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New Bounds for the Mass of Warm Dark Matter Particles Using Results from Fermionic King Model

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keV Warm Dark Matter in Agreement with Observations in Tribute to Hector de Vega
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MOTIVATIONS OF THE MODEL AND ITS CHARACTERISTIC LENGTHS AND MASSES

Short and long-range singularities

Isothermal sphere

Conventional (Boltzmann-Gibbs) thermo-statistical description for a self-gravitating non-relativistic system of point particles:

$$H = \sum_i \frac{1}{2m} \mathbf{p}_i^2 - \sum_{i < j} \frac{Gm^2}{|\mathbf{r}_i - \mathbf{r}_j|}, \quad (1)$$

leads to the distribution profile known of **isothermal sphere**:

$$\rho(r) = \frac{\sigma_v^2}{2\pi Gr^2}. \quad (2)$$

The velocity dispersion is related to the temperature as $\sigma_v^2 = kT/m$.

This solution undergoes two divergences:

- The **short range singularity** (divergence of density for small distances $r \rightarrow 0$) associated with the phenomenon of **gravitational collapse**.
- The **long-range singularity** (the divergence of the total mass of the profile (2):

$$M = \int_0^{+\infty} \rho(r) 4\pi r^2 dr = +\infty. \quad (3)$$

Gravitation is unable to confine the constituents of any finite astrophysical system (**escape of constituents is an unavoidable process**).

Arguments to deal with these divergences

- The nature of **post-collapse stages** crucially depends on additional physics: *constituents with finite radius* (e.g.: hard or soft core particles models) or even the consideration of *quantum properties of micro-particles* (e.g: degenerate fermions at zero temperature, like models of white dwarfs and neutron stars).

- Evaporation imposes a **truncation of velocity spectrum** which is describe by a cutoff escape energy $\varepsilon_c < 0$:

$$f(\mathbf{r}, \mathbf{p}) = 0 \quad (4)$$

for energies $\varepsilon(\mathbf{r}, \mathbf{p}) > \varepsilon_c$, which provokes that density profile vanishes outside the called as *tidal radius* R :

$$\rho(r) = 0 \text{ for } r > R. \quad (5)$$

This parameter is defined by the gravitational influence of other neighboring systems:

$$R \sim \left(\frac{M}{M_O} \right)^{1/3} R_O. \quad (6)$$

Fermionic-King model

Fermionic-King model:

$$f(\mathbf{r}, \mathbf{p} | \beta, \varepsilon_c) = \frac{e^{\beta[\varepsilon_c - \varepsilon(\mathbf{r}, \mathbf{p})]} - 1}{\alpha + e^{\beta[\varepsilon_c - \varepsilon(\mathbf{r}, \mathbf{p})]}} H[\varepsilon_c - \varepsilon(\mathbf{r}, \mathbf{p})] \quad (7)$$

was proposed by Ruffini and Stella (1983) in the context of dark matter halos problems and theoretically re-derived by Chavanis (1998).

- $H(x)$ is Heaviside step function,
- $\varepsilon(\mathbf{r}, \mathbf{p}) = \mathbf{p}^2/2m + m\phi(\mathbf{r})$ denotes the individual mechanical energy for a particle with mass m and momentum \mathbf{p} that is located at the position \mathbf{r} ,
- $\beta = 1/kT$ represents the inverse temperature parameter, $\varepsilon_c = m\phi_s$ denotes the energy threshold for the escape of particles, with ϕ_s being the surface potential at the tidal radius:

$$\phi_s = -\frac{GM}{R}, \quad (8)$$

- α is a dimensionless positive parameter associated with normalization of the one-body distribution and its degeneration.

