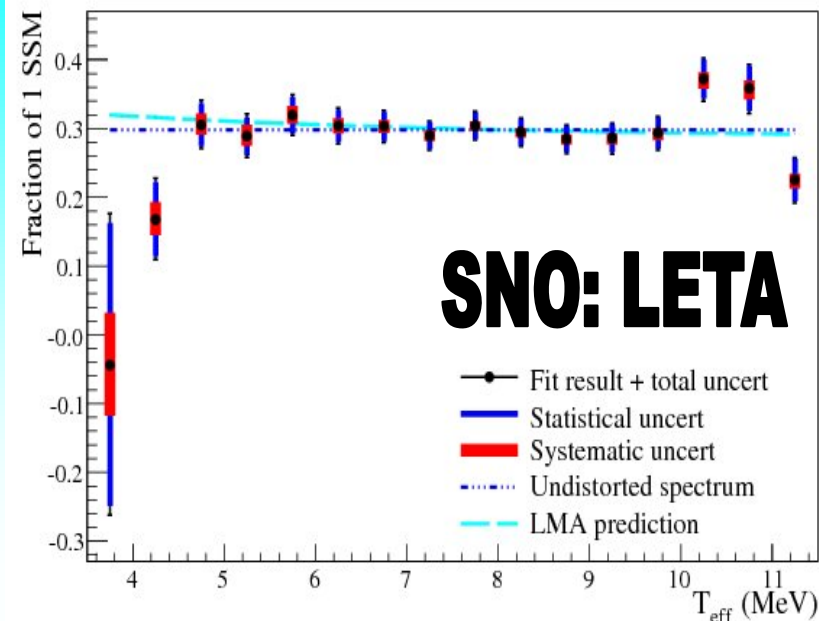


**SuperKamiokande**

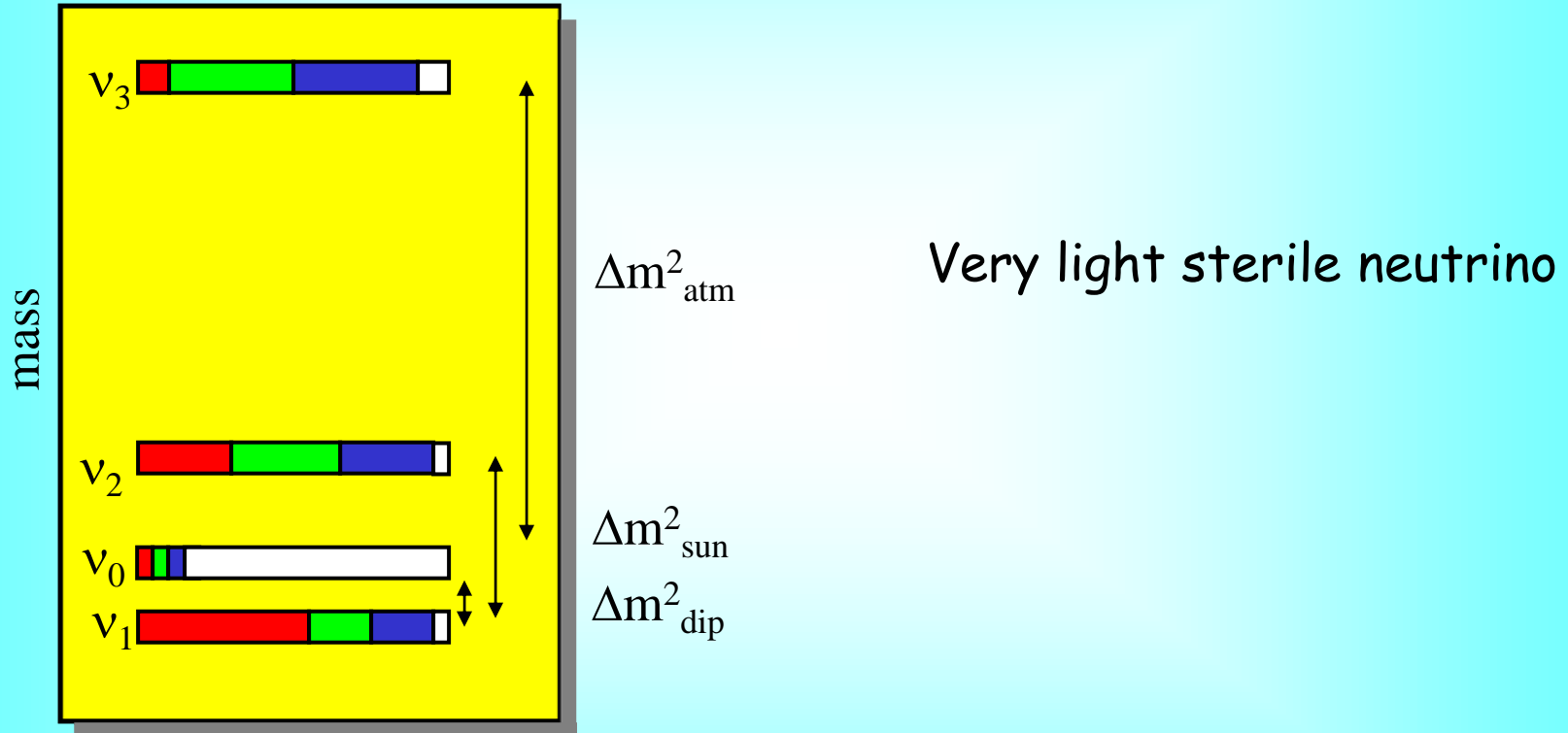
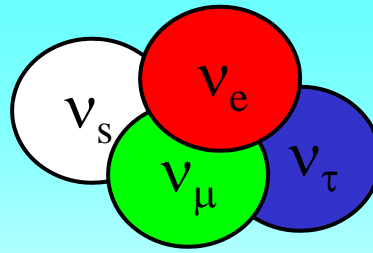
# Up-turn?



$\nu_e$  - survival probability from solar neutrino data vs LMA-MSW solution

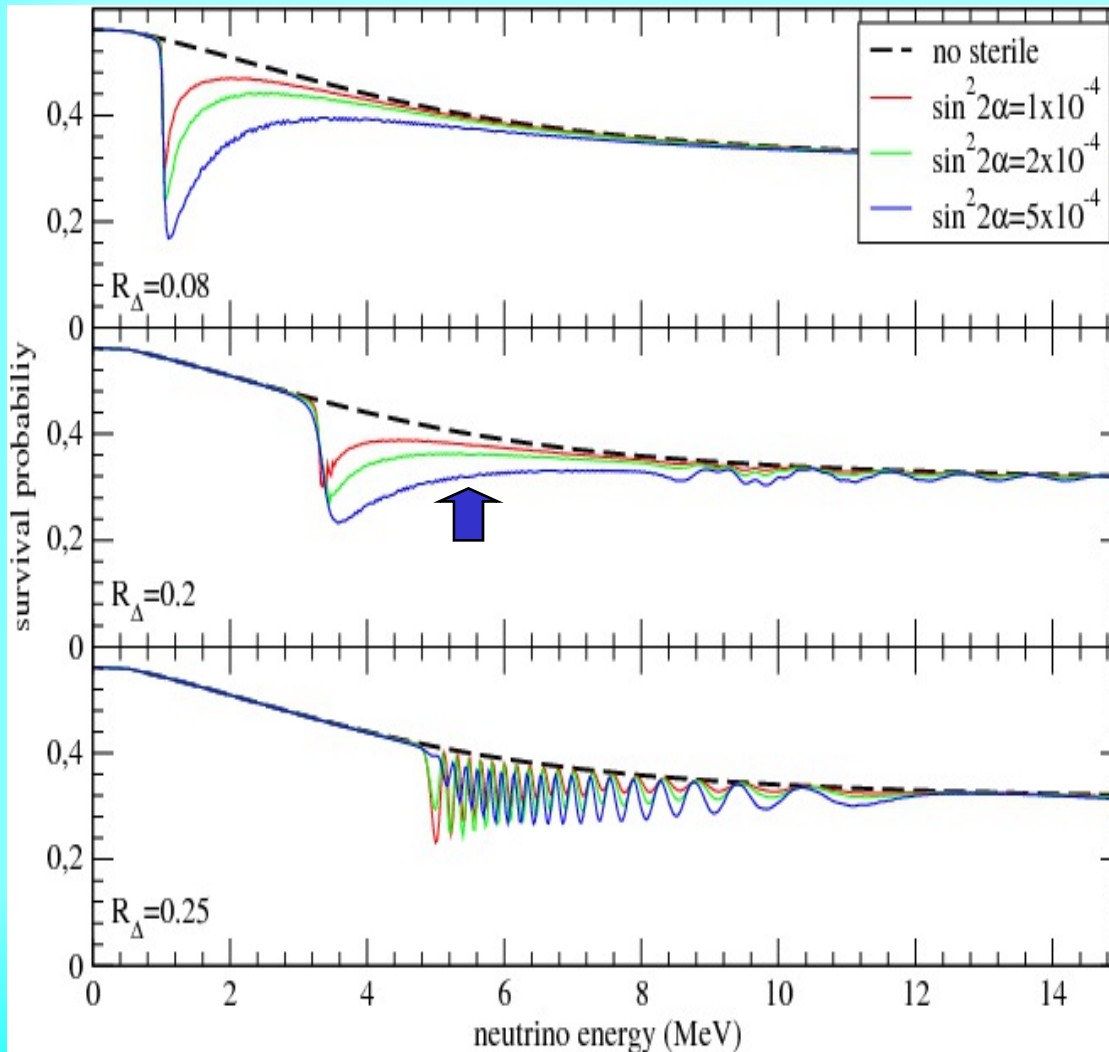


# (3 + 1) scheme



- additional radiation in the Universe
- no problem with LSS (bound on neutrino mass)

