

Absolute scale of the active neutrino mass and the search of sterile neutrinos at KATRIN

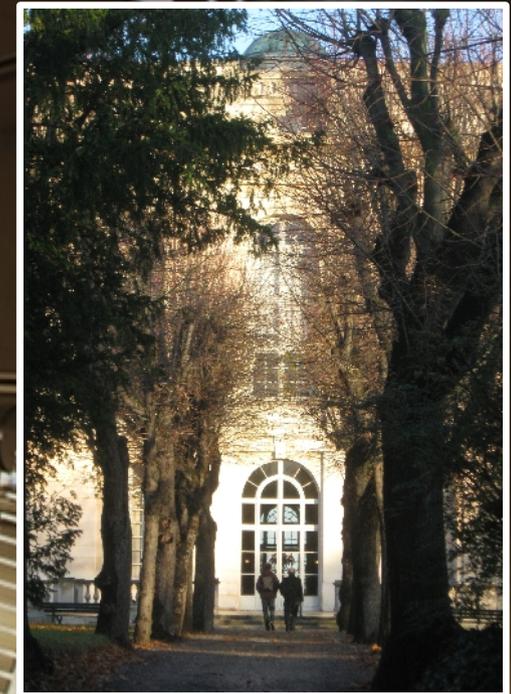
Ecole Internationale Daniel Chalonge

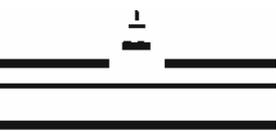
17th Paris Cosmology Colloquium 2013, Paris, July 24-26, 2013

Christian Weinheimer

*Institut für Kernphysik, Westfälische Wilhelms-Universität Münster, Germany
weinheimer@uni-muenster.de*

- **Introduction**
- **Direct neutrino mass search**
- **Search for sterile neutrinos in β -endpoint spectra**
- **Time-of-flight method and 1st tests at the KATRIN main spectrometer**
- **Conclusions**

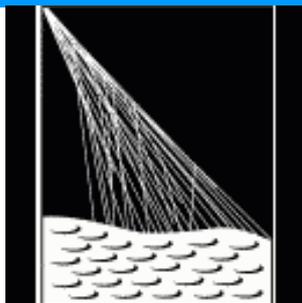




Positive results from ν oscillation experiments

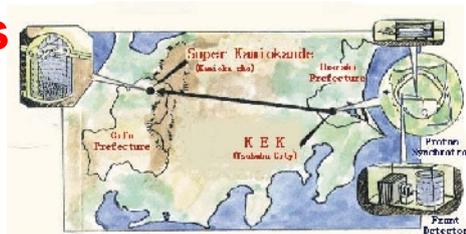
atmospheric neutrinos

(Kamiokande, Super-Kamiokande, ...)



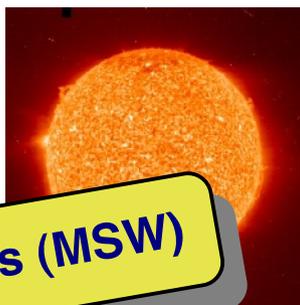
accelerator neutrinos

(K2K, T2K, MINOS, OPERA, MiniBoone)



solar neutrinos

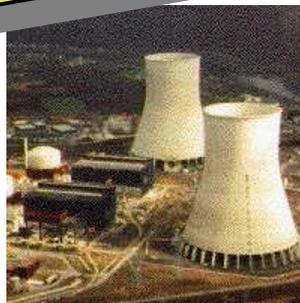
(Homestake, Gallex, Sage, Super-Kamiokande, SNO, Borexino)



Matter effects (MSW)

reactor neutrinos

(KamLAND, CHOOZ, Daya Bay, DoubleCHOOZ, RENO, ...)



\Rightarrow **non-trivial ν -mixing**

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

with:

$$0.34 < \sin^2(\theta_{23}) < 0.64 \quad \text{maximal!}$$

$$0.26 < \sin^2(\theta_{12}) < 0.36 \quad \text{large!}$$

$$\sin^2(\theta_{13}) = 0.089 \pm 0.010 \pm 0.005$$

$$7.0 \cdot 10^{-5} \text{ eV}^2 < \Delta m_{12}^2 < 8.2 \cdot 10^{-5} \text{ eV}^2$$

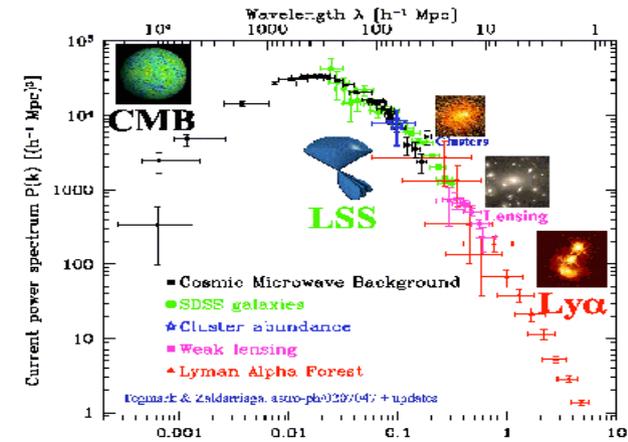
$$2.1 \cdot 10^{-3} \text{ eV}^2 < |\Delta m_{13}^2| < 2.7 \cdot 10^{-3} \text{ eV}^2$$

$\Rightarrow m(\nu_j) \neq 0$, **but unknown!**

Three complementary ways to the absolute neutrino mass scale

1) Cosmology

very sensitive, but model dependent
compares power at different scales
current sensitivity: $\Sigma m(\nu_i) \approx 0.5 \text{ eV}$

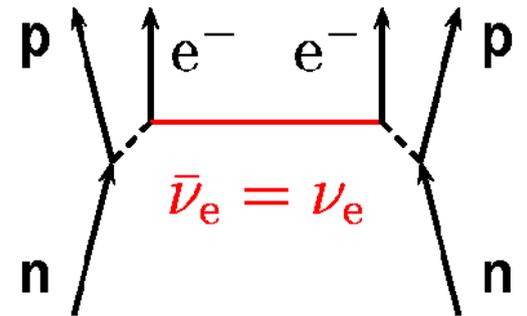


2) Search for $0\nu\beta\beta$

Sensitive to Majorana neutrinos

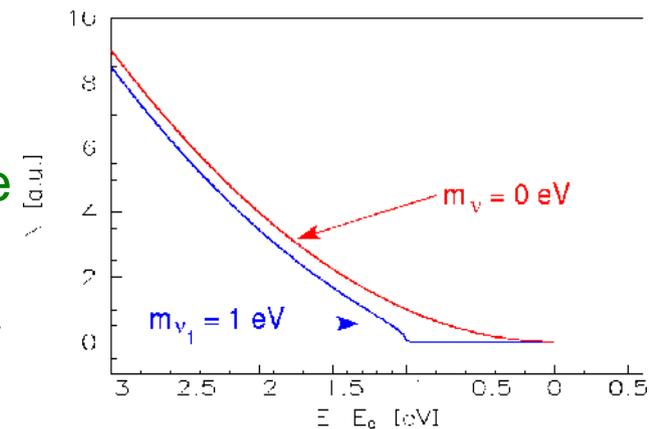
Evidence for $m_{ee}(\nu) \approx 0.3 \text{ eV}$?

GERDA, EXO-200 & KamLAND-ZEN have 1st results !

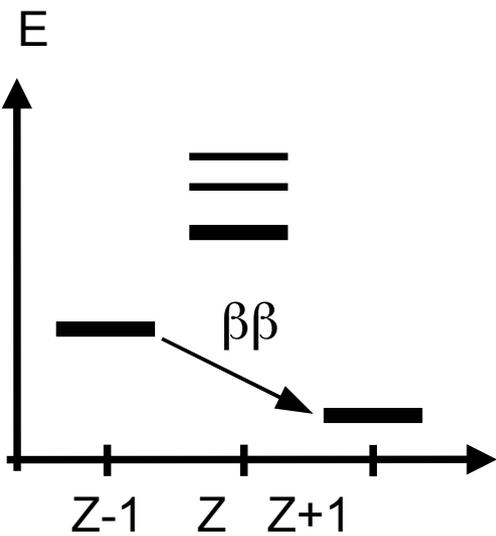


3) Direct neutrino mass determination:

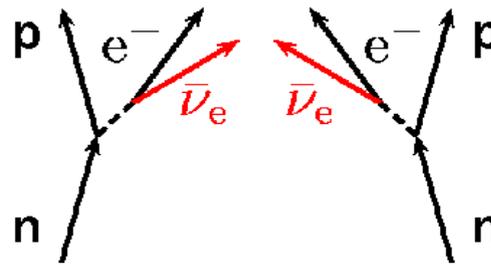
No further assumptions needed. no model dependence
use $E^2 = p^2c^2 + m^2c^4 \Rightarrow m^2(\nu)$ is observable mostly
most sensitive methode: endpoint spectrum of β -decay



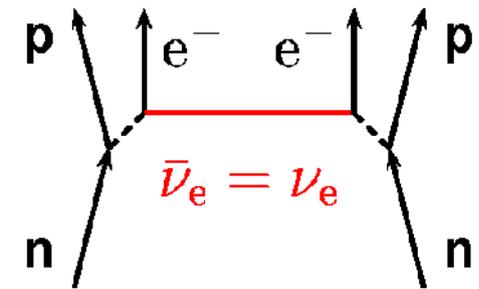
Double β decay



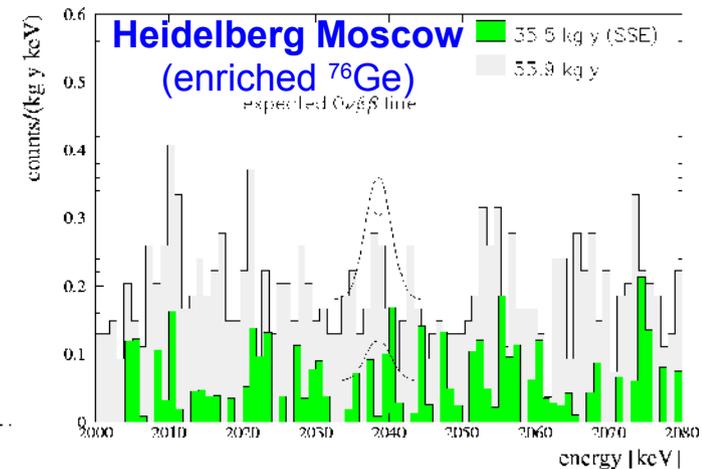
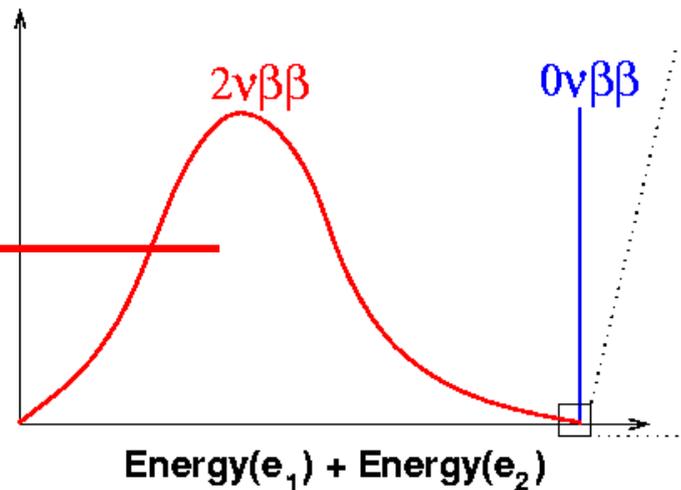
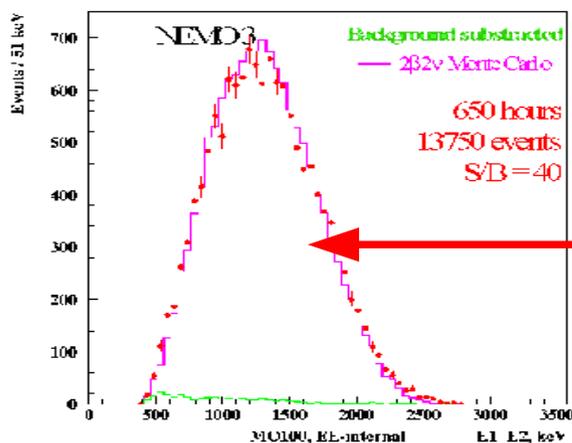
normal ($2\nu\beta\beta$)



neutrinoless ($0\nu\beta\beta$)



needed: a) $\bar{\nu} = \nu$ (Majorana)
b) helicity flip: $m(\nu) \neq 0$
or other new physics

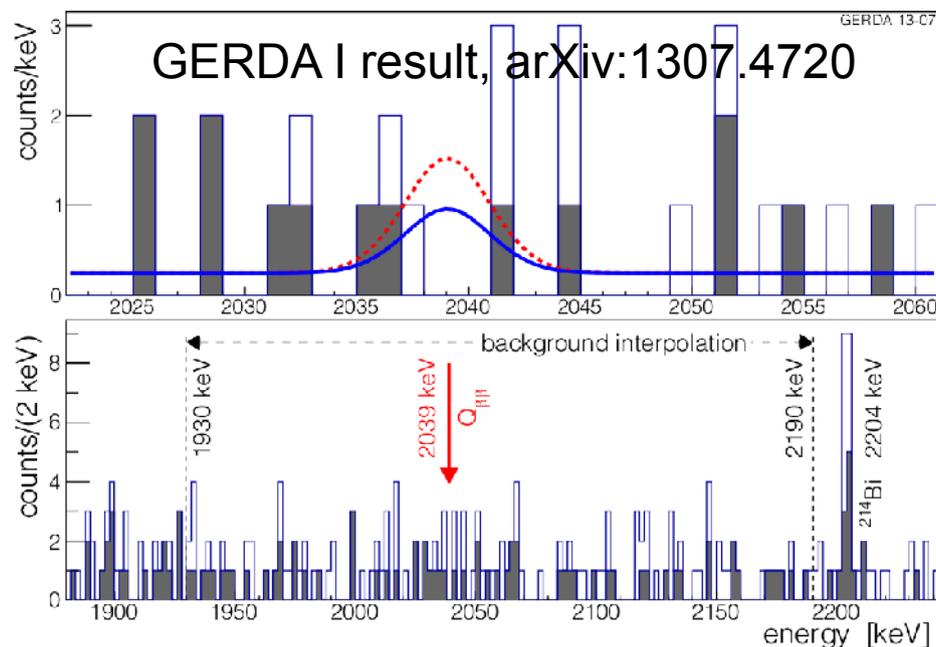
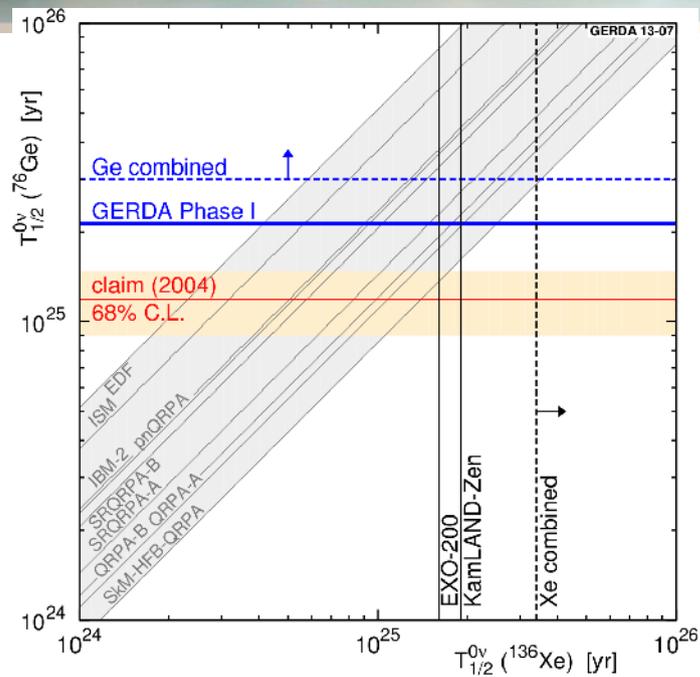


First results from GERDA phase 1



New background reduction methods:

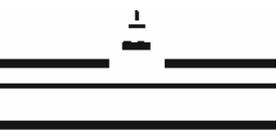
- phase 1: 18 kg enriched Ge detectors in LAr
- phase 2: point contact detectors p-type (BEGe) to identify multi-side events and use scintillation of LAr shield as veto



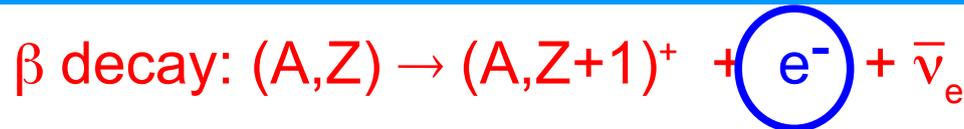
$$T_{1/2} > 2.1 \cdot 10^{25} \text{ yr (90\% C.L.)}$$

$$T_{1/2} > 3.0 \cdot 10^{25} \text{ yr (90\% C.L.) (using all } ^{76}\text{Ge experiments)}$$

$$m_{\beta\beta} < 0.2 - 0.4 \text{ eV (using all } ^{76}\text{Ge experiments)}$$



Direct determination of $m(\nu_e)$ from β decay

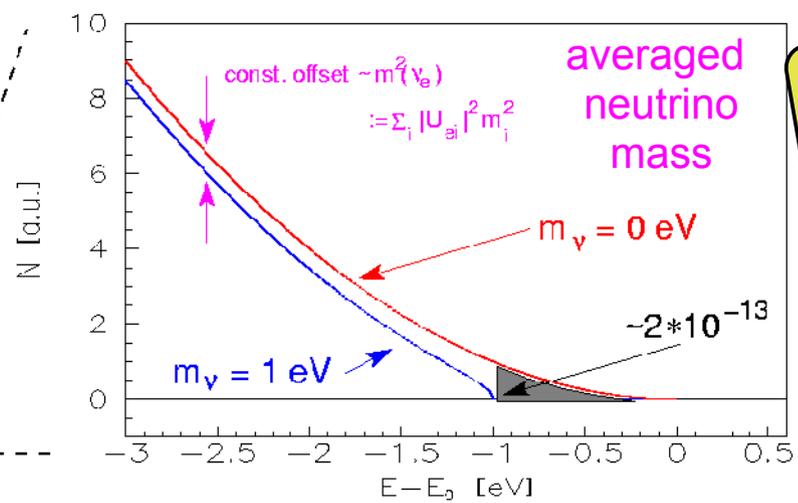
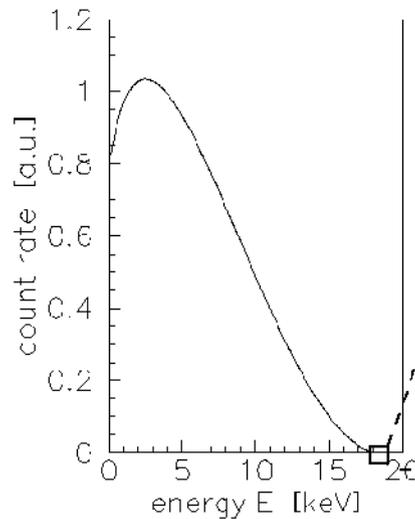


β electron energy spectrum:

$$dN/dE = K F(E,Z) p E_{\text{tot}} (E_0 - E_e) \sqrt{(E_0 - E_e)^2 - m(\nu_e)^2}$$

(modified by electronic final states, recoil corrections, radiative corrections)

Complementary to $0\nu\beta\beta$
and cosmology



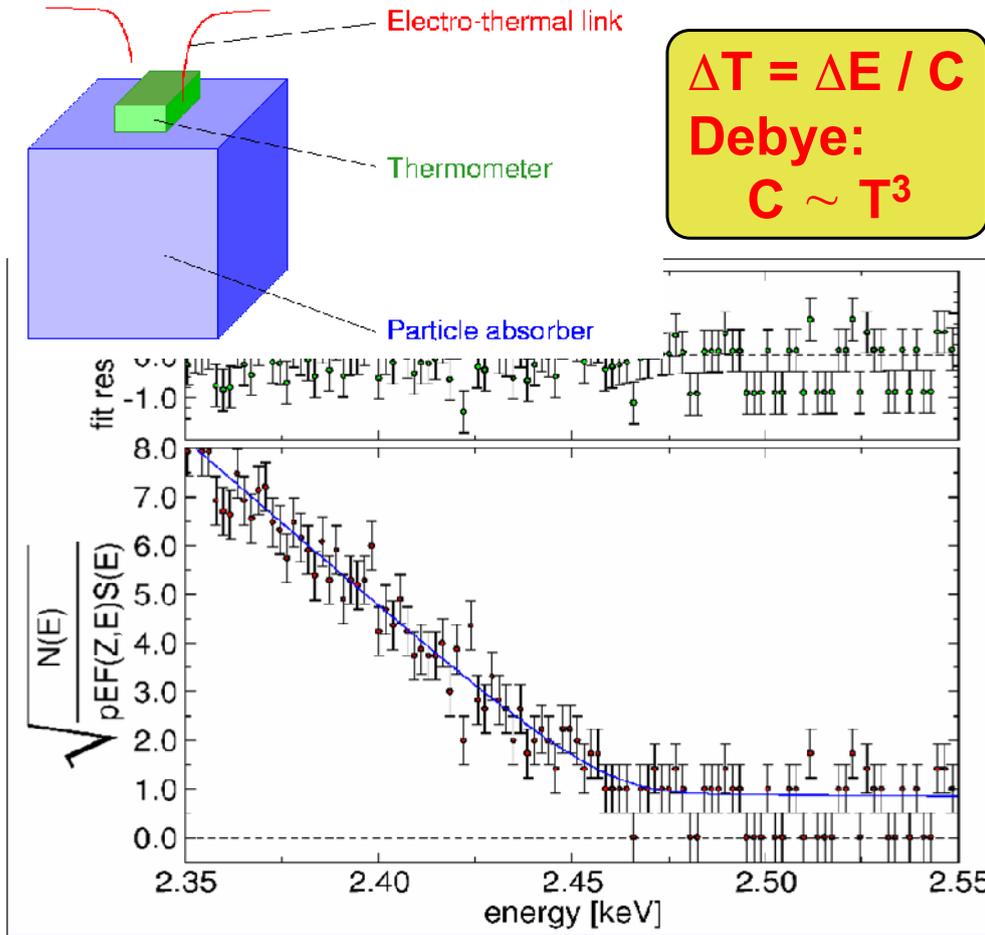
E.W. Otten & C. Weinheimer
Rep. Prog. Phys.
71 (2008) 086201