Limit cases of this model

The ansatz (7) provides a suitable interpolation between the known **Fermi-Dirac distribution**:

$$f_{FD}(\mathbf{r}, \mathbf{p}|\beta) = \frac{1}{e^{\beta[\varepsilon(\mathbf{r}, \mathbf{p}) - \varepsilon_F]} + 1} \quad (9)$$

in the limit of low energies and the quasi-stationary one-body distribution associated with **King model**:

$$f_K(\mathbf{r}, \mathbf{p}|\beta, \varepsilon_c) = \frac{1}{\alpha} \left[e^{\beta[\varepsilon_c - \varepsilon(\mathbf{r}, \mathbf{p})]} - 1 \right] H[\varepsilon_c - \varepsilon(\mathbf{r}, \mathbf{p})] \quad (10)$$

in the classical non-degenerate limit. The Fermi energy ε_F and the escape energy enable us to rewrite the normalization constant as $\alpha \equiv e^{\beta(\varepsilon_c - \varepsilon_F)} \equiv \exp(\mu)$, where μ is referred to as **degeneration parameter**.

Characteristic lengths

Fermi-King model is non-relativistic and exhibits **two characteristic lengths**:

- **The tidal radius** R (classical regime) the system linear size at the high energy limit.
- **The Fermi radius** (the linear size of the degenerate self-gravitating system at zero temperature) R_F

$$R_F^3 \sim \frac{1}{M} \frac{\hbar^6}{G^3 m^8}, \quad (11)$$

which characterizes low energy limit.

The relativistic extension of Fermi-King model will exhibit a **third characteristic length!**:

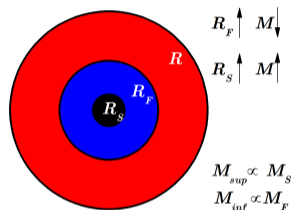
- **The Schwarzschild radius** $R_S = 2GM/c^2$ (relativistic regime), which appears when the total mass M is highly enough.

Characteristic masses

- The system stability against evaporation requires that the Fermi radius R_F should be lower than the tidal radius R , which implies the existence of a lower bound for the total mass M

$$R_F < R \rightarrow M > M_{inf} \propto M_F \sim \frac{1}{R^3} \frac{\hbar^6}{G^3 m^8}, \quad (12)$$

where M_F is hereinafter denoted as the **Fermi mass**. The system whose total mass M is less than the lower bound M_{inf} is unable to confine particles and suffers from a complete evaporation disruption.



$$R_s < R_F < R \Rightarrow M_{inf} < M < M_{sup}$$

- The system stability against gravitational collapse in the relativistic regime requires that the Fermi radius R_F should be greater than the Schwarzschild radius R_S , which implies the existence of an upper bound for the total mass M

$$R_F > R_S \rightarrow M < M_{sup} \propto M_S \sim \left(\frac{\hbar c}{G}\right)^{3/2} \frac{1}{m^2}, \quad (13)$$

where M_S can be denoted as the **Schwarzschild mass** (e.g.: Chandrasekhar and Tolman-Oppenheimer-Volkoff stability limits of stars). The system whose total mass M is greater than the upper bound M_{sup} is unable to avoid its gravitational collapse.

The numerical problem

The dimensionless potential $\Phi(\xi) = \beta m [\phi_s - \phi(\mathbf{r})]$ obeys the Poisson differential equation:

$$\frac{1}{\xi^2} \frac{d}{d\xi} \left[\xi^2 \frac{d\Phi(\xi)}{d\xi} \right] = -4\pi F \left[\Phi(\xi), \mu, \frac{3}{2} \right], \quad (14)$$

corresponding to spherical solutions. Here, the function $F(\Phi, \mu, \nu)$:

$$F(\Phi, \mu, \nu) \equiv \frac{1}{\Gamma(\nu)} \int_0^\Phi \frac{e^{\Phi-x} - 1}{1 + e^{\Phi-x-\mu}} x^{\nu-1} dx \quad (15)$$

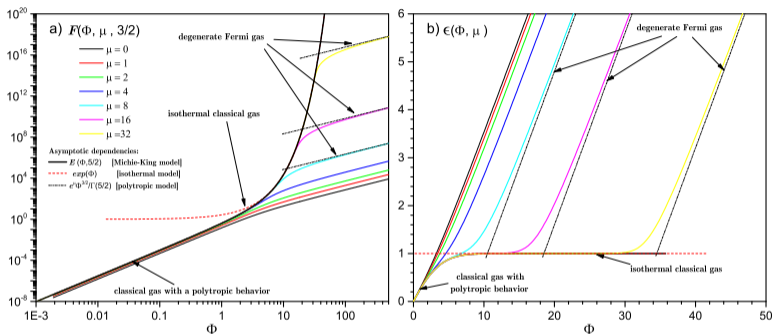
is referred to as the *Fermi-King integral*. The direct variables to numerically solve this non-linear problem is the central dimensionless potential $\Phi_0 = \Phi(0)$ and the degeneration parameter μ .