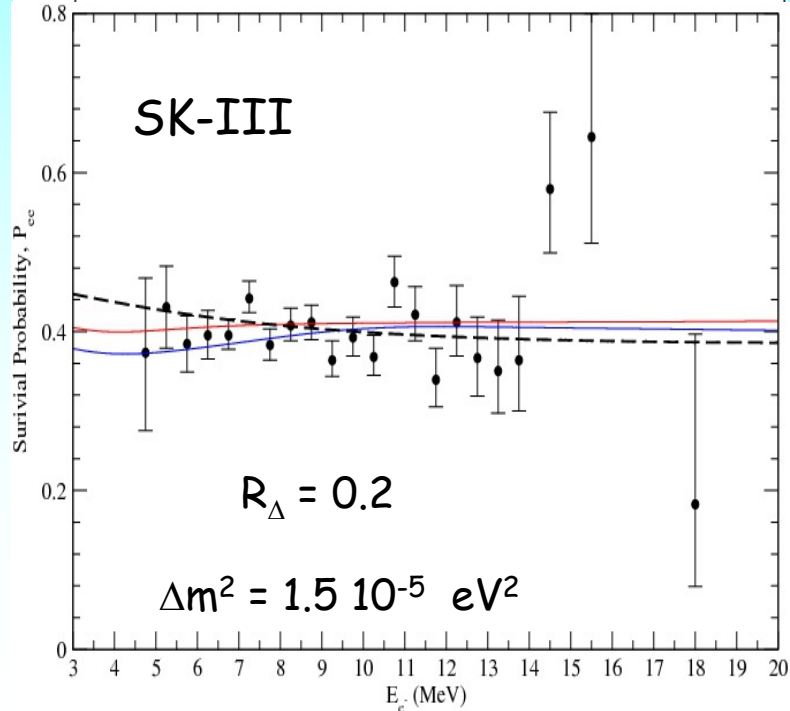
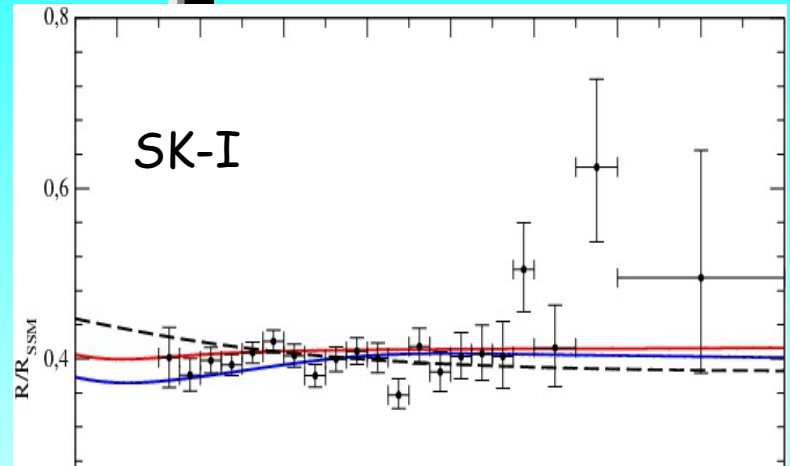
# Survival probability



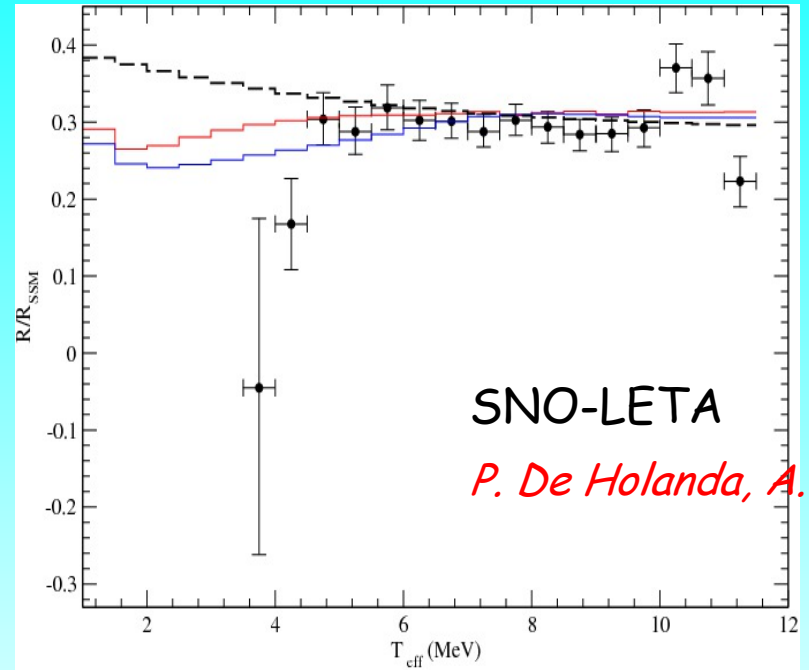
- dip
- wiggles



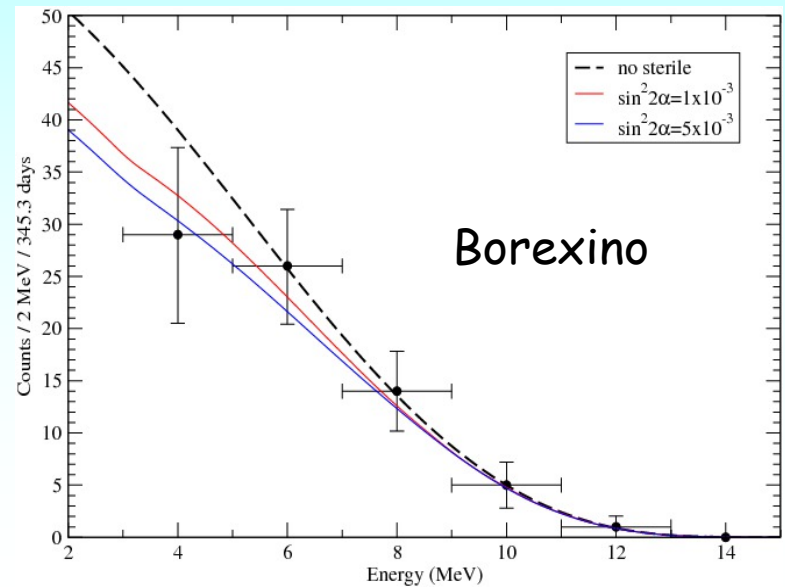
# Up-turns



$\sin^2 2\alpha = 10^{-3}$  (red),  $5 \cdot 10^{-3}$  (blue)

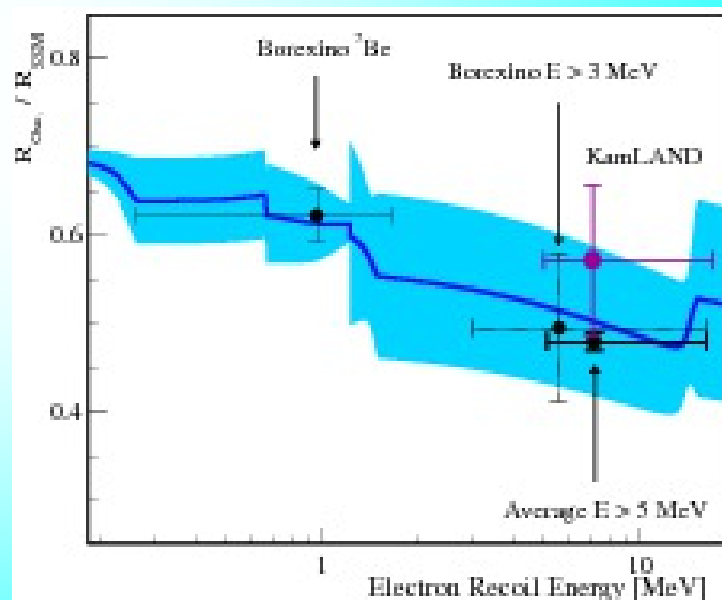
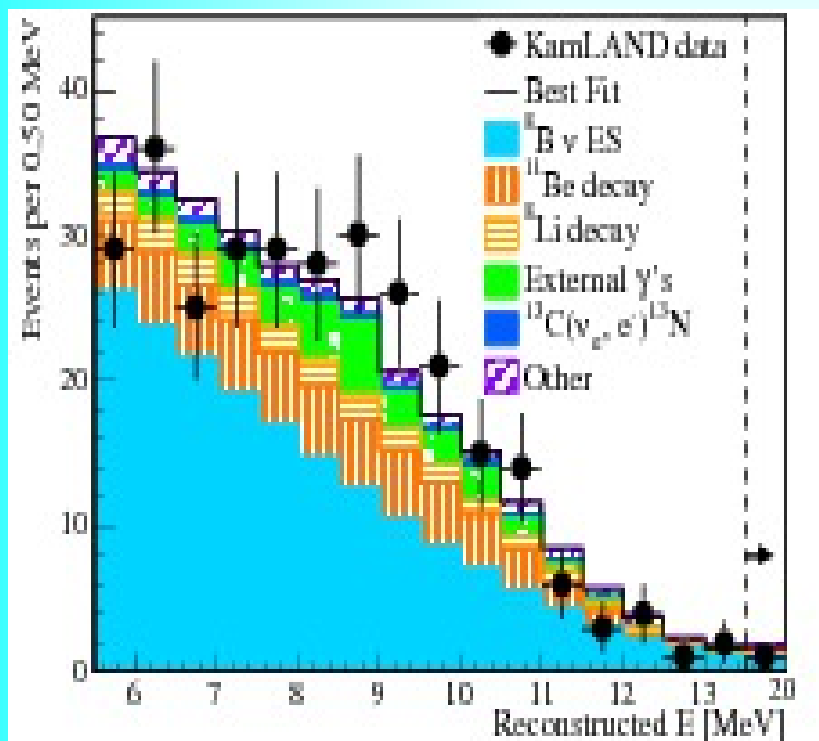