G. Drexlin, V. Hannen, S. Mertens,
C. Weinheimer, Adv. High Energy
Phys., 2013 (2013) 293986

Need: low endpoint energy
 very high energy resolution &
 very high luminosity &
 very low background

\Rightarrow Tritium ^3H , (^{187}Re)
 \Rightarrow MAC-E-Filter
 (or bolometer for ^{187}Re)

Cryogenic bolometers with ^{187}Re MIBETA (Milano/Como)



Measures all energy except that
of the neutrino

detectors: 10 (AgReO_4)

rate each: 0.13 1/s

energy res.: $\Delta E = 28 \text{ eV}$

pile-up frac.: $1.7 \cdot 10^{-4}$

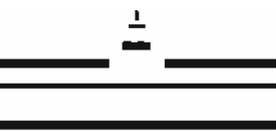
$$M_\nu^2 = -141 \pm 211_{\text{stat}} \pm 90_{\text{sys}} \text{ eV}^2$$

$$M_\nu < 15.6 \text{ eV (90\% c.l.)}$$

(M. Sisti et al., NIMA520 (2004) 125)

MANU (Genova)

- Re metallic crystal (1.5 mg)
- BEFS observed (F.Gatti et al., Nature 397 (1999) 137)
- sensitivity: $m(\nu) < 26 \text{ eV}$ (F.Gatti, Nucl. Phys. B91 (2001) 293)



MARE neutrino mass project: ^{187}Re beta decay with cryogenic bolometers

Advantages of cryogenic bolometers:

- measures all released energy except that of the neutrino
- no final atomic/molecular states
- no energy losses
- no back-scattering

Challenges of cryogenic bolometers:

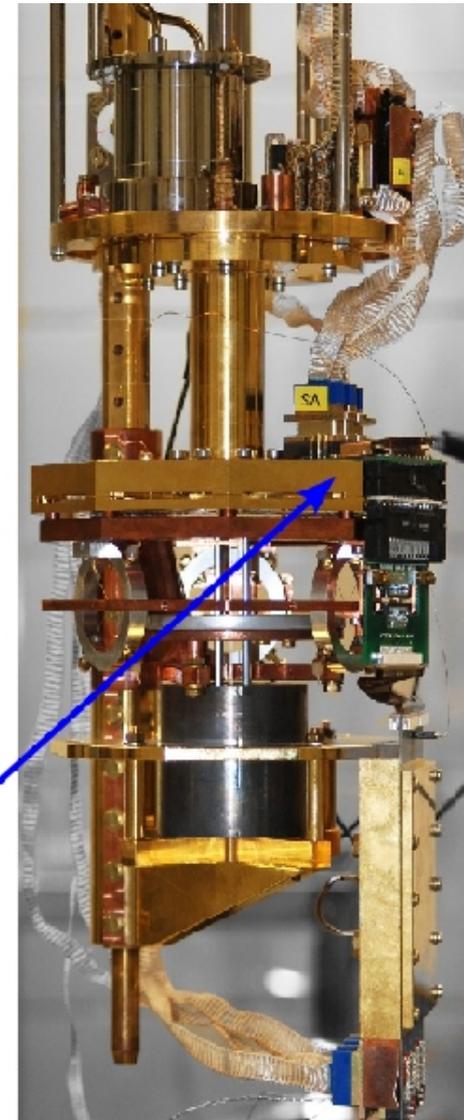
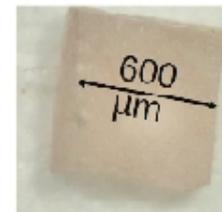
- measures the full spectrum (pile-up)
- need large arrays to get statistics
- understanding spectrum
- still energy losses or trapping possible
- beta environmental fine structure

MARE-1 @ Genova

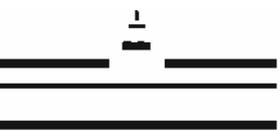
- R&D effort for Re single crystals on transition edge sensors (TES) → improve rise time to $\sim \mu\text{s}$ and energy resolution to few eV
- large arrays ($\approx 10^3$ pixels) for 10^4 - 10^5 detector experiment
- high bandwidth, multiplexed SQUID readout
- also used with ^{163}Ho loaded absorbers

MARE-1 @ Milano-Bicocca

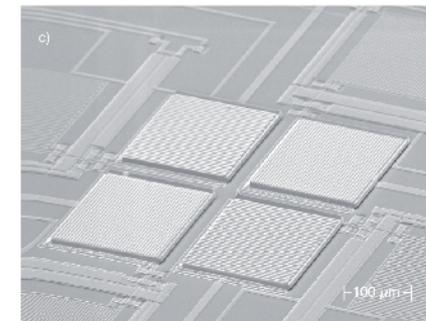
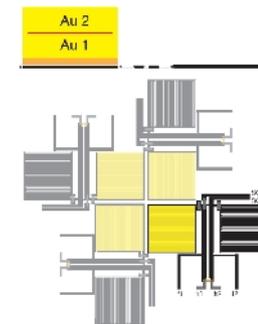
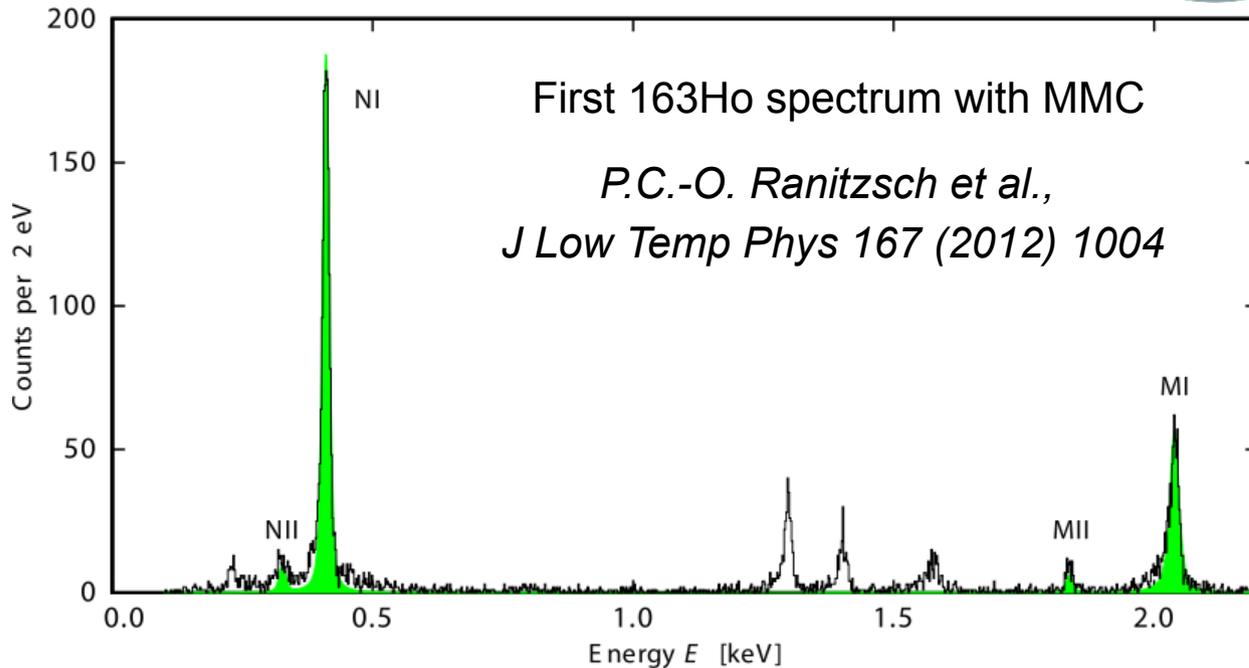
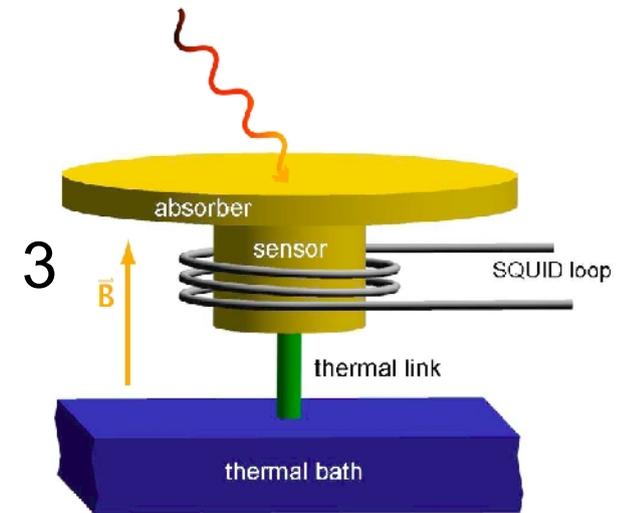
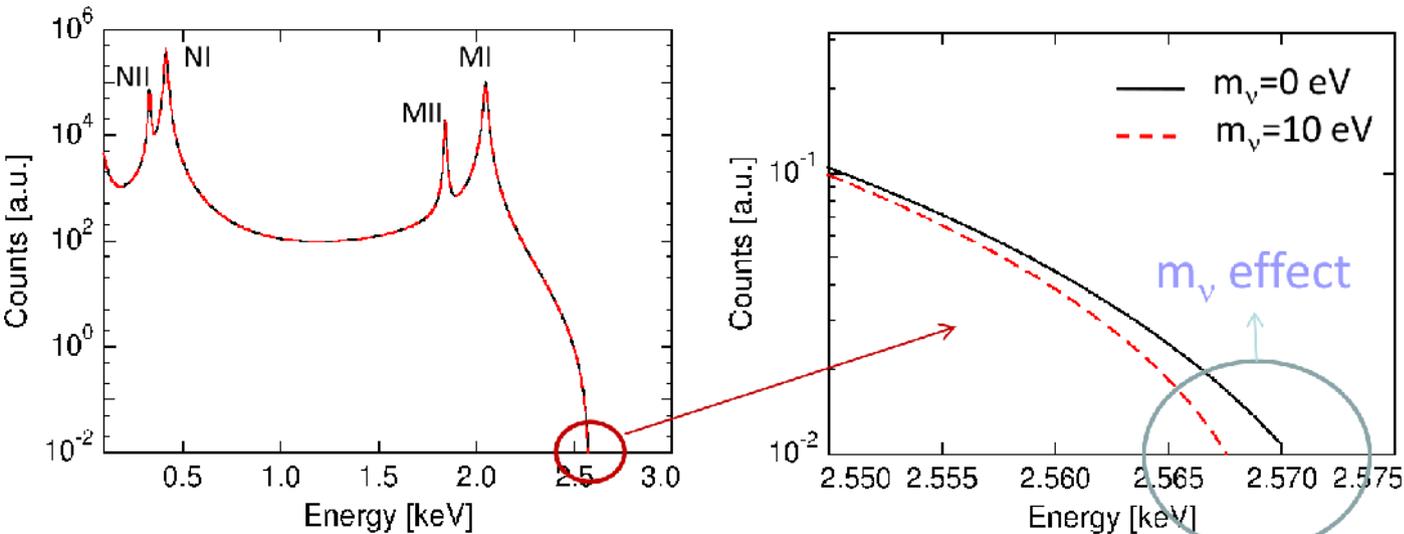
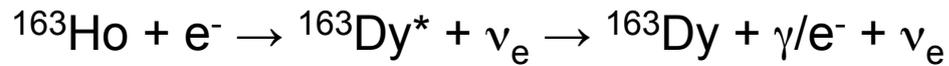
- 6x6 array of Si-implanted thermistors (NASA/GSFC)
- 0.5 mg AgReO_4 crystals
- $\Delta E \approx 30 \text{ eV}$, $\tau_R \approx 250 \mu\text{s}$
- experimental setup for up to 8 arrays completed
- starting with 72 pixels in 2011
- up to 10^{10} events in 4 years → $\sim 4 \text{ eV}$ sensitivity



Angelo Nucciotti, Meudon 2011



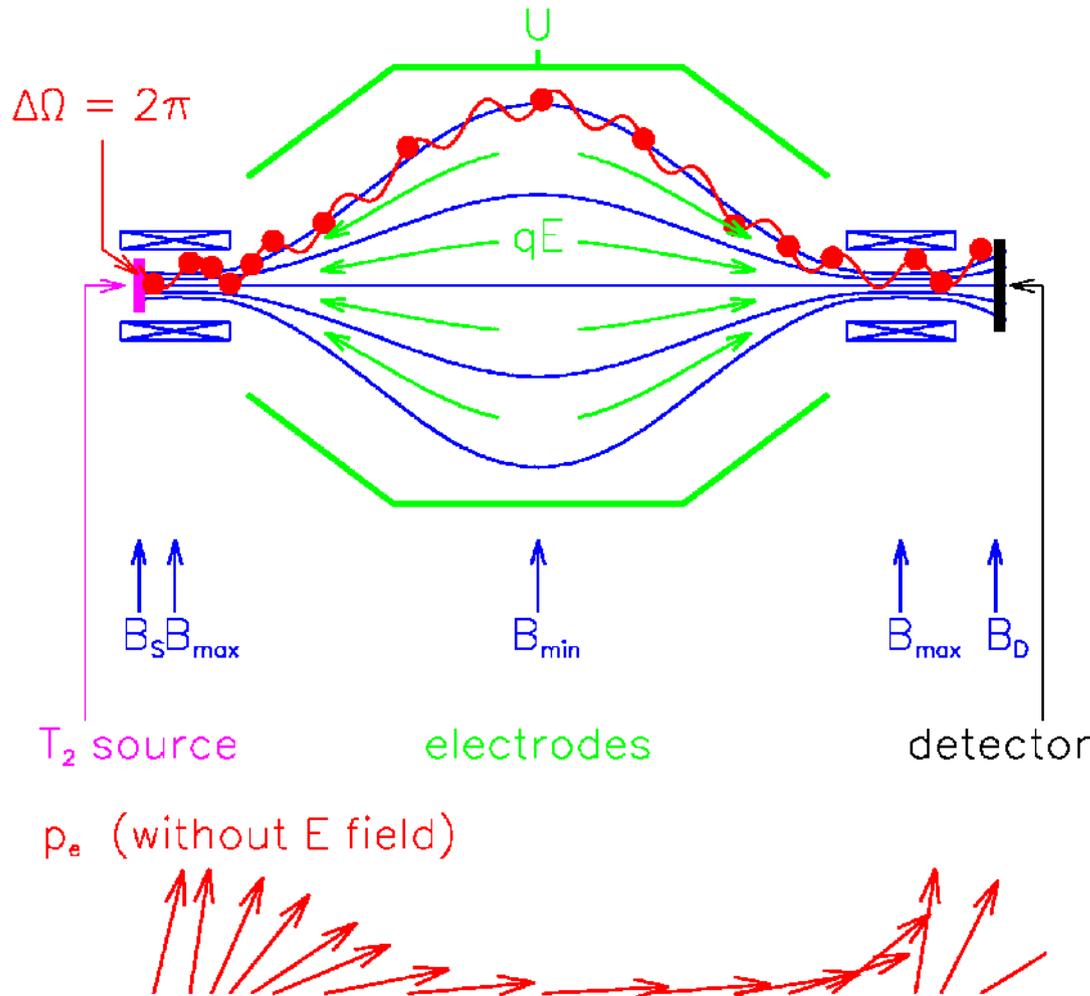
ECHO neutrino mass project: ^{163}Ho electron capture with metallic magnetic calorimeters



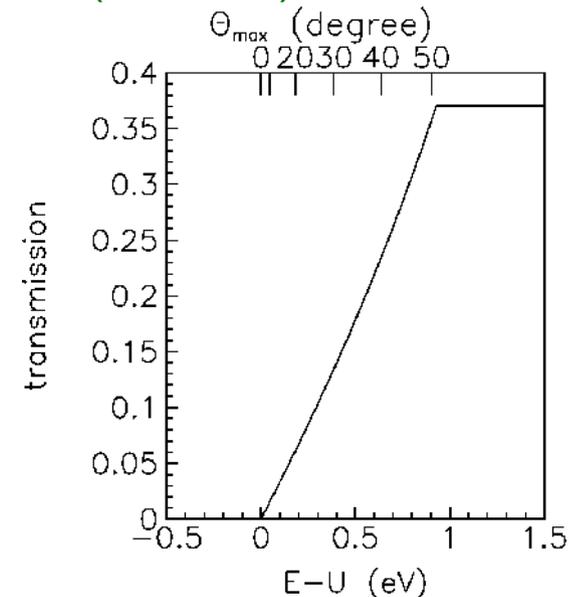
courtesy L. Gastaldo

Tritium experiments: source \neq spectrometer

MAC-E-Filter

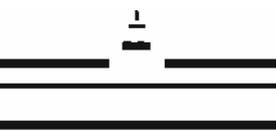


- Two supercond. solenoids compose magnetic guiding field
- adiabatic transformation:
 $\mu = E/B = \text{const.}$
 \Rightarrow parallel e^- beam
- Energy analysis by electrostat. retarding field
 $\Delta E = EB_{min}/B_{max}$
 $= 0.93 \text{ eV (KATRIN)}$

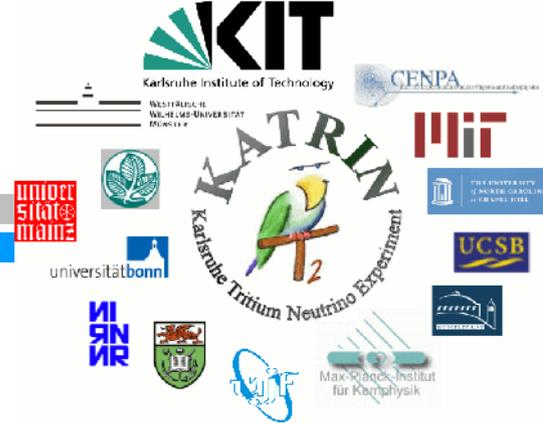


\Rightarrow sharp integrating transmission function without tails \rightarrow

Magnetic Adiabatic Collimation + Electrostatic Filter
 (A. Picard et al., Nucl. Instr. Meth. 63 (1992) 345)



The KATRIN experiment at KIT



Aim: $m(\nu_e)$ sensitivity of 200 meV (currently 2 eV)

- very high energy resolution ($\Delta E \leq 1\text{eV}$, i.e. $\sigma = 0.3\text{ eV}$) \Rightarrow source \neq spectrometer concept
- strong, opaque source $\Rightarrow dN/dt \sim A_{\text{source}}$
- magnetic flux conservation (Liouville) \Rightarrow scaling law:

$$A_{\text{spectrometer}} / A_{\text{source}} = B_{\text{source}} / B_{\text{spectrometer}} = E / \Delta E = 20000 / 1$$

KATRIN Design Report
Scientific Report FZKA 7090

windowless gaseous
molecular tritium source

tritium
retention
system

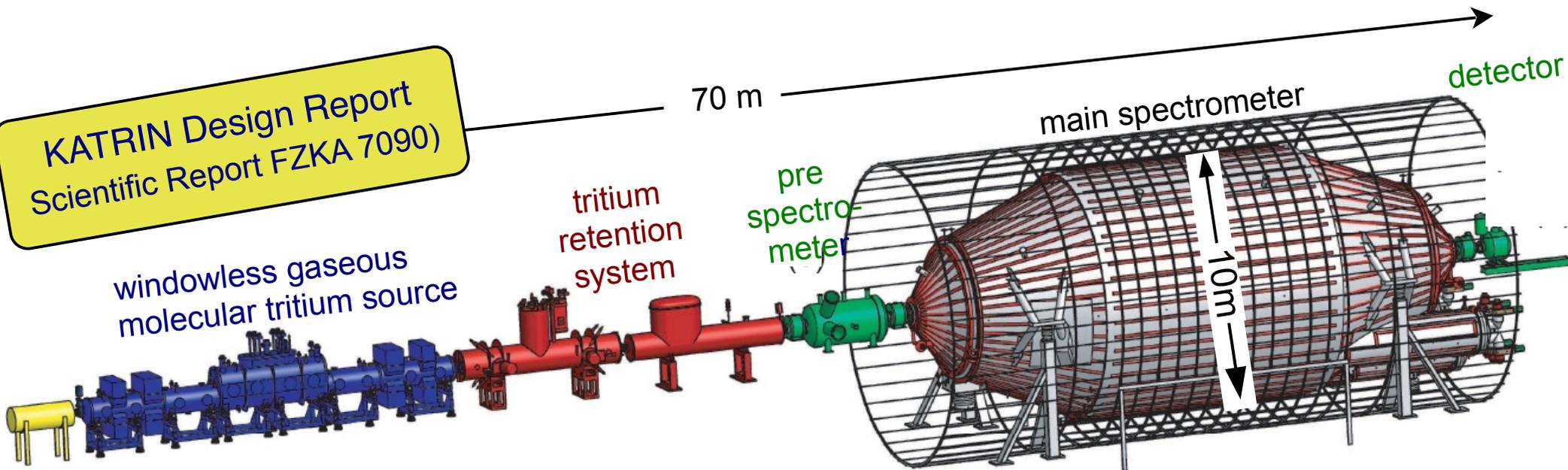
70 m

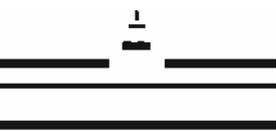
pre
spectro-
meter

main spectrometer

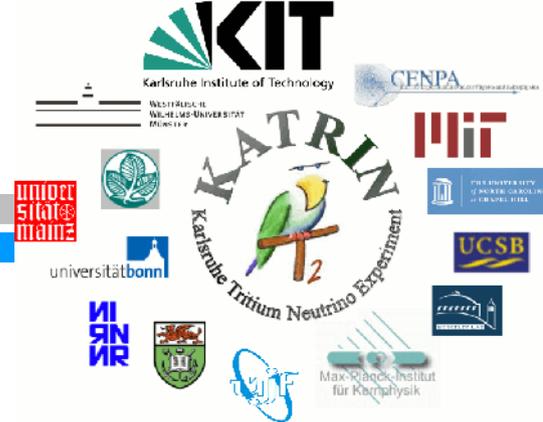
10m

detector





The KATRIN experiment at KIT



Aim: $m(\nu_e)$ sensitivity of 200 meV (currently 2 eV)

- very high energy resolution ($\Delta E \leq 1\text{eV}$, i.e. $\sigma = 0.3\text{ eV}$) \Rightarrow source \neq spectrometer concept
- strong, opaque source $\Rightarrow dN/dt \sim A_{\text{source}}$
- magnetic flux conservation (Liouville) \Rightarrow scaling law:

$$A_{\text{spectrometer}} / A_{\text{source}} = B_{\text{source}} / B_{\text{spectrometer}} = E / \Delta E = 20000 / 1$$