Systematic study of thermodynamics

- **Previous studies:** Thermodynamics of this model at constant (U, μ, M) [Chavanis et al (2015)].
- **This work:** Thermodynamics at constant $(U, R, M) \equiv (U, V, M)$. Here, $V = 4\pi R^3/3$ is the system volume enclosed inside the tidal radius R , while U and M are the total energy and the total mass.

THERMODYNAMICS AT CONSTANT TOTAL MASS AND TIDAL RADIUS

Behavior of Fermi-King integrals



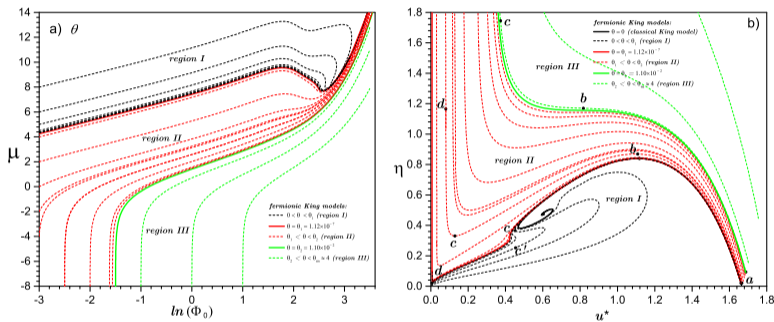
The function $\epsilon(\mathbf{r})$ defined by the ratio between the kinetic energy per particle and the temperature:

$$\epsilon(\mathbf{r}) = m\beta \frac{2}{3} \frac{v(\mathbf{r})}{\rho(\mathbf{r})} \equiv \frac{1}{3} \beta m \langle v^2(\mathbf{r}) \rangle \equiv \frac{F[\Phi(\mathbf{r}), \mu, 5/2]}{F[\Phi(\mathbf{r}), \mu, 3/2]} \quad (16)$$

is local indicator concerning to the internal thermodynamic conditions at the position \mathbf{r}

Predicted distributions: King profiles (polytropic + isothermal cores and polytropic haloes) + Fermi-King profiles [degenerate (polytropic) + degenerate cores and polytropic haloes + degenerate cores, isothermal envelop and polytropic haloes].

Thermodynamics at constant total mass and tidal radius



The characteristic lengths (R , R_F) can be employed to introduce the **mass ratio parameter** θ :

$$\theta = \left(\frac{R_F}{R} \right)^3 \equiv \frac{M_F}{M}, \quad (17)$$

which is employed to perform calculations of thermodynamics variables: degeneration parameter μ , the dimensionless inverse energy $u^* = -GM^2/RU$ and the inverse temperature $\eta = \beta G M m / R$.

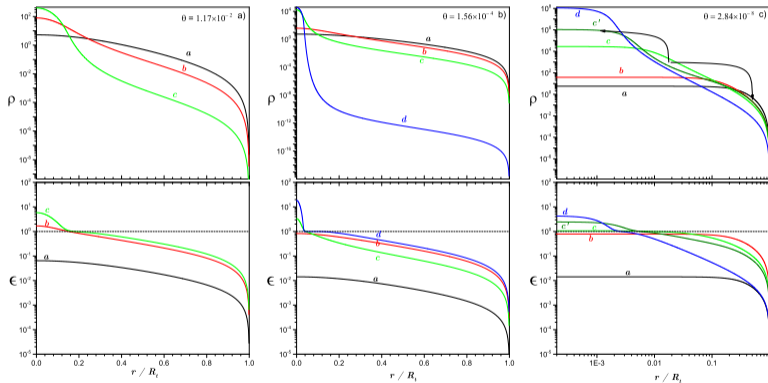
Thermodynamics at constant total mass and tidal radius