*P. De Holanda, A.S.*

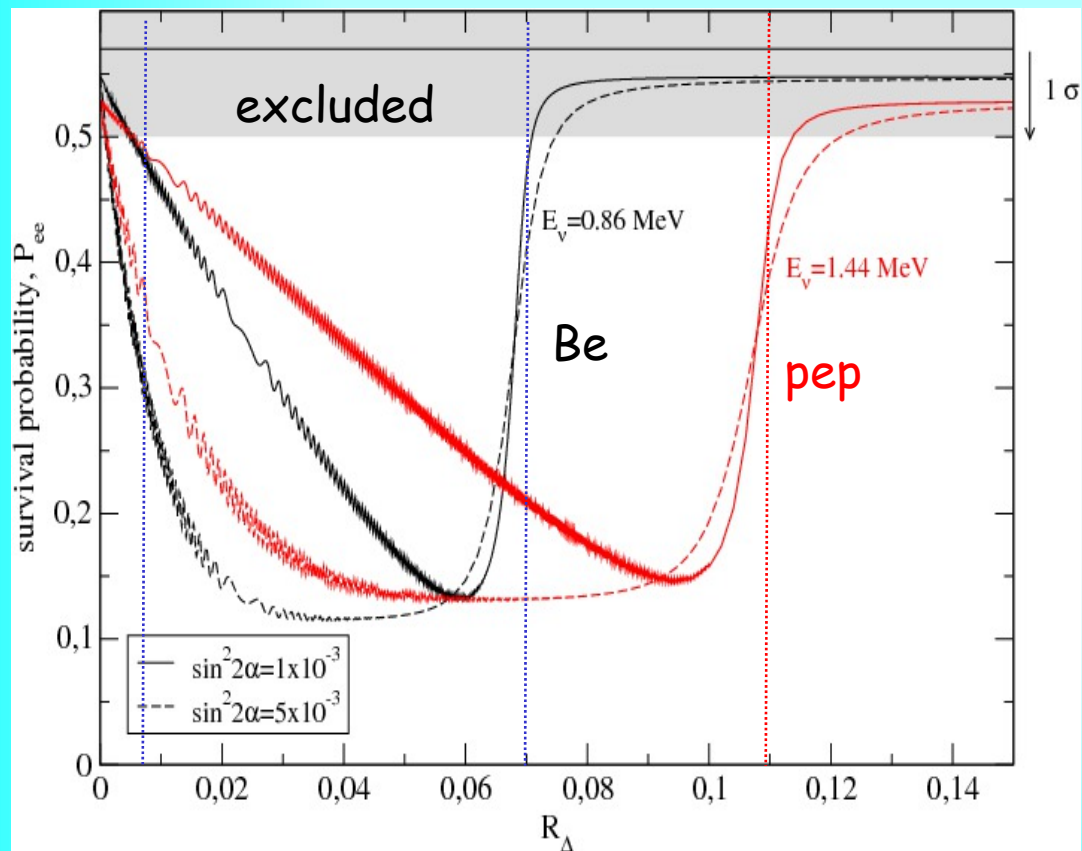


# KamLAND solar

*S. Abe, et al., [The KamLAND collaboration]  
1106.0861 [hep-ex]*



# BOREXINO: Be line

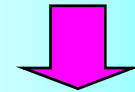


pep-suppressed

data

excluded

$$R_{\Delta} = 0.007 - 0.07$$



$$\Delta m_{01}^2 > 0.5 \cdot 10^{-5} \text{ eV}^2$$

Predictions for pep-neutrinos

$$R_{\Delta} = 0.07 - 0.115$$

$$P(\text{pep}) = 0.2 - 0.3$$

$$P(\text{Be}) = 0.55$$

$$R_{\Delta} > 0.12$$

$$P(\text{pep}) = 0.53$$

# Extra radiation in the Universe

Mixing of  $\nu_s$  in  $\nu_3$

$$\nu_3 = \cos\beta \nu_\tau' + \sin\beta \nu_s$$

where  $\nu_\tau' = \cos\theta_{23} \nu_\tau + \sin\theta_{23} \nu_\mu$

$$\Delta m_{30}^2 \sim 2.5 \cdot 10^{-3} \text{ eV}^2$$

Atmospheric neutrinos:

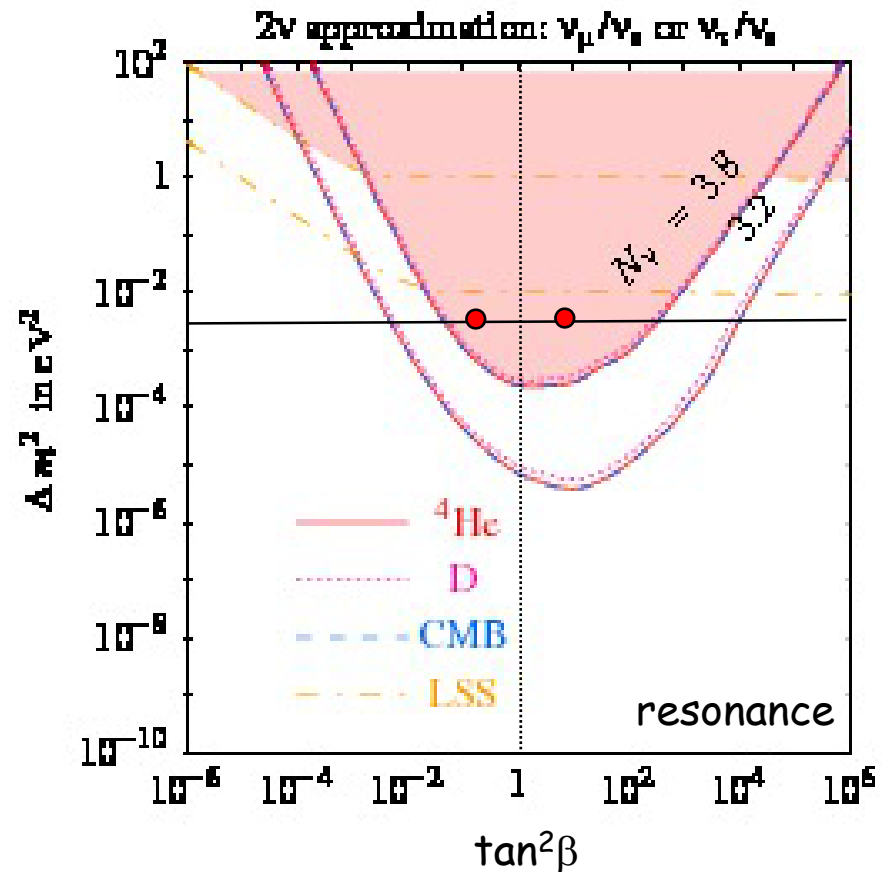
$$\sin^2\beta < 0.2 - 0.3 \quad (90\%)$$

MINOS:

$$\sin^2\beta < 0.23 \quad (90\%)$$

Production of steriles in the Early universe

*M Cirelli G Marandella A Strumia F Vissani*



# Implications; consequences

**Theory:**

mixing

$$m_0 \sim 0.003 \text{ eV}$$

$$\sin^2 2\alpha \sim 10^{-3}$$

$$\sin^2 2\beta \sim 10^{-1}$$



$$m_0 = \frac{M^2}{M_{\text{Planck}}}$$

$$M \sim 2 - 3 \text{ TeV}$$

$$\alpha \sim \frac{h v_{\text{EW}}}{M}$$

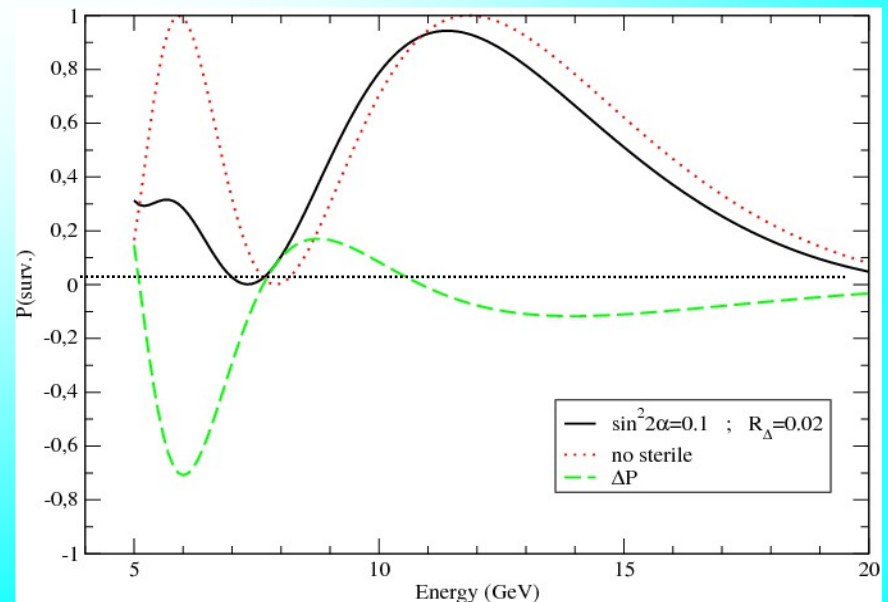
$$h = 0.1$$

$$\beta \sim \frac{v_{\text{EW}}}{M}$$

**Phenomenology:**

**SN**

**Atmospheric**  
IceCube DeepCore



# Conclusions

Understanding neutrino mass and mixing is on cross-roads:  
Discrete symmetries? TBM accidental? QLC? Quark-lepton unification?  
Preferable: GUT + seesaw + fermion singlets (hidden sector)  
with some symmetries?

Relation to CDM, WDM?

New (still controversial) evidences of new neutrino states  
= sterile neutrinos. Implications for Cosmology:  
additional radiation in the Universe in epoch of decoupling  
and additional HDM.

Tests: with Solar and atmospheric neutrinos  
IceCube, deep-core IceCube

**Additional slides**

# Mass and nothing more?

Standard Model

+

Mass and Mixing

Neutrino interactions

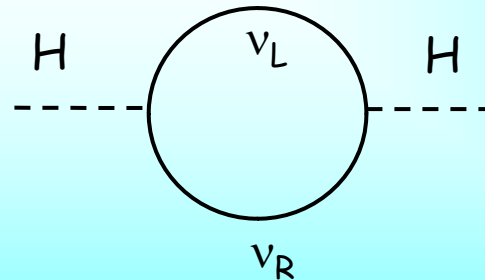
generated by  $\frac{1}{\Lambda} L L H H$  *S. Weinberg*  
No other manifestations of new physics

$$\Lambda \sim 10^{14} \text{ GeV} \ll M_{\text{Pl}}$$

If  $\nu_R$  exists:  $M_R \sim \Lambda$

Dirac mass

New physics below Planck scale



$\sim \Lambda^2$   
➡ SUSY ?

- small Yukawa coupling
- additional doublet with small VEV

DM?