KATRIN Design Report
Scientific Report FZKA 7090

windowless gaseous
molecular tritium source

tritium
retention
system

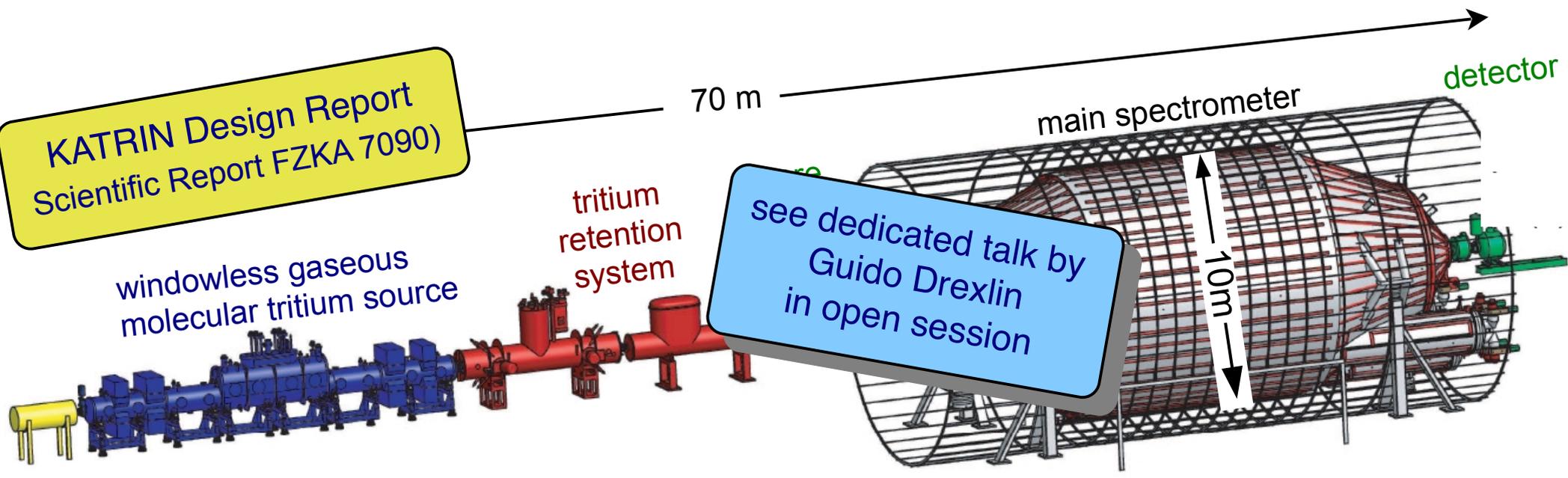
70 m

see dedicated talk by
Guido Drexlin
in open session

main spectrometer

10m

detector



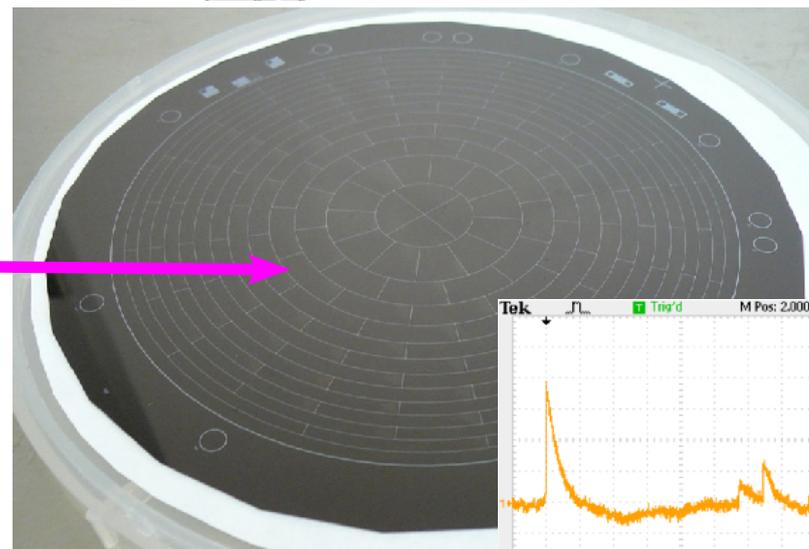
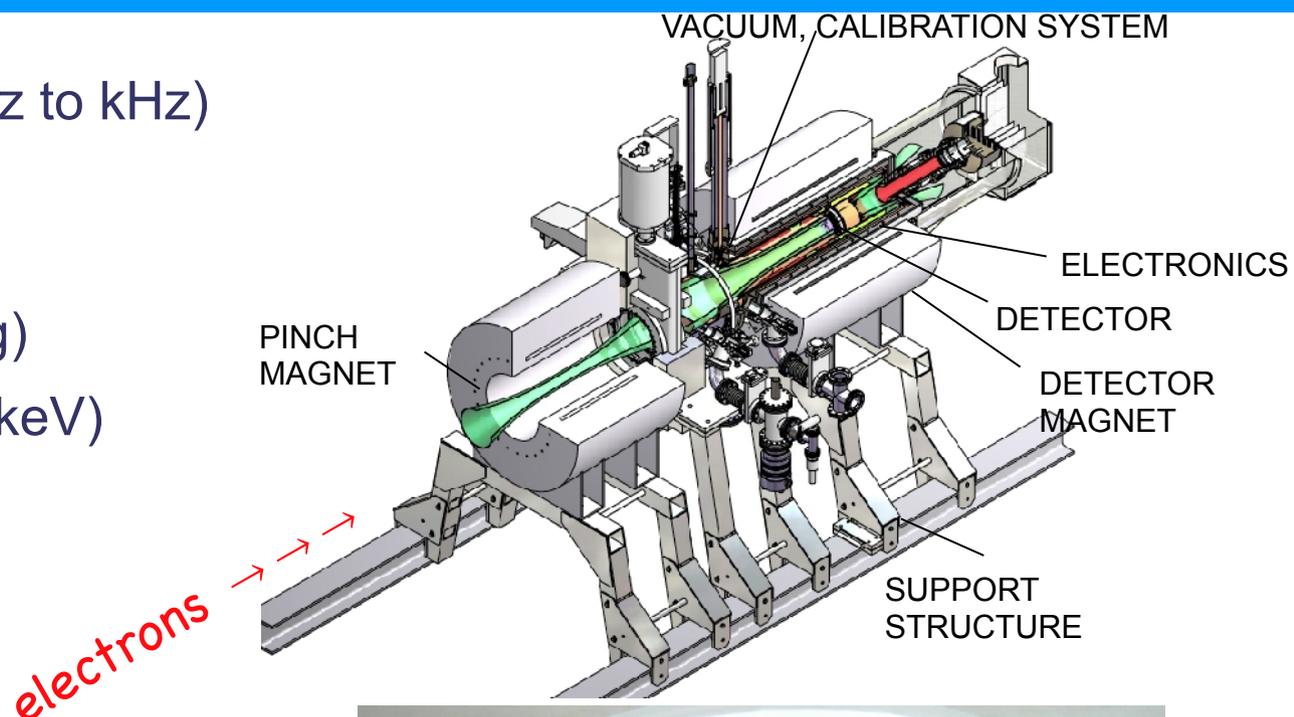
The detector

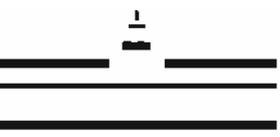
Requirements

- detection of β -electrons (mHz to kHz)
- high efficiency ($> 90\%$)
- low background (< 1 mHz)
(passive and active shielding)
- good energy resolution (< 1 keV)

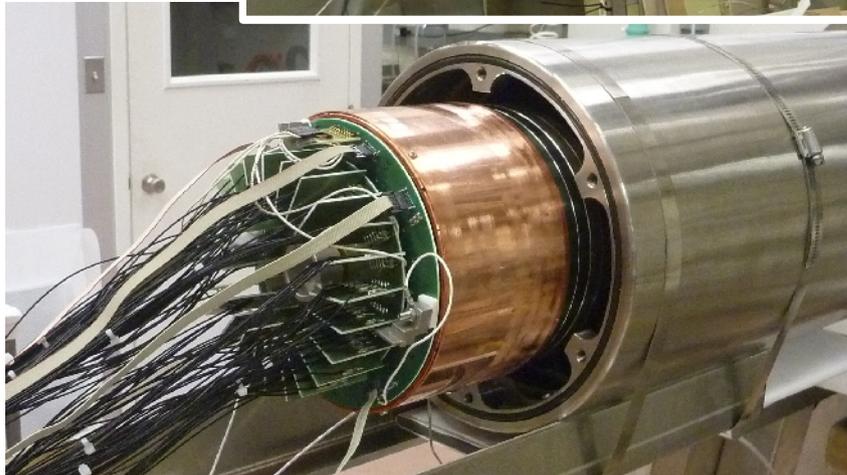
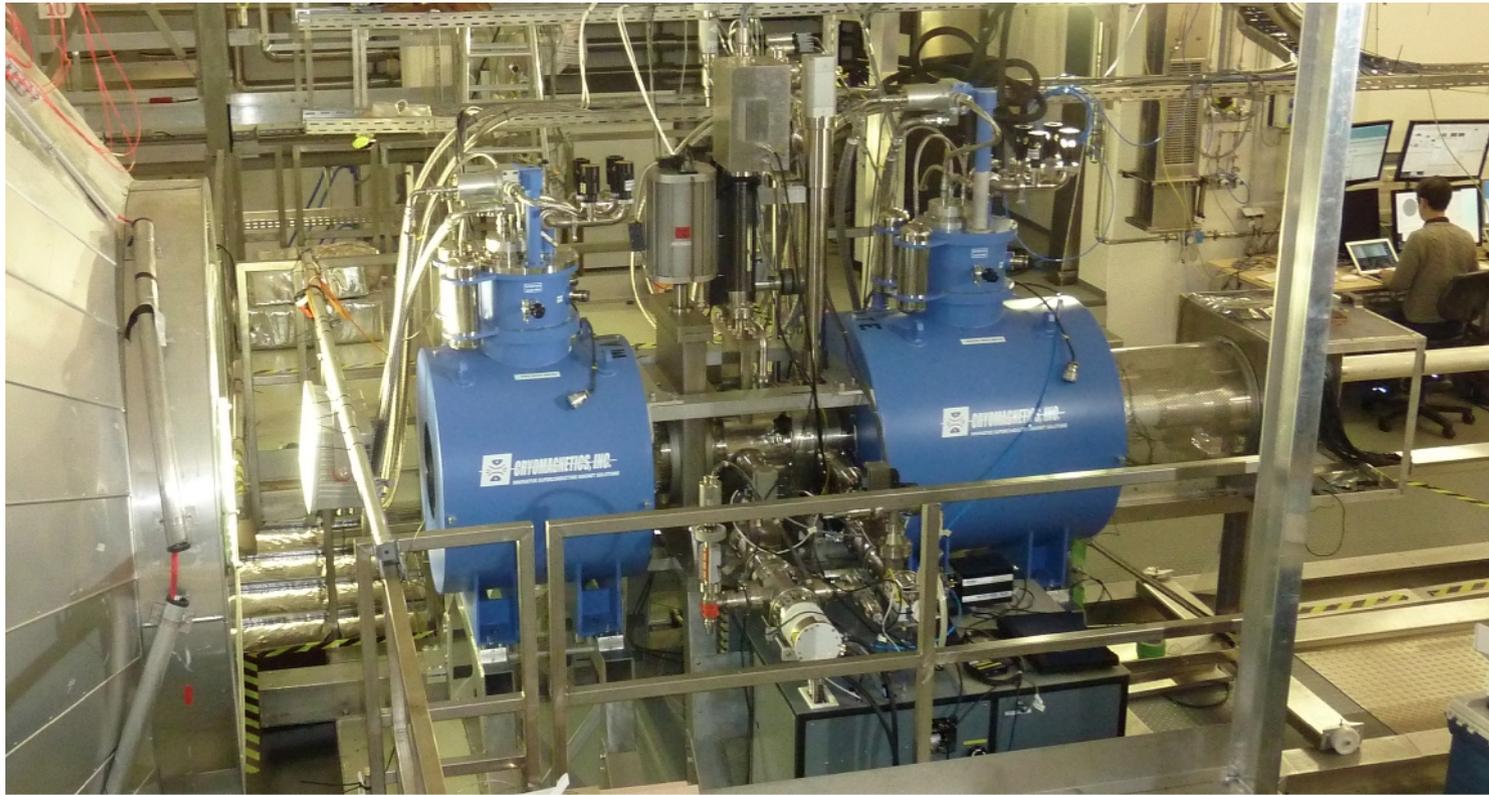
Properties

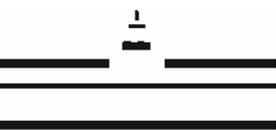
- 90 mm \varnothing Si PIN diode
- thin entry window (50nm)
- detector magnet 3 - 6 T
- post acceleration (30kV)
(to lower background in signal region)
- segmented wafer (145 pixels)
 - record azimuthal and radial profile of the flux tube
 - investigate systematic effects
 - compensate field inhomogeneities





KATRIN detector has been commissioned at KIT

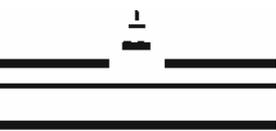




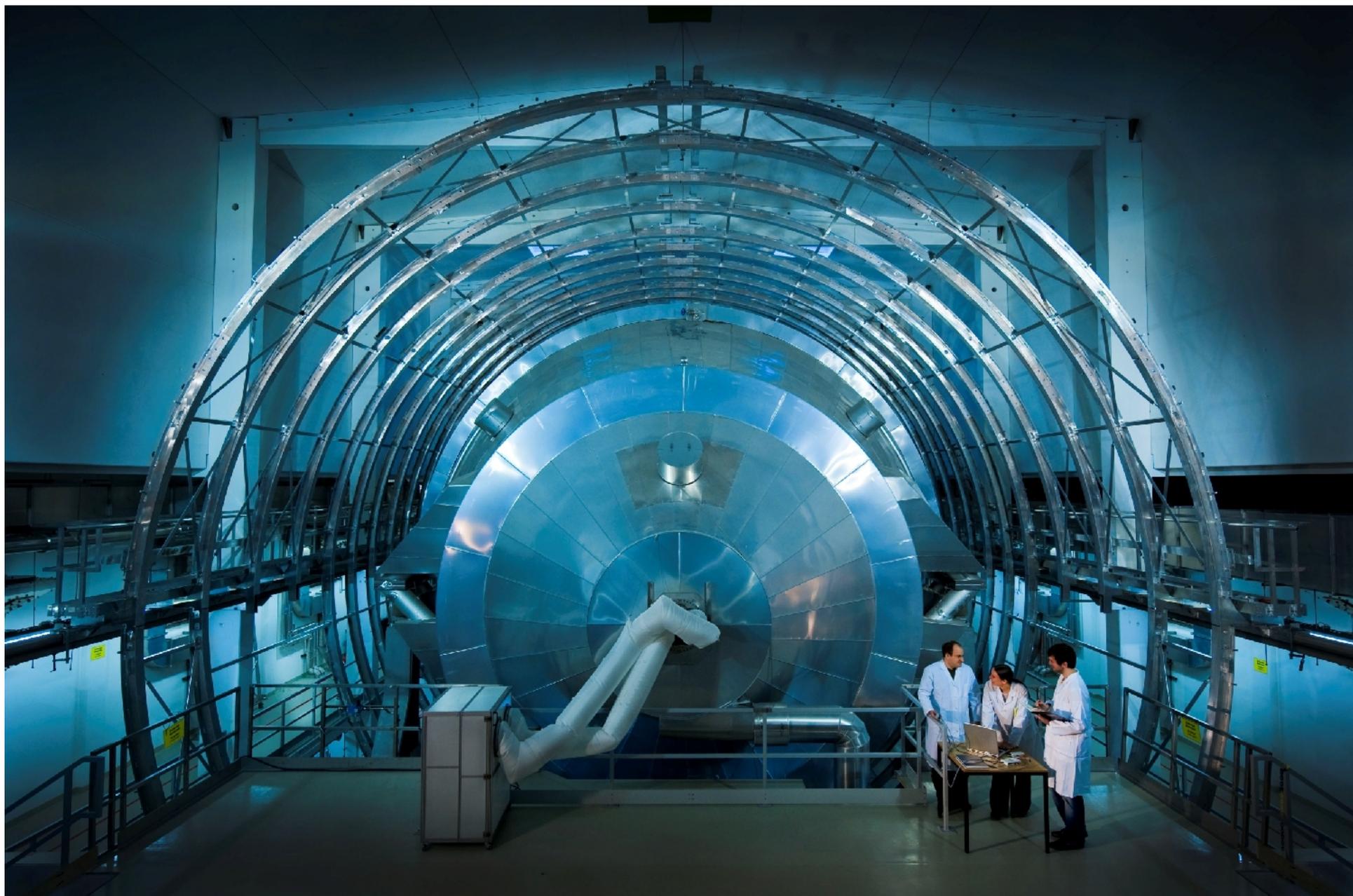
Main spectrometer – transport to Karlsruhe Institute of Technology

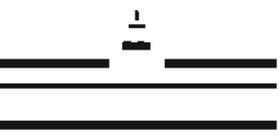


Leopoldshafen, 25.11.06



Main spectrometer with air coil system





Wire electrode system inside: bg reduction, field shaping, ...



Foto: M. Zacher

Example of KATRIN simulation & fit
(last 25eV below endpoint, reference):

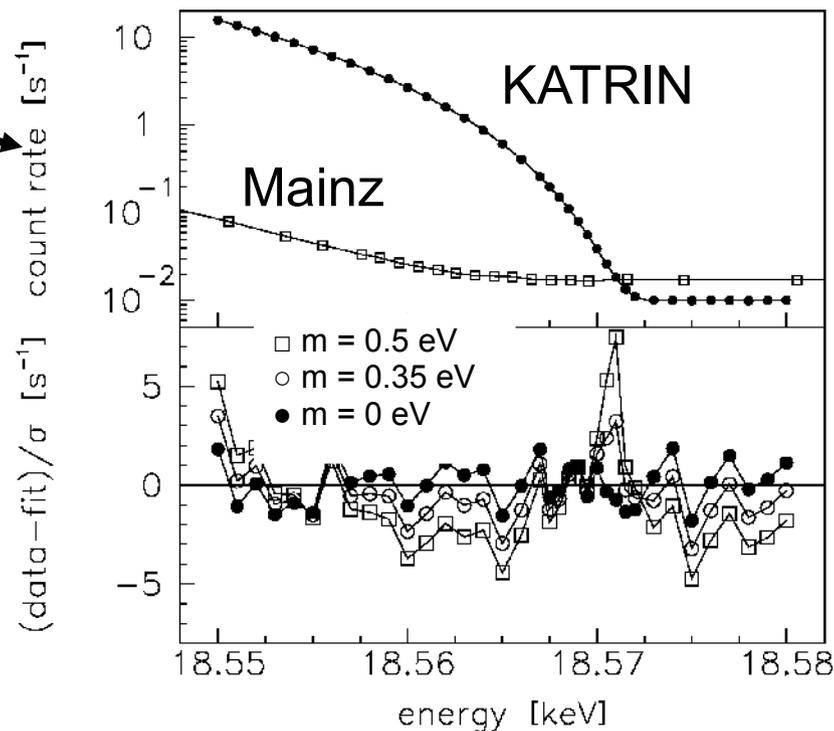
sensitivity:

$$m_\nu < 0.2\text{eV (90\%CL)}$$

discovery potential:

$$m_\nu = 0.3\text{eV (3}\sigma)$$

$$m_\nu = 0.35\text{eV (5}\sigma)$$



Expectation for 3 full data taking years: $\sigma_{\text{syst}} \sim \sigma_{\text{stat}}$

Sensitivity is still statistically limited,

because with more statistics would go closer to the endpoint,
where most systematics nearly vanish

Sensitivity still has to proven, but there might be even some more improvements

Example of KATRIN simulation & fit
(last 25eV below endpoint)

⇒ **KATRIN** will improve the sensitivity by 1 order of magnitude
will check the whole cosmological relevant mass range
will detect degenerate neutrinos (if they are degen.)