Critical masses associated with evaporation and quantum degeneration:

- **The region I:** the interval $0 \leq \theta < \theta_1 \simeq 1.12 \times 10^{-7}$ (black curves). The gravitational collapse of fermionic King model represents a **discontinuous microcanonical phase transition**, and its thermodynamics exhibits a branch with **negative heat capacities**. In terms of the total mass M , this region corresponds to situations with high total masses, the interval $M_1 < M < +\infty$, where $M_1 = M_F/\theta_1 \simeq 8.9 \times 10^6 M_F$.
- **The region II:** the interval $\theta_1 \leq \theta < \theta_2 \simeq 1.10 \times 10^{-2}$ (red curves). The gravitational collapse of fermionic King model turns a **continuous microcanonical phase transition**, and its thermodynamics exhibits a branch with **negative heat capacities**. In terms of the total mass M , this region corresponds to situations with intermediate total masses, the interval $M_2 < M \leq M_1$, where $M_2 = M_F/\theta_2 \simeq 90.9 M_F$.
- **The region III:** the interval $\theta_2 \leq \theta < \theta_m \simeq 4.0$ (green curves). The gravitational collapse of fermionic King model is a **continuous microcanonical phase transition**, and its thermodynamics does not exhibit negative heat capacities. In terms of the total mass M , this region corresponds to situations with low total mass, the interval $M_3 < M \leq M_2$, where $M_3 = M_F/\theta_m \simeq \frac{1}{4} M_F$.

There is no solutions for $\theta > 4.0 \Leftrightarrow M < \frac{1}{4} M_F$! The gravitation field of a fermion self-gravitating system whose total mass M is lower than $\frac{1}{4} M_F$ is unable to avoid its evaporation disruption.

Distribution profiles with degenerate cores for low energies



APPLICATION TO DARK MATTER HALOES

Quantum degeneration and core-cusp problem

- Degenerate quantum properties of particles can avoid the **core-cusp problem** predicted by distributions like **NFW-profile**:

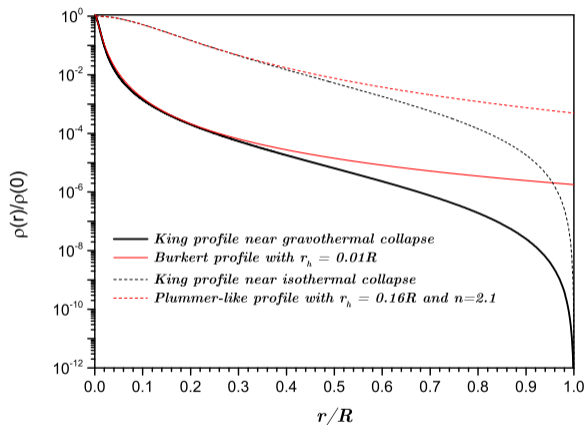
$$\rho_{NFW}(r) = \frac{\rho_0 r_s^3}{r (r_s + r)^2}. \quad (18)$$

- Considering the empirical rotation curves of large galaxies using the **Burkert profile**:

$$\rho_B(r) = \frac{\rho_0 r_h^3}{(r_h + r) (r_h^2 + r^2)}, \quad (19)$$

de Vega and co-workers (2013) have shown a great agreement of the Thomas-Fermi model in the classical regime within halos cores for distances $r < 2r_h$. **However, their analysis disregards the incidence of evaporation.**

Evaporation effects: Halo radius r_h versus tidal radius R



A comparison between a density profile near gravothermal collapse corresponding to King model and the Burkert profile (19) with fitting radius $r_h = 0.01R$. Despite the existing of small discrepancies in the innermost regions, the fit is reasonably good for distances $r < 25r_h = 0.25R$.