# Comments:

Data show both order, regularities and some degree of randomness

Different pieces of data testify for different underlying physics

No simple relation between masses and mixing parameters which could testify for certain simple scenario

No simple explanation is expected?

# BM - symmetry

BM mass relations

$$m_{e\mu} = m_{e\tau}$$

$$m_{\mu\mu} = m_{\tau\tau}$$

$$m_{ee} = m_{\mu\mu} + m_{\mu\tau}$$

Invariance:

$$V_i^T m_{BM} V_i = m_{BM}$$

$$S_{BM} = \begin{pmatrix} 0 & -\sqrt{\frac{1}{2}} & -\sqrt{\frac{1}{2}} \\ -\sqrt{\frac{1}{2}} & \frac{1}{2} & -\frac{1}{2} \\ \sqrt{\frac{1}{2}} & -\frac{1}{2} & \frac{1}{2} \end{pmatrix} \quad U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

The mass matrix of the charged leptons is diagonal due to symmetry with respect to transformations:

$$T = \text{diag}(-1, -i, i)$$

$T, S_{BM}$  generators of  $S_4$

# Consistency

With reactor anomaly global fit of data in terms of  $\nu$ -sterile becomes better

Limit on  $U_{e4}$  becomes weaker

$$|U_{e4}|^2 : 0.02 \rightarrow 0.04$$

Smaller values of  $U_{\mu 4}$  are allowed to explain LSND/MiniBooNE - less tension with SBL experiment bounds

$$|U_{\mu 4}|^2 : 0.04 \rightarrow 0.02$$

## Global fit

3 + 2  
scheme

*J Kopp, M. Maltoni, T. Schwetz  
1103.4570 [hep-ph]*

$\nu_4$

$$\Delta m_{41}^2 = 0.47 \text{ eV}^2$$

$$U_{e4} = 0.128$$

$$U_{\mu 4} = 0.165$$

$\nu_5$

$$\Delta m_{51}^2 = 0.87 \text{ eV}^2$$

$$U_{e5} = 0.138$$

$$U_{\mu 5} = 0.148$$

# $\nu_s$ - mass mixing scheme

$\nu_s$   
 $\nu_\tau$   
 $\nu_\mu$

$$U_f = U_{23} U_\alpha$$

$\nu_0$   
 $\nu_3$   
 $\nu_2$

$\nu_s$  mixes in the mass states  $\nu_3$  and  $\nu_0$

$$\nu_0 = -\sin\alpha \tilde{\nu}_3 + \cos\alpha \nu_s$$

$$\nu_3 = \cos\alpha \tilde{\nu}_3 + \sin\alpha \nu_s$$

$$\nu_2 = \tilde{\nu}_2$$

where

$$\tilde{\nu}_3 = \cos\theta_{23} \nu_\tau + \sin\theta_{23} \nu_\mu$$

$$\tilde{\nu}_2 = \cos\theta_{23} \nu_\mu - \sin\theta_{23} \nu_\tau$$

$\nu_s$  mixes with  $\tilde{\nu}_3$

Propagation basis:

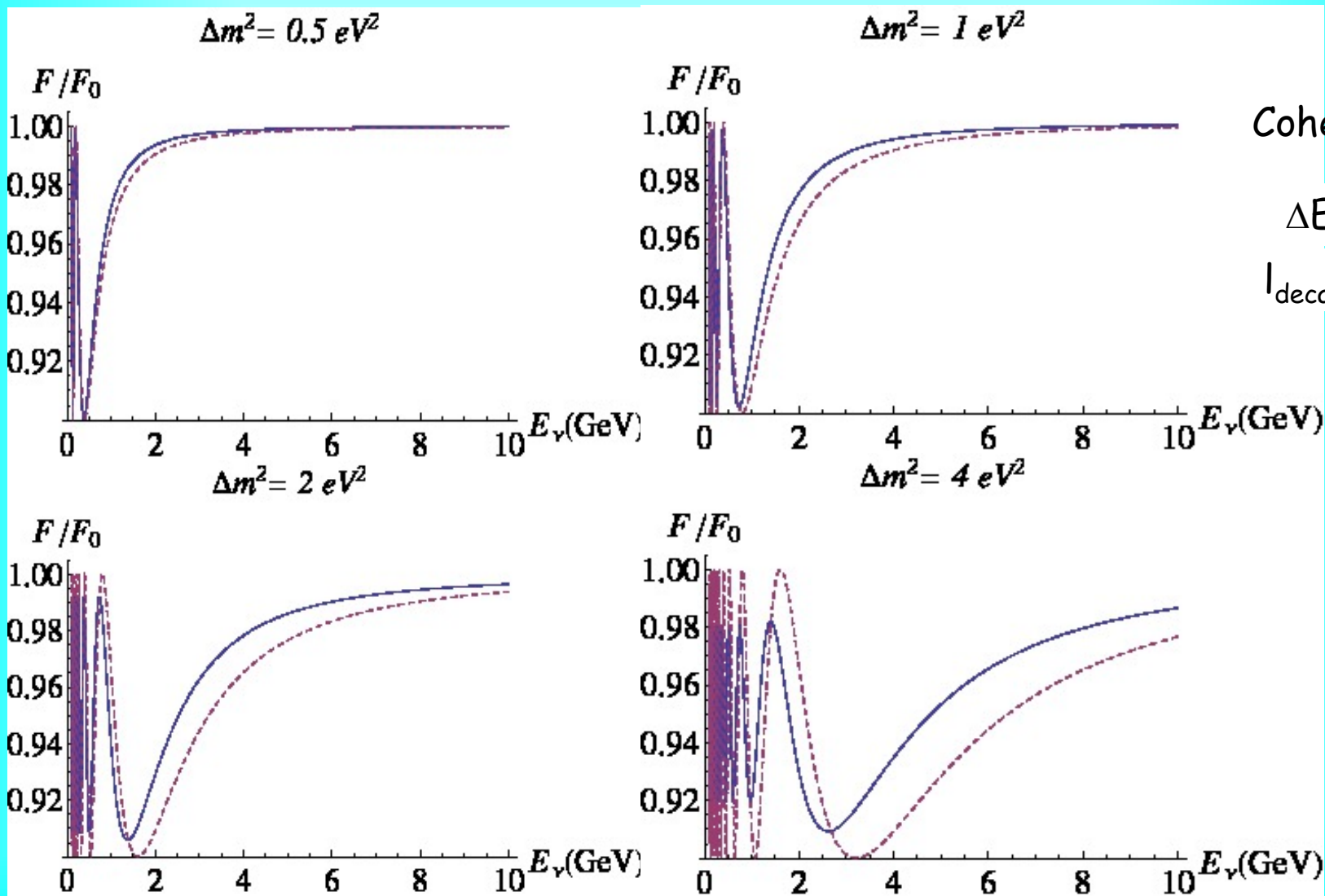
$$\nu_s, \tilde{\nu}_3, \tilde{\nu}_2$$

Evolution is reduced to 2v-problem exactly

# Probabilities

*D. Hernandez A.S.*

$$P(\nu_\mu \rightarrow \nu_\mu)$$



Coherence:

$$\Delta E \sim \Gamma$$

$$l_{\text{decay}} \sim l_\nu$$

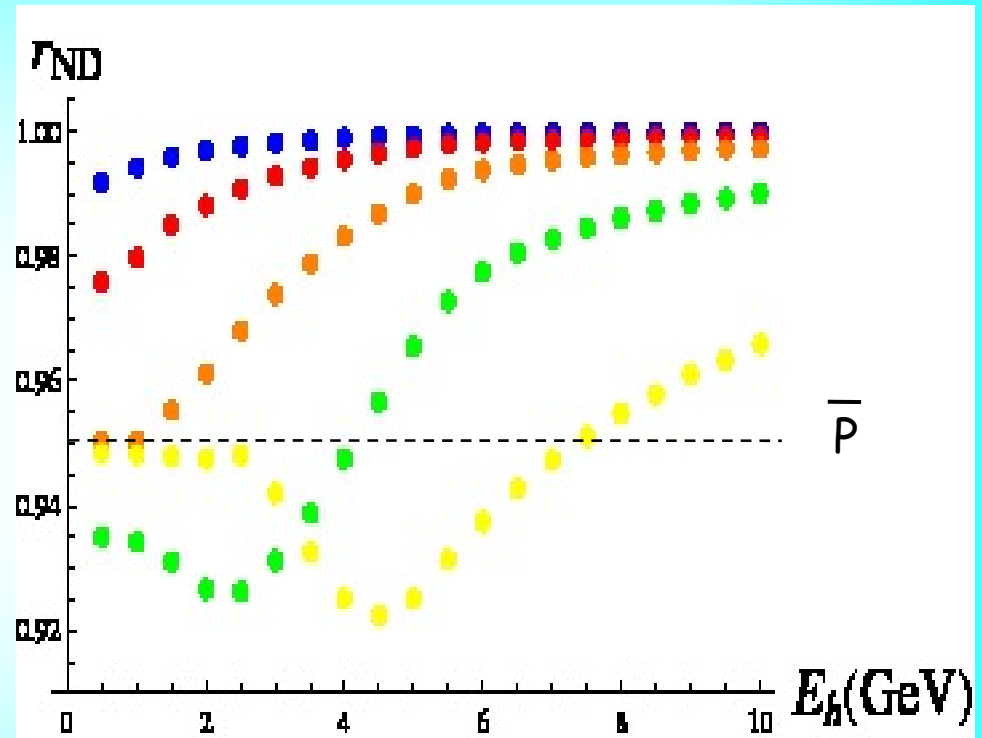
# Oscillation effects

Near  
Detector

$$r_{NC} = \frac{n_{NC}}{n_{NC}^0}$$

Far  
Detector:

$$\frac{\bar{P}}{r_{NC}}$$

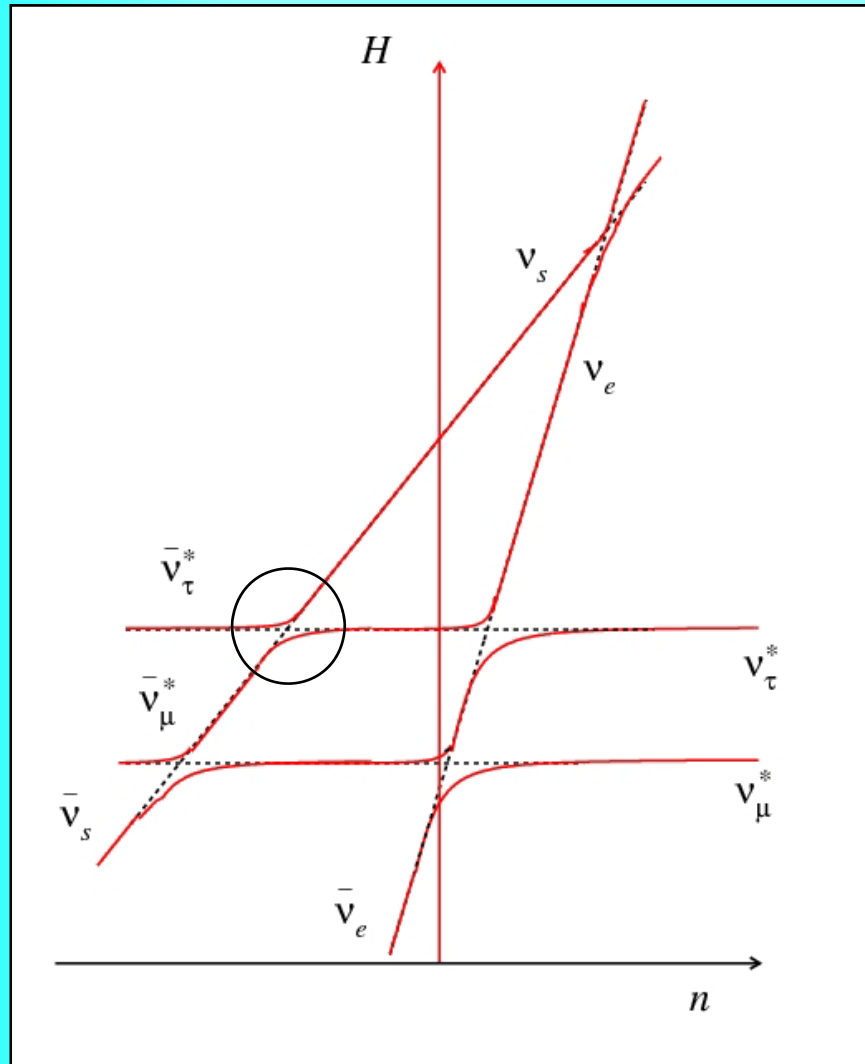


# Light neutrinos Dark matter and Standard model of the Universe

Neutrino as dark energy  
Hot dark matter and structure formation  
Extra radiation in the Universe

Aspects related to the main topic of the school  
In connection to dark matter.

# Level crossing scheme



- Normal mass hierarchy in the flavor block;  $m_0 \sim 1 \text{ eV}$
- Three new level crossings
- $|U_{e4}|^2 \quad |U_{\mu 4}|^2$  are large enough, so that level crossings are adiabatic
- $V_e - V_s = \sqrt{2} G_F (n_e - n_n / 2)$



# Evolution

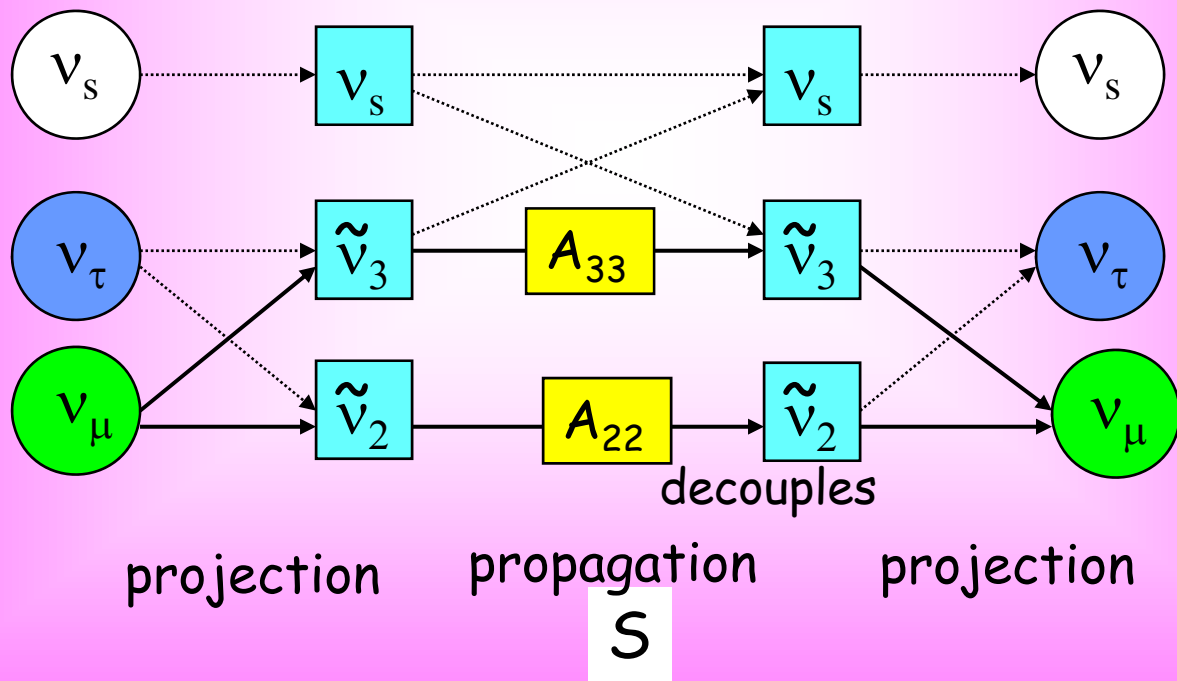
$\nu_s$  mass mixing scheme:

$$U_f = U_{23} U_\alpha$$

$\nu_s$  mixes in the mass states  $\nu_3$  and  $\nu_0$

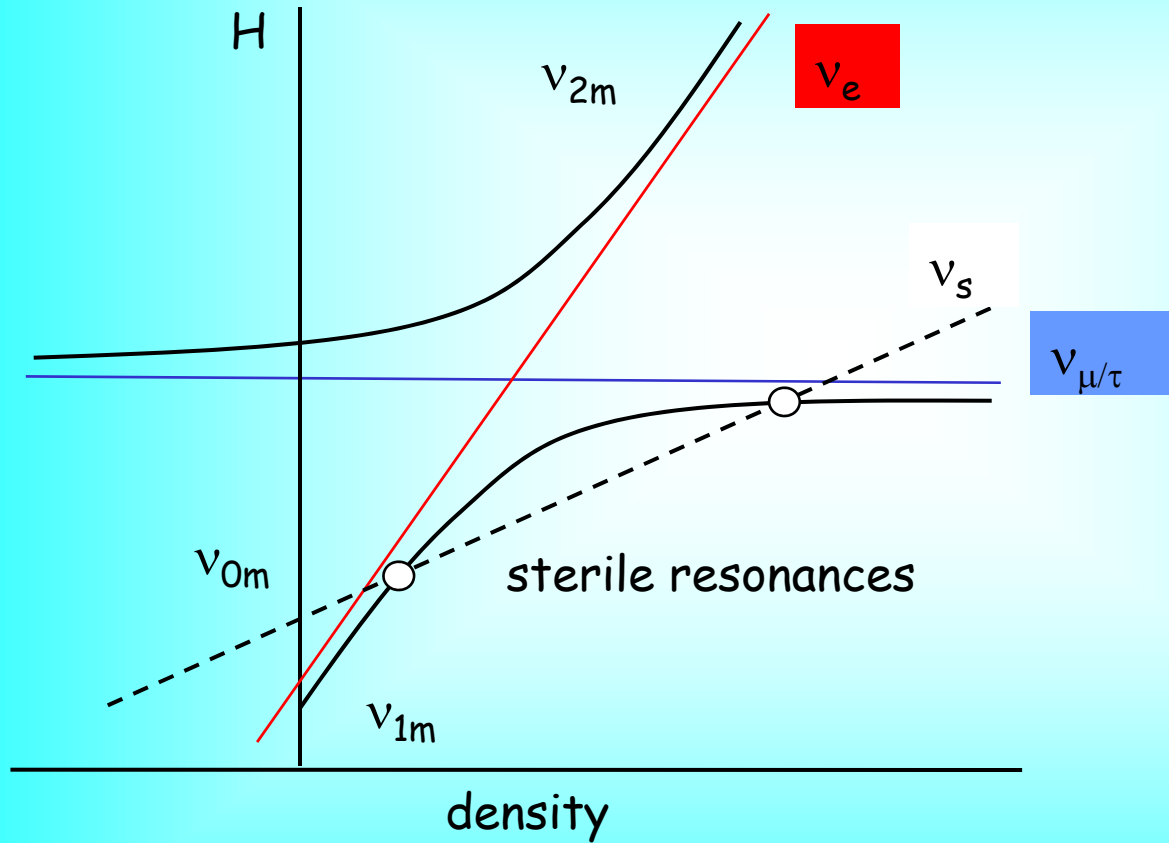
Propagation basis

$$\nu_f = U_{23} \tilde{\nu}$$



$$P(\nu_\mu \rightarrow \nu_\mu) = |\cos^2\theta_{23}A_{22} + \sin^2\theta_{23}A_{33}|^2$$