KATRIN can also searching sterile neutrinos
by looking for a kink in the decay spectrum:

$$dN/dE = K F(E,Z) \rho E_{\text{tot}} (E_0 - E_e) \sum_{i=1}^{n_{\text{active}} + n_{\text{sterile}}} |U_{ei}|^2 \sqrt{(E_0 - E_e)^2 - m(\nu_i)^2}$$

eV scale (reactor anomaly):

J. A. Formaggio, J. Barret, PLB 706 (2011) 68

A. Sejersen Riis, S. Hannestad, JCAP02 (2011) 011

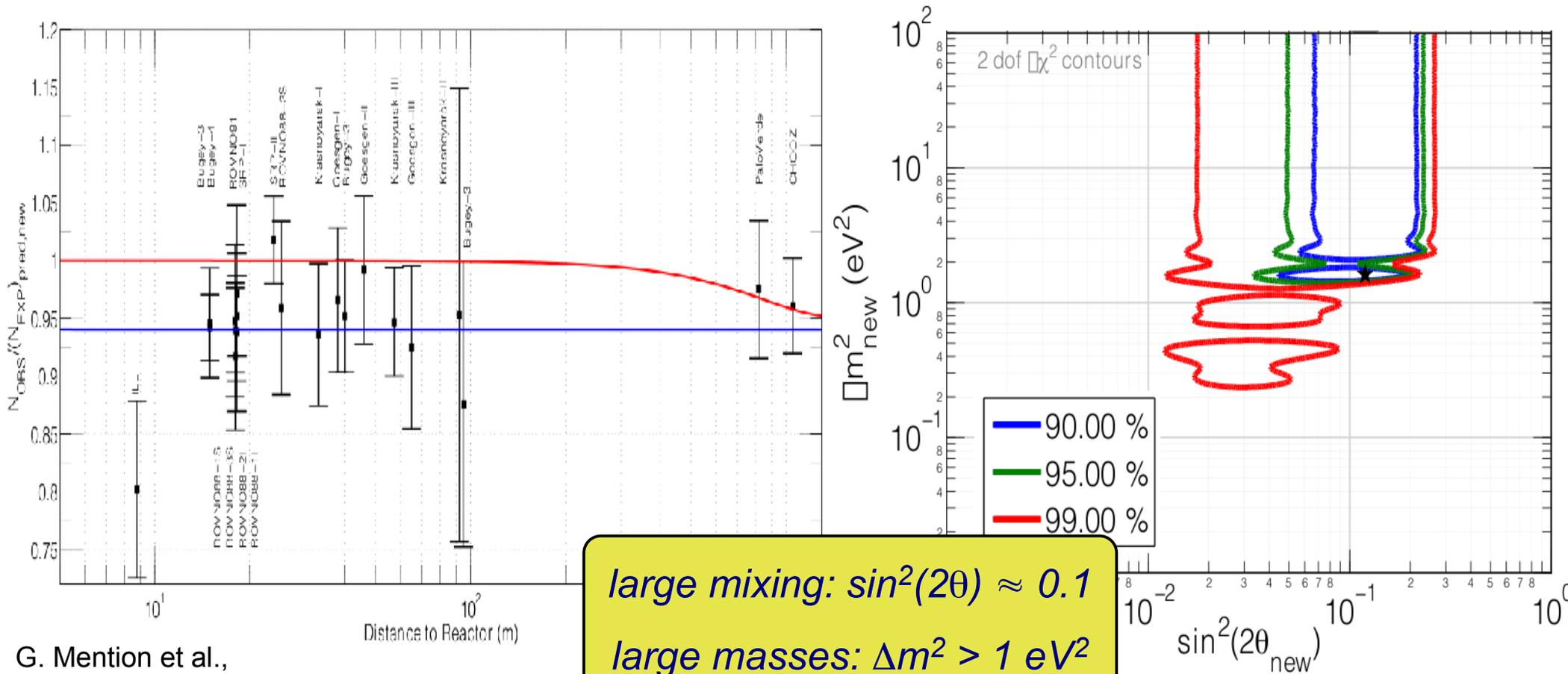
A. Esmaili, O.L.G. Peres, arXiv:1203.2632

keV scale (dark matter): under study

Sensitivity still has to proven, but there might be even some more improvements

Re-evaluation of reactor neutrinos fluxes and use of GALLEX/SAGE calibration measurements:

“reactor antineutrino anomaly”: $P_{ee} = 0.943 \pm 0.023$

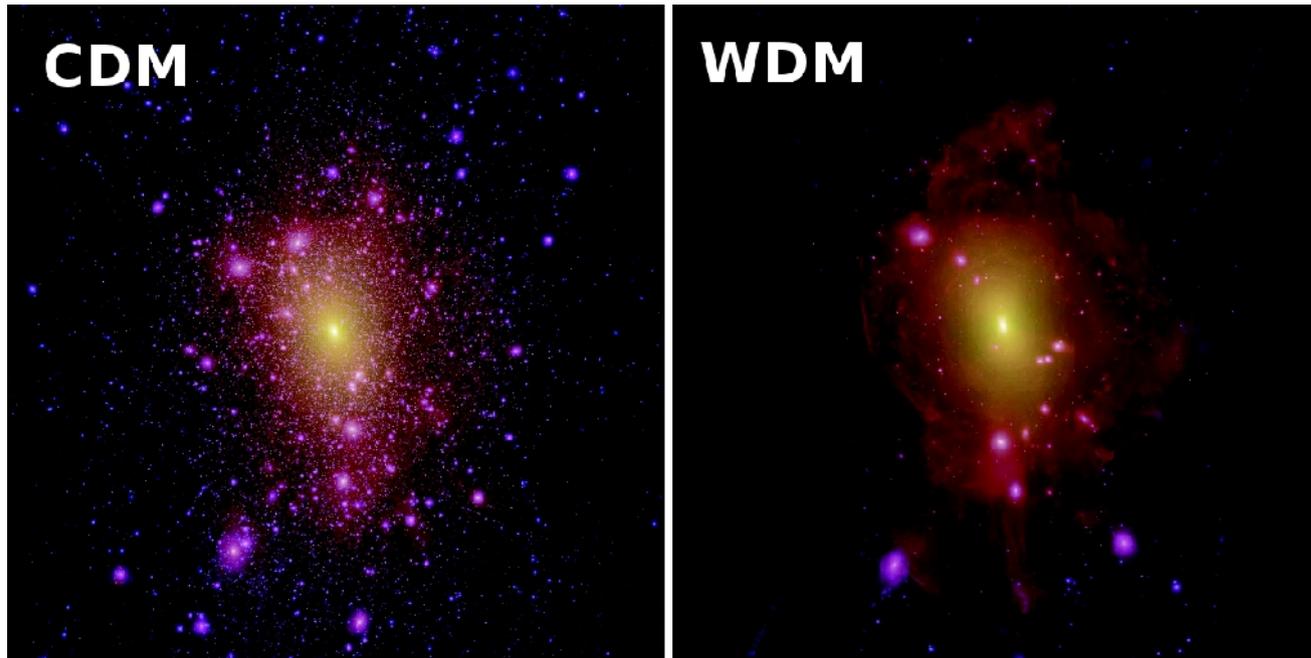


large mixing: $\sin^2(2\theta) \approx 0.1$

large masses: $\Delta m^2 > 1 \text{ eV}^2$

Hints for a 2nd sterile neutrino: Warm Dark Matter in the universe

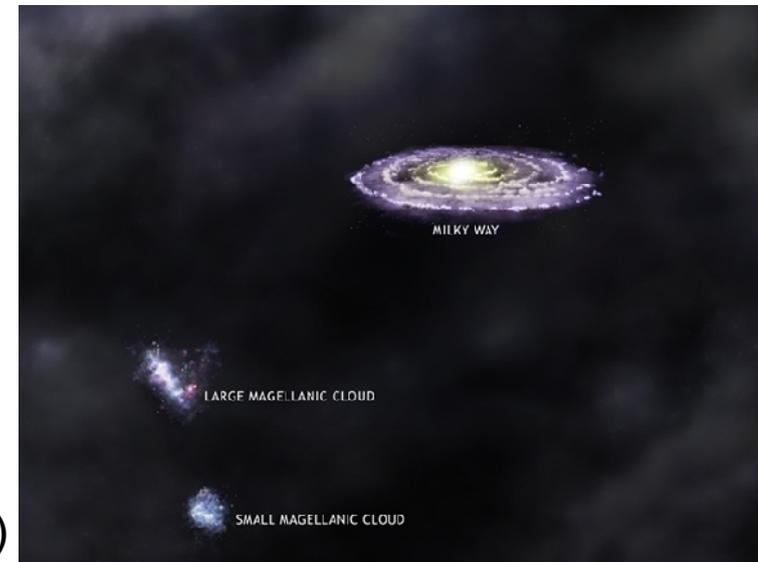
Λ CDM (Cold Dark Matter with cosmological constant) models (masses of about 100 GeV) predict too much structure at galactic scales (too many satellite galaxies)



(e.g. Lovell et al. at Meudon Workshop 2012)

In contrast to observations ! (here only artist view on the right)

Warm Dark Matter (masses of a few keV, e.g. sterile neutrinos) would smear out these structures

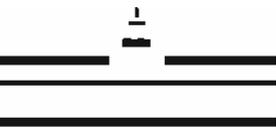


http://chandra.harvard.edu/graphics/resources/illustrations/milkyWay/milkyway_magellanic_clouds.jpg

Neutrino mixing with 3 active neutrinos: active = coupling to Z^0 and $W^{+/-}$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

U is unitary 3 x 3 matrix



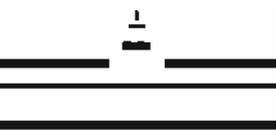
3 active neutrinos plus a sterile neutrino

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_{\text{sterile}} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & 0 \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & 0 \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

ν_{sterile} does not couple to Z^0 and $W^{+/-}$

Now we have an unitary 4 x 4 matrix,
but still the 3 x 3 submatrix is unitary

ν_{sterile} and ν_4 do not play any
physical role (except for gravitation)



3 active neutrinos plus a sterile neutrino with non-vanishing mixing

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_{\text{sterile}} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

ν_{sterile} does not couple to Z^0 and $W^{+/-}$

Now we have an unitary 4 x 4 matrix, but usually $U_{s1}, U_{s2}, U_{s3}, U_{e4}, U_{\mu4}, U_{\tau4} \ll 1$

But the 3 x 3 submatrix is not unitary anymore !

ν_{sterile} and ν_4 do play a physical role by their mixing:

$$\begin{aligned} \nu_e &= \sum_{i=1}^3 U_{ei} \nu_i + U_{e4} \nu_4 \\ m^2(\nu_e) &:= \sum_{i=1}^3 |U_{ei}|^2 m^2(\nu_i) + |U_{e4}|^2 m^2(\nu_4) \\ &\approx \cos^2(\theta) m(\nu_{1,2,3})^2 + \sin^2(\theta) m(\nu_4)^2 \end{aligned}$$

3 active neutrinos plus a sterile neutrino with non-vanishing mixing

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_{\text{sterile}} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

Are sterile neutrinos a crazy idea ?

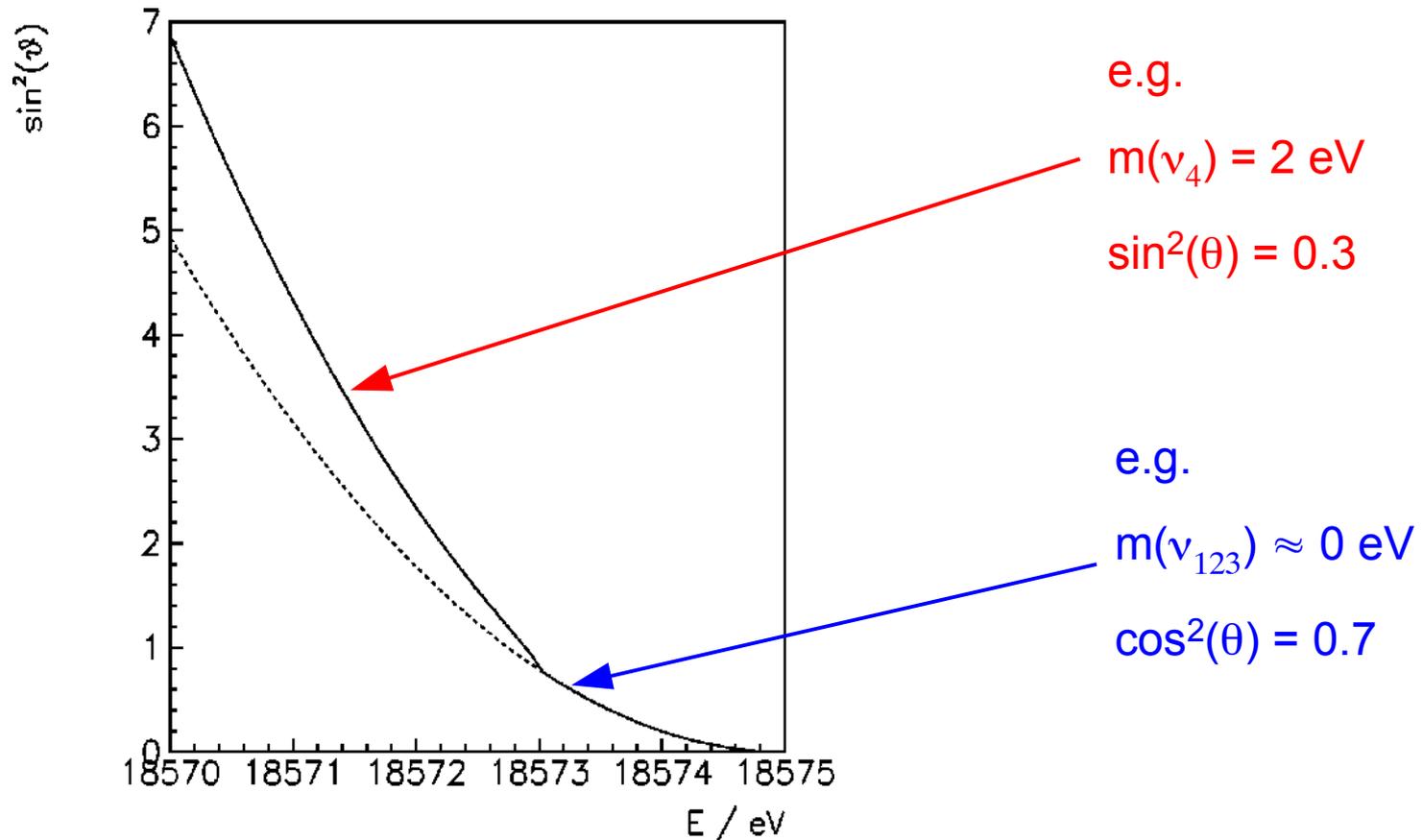
Not a all:

We expect 3 right-handed („sterile“) neutrinos from the sea-saw mechanism to create the light neutrino masses ν_1, ν_2, ν_3

The only new thing is, that one (ν_4) or two neutrinos (ν_4, ν_5) do not have masses of 10^x GeV but are very light

Influence of a 4th sterile neutrino near the endpoint E_0

$$dN/dE = K F(E,Z) p E_{\text{tot}} (E_0 - E_e) \left(\cos^2(\theta) \sqrt{(E_0 - E_e)^2 - m(\nu_{1,2,3})^2} + \sin^2(\theta) \sqrt{(E_0 - E_e)^2 - m(\nu_4)^2} \right)$$



Remark: Neutrinoless double β decay:

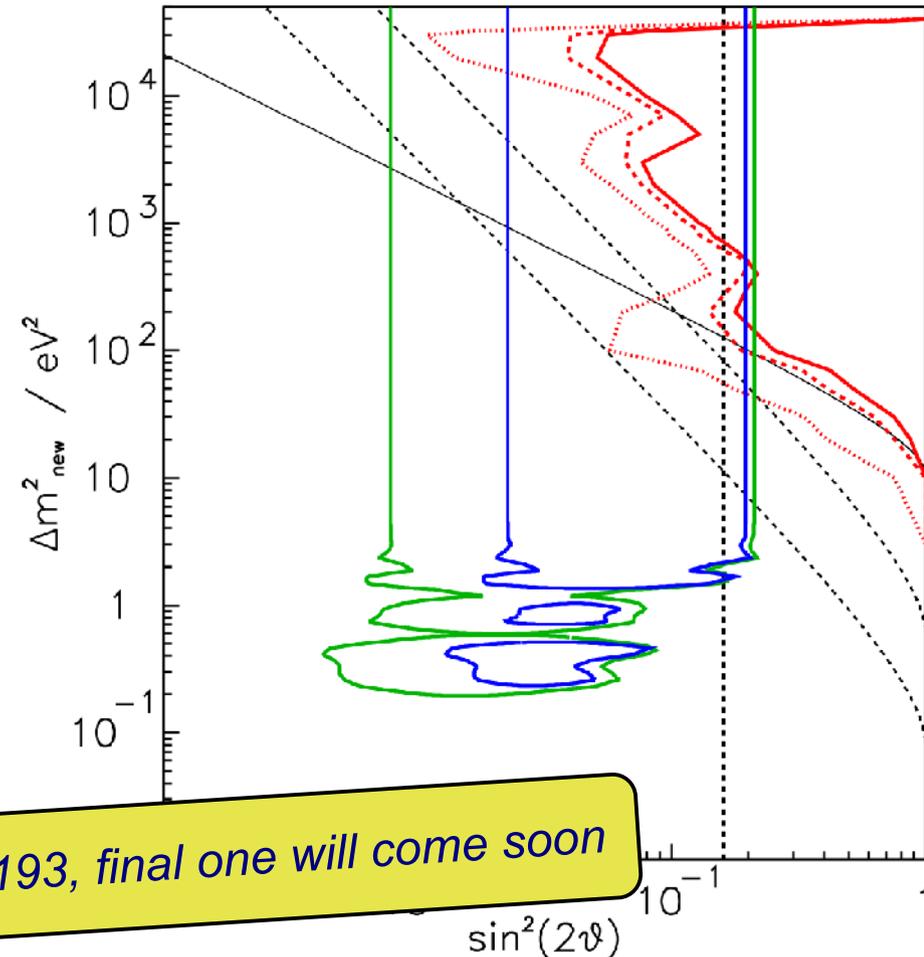
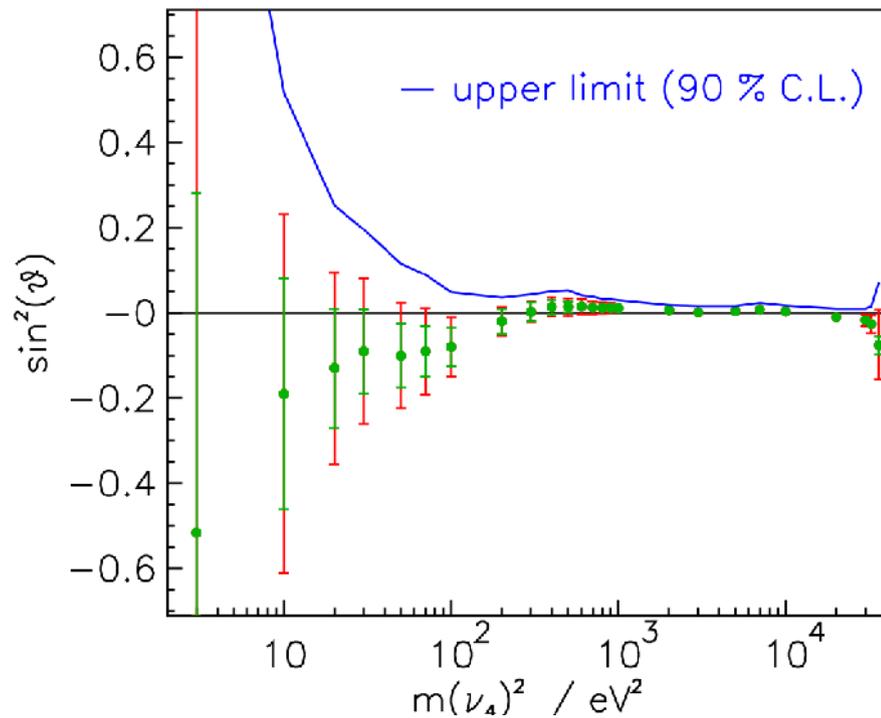
$$m_{\beta\beta}(\nu) = \left| \sum_{i=1}^{n_a+n_s} |U_{ei}|^2 e^{i\alpha(i)} m(\nu_i) \right| \quad (\text{coherent})$$

measures only „one number“ \rightarrow cannot distinguish sterile neutrinos if U_{ei} is small

Sterile neutrino limits from the Mainz Neutrino Mass Experiment

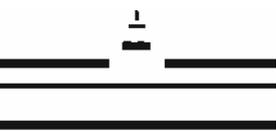
$$dN/dE = K F(E,Z) p E_{\text{tot}} (E_0 - E_e) \left(\cos^2(\theta) \sqrt{(E_0 - E_e)^2 - m(\nu_{1,2,3})^2} + \sin^2(\theta) \sqrt{(E_0 - E_e)^2 - m(\nu_4)^2} \right)$$

Do same analysis (same data sets, same programs, same way to treat systematic uncertainties) on Mainz phase 2 data as in *C. Kraus et al., Euro. Phys. J. C40 (2005) 447*



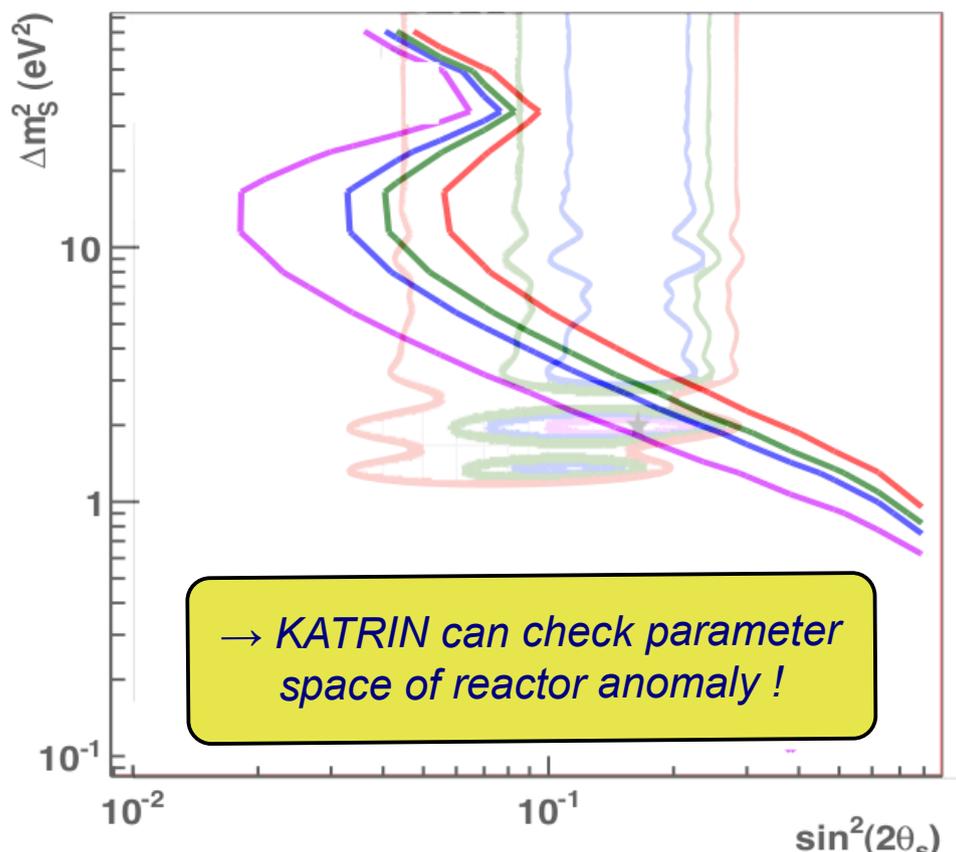
Ch. Kraus, A. Singer, K. Valerius, CW, arXiv.1210.4194,
Eur. Phys. J. C73 (2013) 2323

similar analysis by Troitsk: preliminary arXiv:1211.7193, final one will come soon