Bounds for WDM particles masses using fermionic King model

- Dwarf galaxies are rich in dark matter content \Rightarrow fermionic King model for identical particles with mass m .
- Considering the multiplicity $g = 2s + 1 = 2$ and the rough estimation $R \simeq 100r_h$ for the tidal radius R from the halos radius of Burkert profile r_h , the mass-ratio (17) can be expressed as follows:

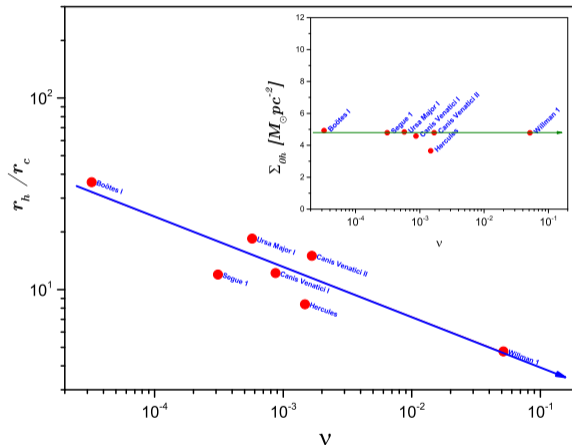
$$\theta = \frac{M_F}{M} = 17 \left(\frac{10^6 M_\odot}{M} \right) \left(\frac{pc}{r_h} \right)^3 \left(\frac{2\text{keV}}{m} \right)^8. \quad (20)$$

- Assuming that **Willman 1** (the lightest known dwarfs galaxy) belongs to the region III in the thermodynamics of fermionic King model, $M_3 < M < M_2$, the mass of WDM particle should belong to the interval:

$$m_{\min} = 1.2 \text{ keV} \leq m \leq m_{\max} = 2.6 \text{ keV}. \quad (21)$$

Galaxy	r_h [pc]	ρ_0	$M_\odot \text{pc}^{-3}$	M_h	$10^6 M_\odot$	θ_{\min} [mmax]	θ_h	θ_{\max}	m_{\min}
Willman 1	19		6.3	0.029		1.1×10^{-2}	0.085	4.0	
Segue 1	48		2.5	1.93		1.0×10^{-5}	8.0×10^{-5}	3.7×10^{-3}	
Coma-Berenices	123		2.09	0.14		8.4×10^{-6}	6.5×10^{-5}	3.0×10^{-3}	
Leo T	170		0.79	12.9		3.5×10^{-8}	2.7×10^{-7}	1.2×10^{-5}	
Canis Venatici II	245		0.49	4.8		3.1×10^{-8}	2.4×10^{-7}	1.1×10^{-5}	
Draco	305		0.5	26.5		2.9×10^{-9}	2.3×10^{-8}	1.0×10^{-6}	
Leo II	320		0.34	36.6		1.8×10^{-9}	1.4×10^{-8}	6.6×10^{-7}	
Hercules	387		0.1	25.1		1.5×10^{-9}	1.2×10^{-8}	5.5×10^{-7}	
Boötes I	362		0.38	43.2		1.1×10^{-9}	8.3×10^{-9}	3.9×10^{-7}	
Carina	428		0.15	32.2		8.7×10^{-10}	6.7×10^{-9}	3.2×10^{-7}	
Ursa Major I	504		0.25	33.2		5.1×10^{-10}	4.0×10^{-9}	1.9×10^{-7}	
Sculptor	480		0.25	78.8		2.5×10^{-10}	2.0×10^{-9}	9.1×10^{-8}	
Leo IV	400		0.19	200		1.7×10^{-10}	1.3×10^{-9}	6.2×10^{-8}	
Leo I	518		0.22	96		1.6×10^{-10}	1.3×10^{-9}	6.0×10^{-8}	
Ursa Minor	750		0.16	193		2.7×10^{-11}	2.1×10^{-10}	9.8×10^{-9}	
NGC 185	450		4.09	975		2.5×10^{-11}	1.9×10^{-10}	9.0×10^{-9}	
Sextans	1290		0.02	116		8.8×10^{-12}	6.8×10^{-11}	3.2×10^{-9}	
Canis Venatici I	1220		0.08	344		3.5×10^{-12}	2.7×10^{-11}	1.3×10^{-9}	
Fornax	1730		0.053	1750		2.4×10^{-13}	1.9×10^{-12}	8.8×10^{-11}	
NGC 855	1063		2.64	8340		2.2×10^{-13}	1.7×10^{-12}	7.9×10^{-11}	
NGC 4478	1890		3.7	6.55×10^4		4.9×10^{-15}	3.8×10^{-14}	1.8×10^{-12}	
Small Spiral	5100		0.029	6900		2.4×10^{-15}	1.8×10^{-14}	8.7×10^{-13}	
NGC 3853	5220		0.77	2.87×10^5		5.4×10^{-17}	4.2×10^{-16}	1.9×10^{-14}	
NGC 731	6160		0.47	2.87×10^5		3.3×10^{-17}	2.5×10^{-16}	1.2×10^{-14}	
NGC 499	7700		0.91	1.09×10^6		4.4×10^{-18}	3.4×10^{-17}	1.6×10^{-15}	
Medium Spiral	1.9×10^4		0.0076	1.01×10^5		3.2×10^{-18}	2.4×10^{-17}	1.1×10^{-15}	
Large Spiral	5.9×10^4		2.3×10^{-3}	1.0×10^6		1.1×10^{-20}	8.3×10^{-20}	3.9×10^{-18}	