# Level crossing



$$\Delta m_{01}^2 > (0.2 - 2) 10^{-5} \text{ eV}^2$$

$$\sin^2 2\alpha = 10^{-4} - 10^{-3}$$

non-adiabatic  
level crossing

# Mixing scheme and transitions

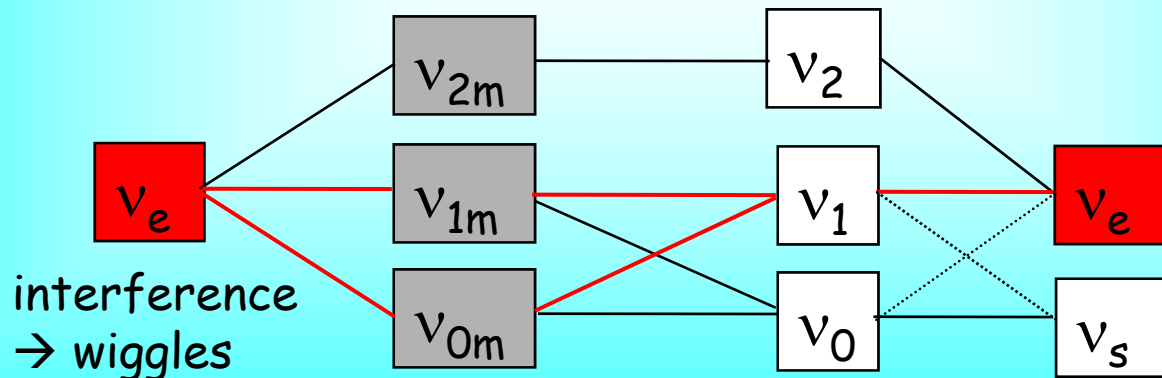
$$\begin{pmatrix} \nu_s \\ \nu_e \\ \nu_a \end{pmatrix} \quad U = U_\theta \quad U_\alpha \quad \begin{pmatrix} \nu_0 \\ \nu_1 \\ \nu_2 \end{pmatrix}$$

$U_\theta$  - rotation in 12-plane on  $\theta_{12}$

$U_\alpha$  - rotation in 01- plane on  $\alpha$

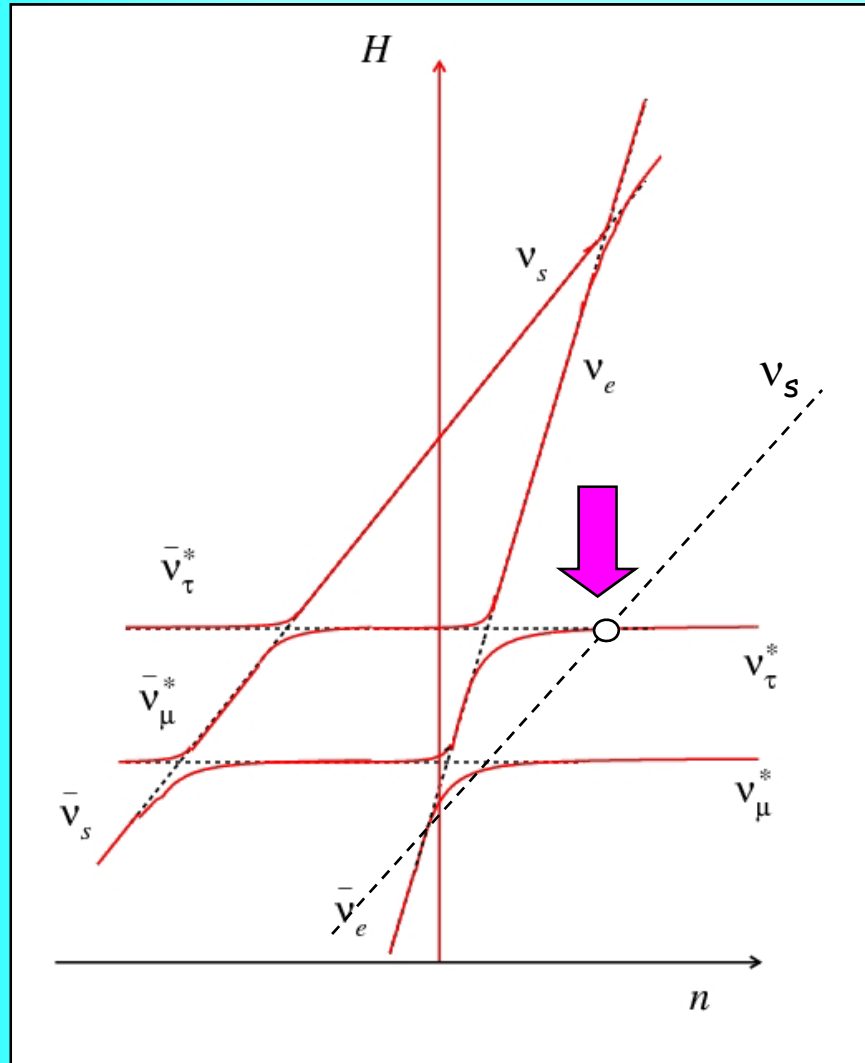
$\nu_s$  mixes in  $\nu_0$  and  $\nu_1$

Scheme of transitions



$$P(\nu_e \rightarrow \nu_e) \sim |U_{e1}^m A_{11} + U_{e0}^m A_{01}|^2 |U_{e1}|^2 + |U_{e2}^m|^2 |U_{e2}|^2$$

# Level crossing scheme



*P. De Holanda, A.S.*

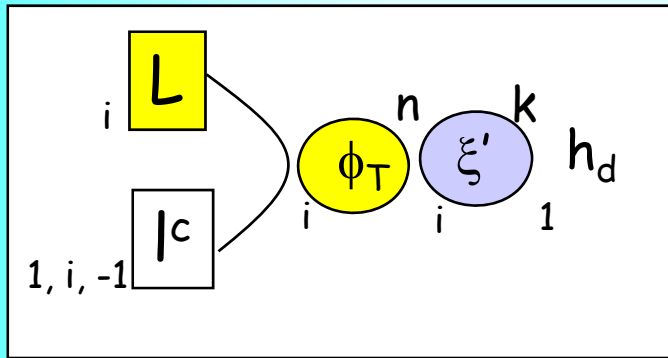
Mixing with the third active state

# An A<sub>4</sub> model

*G. Altarelli  
D. Meloni*

Yukawa sectors

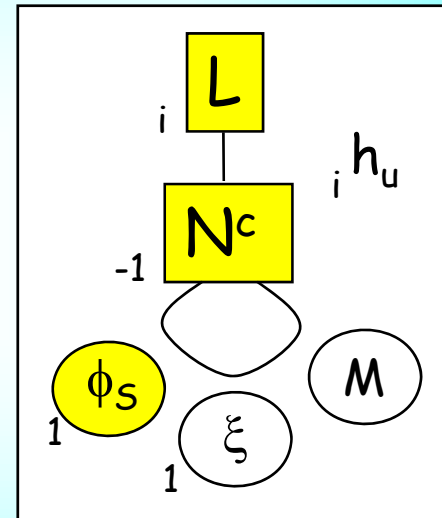
Charged lepton



$$\langle \phi_T \rangle = v_S (0, 1, 0)$$

$$n = 1, \dots \quad k = 0, \dots$$

Neutrinos

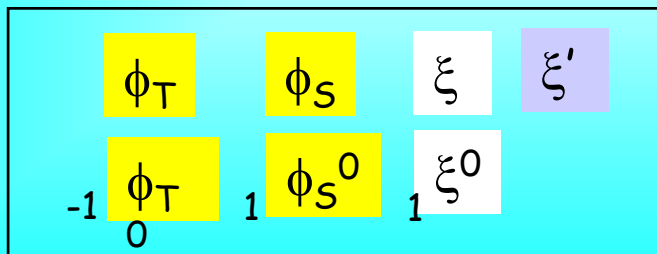


$$\langle \phi_S \rangle = v_S (1, 1, 1)$$

A <sub>4</sub>	Z <sub>4</sub>
3	1
1	i
1'	-1
1''	-i

at multiplets

Flavon sector



U(1)<sub>R</sub>

0

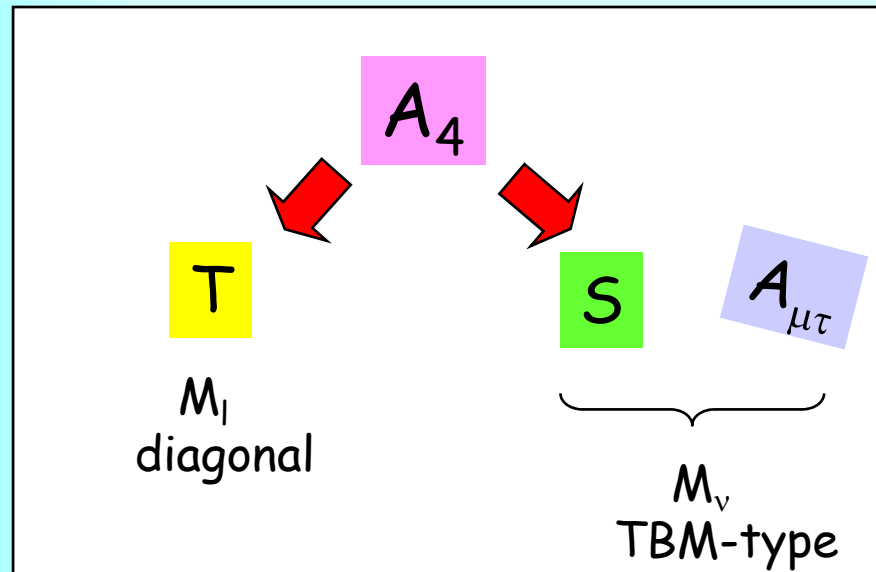
2

Driving fields  
GUT-scale or higher?