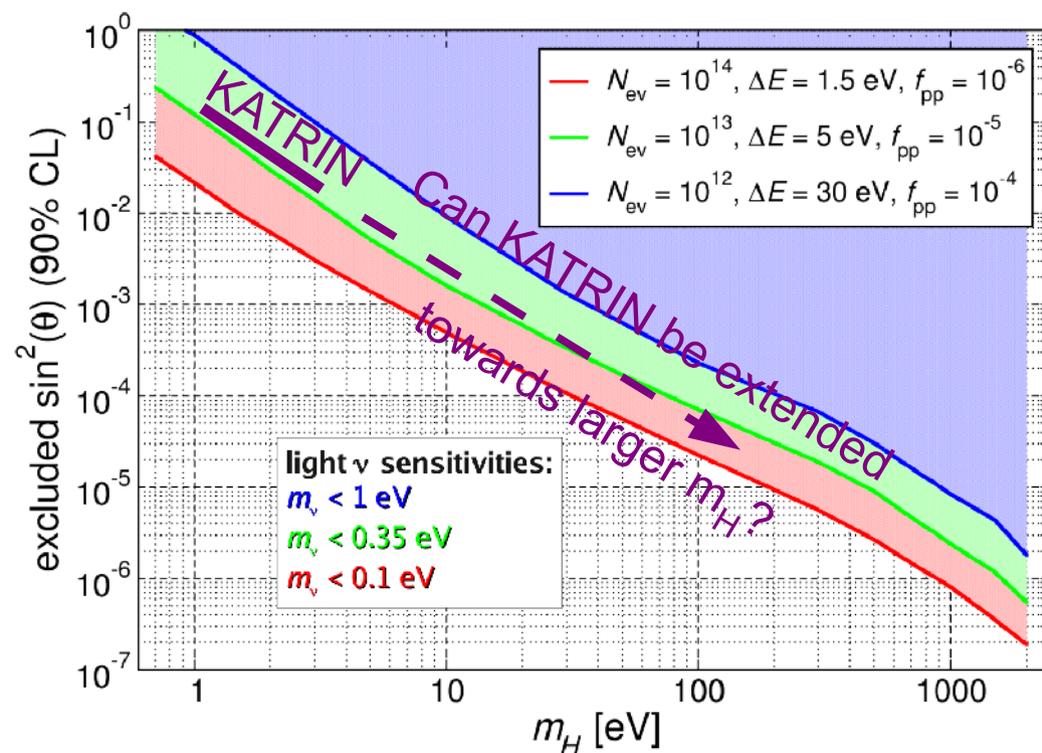


Sensitivity on sterile neutrinos of the next direct neutrino mass experiments

KATRIN



MARE II

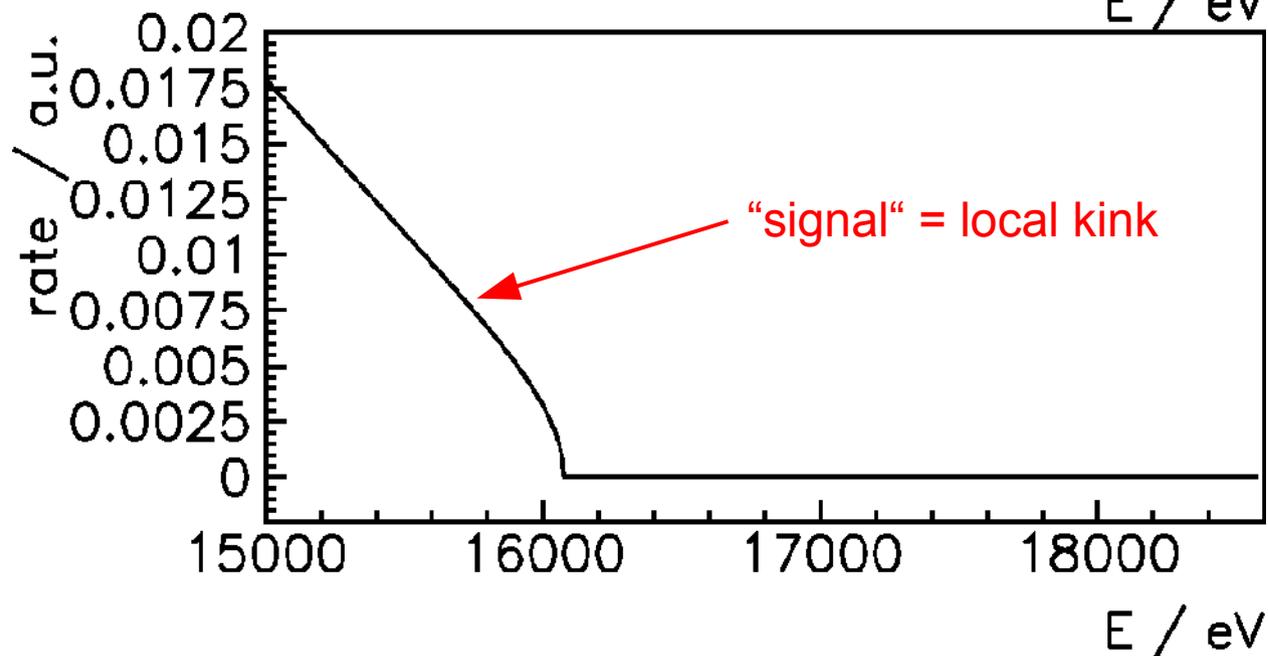
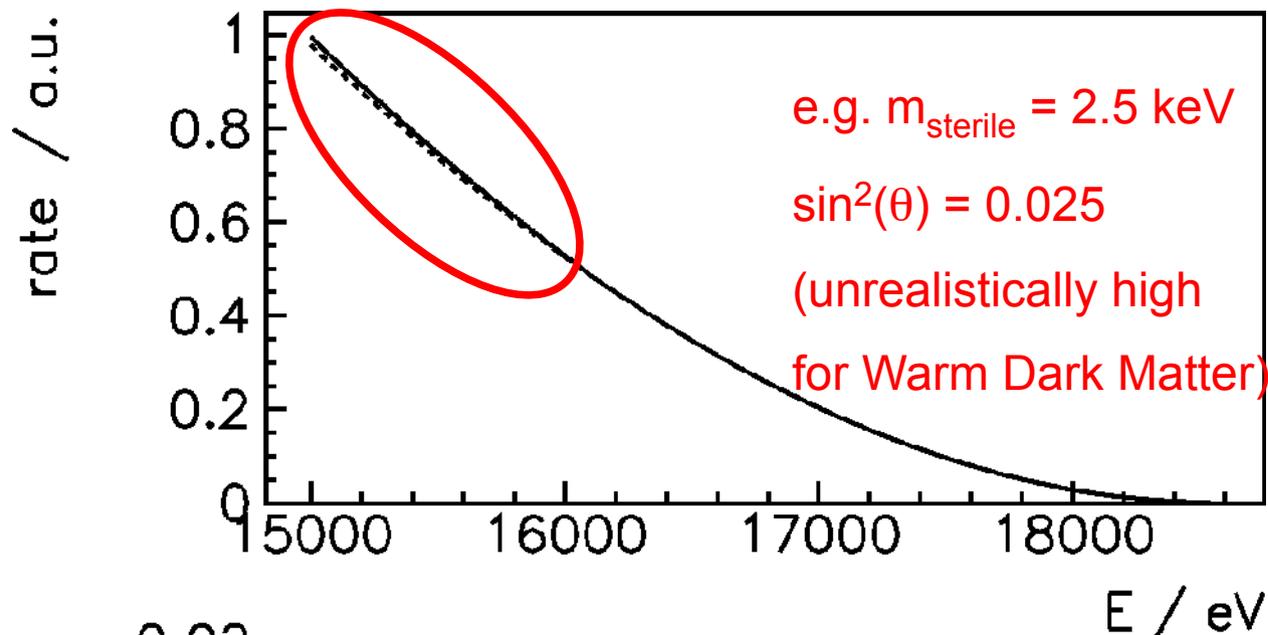


→ Need certainly a new strategy!

J. A. Formaggio, J. Barret, PLB 706 (2011) 68
 A. Sejersen Riis, S. Hannestad, JCAP02 (2011) 011
 A. Esmaili, O.L.G. Peres, arXiv:1203.2632

A. Nucciotti, Meudon Workshop, June 2011

Search for a tiny kink of a keV neutrino



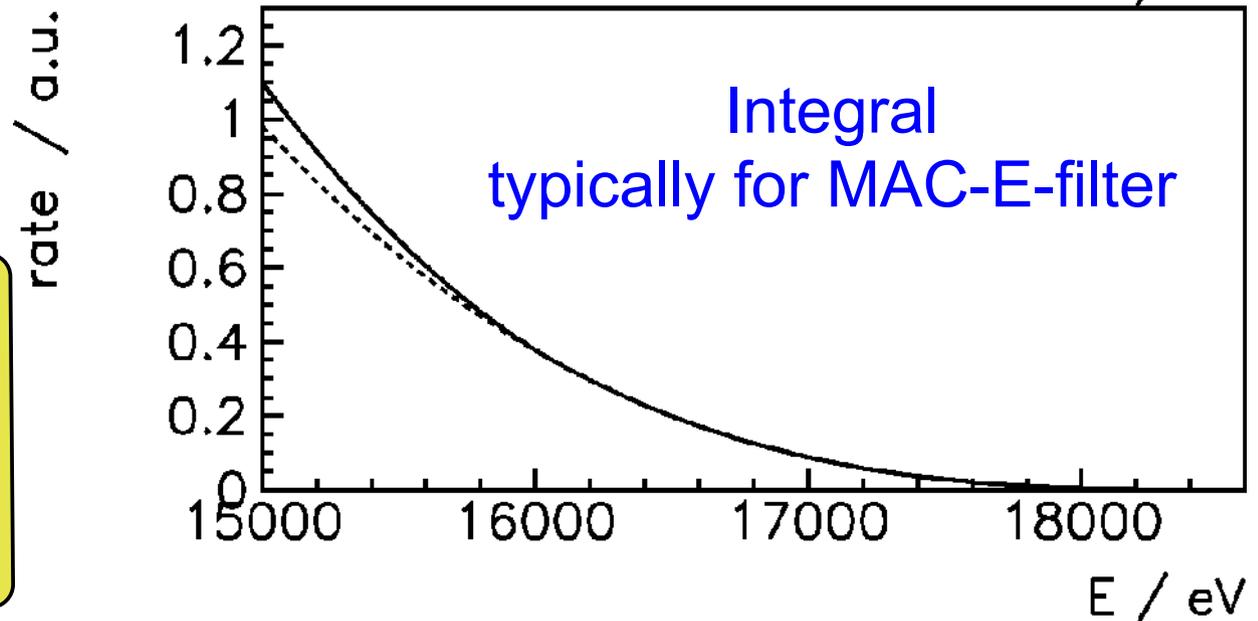
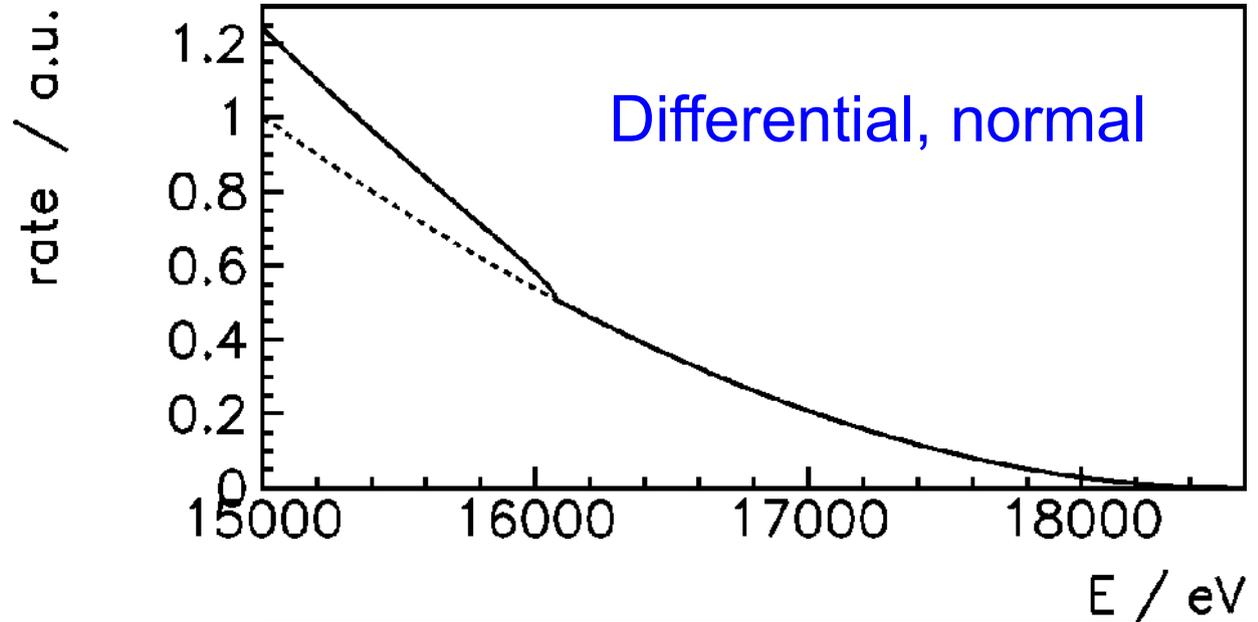
Main questions:

- 1) How to measure this tiny kink a few keV below the endpoint?
 In parallel, in addition or after KATRIN's $m(\nu_e)$ mission?
- 2) How to get enough statistics?
- 3) How to fight against the systematics?

Normal (“differential“) or integral β -spectrum

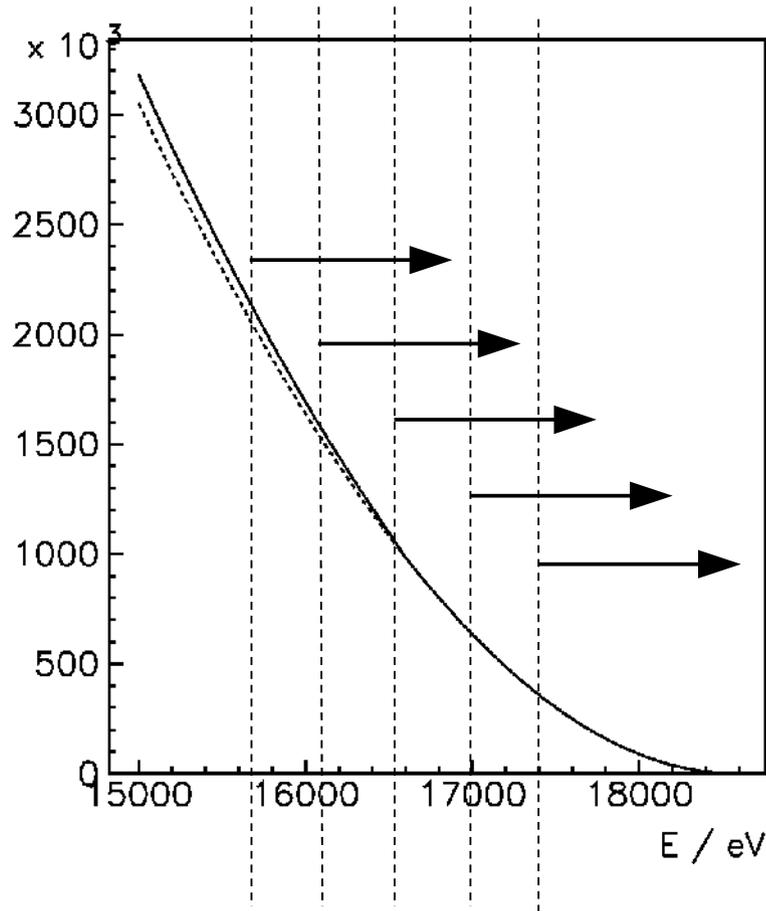
e.g. $m_{\text{sterile}} = 2.5 \text{ keV}$
 $\sin^2(\theta) = 0.25$
 (unrealistically high
 for Warm Dark Matter)

→ obviously much better
 signal-to-background-ratio
 for differential β -spectrum
 w.r.t. integral β -spectrum



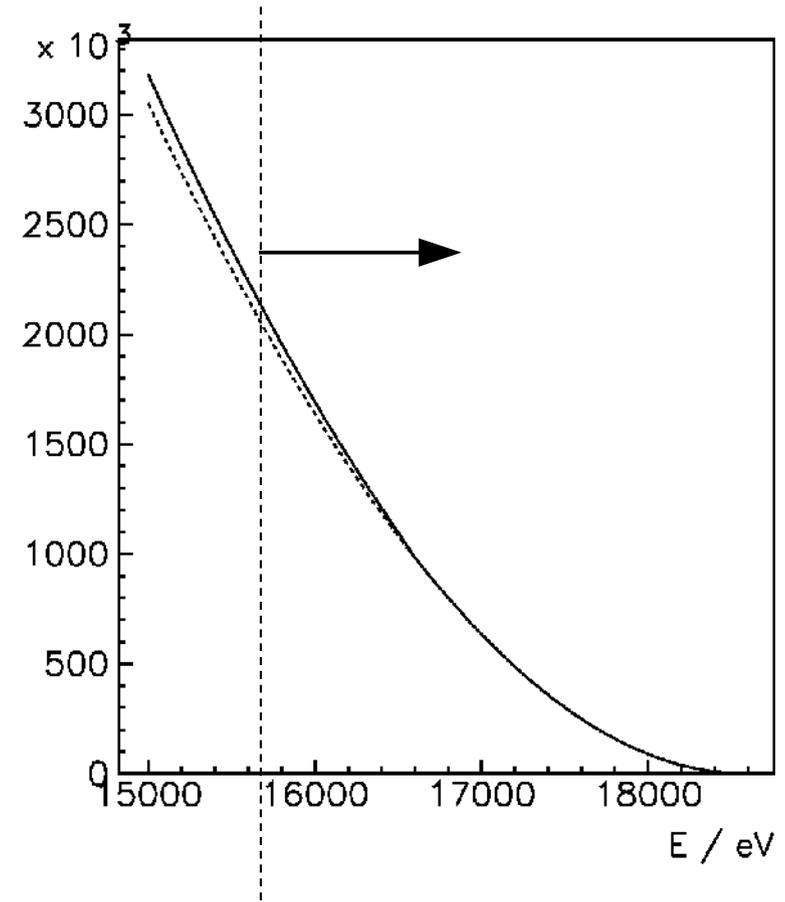
Second gain of integral versus differential: Avoid many steps in MAC-E-Filter mode

Integral – MAC-E-Filter method

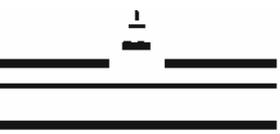


need many retardation voltages
to obtain spectral information

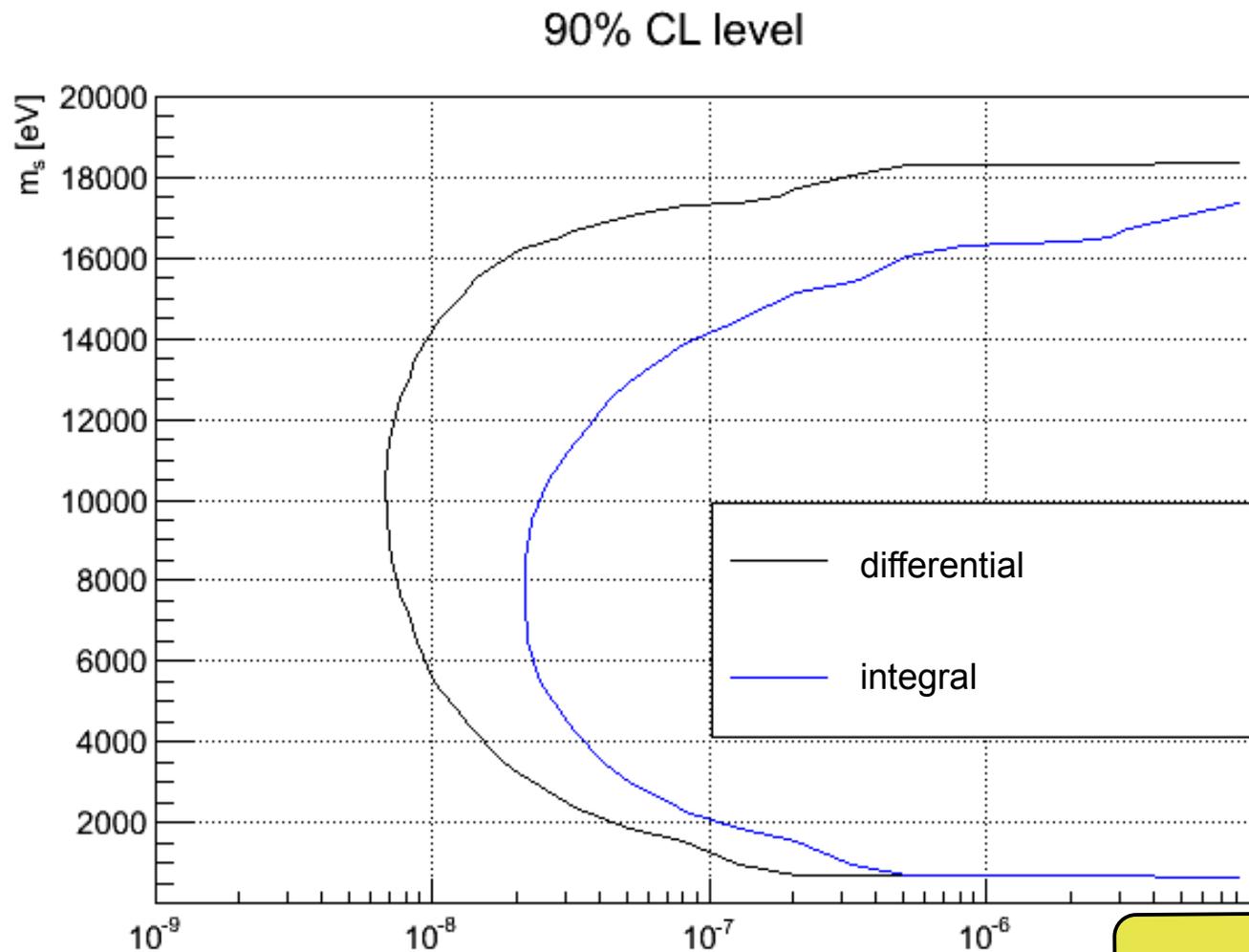
Differential measurement



need one retardation voltage
and other means (detector, TOF)
to obtain spectral information