Baryonic/DM mass ratio $\nu = M_B/M_{DM}$ for some dSph galaxies



The relative large baryonic content of Willman 1 is consistent with a significant mass-loss of its DM halo.

FINALS CONSIDERATIONS

Conclusions and open questions

Fermionic King model predicts:

- A **post-collapse branch of stability** for low energies (with profiles with degenerate cores).
- An **evaporation disruption** if its total mass $M < \frac{1}{4}M_F$.
- The total mass M drives the character continuous or discontinuous of **microcanonical phase transition associated with gravothermal collapse** and the existence or nonexistence of states with negative heat capacities.

Application to dark matter haloes:

- Structural parameters of dwarfs galaxies like Willman 1 are consistent with **WDM particles with masses in the keV scale**.
- Most of galaxies must develop a **degenerate core of WDM particles** via a sudden (violent) gravothermal collapse.

Open question: Relativistic extension of fermionic King model

The quantum-relativistic conditions governing the interior of galactic cores of large galaxies are somehow correlated to the masses of these stellar systems. Considering the Schwarzschild mass (13) for a WDM particle with mass $m = 2$ keV, one obtains:

$$M_c \sim 6.4 \times 10^{12} M_\odot. \quad (22)$$

Remarkably, this mass scale is **comparable to the typical masses of large galaxies**. The present argument suggests the masses of the large galaxies are related to quantum-relativistic processes involving WDM particles (e.g.: the formation of **supermassive black holes**). The characteristic mass (22) could explain the observed properties of large galaxies if DM halos are composed of WDM particles with masses in the keV scale.

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Update concerning extension of fermionic King model to GR

The extension of fermionic King model for general relativity was recently proposed in

- [10] Argüelles et al, MNRAS **502**, 4227 (2021)

presenting a thermodynamic analysis *at constant degeneration parameter* $\mu = (\varepsilon_c - \varepsilon_F) / kT$ *and total mass* M . Notice that the degeneration parameter μ is closely related to *chemical potential* ($w = \varepsilon_c - \varepsilon_F$ is the amount of energy required to remove a particle from the system). The degeneration parameter μ appears here as **the variable thermodynamic conjugated to the number of particles** N (or total mass $M = Nm$) with respect the entropy. A statistical ensemble that guarantees the constancy of (μ, M) is quite analogous to a statistical ensemble that guarantees the constancy of the temperature and energy (T, U) . *From viewpoint of statistical mechanics, however, there not exist statistical ensembles that guarantee the simultaneous constancy of thermodynamic conjugated variables!* To avoid this thermo-statistical inconsistency, the thermodynamics at constant tidal radius R and total mass M is still required in order to obtain relativistic mass bound M_5 that completes the critical masses (M_1, M_2, M_3) obtained in the work:

- [11] L. Velazquez, *Universe* **7**, 308 (2021)

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