Particular  
selection of  
representations

Vacuum alignment

# A<sub>4</sub> symmetry breaking

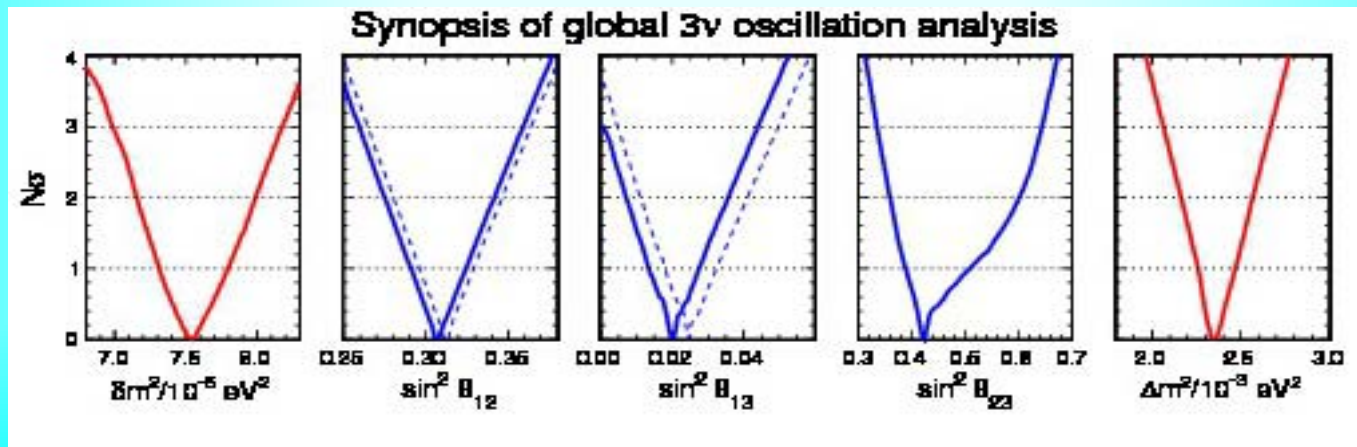
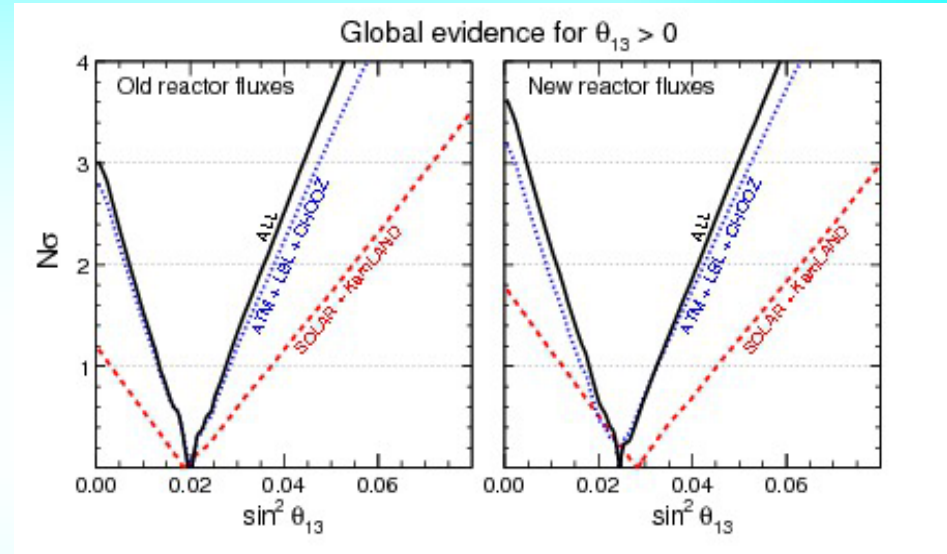
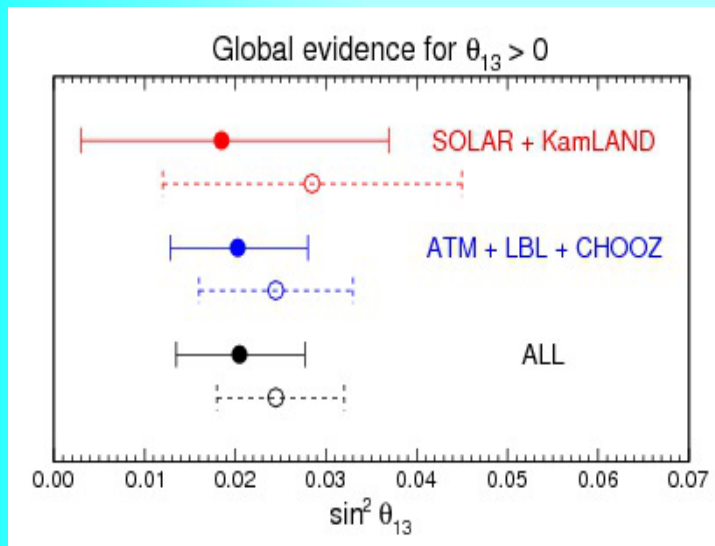


“accidental” symmetry due to particular selection of flavon representations and configuration of VEV’s

In turn, this split originates from different flavor assignments of the RH components of  $N^c$  and  $l^c$  and different higgs multiplets

**Additional slides**

# 1-3 mixing: global fit

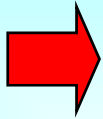




# Neutrinos & Dark Matter

Direct connection

Light neutrinos

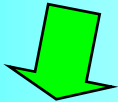


Hot DM

Influence  
LSS formation

Clumping  
Form  
structures

At least two  
of them are  
non-relativistic



As probe,  
of DM

New  
neutrino  
states

Hot, warm DM

appear in annihilation  
or decay of DM  
particles

Search for DM  
signal with neutrino  
detectors

# Huge impact of small angle

theoretical  
implications  
symmetry

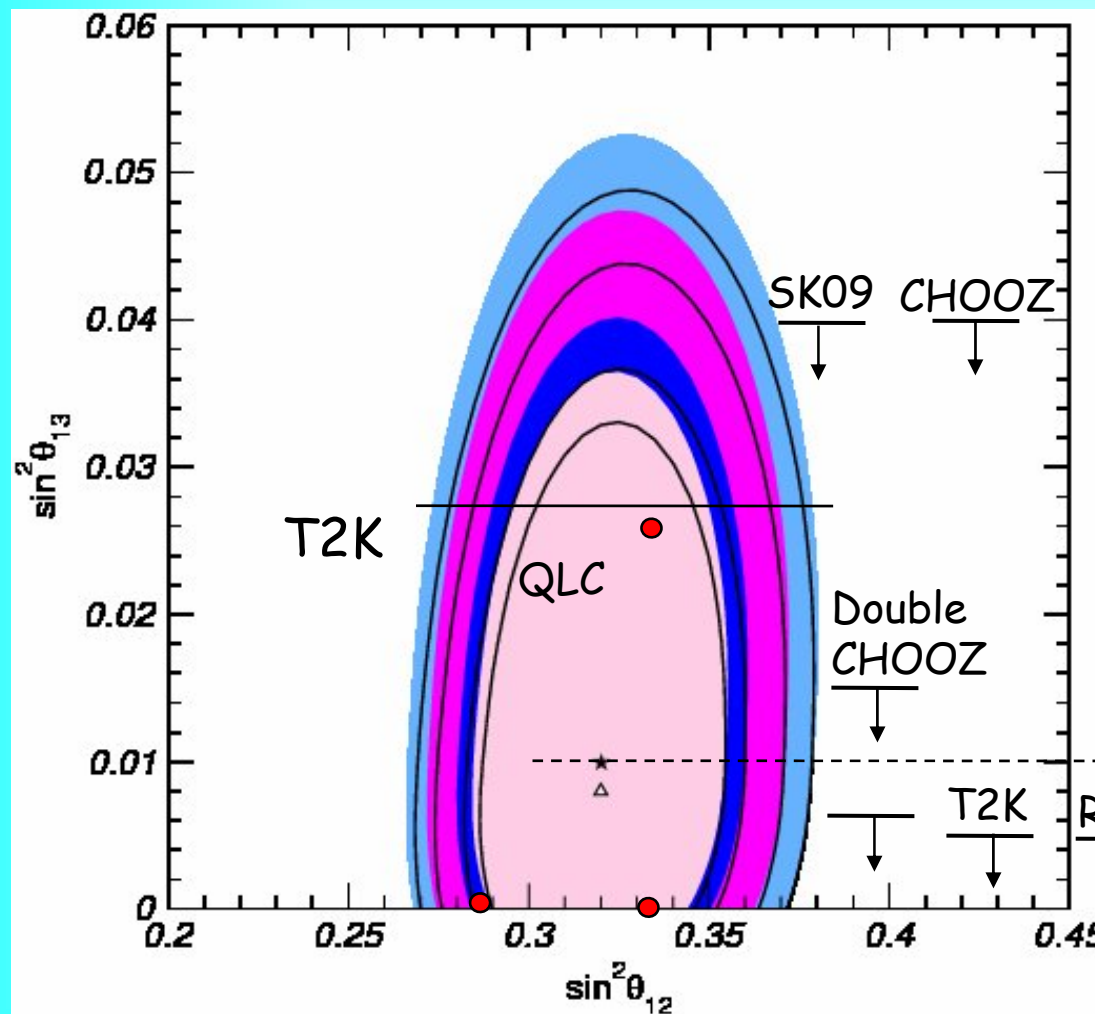
$\theta_{e3}$

dominant factor  
for SN neutrinos

atmospheric  
neutrinos

door to determination of  
CP-violation  
mass hierarchy

# 12 - and 13 - mixings



Global fit of oscillation data

*M. C. Gonzalez-Garcia,  
M. Maltoni, J. Salvado*

with 90% CL bounds from  
different experiments  
in assumption that true  
value  $\sin^2 \theta_{13} = 0$

90, 95, 99,  $3\sigma$  CL contours

# Evidence?

RH neutrino components have large Majorana mass

$$M_R \sim \begin{cases} M_{GUT} & \text{in the presence} \\ & \text{of mixing} \\ \frac{M_{GUT}^2}{M_{Pl}} \end{cases}$$

$$M_{GUT} \sim 10^{16} \text{ GeV}$$

$$m_\nu = - m_D^T \frac{1}{M_R} m_D$$

$$m_D(\nu) \sim m_D(q, l)$$

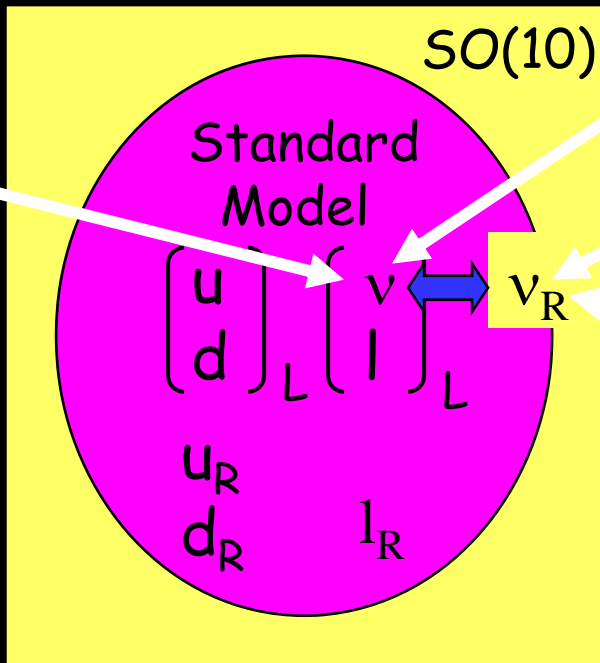
Neutrino mass as an evidence of Grand Unification?