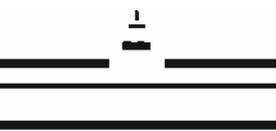


Statistical sensitivity for integral and differential measurement

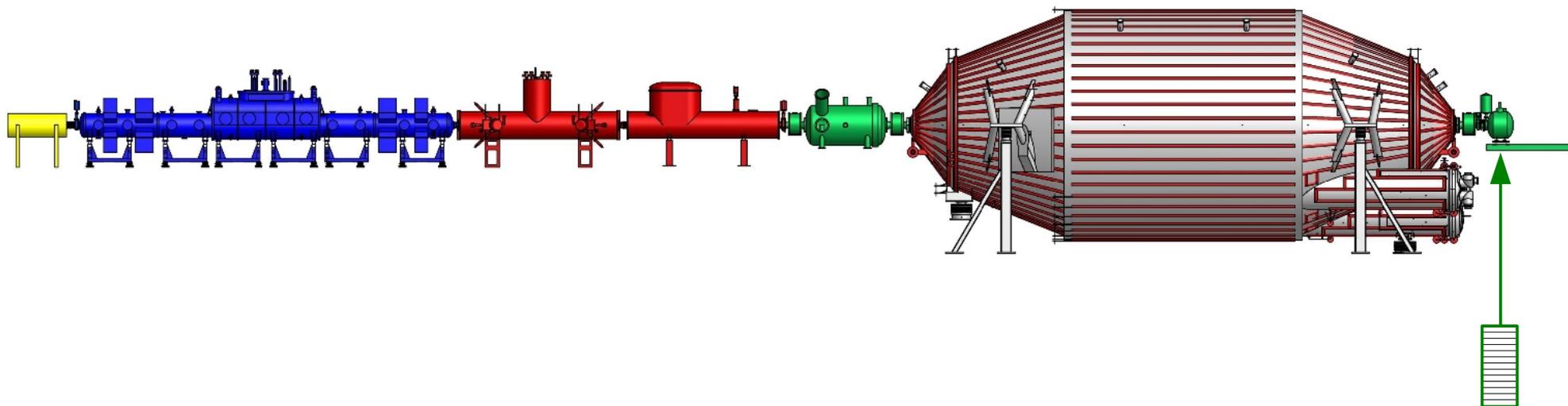


→ **statistical uncertainty is not a problem but the systematics !**

Susanne Mertens, Chalonge 2013, Meudon



Possible implementations of a differential β -spectrum measurement with KATRIN

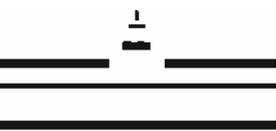


Detector

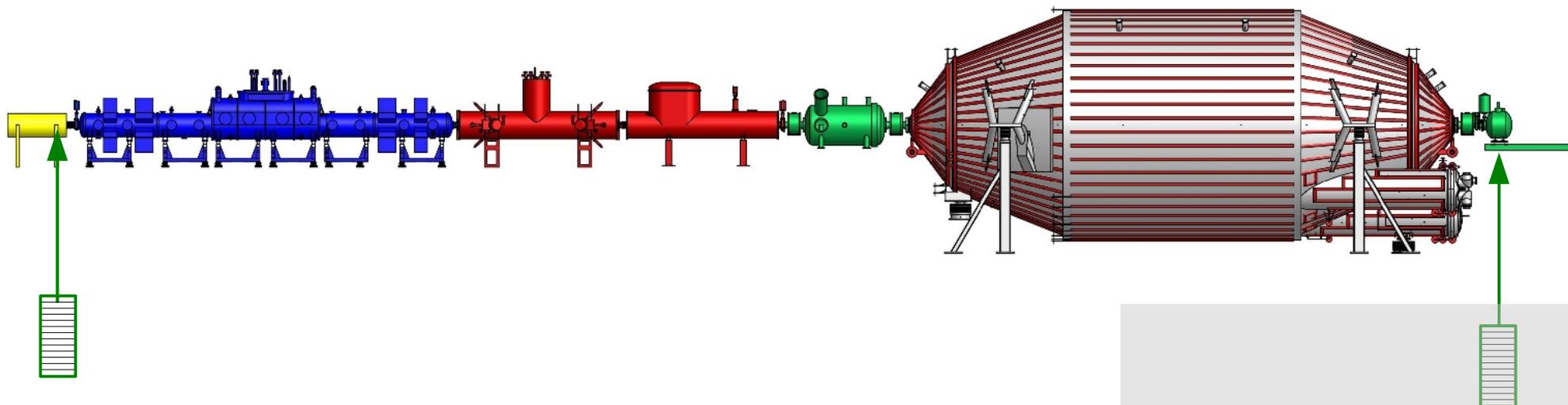
measures β -spectrum
with energy resolution
 $\Delta E \sim 1\text{keV}$ (FWHM)
above a retarding energy
 $qU_0 = \text{const.}$

Problems:

tails of resolution function
high count rate capability
(pile-up)



Possible implementations of a differential β -spectrum measurement with KATRIN



“Instrumented rear-wall“ (detector)

measures β -spectrum
with energy resolution
 $\Delta E \sim 1\text{keV}$ (FWHM)
completely and
all the time parasitically

Problems:

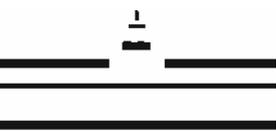
tails of resolution function
ultra-high count rate
capability (pile-up, ...)

Detector

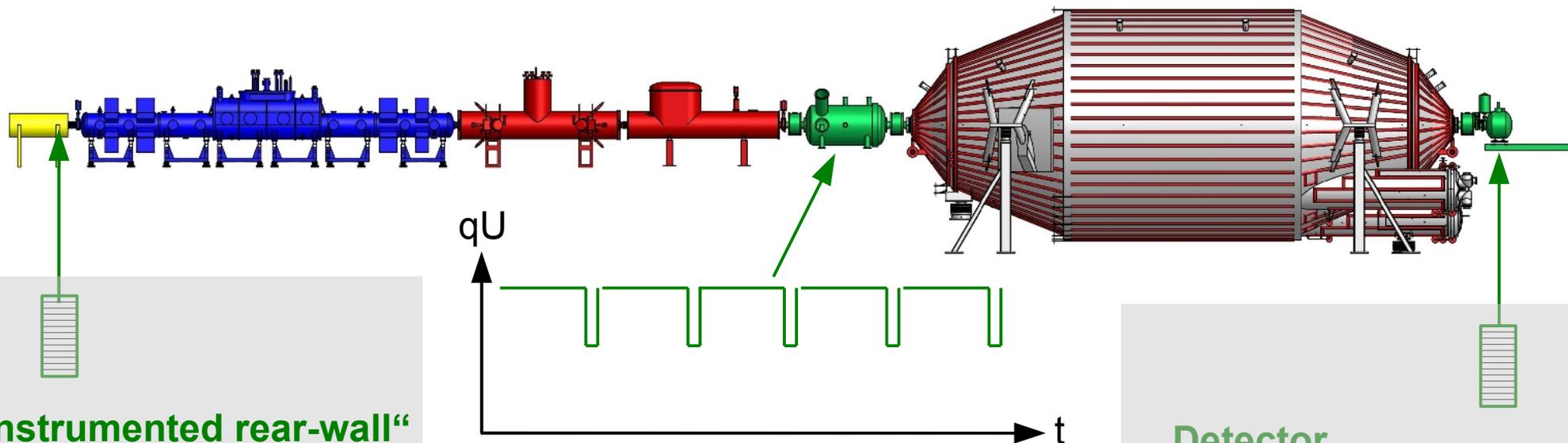
measures β -spectrum
with energy resolution
 $\Delta E \sim 1\text{keV}$ (FWHM)
above a retarding energy
 $qU_0 = \text{const.}$

Problems:

tails of resolution function
high count rate capability
(pile-up)



Possible implementations of a differential β -spectrum measurement with KATRIN



“Instrumented rear-wall“ (detector)

measures β -spectrum with energy resolution $\Delta E \sim 1\text{keV}$ (FWHM) completely and all the time parasitically

Problems:
tails of resolution function
ultra-high count rate capability (pile-up, ...)

TOF by pulsing retarding potential of pre spectrometer applying short on & long off pulses

Problems:
can we pulse the pre spectrometer potential within less than $1\ \mu\text{s}$ by up to 3kV ?

Detector

measures β -spectrum with energy resolution $\Delta E \sim 1\text{keV}$ (FWHM) above a retarding energy $qU_0 = \text{const.}$

Problems:
tails of resolution function
high count rate capability (pile-up)

1. β -decay spectrum:

a) β -decay and nuclear physics: radiative decays, weak magnetism, size of the nucleus

recoil corrections: all effects are small, e.g. $\mathcal{O}(10^{-5})$, and follow smooth functions

S. Mertens et al., checked systematic uncertainties: $\Delta \sin^2(\theta) < 10^{-7}$

(see S. Mertens, talk at Chalonge 2013, Meudon)

b) β -decay and atomic and molecular physics

Fermi function: quite well-known, smooth function

electronic final states of T_2 -decay:

43% of all decays go to excited or ionized states: $\mathcal{O}(1)$ effect !

2. tritium source:

a) energy loss by inelastic scattering

b) stability column density: KATRIN 10^{-3} (10^{-4} reachable)

} standard KATRIN:

} $\mathcal{O}(1)$ effect !

3. transmission of the spectrometer:

a) constancy of transmission at large surplus energies $\mathcal{O}(\text{keV})$

requires full conservation of adiabaticity by larger magnetic fields

works well at pre spectr.
for higher magnetic fields
M. Prall et al.,
NJP 14 (2012) 073054

4) detection system:

a) tails of response functions, pile-up, time resolution, stabilities, ...

Electronic final states of tritium

β -decay: $T_2 \rightarrow ({}^3\text{HeT})^+, {}^3\text{He} + T^+, \dots$

Including electronic excited final states of excitation energy V_j with probability W_j

$$W_j = |\langle \Psi_0 | \Psi_{f,j} \rangle|^2$$

Using $\varepsilon_j = E_0 - V_j - E$

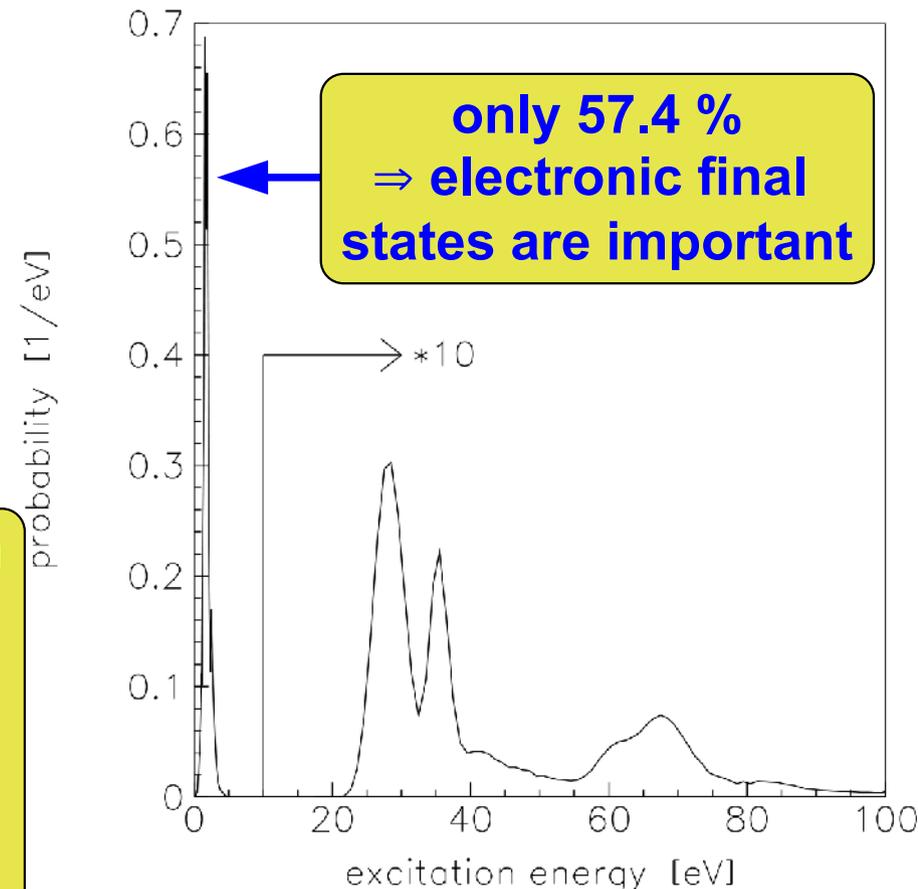
$$\frac{d^2 N}{dt dE} = A \cdot F(E, Z + 1) \cdot p \cdot (E + m) \cdot \sum_j W_j \cdot \varepsilon_j \cdot \sqrt{\varepsilon_j^2 - m^2(\nu_c)} \cdot \Theta(\varepsilon_j - m(\nu_c))$$

Quantum-chemical calculations of electronic final states of T_2 β -decay:

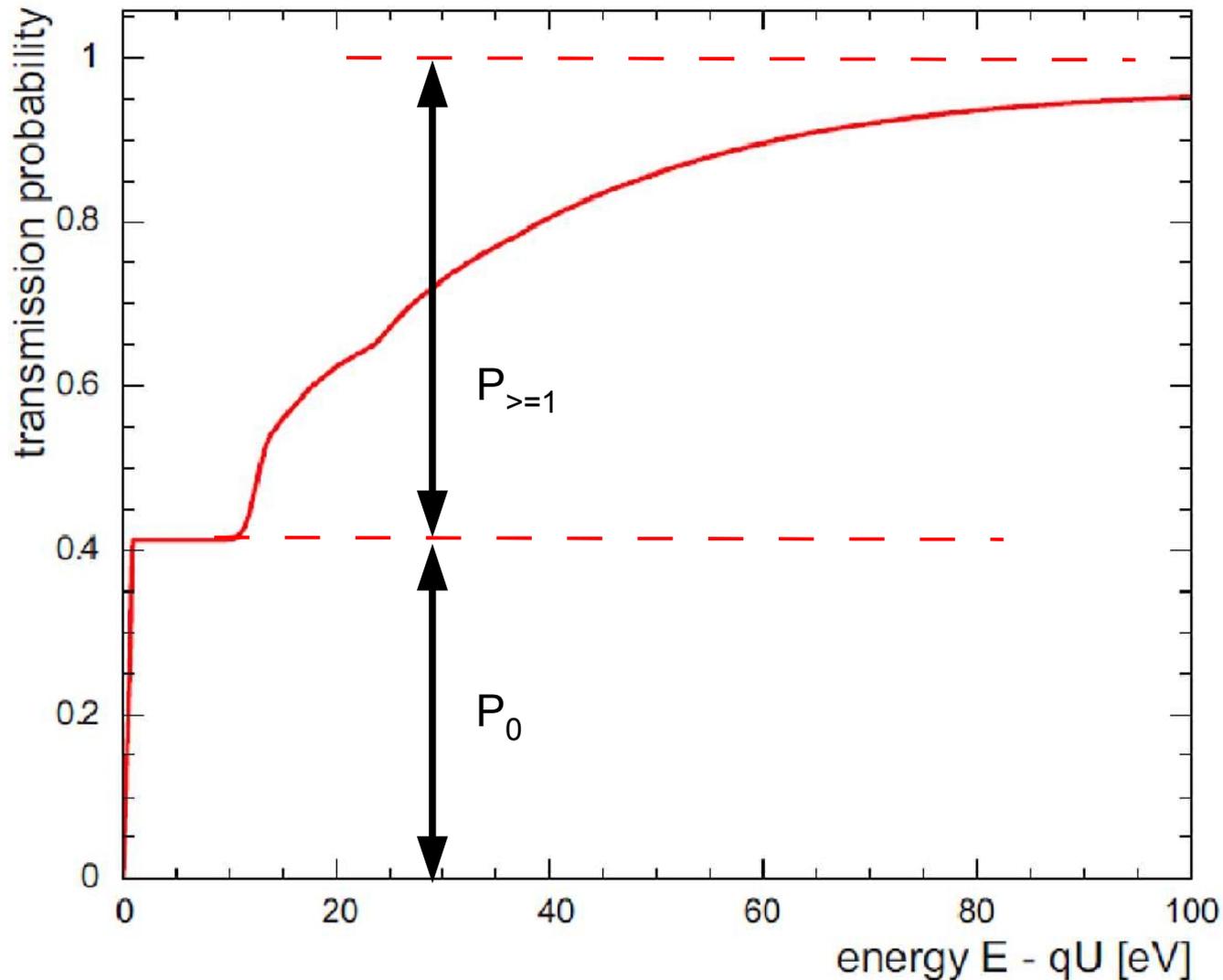
- A. Saenz et al. Phys. Rev. Lett. 84 (2000) 242,
- N. Doss et al., Phys. Rev. C73 (2006) 025502
- N. Doss and J. Tennyson, J. Phys. B, 41 (2008)

Can access the electronic final state spectrum quite accurately by sum rules and at higher excitation energies by the quasi-free electron extension

Precise enough for WDM searches ?



Inelastic scattering x transmission fcn



Standard KATRIN:

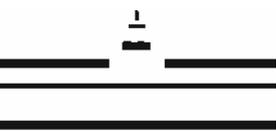
$$P_0 = 41\%$$

→ need to lower tritium column density to get $P_0 \gg 90\%$

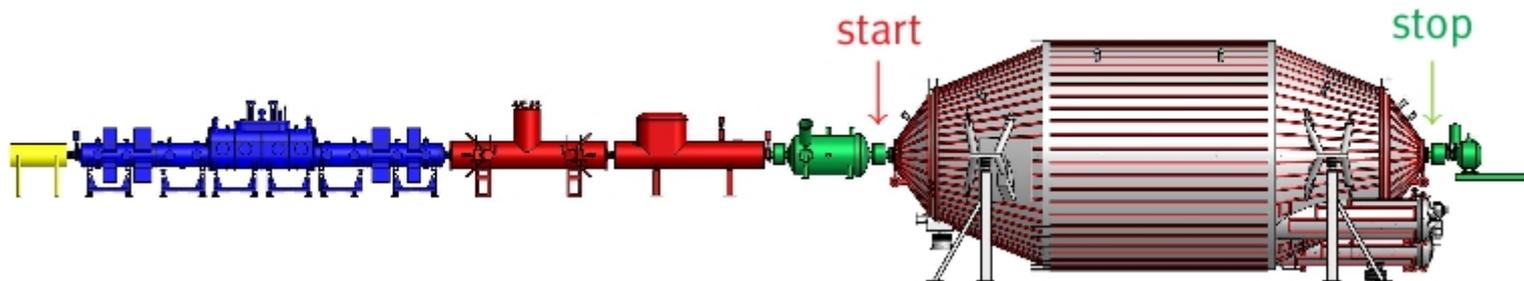
$\mathcal{O}(1) \rightarrow \mathcal{O}(0.1 - 0.01)$ effect

And there is still enough statistics !

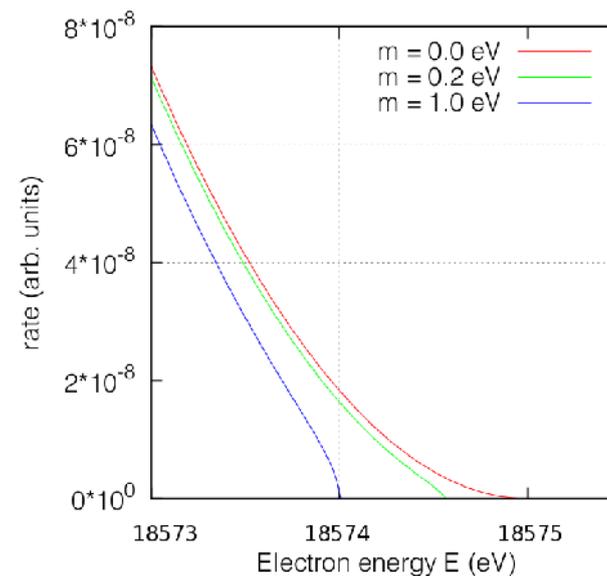
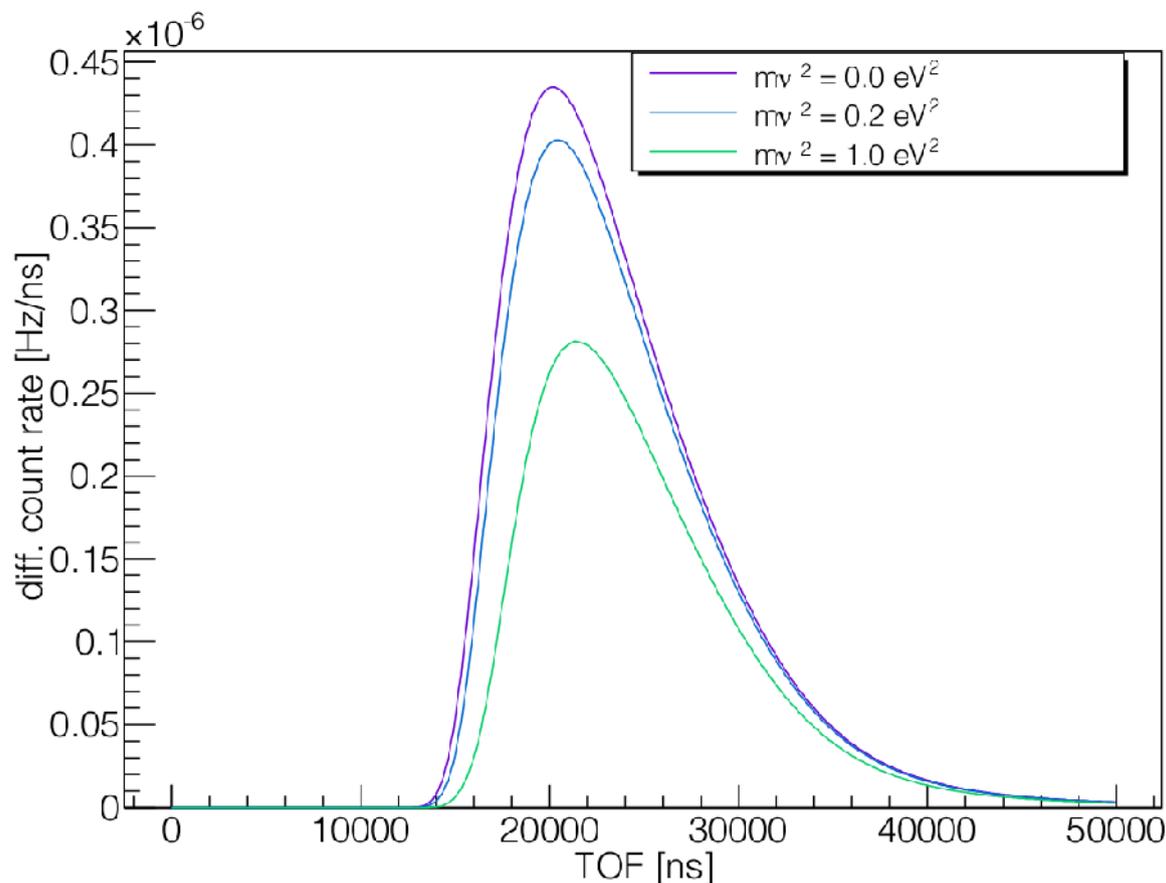
Energy loss function:
apply sum rules and high energy extrapolation by quasi-free electrons measurements @ KATRIN



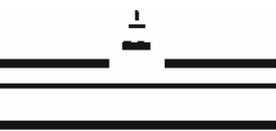
Alternative spectroscopy: measure time-of-flight TOF through KATRIN spectrometer



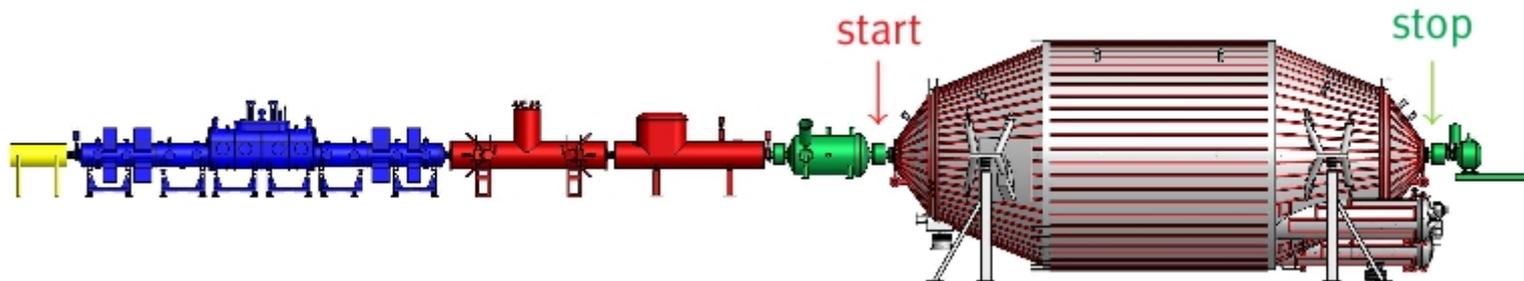
Comparison of TOF spectra for different neutrino masses for $E_0 = 18574.0$ eV, $U_{ret} = -18570.0$ eV



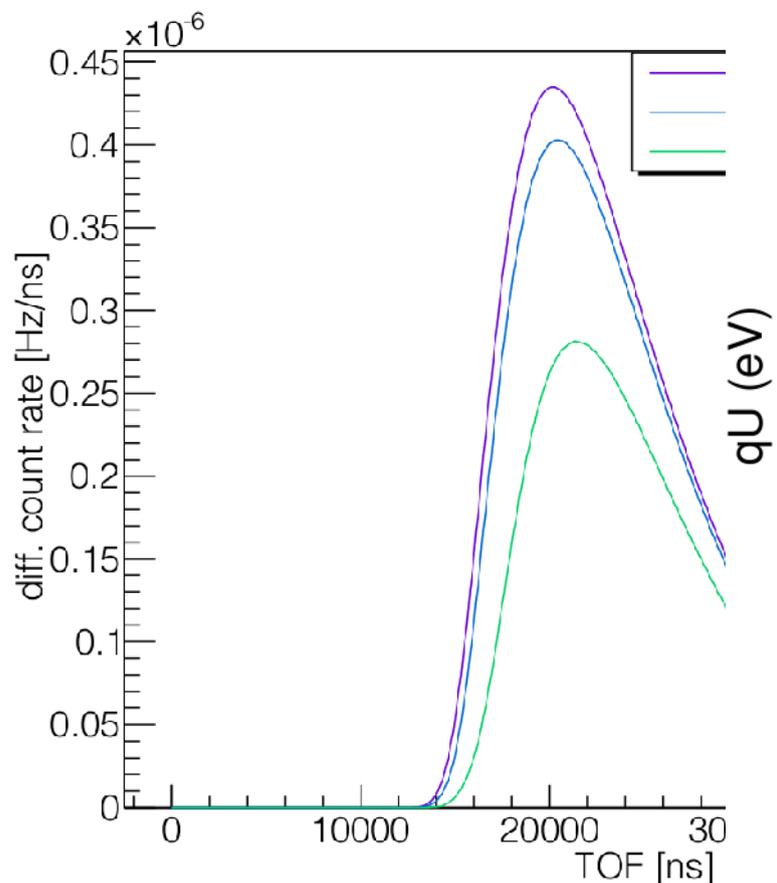
Time-of-flight spectrum is sensitive to the neutrino mass
require one retardation potential only
not integral but differential β -spectrum



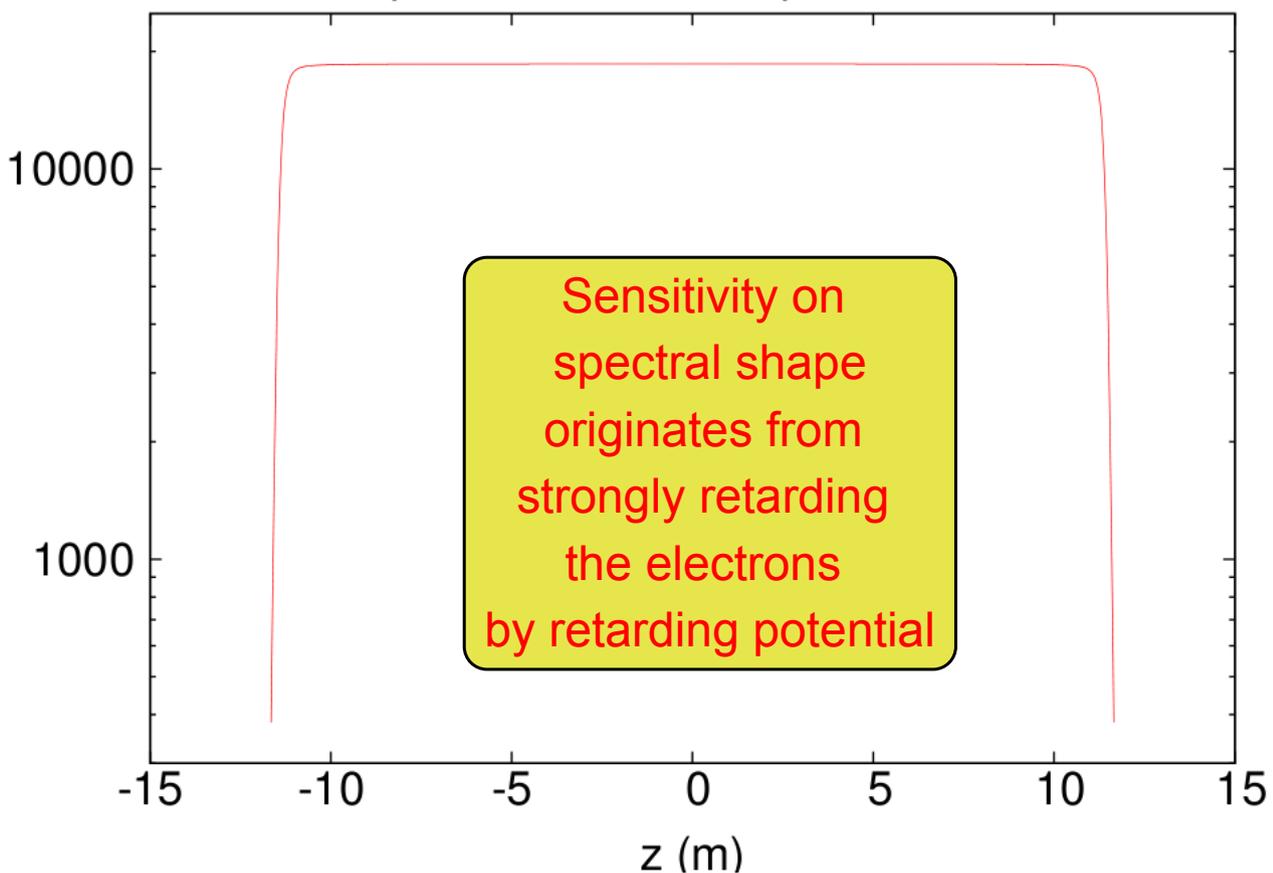
Alternative spectroscopy: measure time-of-flight TOF through KATRIN spectrometer



Comparison of TOF spectra for different neutrino masses for



Electric potential on main spectrometer z axis

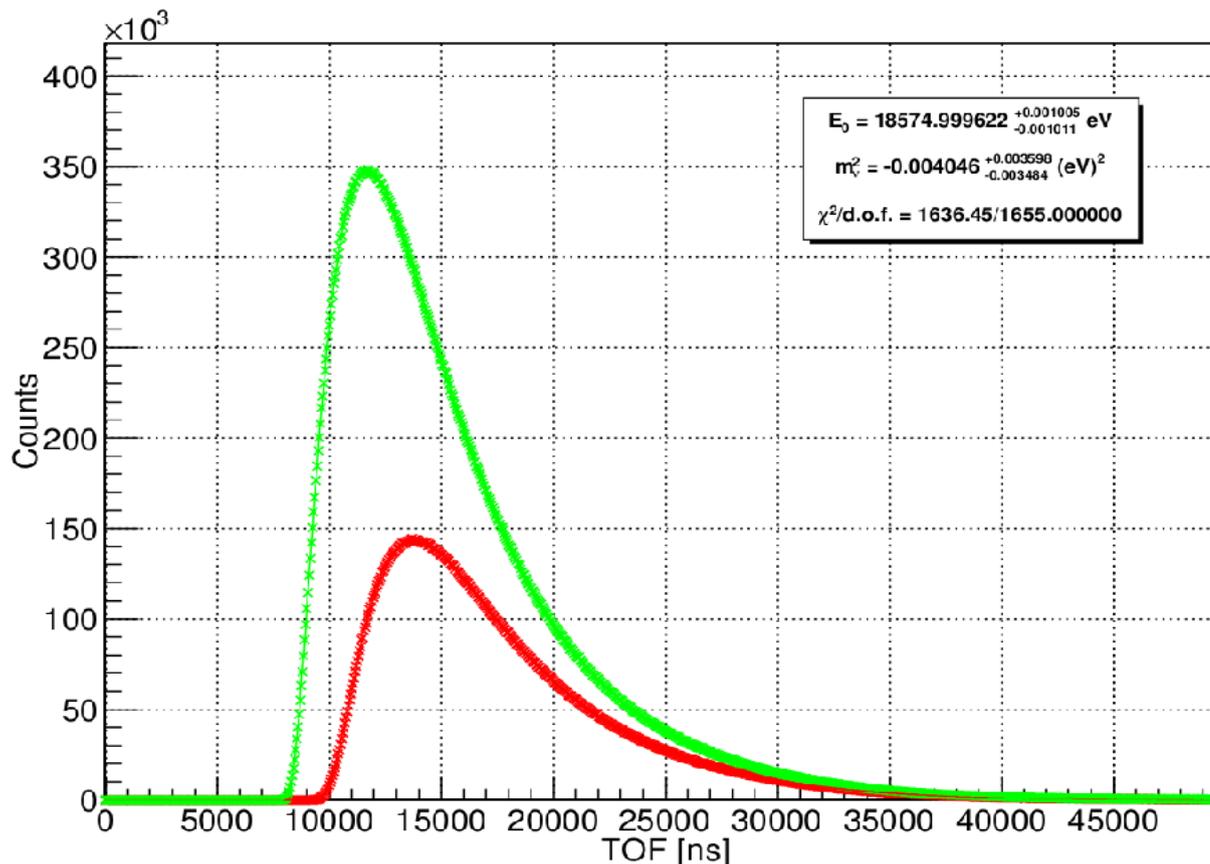


Sensitivity improvement on $m^2(\nu_e)$ by ideal TOF determination

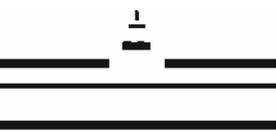
Measure at 2 (instead of ≈ 30) different retarding potentials
since TOF spectra contain all the information

Coincidence request between start and stop signal \rightarrow nice background suppression

\rightarrow Factor 5 improvement in m_ν^2 w.r.t. standard KATRIN !



N. Steinbrink et al., to appear
on arXiv within the next days



How to measure time-of-flight at KATRIN ? gated-filter

- 1) Can measure time-of-arrival with KATRIN detector with $\Delta t = 50 \text{ ns} \rightarrow \text{ok}$
- 2) Need to determine time-of-passing-by of beta electron before main spectrometer **without disturbing energy and momentum** by more than 10 meV !

\rightarrow Need „detector“ with 10 meV threshold

This seems not to be prohibited in principle but it is unrealistic for the near future !

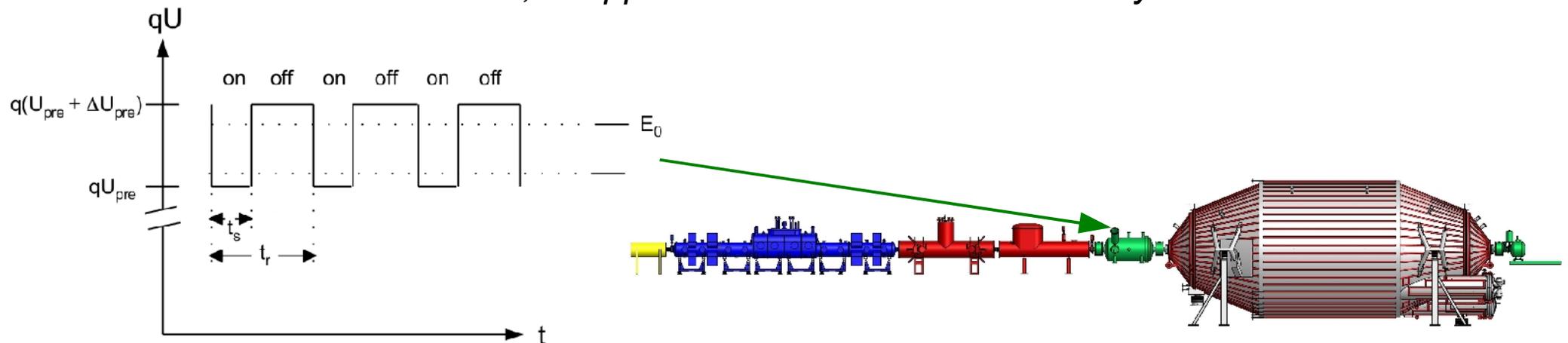
- 2') Use **pre spectrometer** as a „gated-filter“ by switching fast the retarding voltage

MAC-E-TOF demonstrated: *J. Bonn et al., Nucl. Instr. Meth. A421 (1999) 256*

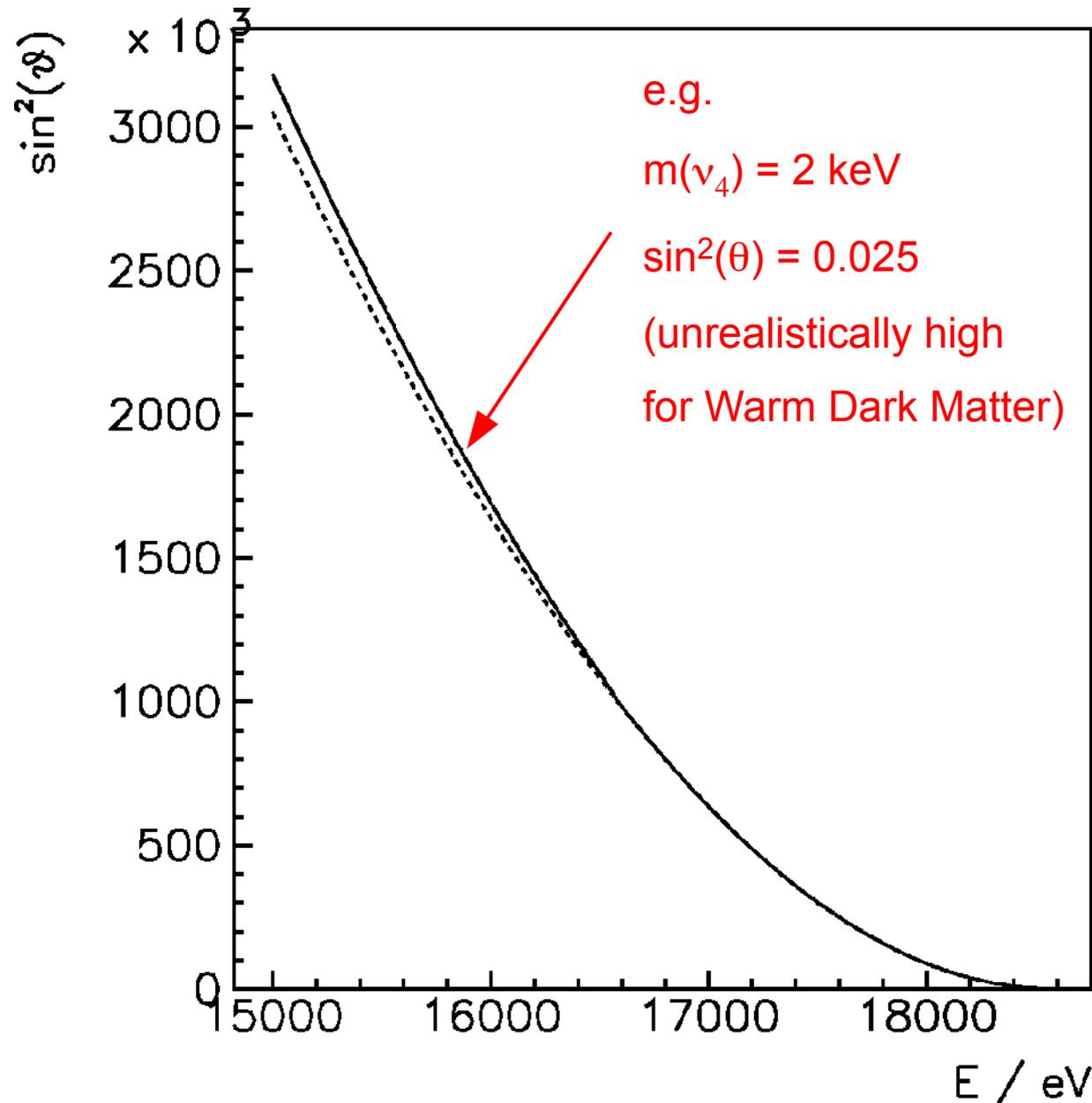
no problem with transmission properties: *M. Prall et al., NJP 14 (2012) 073054*

About as sensitive on the neutrino mass as standard KATRIN:

N. Steinbrink et al., to appear on arXiv within the next days



A possible strategy to detect a few keV sterile neutrinos by TOF with KATRIN



Set (a few) retarding potentials below kink position

Use gating filter with short on- and long off-times to have optimal time resolution

Differentiate spectrum by measuring time-of-flight

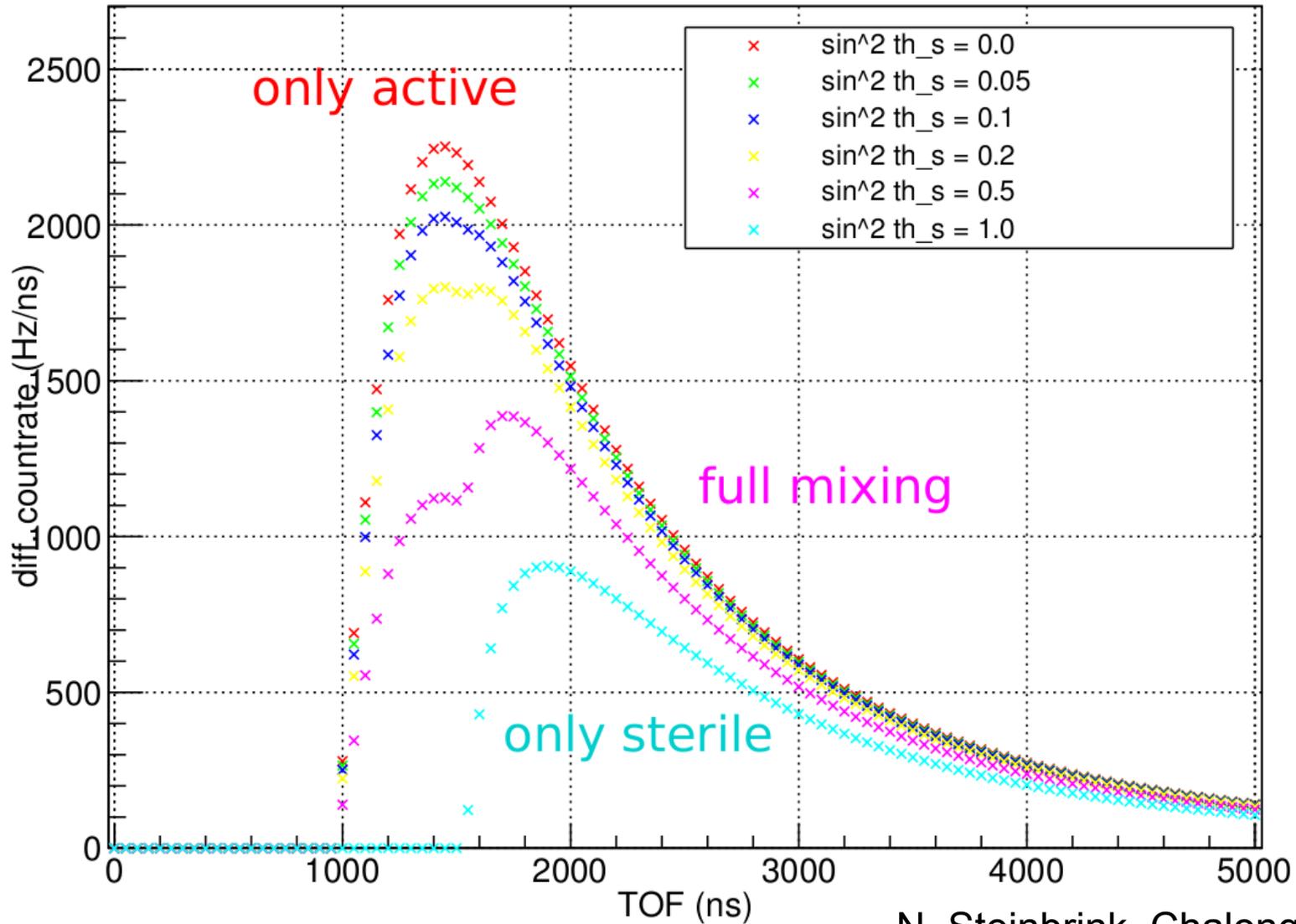
Use low column density

Fight final states uncertainties and energy losses by sum rules, parameterisations and extrapolations