Leptogenesis:  
the CP-violating out of  
equilibrium decay



→ lepton asymmetry  
→ baryon asymmetry  
of the Universe

# Neutrino portal

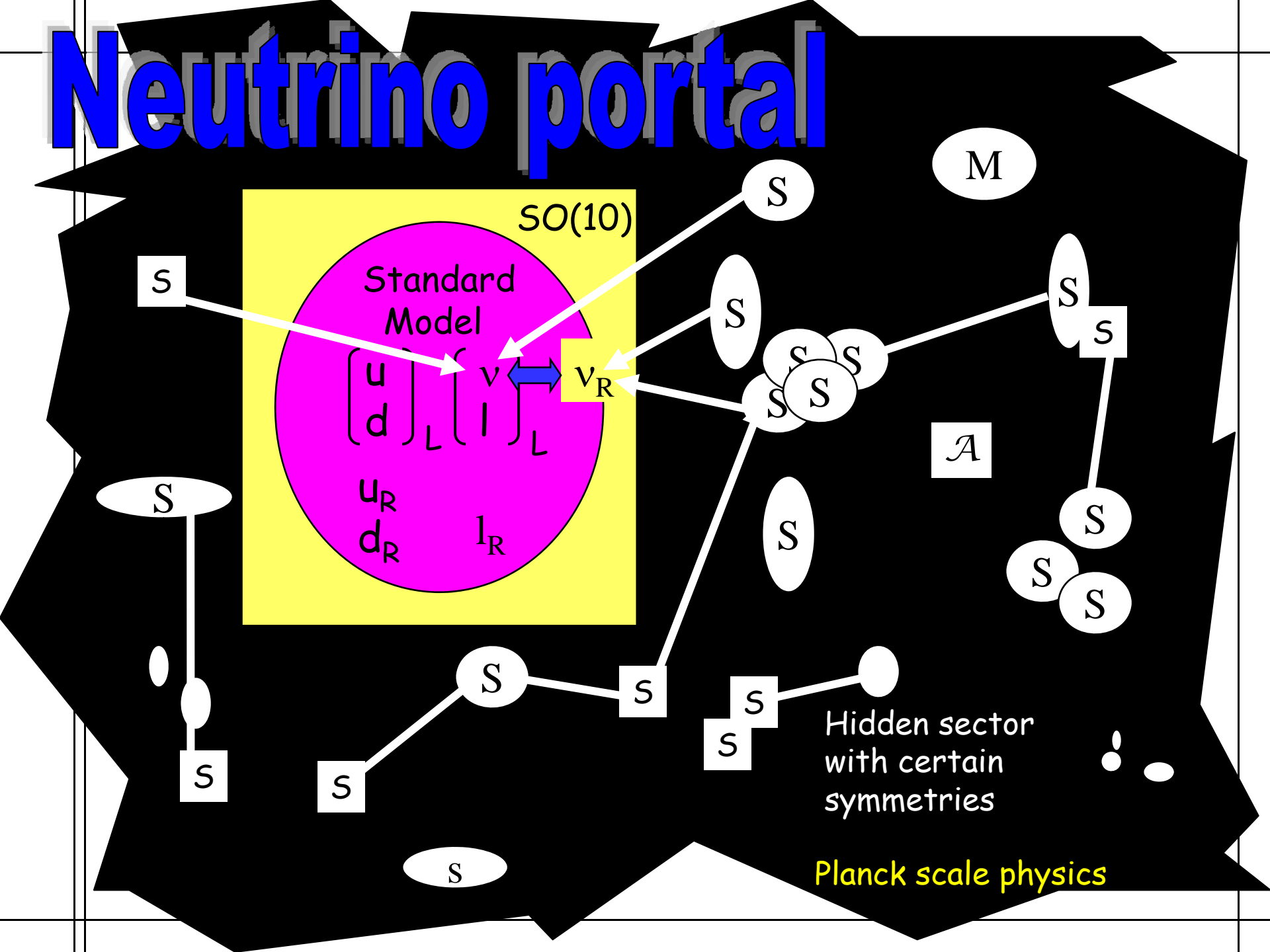


M

A

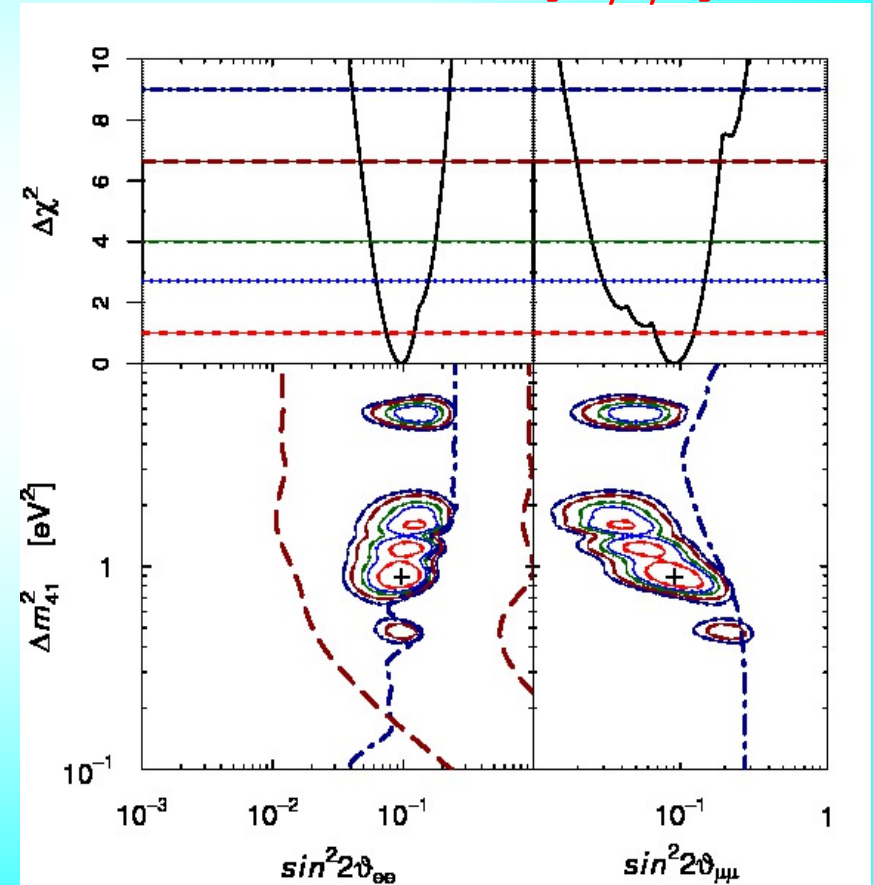
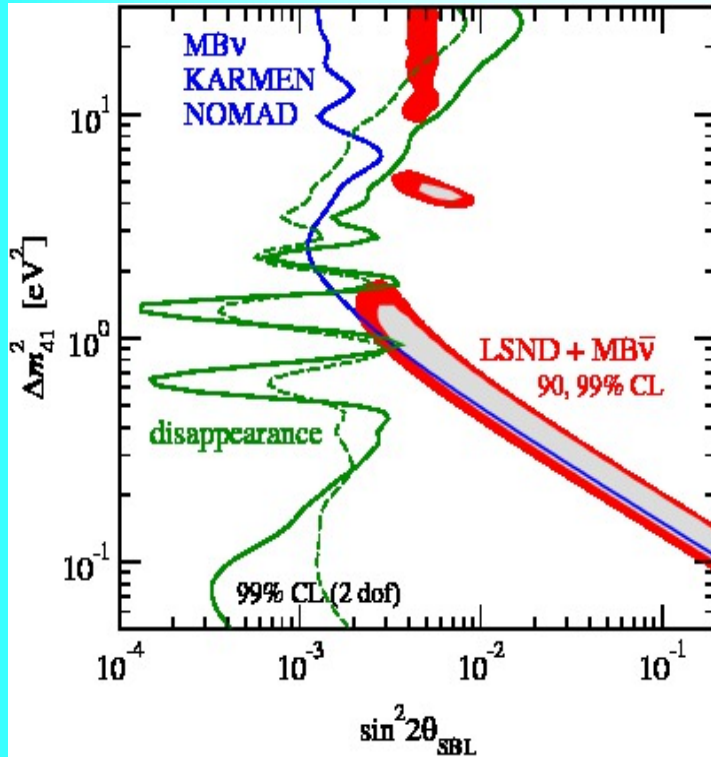
Hidden sector with certain symmetries

Planck scale physics



# 3+1 fit

*C Giunti, M Laveder  
1107.1452 [hep-ph]*



# Extra radiation in the Universe

## Effective number of neutrino species

$$N_{\text{eff}} = 4.34^{+0.86}_{-0.88} \text{ (68 \% CL)}$$

- WMAP-7
- Barion Acoustic Oscillations
- Hubble constant

*E. Komatsu et al*  
*arXiv: 1001.4538*  
*[astro-ph.CO]*

$$N_{\text{eff}} = 5.3 \pm 1.3 \text{ (68\% CL)}$$

- WMAP-7
- Atacama Cosmology Telescope

*J. Dunkley et al*  
*arXiv:1009.0866*  
*[astro-ph.CO]*

$$\Delta N_{\text{eff}} = (0.02 - 2.2) \text{ (68\% CL)}$$

*J. Hamann et al*  
*PRL 105 (2010)181301*

No evidence of  $\Delta N_{\text{eff}} > 0$

*A X Gonzalez-Morales, et al*  
*1106.5052 [astro-ph. CO]*

## BBN

$$N_{\text{eff}} = 3.68^{+0.80}_{-0.70} \text{ (68 \% CL)}$$

*Y. I. Izotov and T X Thuan*  
*Astrophys J 710 (2010) L67*

But  $\Delta N_{\text{eff}} < 1 \text{ (95\% CL)}$

*G. Mangano , P. D. Serpico, 1103.1261*