→ ***dedicated study under way***

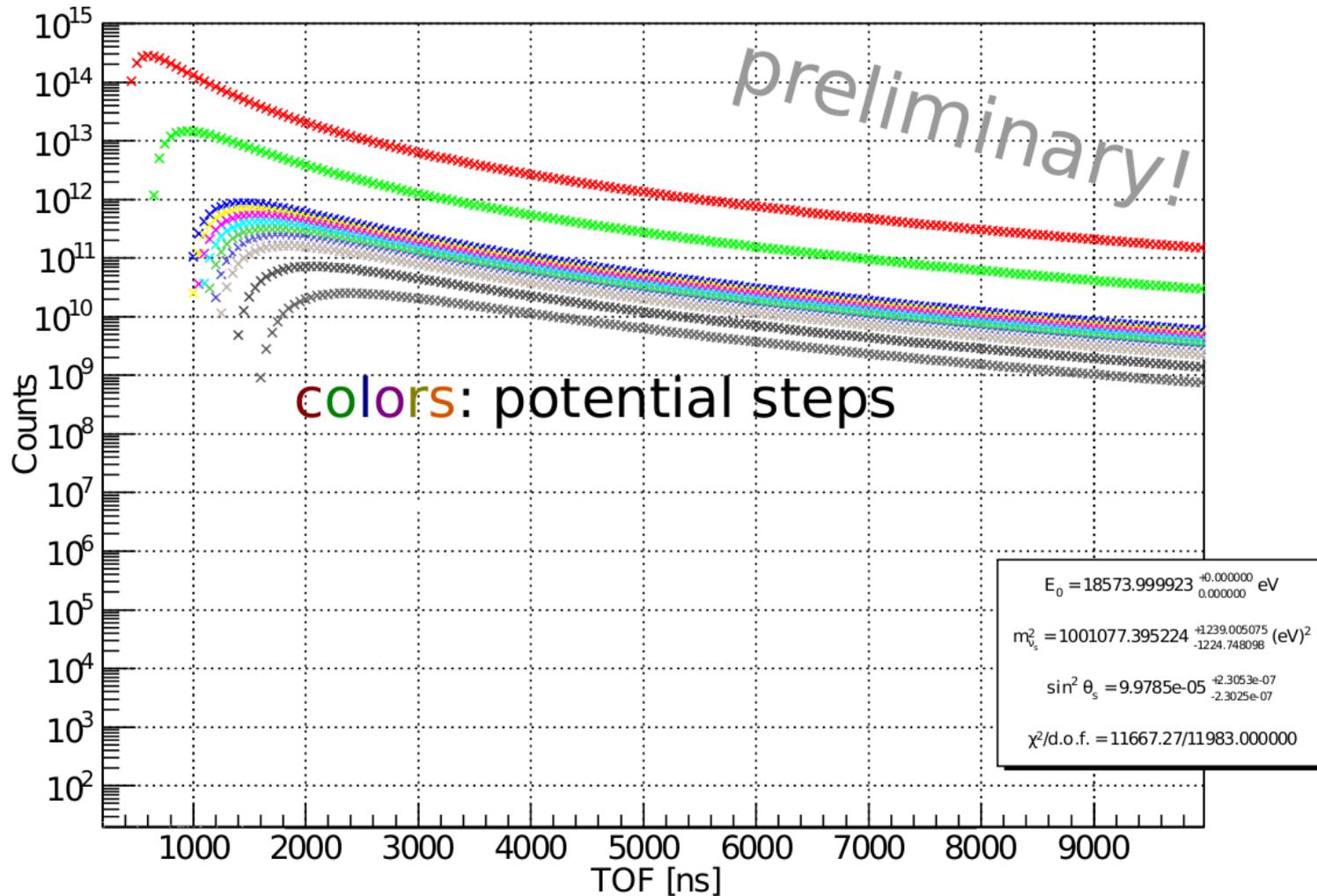
Preliminary results of a first study

TOF spectra for $m_s = 1$ keV, $U = 17$ kV, different mixing angles



N. Steinbrink, Chalonge 2013, Meudon

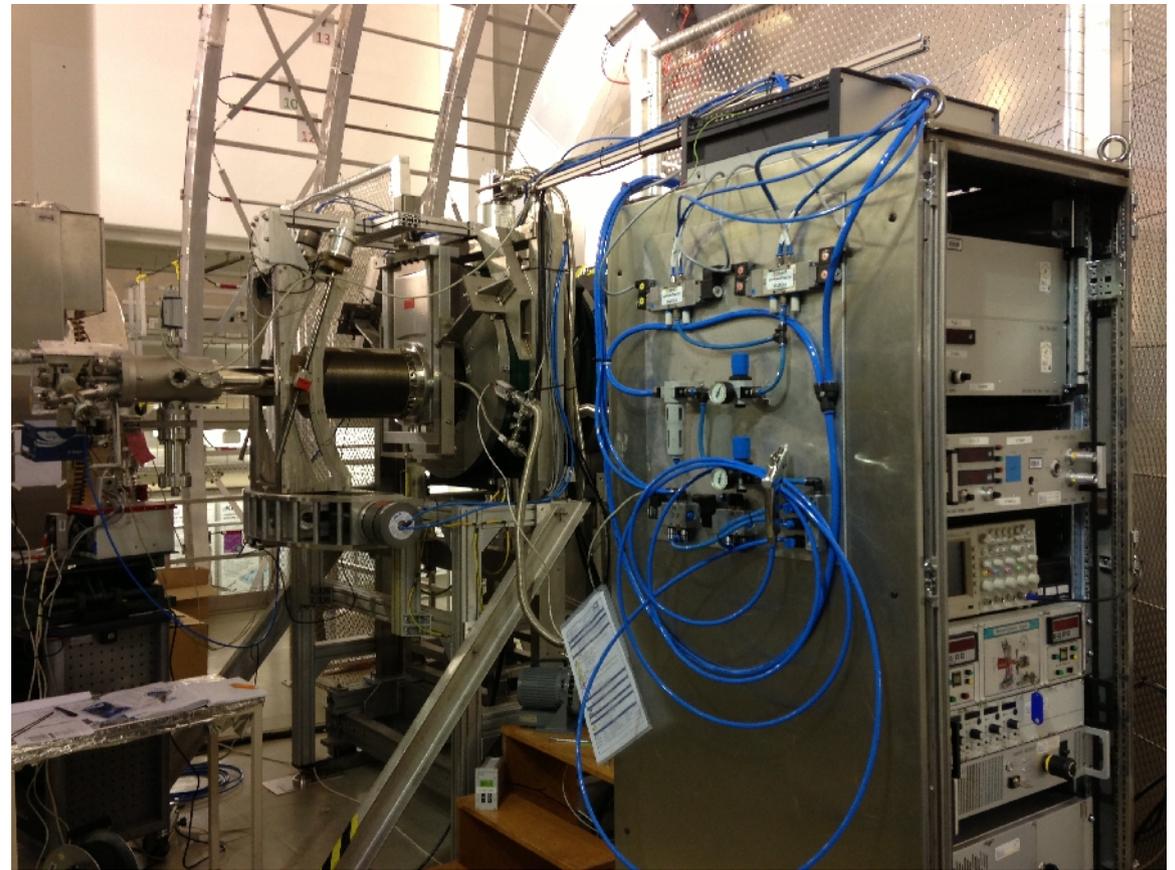
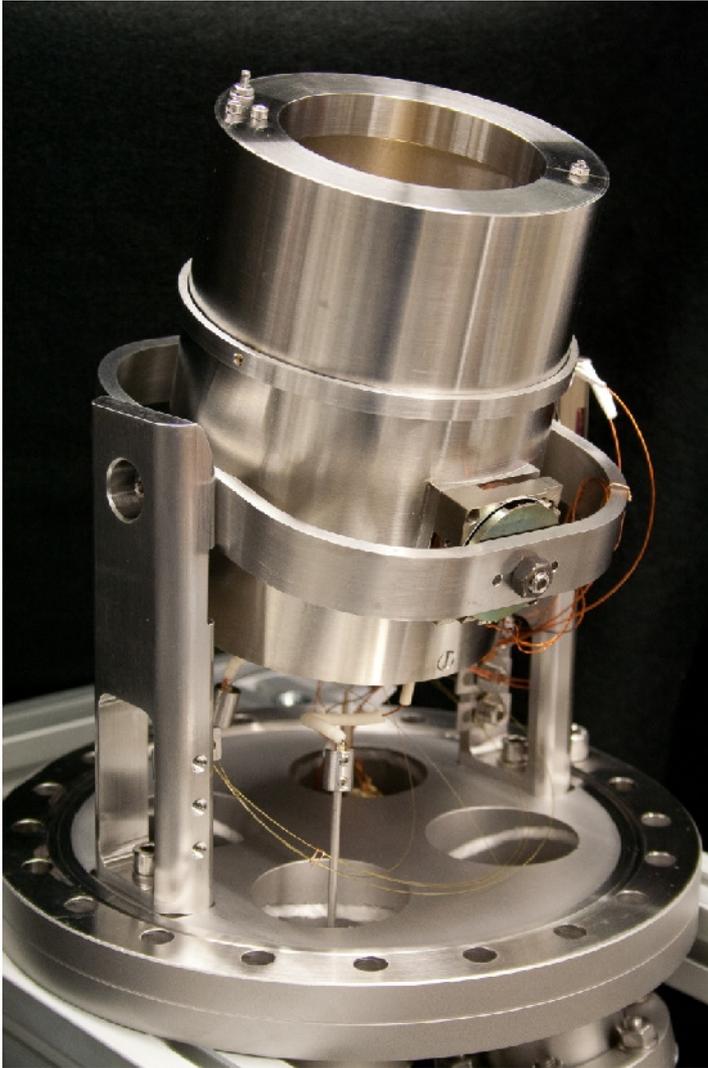
Preliminary results

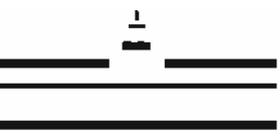


**preliminary: statistical sensitivity about 10^{-7}
no sophisticated statistical analysis yet**

Test of TOR method at KATRIN main spectrometer during SDS commissioning

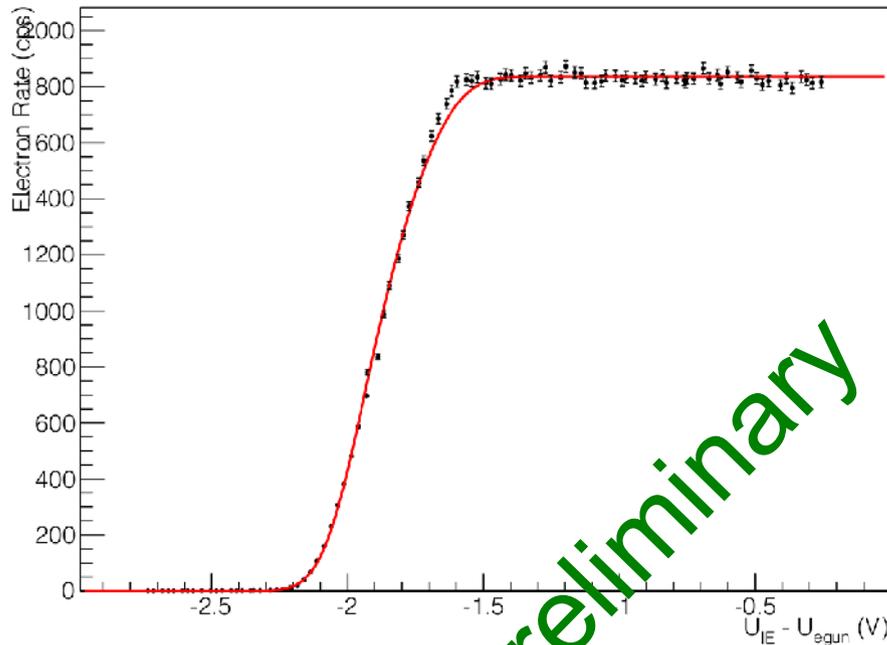
Angular-selective pulsed UV laser photoelectron source at main spectrometer & detector



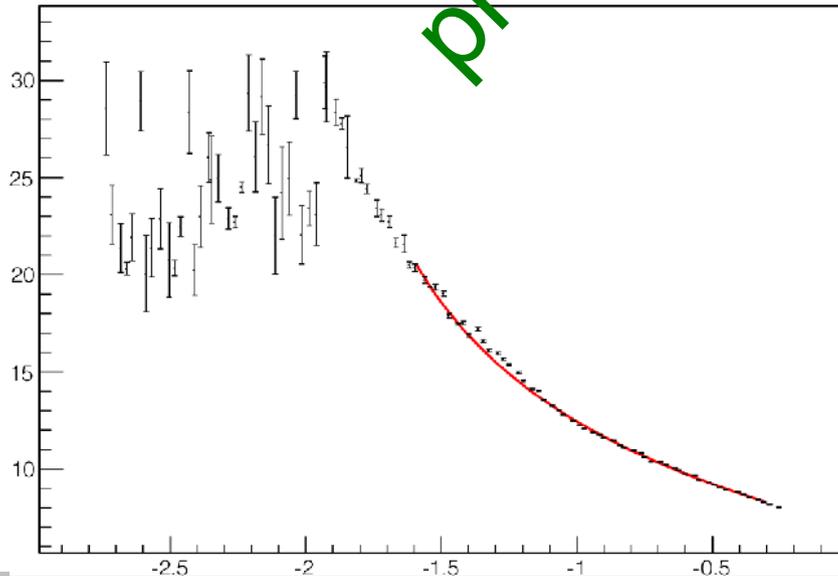


Test of tof method at main spectrometer during SDS commissioning

Transmission Function Measurement



preliminary



Integral transmission function at -200 V

measured TOF agrees with
calculated TOF by N. Steinbrink
from electrical potential
and magnetic field maps

Conclusions

Neutrinos do oscillate → non-zero neutrino mass which is very important
for nuclear & particle physics (which model beyond the Standard Model ?)
for cosmology & astrophysics (evolution of the universe)

KATRIN is the next generation direct
neutrino mass experiment with 200 meV sens.
main spectr. + detector commissioning is running, tritium data from 2015 on

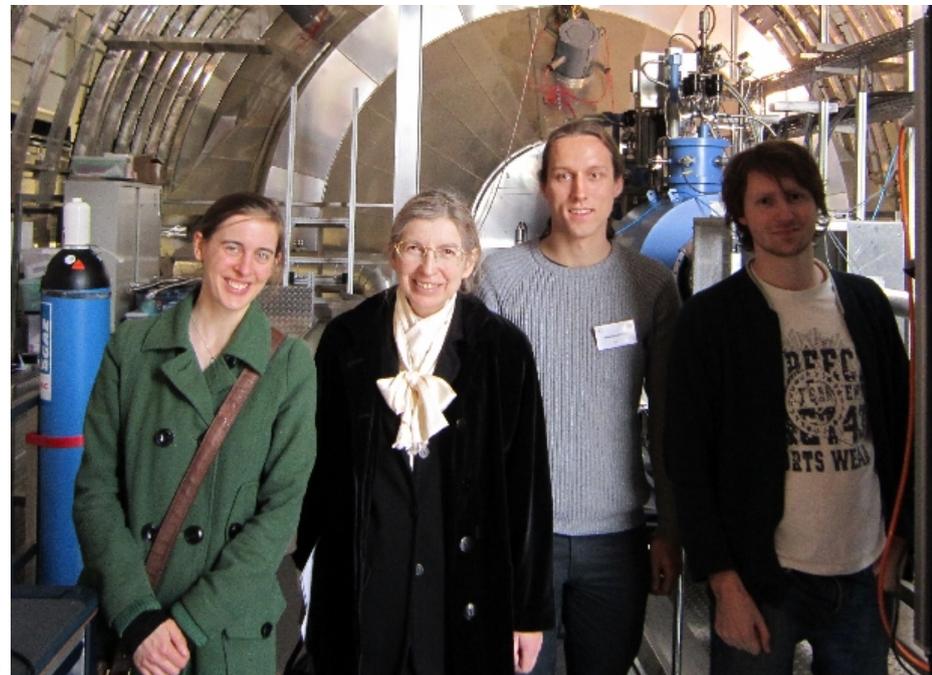
MARE, ECHO: cryo-bolometers may achieve similar
sensitivity after a lot of successful R&D

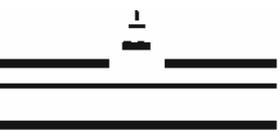
Sterile neutrinos are well motivated by the
“reactor neutrino anomaly” (eV-scale) and
“Warm Dark Matter” (keV-scale)

KATRIN will check the reactor anomaly and
could in principle search for Warm Dark Matter
in a dedicated run

To yield optimal sensitivity, it requires taking
differential spectra a few keV below E_0 ,
e.g. by time-of-flight spectroscopy in gated-filter
mode or analysing detector spectra
detailed studies by S. Mertens, N. Steinbrink et al.

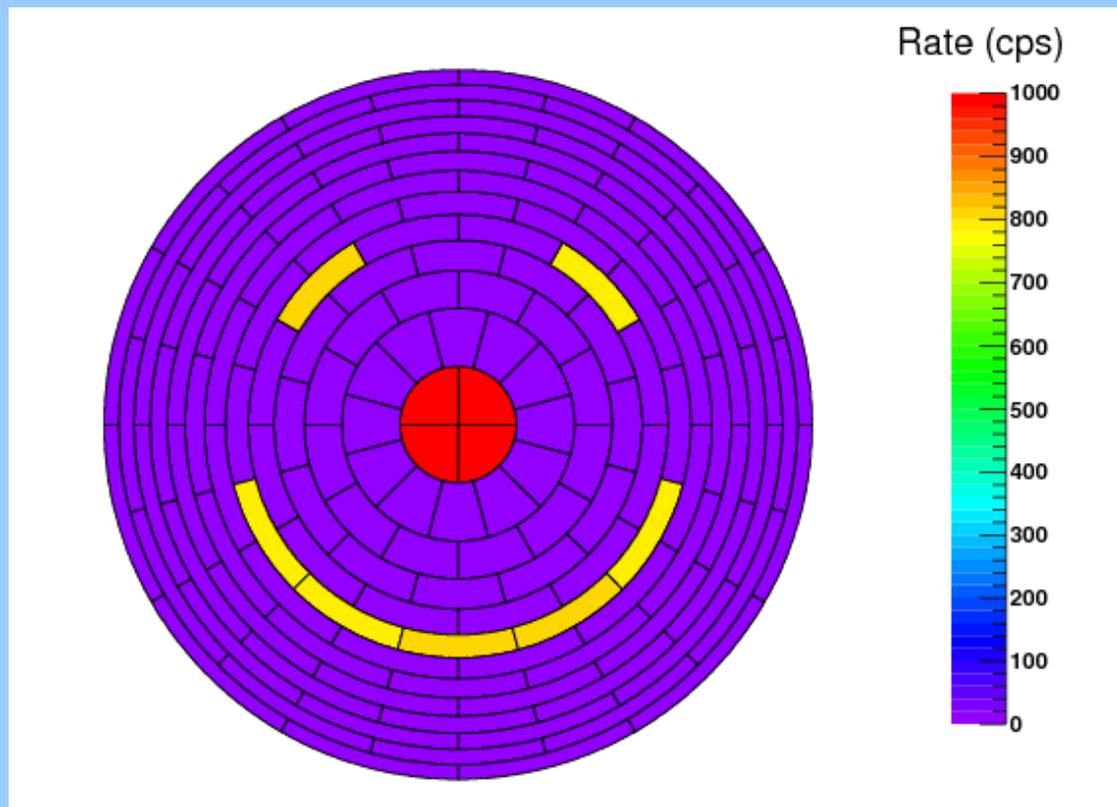
see dedicated talk by
Guido Drexlin
in open session





Conclusions

The KATRIN 148-pixel detector is smiling



when being hit by electrons
from 11 subsequent positions
of the scanning photoelectron source

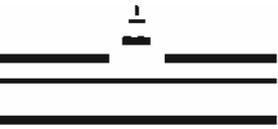
detailed studies by S. Mertens, N. Steinbrink et al.

very important
(Standard Model ?)

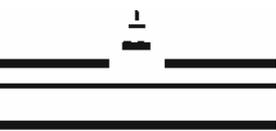
see dedicated talk by
Guido Drexlin
in open session

data from 2015 on





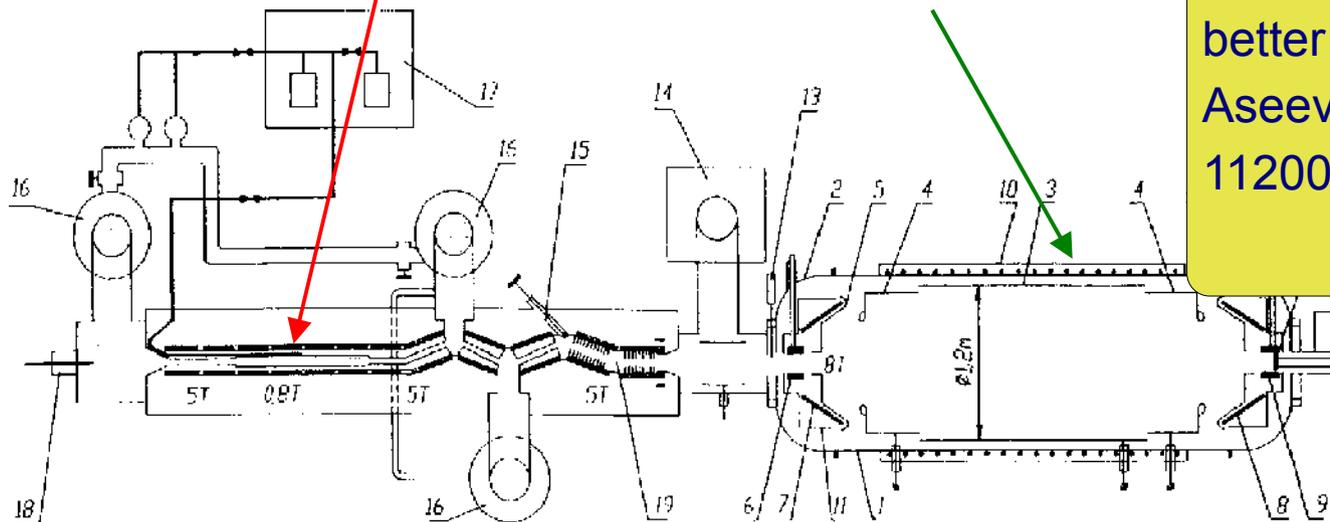
backup slides



The Troitsk Neutrino Mass Experiment

windowless gaseous T_2 source, similar to LANL

MAC-E-Filter, similar to Mainz



Re-analysis of Troitsk data

(better source thickness,
better run selection)

Aseev et al, Phys. Rev. D 84,
112003 (2011)

$$m_\beta < 2.05 \text{ eV, 95\% CL}$$

Luminosity: $L = 0.6 \text{ cm}^2$
($L = \Delta\Omega/2\pi * A_{\text{source}}$)

Energy resolution: $\Delta E = 3.5 \text{ eV}$
3 electrode system in 1.5m
diameter UHV vessel ($p < 10^{-9}$ mbar)

Upgrade of Troitsk exp.

aim to investigate KATRIN
systematics and keV neutrinos

